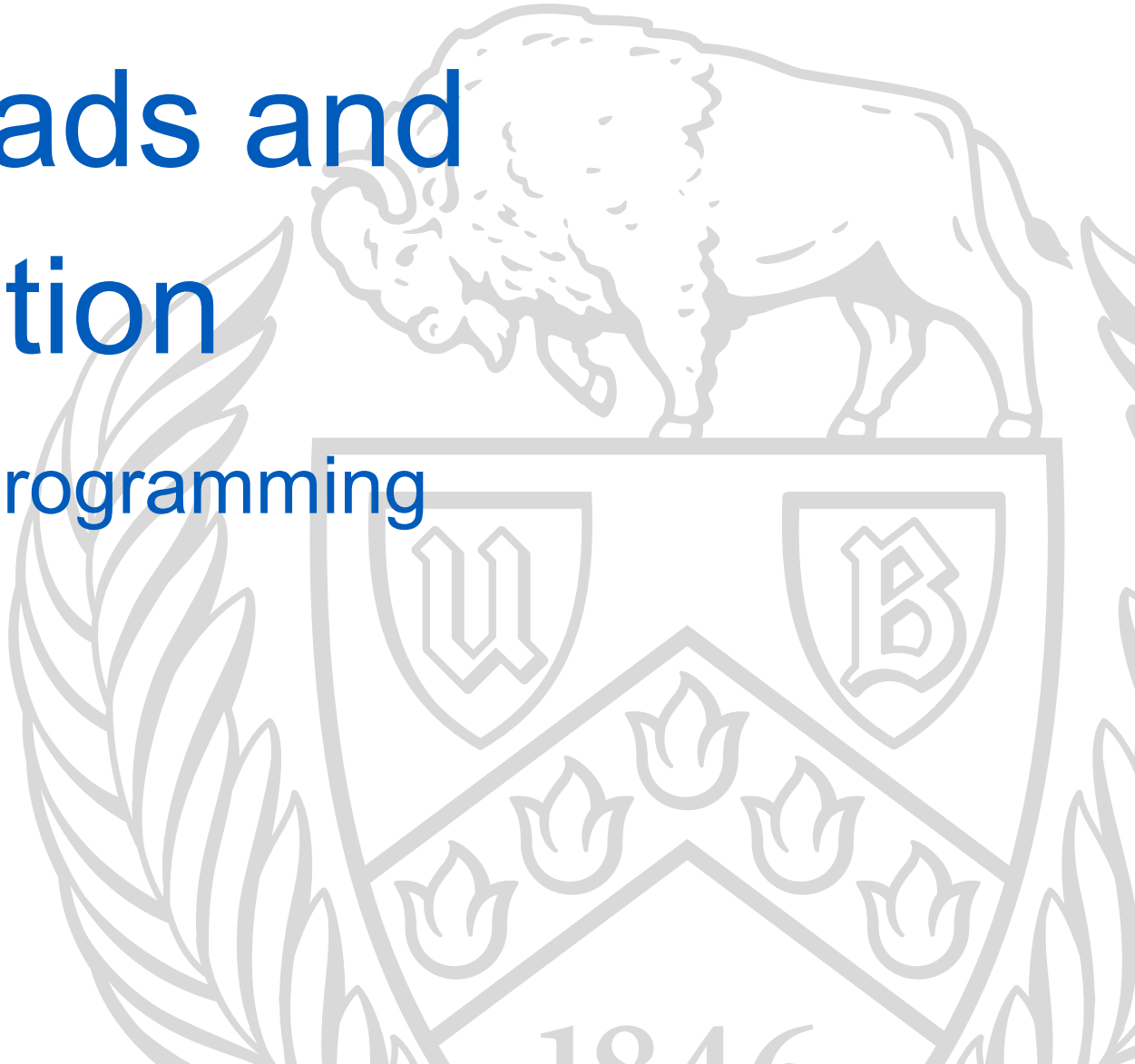
POSIX Threads and Synchronization

CSE 220: Systems Programming

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POSIX Threads

The POSIX threads API adds threading to Unix.

You will also see this API called Pthreads or pthreads.

Early Unix provided only the process model for concurrency.

POSIX threads look like processes, but share more resources.

Every POSIX thread starts with a function.

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POSIX Synchronization

Pthreads also provides synchronization mechanisms.

In fact, it provides a rather rich set of options!

- Mutexes
- Semaphores
- Condition variables
- Thread joining
- Memory barriers[1]

Only semaphores are covered in detail in CS:APP.

[1] We won't talk about these.

Compilation with Pthreads

Pthreads may require extra compilation options.

On modern Linux, use `-pthread` both when compiling and linking.

On some other systems, other options may be required:

- Provide a different compiler or linker option (such as `-pthreads`)
- Compile with some preprocessor define (*e.g.*, `-DPTHREAD`
- Link with a library (*e.g.*, `-lpthread`)
- … read the documentation!

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Thread Creation

Threads are created with the `pthread_create()` function:

```
#include <pthread.h>

int pthread_create(pthread_t *thread,
    const pthread_attr_t *attr,
    void *(*start_function)(void *), void *arg)
```

The created thread will:

- begin at the given `start_function` function argument
- have the given data `arg` passed in as an argument

Pthread Object Declarations

Threads (and other Pthread objects) are declared as values.

They are often used as pointers.

For example:

```
pthread_t thread;
pthread_create(&thread, NULL, thread_function, NULL);
```

This allows them to be created without dynamic allocation.

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Thread Functions

The thread start function has the following signature:

```
void *(*start_function)(void *);
```

This is a function that:

- Accepts a single `void *` argument
- Returns `void *`

Example:

```
void *thread_main(void *arg) {
  return NULL;
}
```

Thread Semantics

When `pthread_create()` is called, it:

- Creates a new execution context, including stack
- Creates a concurrent flow using that stack and context
- Causes the new flow to invoke the provided function and passes the provided argument

The separation of thread start function and its argument allows one function to perform multiple tasks based on its argument.

The new thread appears to be scheduled independently.

It can do anything the original thread could.

Thread Attributes

The function `pthread_create()` accepts a thread attribute object.

This object has type `pthread_attr_t`.

Passing `NULL` for this argument will use default attributes.

Thread attributes include:

- Processor affinity
- The desired scheduler for the thread and its configuration
- The detach state of the new thread
- The thread's stack location and size

We will not use thread attributes this semester.

Thread Termination

POSIX threads can terminate in several ways:

- The application can exit
- By calling `pthread_exit()`
- By returning from the thread start function
- It can be canceled by another thread using `pthread_cancel()`

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Joining

A thread can be joined, which is a synchronous operation.

```
#include <pthread.h>

int pthread_join(pthread_t thread, void **retval);
```

Joining a thread:

- blocks the caller until the thread exits
- retrieves the thread's exit status

Examples

- `counter.c` - mutexes protecting critical section
- `deadlock.c` - deadlock scenario
- `odds_evens.c` - condition variables
- `printer.c` - thread scheduling and joining

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POSIX Mutexes

POSIX mutexes are of type `pthread_mutex_t`.

They provide basic mutex functionality with several features:

- Optional recursive lock detection
- A `try lock` operation that will return immediately

whether or not the mutex could be locked

It is an error to unlock a POSIX mutex on a different thread than the thread that locked it.

Mutex Initialization

POSIX mutexes have static and dynamic initializers:

```
#include <pthread.h>

pthread_mutex_t fastmutex = PTHREAD_MUTEX_INITIALIZER;
int pthread_mutex_init(pthread_mutex_t *mutex,
    const pthread_mutexattr_t *mutexattr);
```

In older POSIX specifications, the static initializer could be used only for compile-time initializers.

The dynamic initializer accepts attributes to configure the mutex.

(Pass `NULL` to get default behavior.)

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Mutex Operations

A mutex can be locked or unlocked:

```
#include <pthread.h>

int pthread_mutex_lock(pthread_mutex_t *mutex);
int pthread_mutex_trylock(pthread_mutex_t *mutex);
int pthread_mutex_unlock(pthread_mutex_t *mutex);
```

The lock and unlock functions operate exactly as expected.

`pthread_mutex_trylock()` will always return immediately.

- If the mutex is already locked, it will return `EBUSY`.
- If the mutex is unlocked, it will lock it and return `0`.

Destroying Mutexes

When you are finished with a mutex, you should destroy it.

On Linux, destroying a mutex is essentially no-op.

However, other platforms may associate resources with a mutex.

Destroying the mutex allows those resources to be released.

Destroying a locked mutex is an error.
Destroying a mutex being waited upon[1] is an error.

[1] More on this later…

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Default Mutex Behaviors

The default mutex may not allow recursive locks.

The following code could deadlock (and will on Linux!):

```
void deadlock() {
    pthread_mutex_t mutex = PTHREAD_MUTEX_INITIALIZER;
    pthread_mutex_lock(&mutex);
    pthread_mutex_lock(&mutex);
}
```

Mutexes can be initialized with a recursive attribute.

Recursive mutexes maintain a lock count, and the above would simply require unlocking twice.

Condition Variables

POSIX condition variables work in conjunction with mutexes.

A thread must hold a mutex to wait on a condition variable.

Waiting on a condition variable atomically:

- Unlocks the mutex
- Puts the thread to sleep until the condition is signaled

A thread can signal one or all threads sleeping on a condition variable.

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Creating a Condition Variable

Condition variables are created like mutexes:

```
#include <pthread.h>

pthread_cond_t cond = PTHREAD_COND_INITIALIZER;
int pthread_cond_init(pthread_cond_t *cond,
    pthread_condattr_t *cond_attr);
```

The Linux implementation of Pthreads recognizes no condition variable attributes.

Waiting on Condition Variables

A thread can wait on a condition variable.

```
#include <pthread.h>

int pthread_cond_wait(pthread_cond_t *cond, pthread_mutex_t
*mutex);
```

Note that there is an associated mutex.

The mutex should protect the condition state.

As previously discussed, threads can spuriously wake.

Waiting Example

```
extern pthread_mutex_t lock;
extern pthread_cond_t cond;
extern bool done;

void *block_until_done(void *ignored) {
    pthread_mutex_lock(&lock);
    while (!done) {
        pthread_cond_wait(&cond, &lock);
    }
    pthread_mutex_unlock(&lock);
    return ignored;
}
```

Signaling Condition Variables

Condition variables can signal:

- one waiting thread
- all waiting threads

```
#include <pthread.h>

int pthread_cond_signal(pthread_cond_t *cond);
int pthread_cond_broadcast(pthread_cond_t *cond);
```

Signaling a variable if no threads are waiting does nothing.

The mutex protecting shared state should be used appropriately!

Signaling Example

```
extern pthread_mutex_t lock;
extern pthread_cond_t cond;
extern bool done;

void signal_done() {
    pthread_mutex_lock(&lock);
    done = true;
    pthread_mutex_unlock(&lock);
    pthread_cond_signal(&cond);
}
```


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Putting it Together

```
pthread_mutex_t lock = PTHREAD_MUTEX_INITIALIZER;
pthread_cond_t cond = PTHREAD_COND_INITIALIZER;
bool done;

int main(int argc, char *argv[]) {
    pthread_t t;

    pthread_create(&t, NULL, block_until_done, NULL);
    usleep(100000);
    signal_done();
    pthread_join(t, NULL);

    return 0;
}
```


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Destroying Condition Variables

Like mutexes

- Condition variables should be destroyed
- Destroying condition variables does nothing on Linux

```
#include <pthread.h>

int pthread_cond_destroy(pthread_cond_t *cond);
```

Destroying a condition variable with waiting threads is an error.

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POSIX Semaphores

POSIX semaphores can operate between either threads or processes.

They provide counting semaphore semantics.

They obsolete System V semaphores, which you may also see.

POSIX semaphores:

- Do not begin with `pthread_`
- Are not found in `pthread.h`

POSIX Semaphore Creation

```
#include <semaphore.h>

int sem_init(sem_t *sem, int pshared,
             unsigned int value);
```

There is no static initializer for POSIX semaphores.

If `pshared` is `true`:

- The semaphore can be used between processes
- Must be located in shared memory for this to work

The given `value` is its initial count.

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POSIX Semaphore Manipulation

```
#include <semaphore.h>

int sem_wait(sem_t *sem);
int sem_trywait(sem_t *sem);
int sem_post(sem_t *sem);
```

The wait operation corresponds to Dijkstra's `P()`, and post to `V()`.

`sem_trywait()` is like `pthread_mutex_trylock()`:

- It will return immediately even if it cannot decrement the semaphore
- If it succeeds it returns zero
- If it does not, it returns `EAGAIN`

Summary

- The POSIX threads (pthreads) API provides a thread abstraction on Unix
- POSIX provides many synchronization primitives:
  - Mutexes
  - Semaphores
  - Condition variables
  - Thread joining
- CS:APP and OSTEP cover POSIX semaphores in detail

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Bibliography

Required Readings

[1] Remzi H. Arpaci-Dusseau and Andrea C. Arpaci-Dusseau. *Operating Systems: Three Easy Pieces*. (NOT required) Chapters 30, 31. Arpaci-Dusseau Books, no date.

[2] Remzi H. Arpaci-Dusseau and Andrea C. Arpaci-Dusseau. *Operating Systems: Three Easy Pieces*. Chapters 26, 27. Arpaci-Dusseau Books, no date.

Optional Readings

[3] Randal E. Bryant and David R. O'Hallaron. *Computer Science: A Programmer's Perspective*. Third Edition. Chapter 12: 12.3, 12.5-12.7. Pearson, 2016.

[4] Bradford Nichols, Dick Buttlar, and Jacqueline Proulx Farrell. *Pthreads Programming*. O'Reilly & Associates, Inc., 1996.

[5] IEEE and The Open Group. The Open Group Base Specifications Issue 7. 2017.

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