



# Cyber-Identity, Authority and Trust in an Uncertain World

---

Prof. Ravi Sandhu

Laboratory for Information Security Technology

George Mason University

[www.list.gmu.edu](http://www.list.gmu.edu)

sandhu@gmu.edu



# Outline

---

- Perspective on security
- Role Based Access Control (RBAC)
- Objective Model-Architecture Mechanism (OM-AM) Framework
- Usage Control (UCON)



# Security Conundrum

---

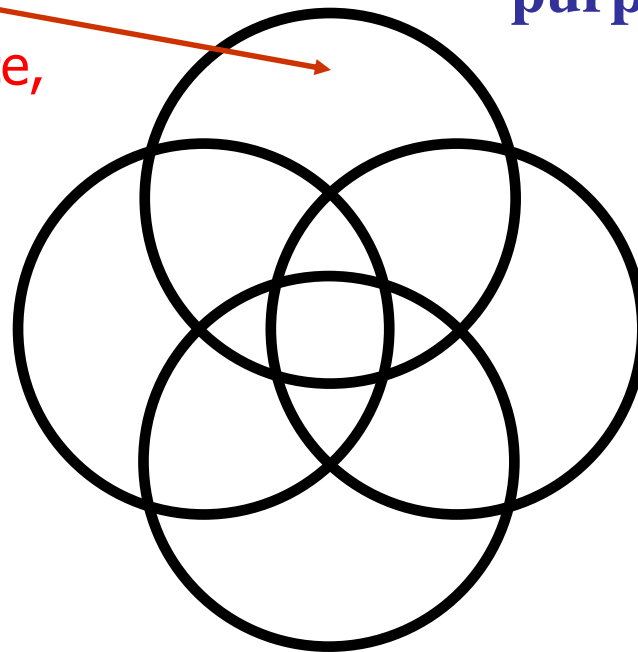
- Nobody knows **WHAT** security is
- Some of us do know **HOW** to implement pieces of it

**Result: hammers in search of nails**

# Security Confusion

- electronic commerce, electronic business
- DRM, client-side controls

**INTEGRITY**  
modification



**USAGE**  
purpose

**AVAILABILITY**  
access

**CONFIDENTIALITY**  
disclosure



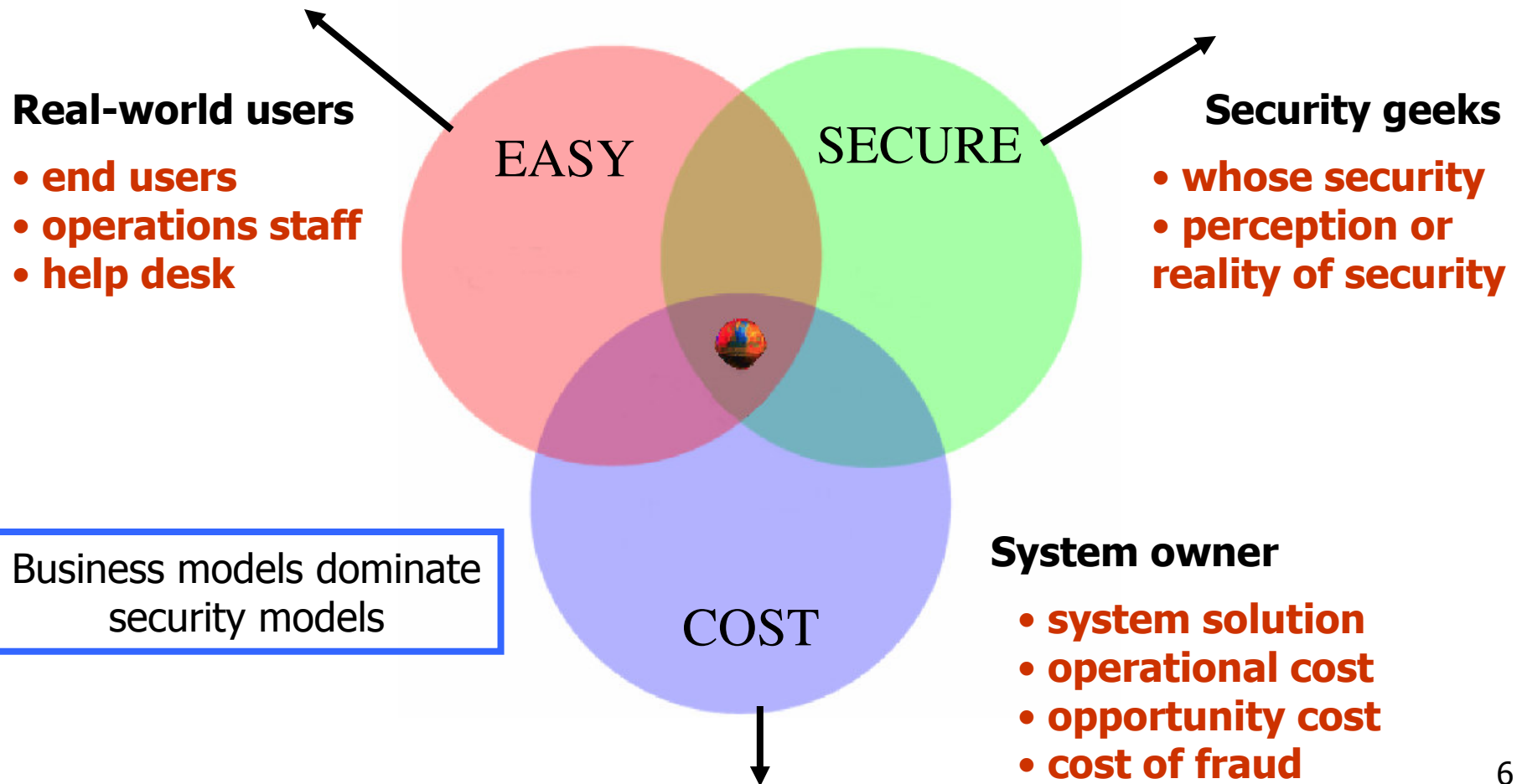
# Security Successes

---

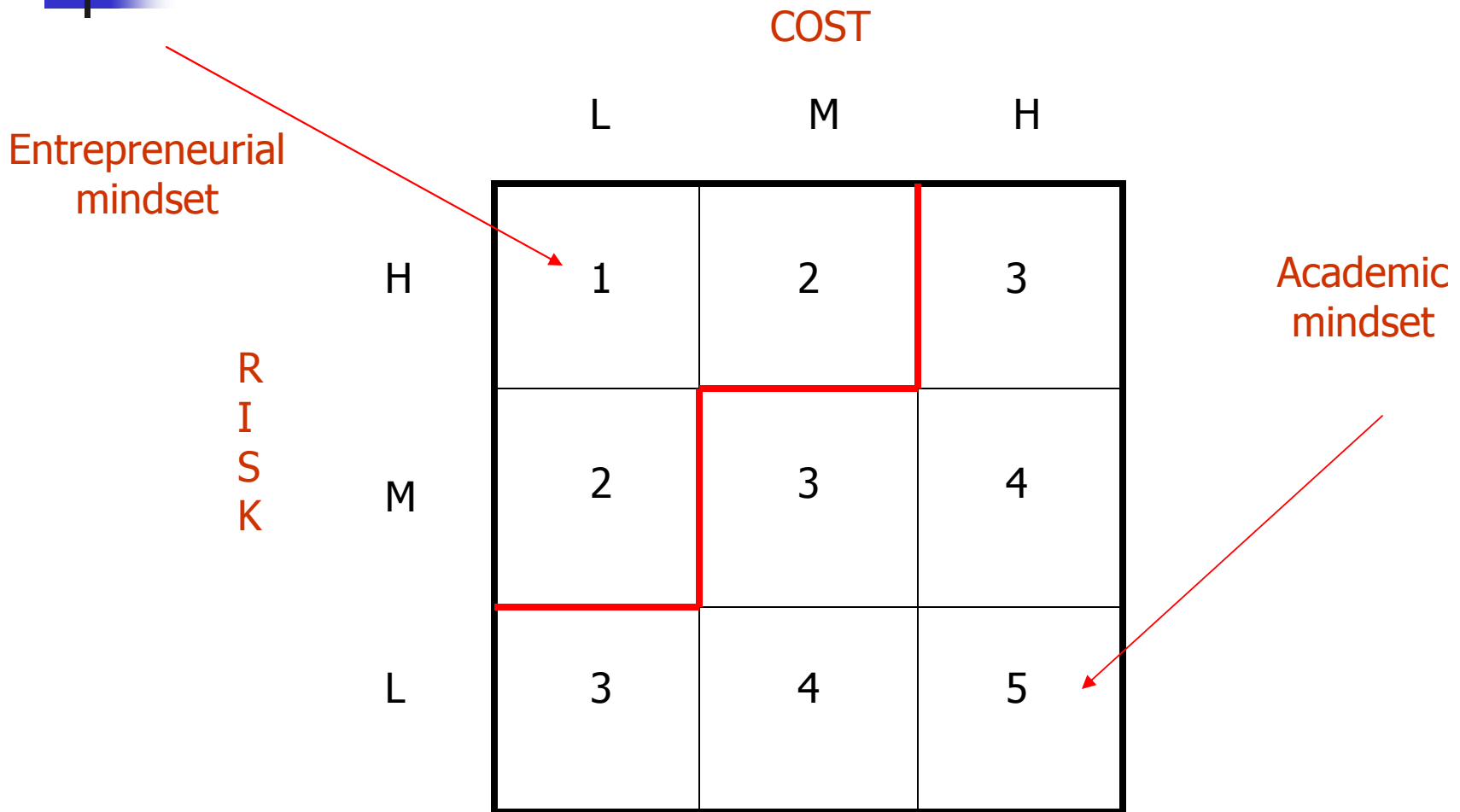
- On-line banking
- On-line trading
- Automatic teller machines (ATMs)
- GSM phones
- Set-top boxes
- .....

**Success is largely unrecognized  
by the security community**

# Good enough security



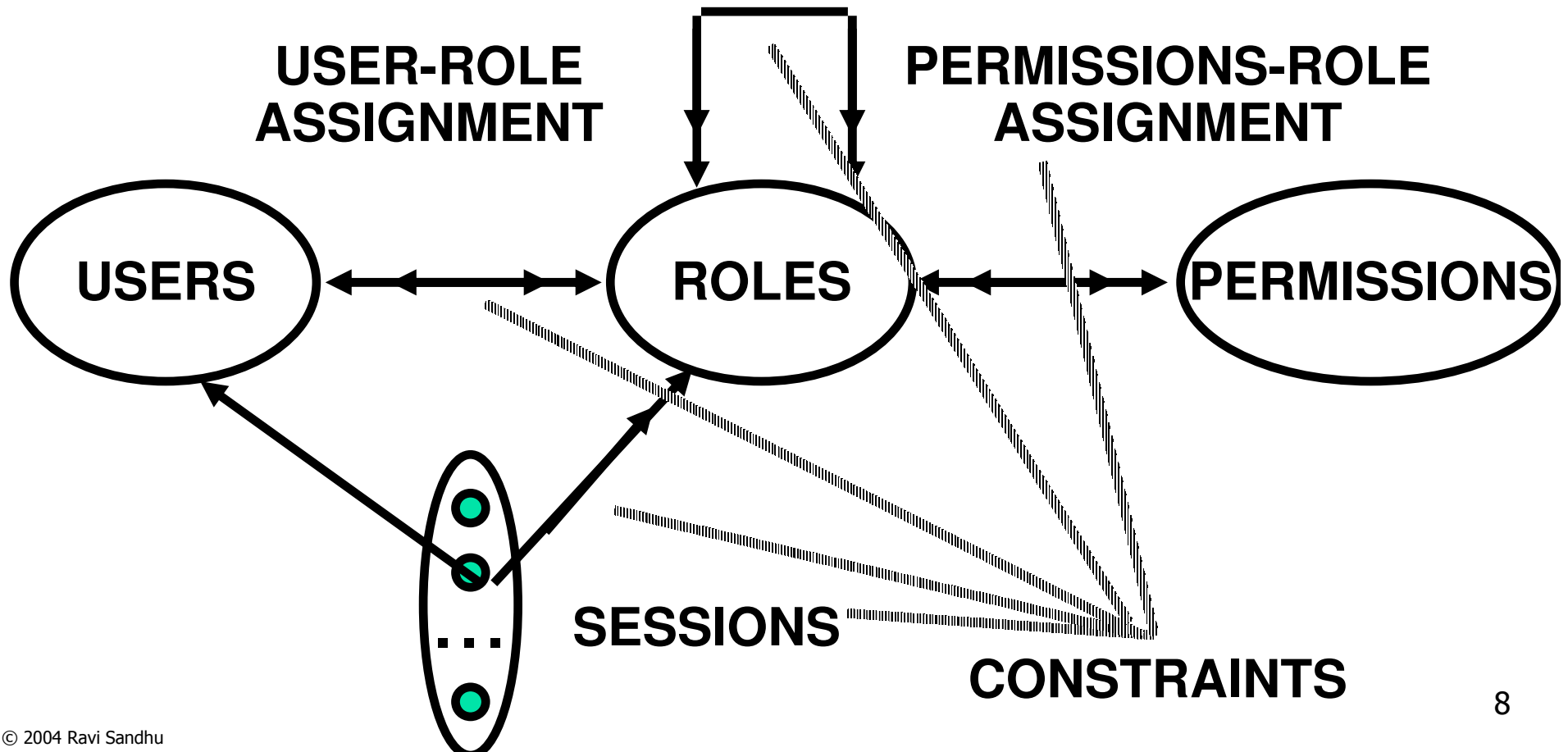
# Good enough security



# RBAC96 model

(Currently foundation of a NIST/ANSI/ISO standard)

## ROLE HIERARCHIES







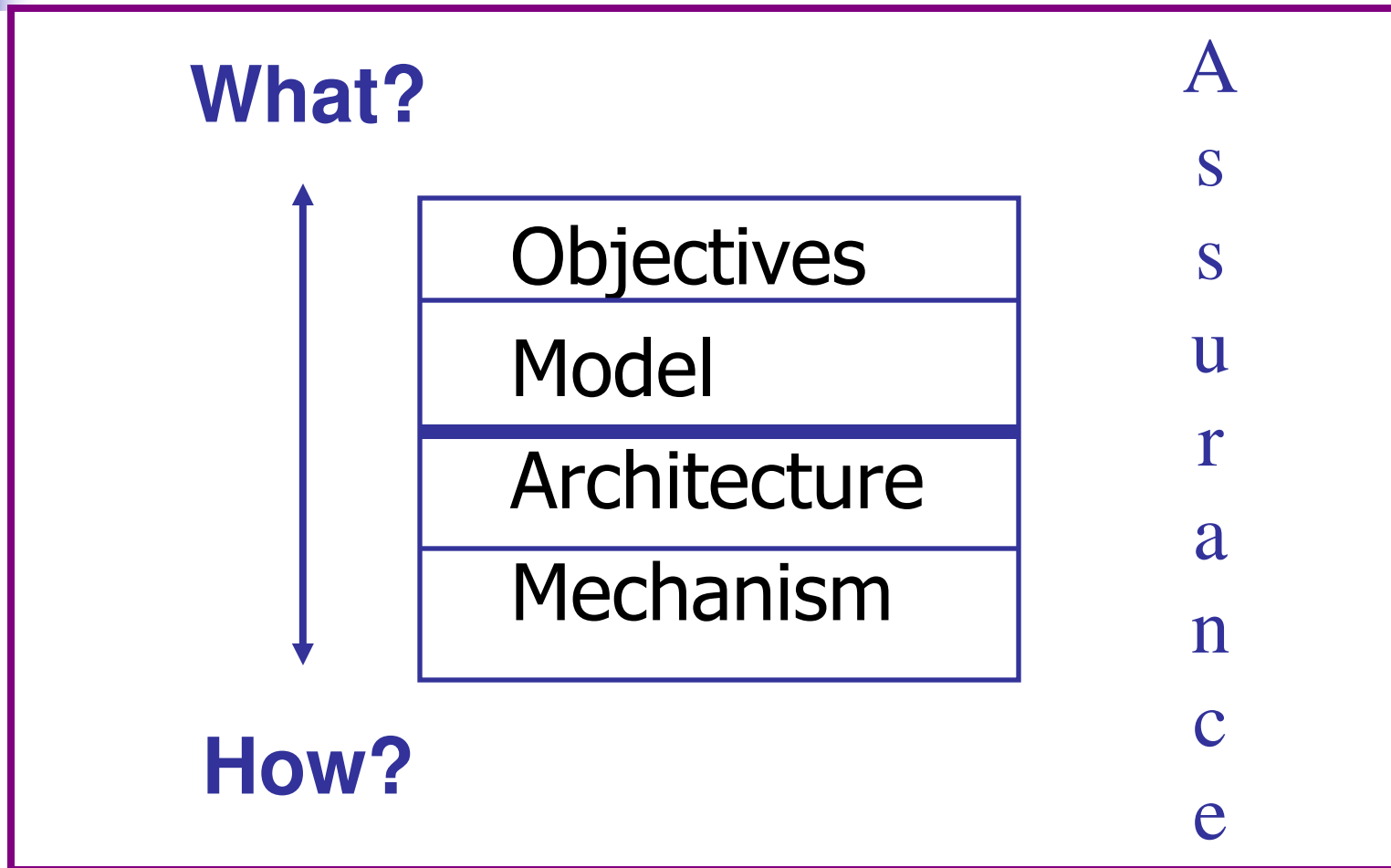
# Fundamental Theorem of RBAC

---

- RBAC can be configured to do MAC
  - MAC is Mandatory Access Control as defined in the Orange Book
- RBAC can be configured to do DAC
  - DAC is Discretionary Access Control as defined in the Orange Book

**RBAC is policy neutral**

# THE OM-AM WAY



# OM-AM AND MANDATORY ACCESS CONTROL (MAC)

**What?**



**How?**

No information leakage
Lattices (Bell-LaPadula)
Security kernel
Security labels

A  
S  
S  
U  
R  
A  
N  
C  
E

# OM-AM AND DISCRETIONARY ACCESS CONTROL (DAC)

**What?**



**How?**

Owner-based discretion

numerous

numerous

ACLs, Capabilities, etc

A  
S  
S  
U  
R  
A  
N  
C  
E

# OM-AM AND ROLE-BASED ACCESS CONTROL (RBAC)

**What?**



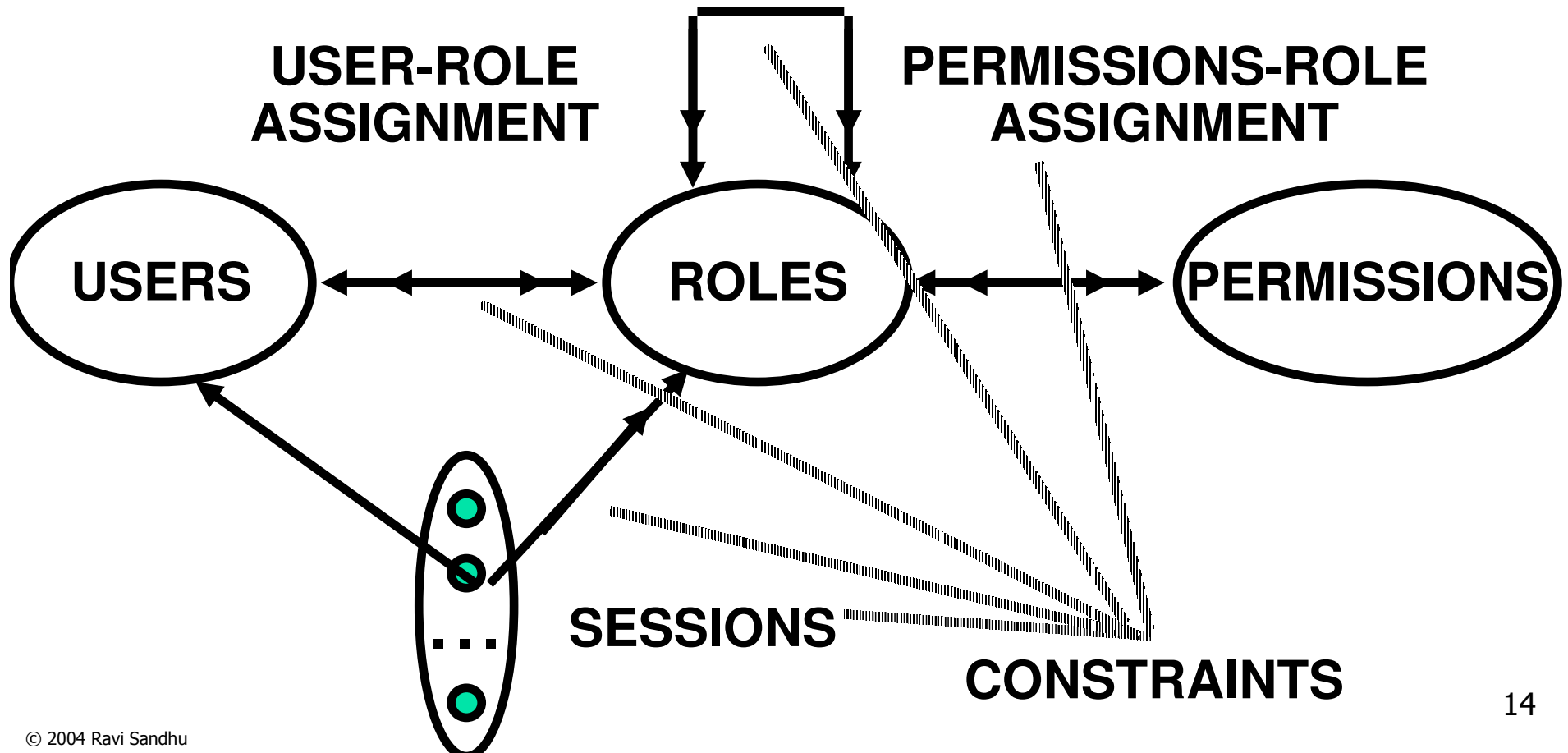
Objective neutral
RBAC96, ARBAC97, etc.
user-pull, server-pull, etc.
certificates, tickets, PACs, etc.

**How?**

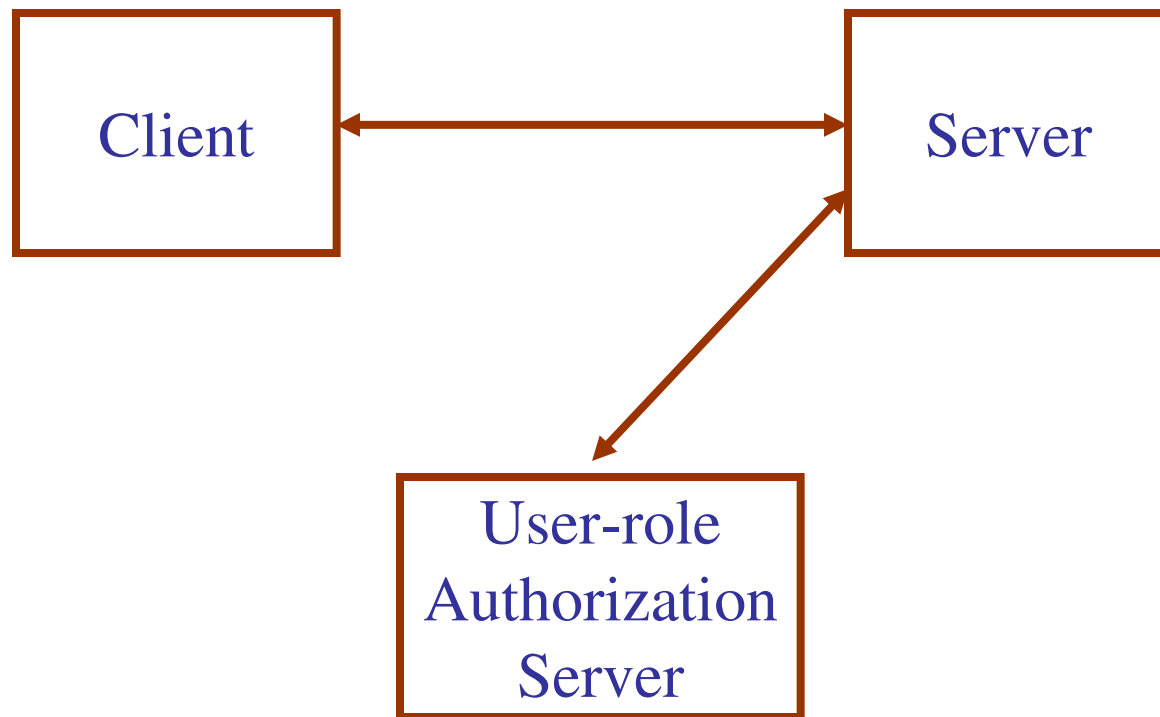
A  
S  
S  
U  
R  
A  
N  
C  
E

# RBAC96 Model

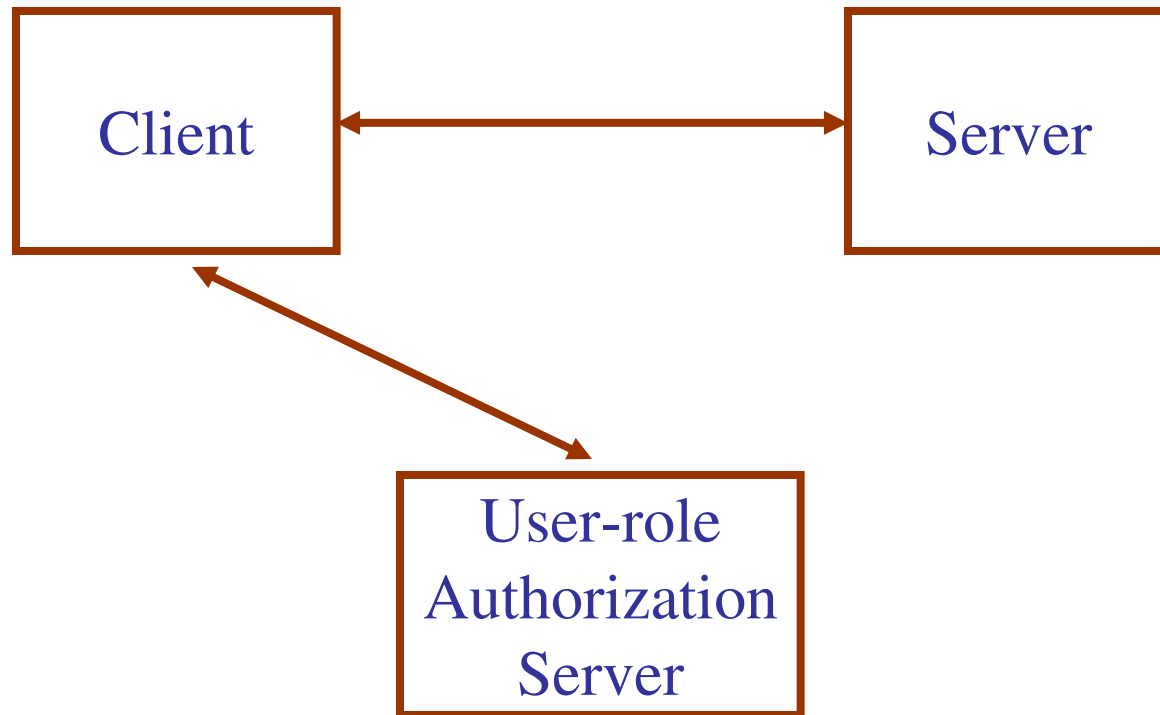
## ROLE HIERARCHIES



# Server-Pull Architecture

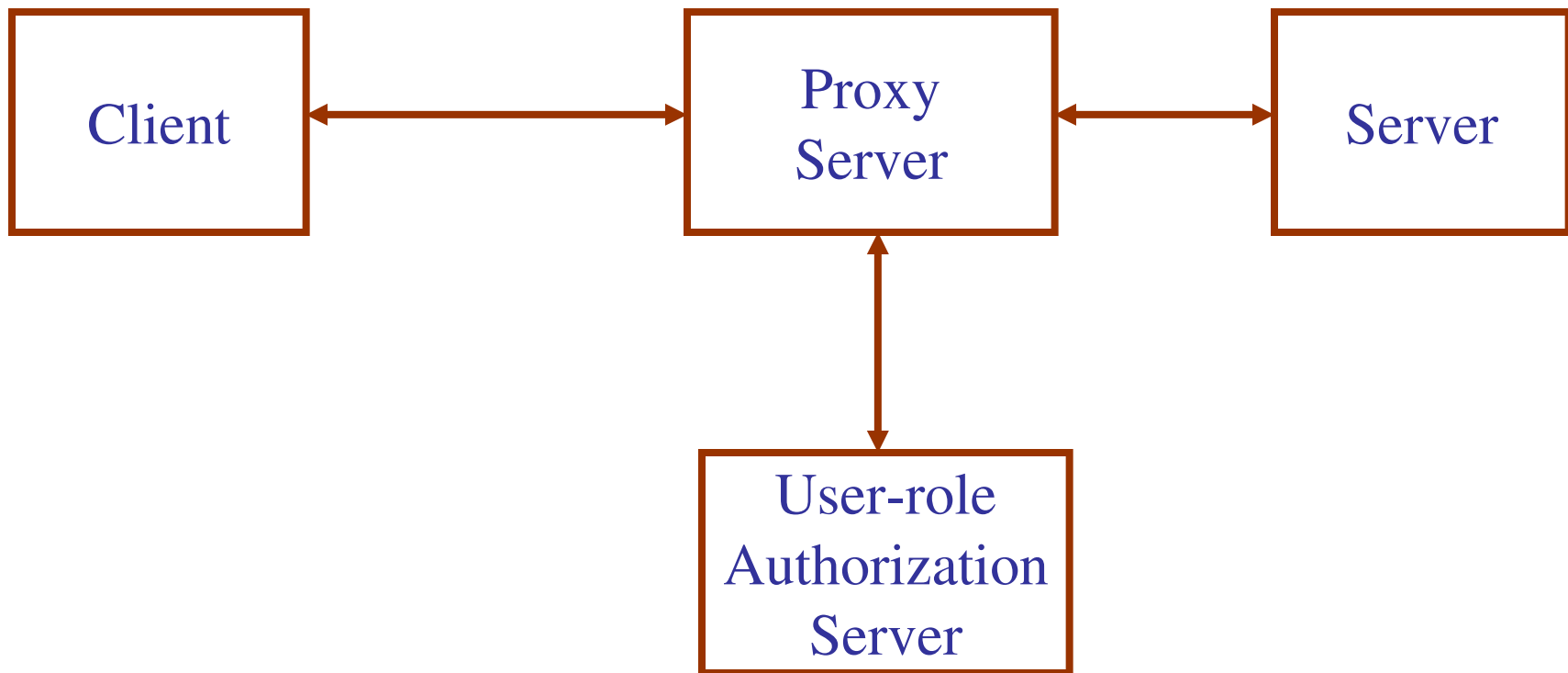


# User-Pull Architecture

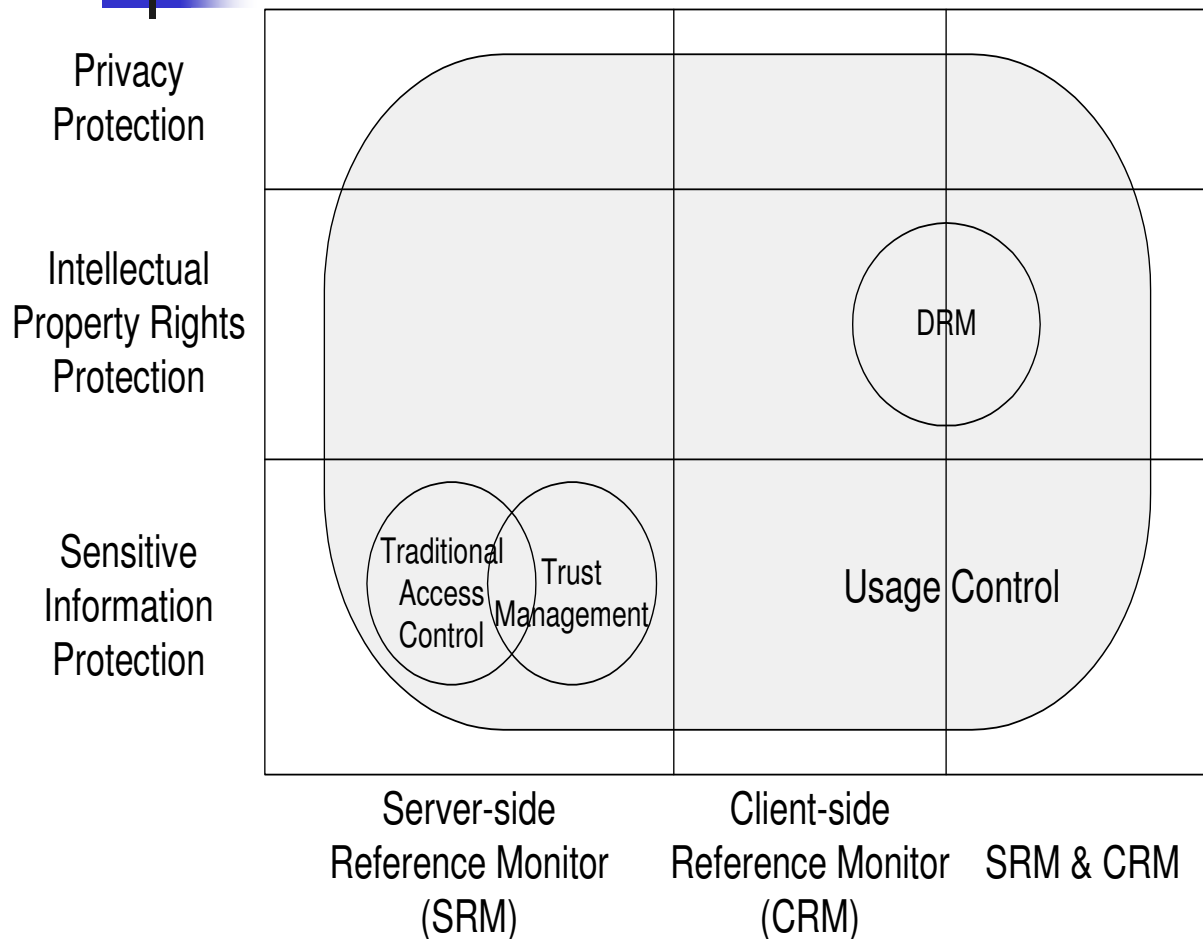




# Proxy-Based Architecture



# Usage Control (UCON) Coverage



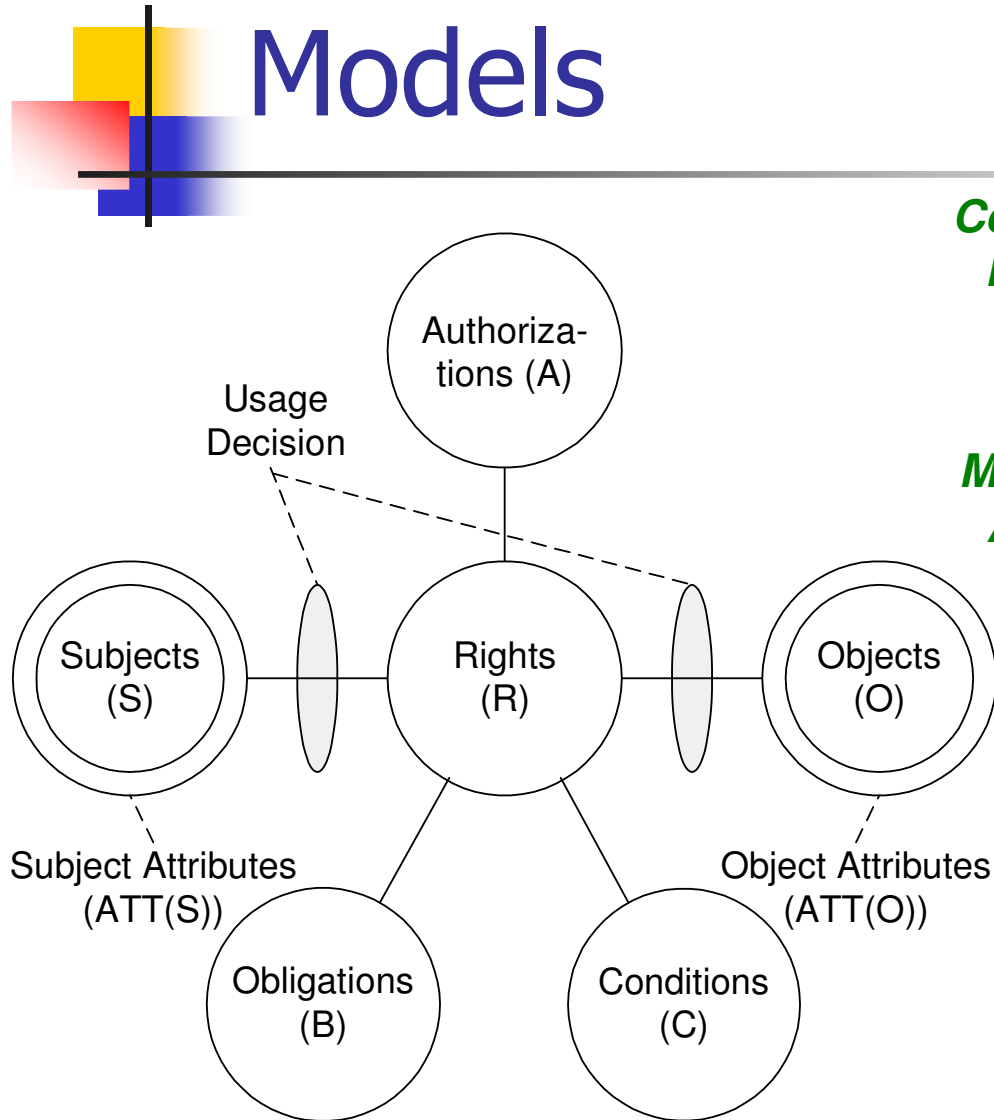
## ■ Protection Objectives

- Sensitive information protection
- IPR protection
- Privacy protection

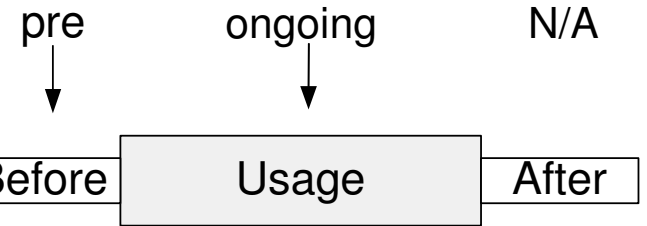
## ■ Protection Architectures

- Server-side reference monitor
- Client-side reference monitor
- SRM & CRM

# Core UCON (Usage Control) Models



**Continuity of Decisions**



**Mutability of Attributes**

## ■ Continuity

- Decision can be made during usage for continuous enforcement

## ■ Mutability

- Attributes can be updated as side-effects of subjects' actions



# Examples

---

- Long-distance phone (pre-authorization with post-update)
- Pre-paid phone card (ongoing-authorization with ongoing-update)
- Pay-per-view (pre-authorization with pre-updates)
- Click Ad within every 30 minutes (ongoing-obligation with ongoing-updates)
- Business Hour (pre-/ongoing-condition)

# Good enough security

## Entrepreneurial Mindset

- 80% problem
- soft, informal
- ordinary consumers

R  
I  
S  
K

H

M

L

COST

L

M

H

1	2	3
2	3	4
3	4	5

## Academic Mindset

- 120% problem
- hard, informal
- techno-geeks