

# CSE 250: Quicksort (contd); Stacks and Queues

## Lecture 14

Sept 27, 2024

# Reminders

- WA2 due Sun, Sept 29 at 11:59 PM
- Midterm 1 in class on Fri, Oct 04.
  - Covers: Asymptotics, Sequences/Lists, Arrays, Linked Lists, Recursion
  - Bounds: Tight Upper/Lower, Unqualified vs Amortized

# Quicksort

## Merge Sort

- Split up the problem  $(O(1))$
- Merge the sub-solutions  $(O(N))$

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- If the list has only 1 element, it's sorted. Return.
- Find the **median** value ('pivot').
- Create a list of elements  $<$  **median**.
- Create a list of elements  $>$  **median**.
- Sort each of the two lists
- Concatenate the lists

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- Sort each of the two lists  $2 \cdot T(\frac{N}{2})$
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- Concatenate the lists  $O(N)$  or  $O(1)$

$$T_{qs}(N) = \begin{cases} 1 & \text{if } N \leq 1 \\ O(N) + 2T_{qs}\left(\frac{N}{2}\right) + T_{pivot}(N) & \text{otherwise} \end{cases}$$

# Quicksort

- If the list has only 1 element, it's sorted. Return.  $O(1)$
- Find the **median** value ('pivot').  $O(N \log(N))$
- Create a list of elements  $<$  **median**.  $O(N)$
- Create a list of elements  $>$  **median**.  $O(N)$
- Sort each of the two lists  $2 \cdot T(\frac{N}{2})$
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# Quicksort

**Idea:** If we pick a pivot value at random,  
in expectation, half of the values will be lower.

# The Worst-Case Pivot

What is the worst case runtime?

# The Worst-Case Pivot

What if we always pick the worst pivot?

1 [ 8, 7, 6, 5, 4, 3, 2, 1 ]

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What if we always pick the worst pivot?

- 1 [ 8, 7, 6, 5, 4, 3, 2, 1 ]
- 2 [ 7, 6, 5, 4, 3, 2, 1 ], 8, []

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What if we always pick the worst pivot?

- 1 [ 8, 7, 6, 5, 4, 3, 2, 1 ]
- 2 [ 7, 6, 5, 4, 3, 2, 1 ], 8, []
- 3 [ 6, 5, 4, 3, 2, 1 ], 7, [], 8, []

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What if we always pick the worst pivot?

- 1 [ 8, 7, 6, 5, 4, 3, 2, 1 ]
- 2 [ 7, 6, 5, 4, 3, 2, 1 ], 8, []
- 3 [ 6, 5, 4, 3, 2, 1 ], 7, [], 8, []
- 4 [ 5, 4, 3, 2, 1 ], 6, [], 7, [], 8, []

# The Worst-Case Pivot

What if we always pick the worst pivot?

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...

- For each level,  $O(N)$  work
- At worst,  $O(N)$  levels

**Total:**

# The Worst-Case Pivot

What if we always pick the worst pivot?

- 1 [ 8, 7, 6, 5, 4, 3, 2, 1 ]
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- 3 [ 6, 5, 4, 3, 2, 1 ], 7, [], 8, []
- 4 [ 5, 4, 3, 2, 1 ], 6, [], 7, [], 8, []

...

- For each level,  $O(N)$  work
- At worst,  $O(N)$  levels

**Total:**  $T_{quicksort}(N) \in O(N^2)$

# Quicksort

# Expected Runtime

Is the worst case runtime representative?

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**No!** (in typical cases, it will be faster)

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**No!** (in typical cases, it will be faster)

**Is there something we can say about the runtime?**

# Quicksort

If we pick the  $X$ 'th largest element as a pivot, what is  $T_{qs}(N)$ ?

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- $X = 1$ :

# Quicksort

If we pick the  $X$ 'th largest element as a pivot, what is  $T_{qs}(N)$ ?

- $X = 1: \theta(N)$

# Quicksort

If we pick the  $X$ 'th largest element as a pivot, what is  $T_{qs}(N)$ ?

- $X = 1: \theta(N) + T_{qs}(0)$

# Quicksort

If we pick the  $X$ 'th largest element as a pivot, what is  $T_{qs}(N)$ ?

- $X = 1: \theta(N) + T_{qs}(0) + T_{qs}(N - 1)$

# Quicksort

If we pick the  $X$ 'th largest element as a pivot, what is  $T_{qs}(N)$ ?

- $X = 1: \theta(N) + T_{qs}(0) + T_{qs}(N - 1)$
- $X = 2: \theta(N) + T_{qs}(1) + T_{qs}(N - 2)$

# Quicksort

If we pick the  $X$ 'th largest element as a pivot, what is  $T_{qs}(N)$ ?

- $X = 1: \theta(N) + T_{qs}(0) + T_{qs}(N - 1)$
- $X = 2: \theta(N) + T_{qs}(1) + T_{qs}(N - 2)$
- $X = 3: \theta(N) + T_{qs}(2) + T_{qs}(N - 3)$
- $X = 4: \theta(N) + T_{qs}(3) + T_{qs}(N - 4)$
- ...
- $X = N - 1: \theta(N) + T_{qs}(N - 2) + T_{qs}(1)$
- $X = N: \theta(N) + T_{qs}(N - 1) + T_{qs}(0)$

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- $X = N - 1: \theta(N) + T_{qs}(N - 2) + T_{qs}(1)$
- $X = N: \theta(N) + T_{qs}(N - 1) + T_{qs}(0)$

$$T_{qs}(N) = \theta(N) + T_{qs}(X - 1) + T_{qs}(N - X)$$

# Quicksort

If we pick the  $X$ 'th largest element as a pivot, what is  $T_{qs}(N)$ ?

- $X = 1: \theta(N) + T_{qs}(0) + T_{qs}(N - 1)$
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- $X = 3: \theta(N) + T_{qs}(2) + T_{qs}(N - 3)$
- $X = 4: \theta(N) + T_{qs}(3) + T_{qs}(N - 4)$
- ...
- $X = N - 1: \theta(N) + T_{qs}(N - 2) + T_{qs}(1)$
- $X = N: \theta(N) + T_{qs}(N - 1) + T_{qs}(0)$

$$T_{qs}(N) = \theta(N) + T_{qs}(X - 1) + T_{qs}(N - X) \quad (\text{for } X \in [1, N])$$

# Expectation

If  $X$  is a variable representing a random outcome, we call this number the **expectation** of  $X$ , or  $E[X]$ .

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If  $X$  is a variable representing a random outcome, we call this number the **expectation** of  $X$ , or  $E[X]$ .

$$E[X] = \sum_i P_i \cdot X_i$$

# Quicksort

$$T_{qs}(N) = \begin{cases} \theta(1) & \text{if } N \leq 1 \\ T_{qs}(X - 1) + T_{qs}(N - X) + \theta(N) & \text{otherwise} \end{cases}$$

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... but  $X$  is random!

# Expected Runtimes

$$E[T_{qs}(N)] = \begin{cases} \theta(1) & \text{if } N \leq 1 \\ E[T_{qs}(X - 1) + T_{qs}(N - X) + \theta(N)] & \text{otherwise} \end{cases}$$

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$$E[T_{qs}(N)] = \begin{cases} \theta(1) & \text{if } N \leq 1 \\ E[T_{qs}(X - 1)] + E[T_{qs}(N - X)] + E[\theta(N)] & \text{otherwise} \end{cases}$$

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$$= \sum_{i=1}^N \frac{1}{N} \cdot T(n - i) \quad (\text{$T(n - 1)$ down to $T(0)$})$$

# Expected Runtimes

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$$= \sum_{i=1}^N \frac{1}{N} \cdot T(n - i) \quad (\text{$T(n - 1)$ down to $T(0)$})$$

$$= E[T(N - X)]$$

# Expected Runtimes

$$E[T_{qs}(N)] = \begin{cases} \theta(1) & \text{if } N \leq 1 \\ E[T_{qs}(X - 1)] + E[T_{qs}(N - X)] + \theta(N) & \text{otherwise} \end{cases}$$

# Expected Runtimes

$$E[T_{qs}(N)] = \begin{cases} \theta(1) & \text{if } N \leq 1 \\ 2 \cdot E[T_{qs}(X - 1)] + \theta(N) & \text{otherwise} \end{cases}$$

# Expected Runtimes

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**The  $X$  we pick at each step is independent.**

# Expected Runtimes

$$E[T_{qs}(N)] = \begin{cases} \theta(1) & \text{if } N \leq 1 \\ 2 \cdot \left( \sum_{i=1}^N \frac{1}{N} E[T_{qs}(i-1)] \right) + \theta(N) & \text{otherwise} \end{cases}$$

# Expected Runtimes

$$E[T_{qs}(N)] = \begin{cases} \theta(1) & \text{if } N \leq 1 \\ 2 \cdot \left( \sum_{i=0}^{N-1} \frac{1}{N} E[T_{qs}(i)] \right) + \theta(N) & \text{otherwise} \end{cases}$$

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Back to Induction!

# Expected Runtimes

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Back to Induction! **Inductive Hypothesis:**

$$E[T_{qs}(N)] \in O(N \log(N))$$

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Back to Induction! **Inductive Hypothesis:**

$$E[T_{qs}(N)] \in O(N \log(N))$$

$$E[T_{qs}(N)] \leq c \cdot N \cdot \log(N)$$

# Quicksort's Runtime

$$E[T_{qs}(N)] = \begin{cases} c_1 & \text{if } N \leq 1 \\ 2 \cdot \left( \sum_{i=0}^{N-1} \frac{1}{N} E[T_{qs}(i)] \right) + c_2 N & \text{otherwise} \end{cases}$$

# Quicksort's Runtime

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## Base Case

$$E[T_{qs}(1)] \stackrel{?}{\leq} c \cdot 1 \cdot \log(1)$$

# Quicksort's Runtime

$$E[T_{qs}(N)] = \begin{cases} c_1 & \text{if } N \leq 1 \\ 2 \cdot \left( \sum_{i=0}^{N-1} \frac{1}{N} E[T_{qs}(i)] \right) + c_2 N & \text{otherwise} \end{cases}$$

## Base Case

$$E[T_{qs}(1)] \stackrel{?}{\leq} c \cdot 1 \cdot \log(1)$$

$$E[T_{qs}(1)] \stackrel{?}{\leq} c \cdot 1 \cdot 0$$

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## Base Case

$$E[T_{qs}(1)] \stackrel{?}{\leq} c \cdot 1 \cdot \log(1)$$

$$E[T_{qs}(1)] \stackrel{?}{\leq} c \cdot 1 \cdot 0$$

$$E[T_{qs}(1)] \not\leq 0$$

# Quicksort's Runtime

$$E[T_{qs}(N)] = \begin{cases} c_1 & \text{if } N \leq 1 \\ 2 \cdot \left( \sum_{i=0}^{N-1} \frac{1}{N} E[T_{qs}(i)] \right) + c_2 N & \text{otherwise} \end{cases}$$

## Base Case

$$E[T_{qs}(1)] \stackrel{?}{\leq} c \cdot 1 \cdot \log(1)$$

$$E[T_{qs}(1)] \stackrel{?}{\leq} c \cdot 1 \cdot 0$$

$$E[T_{qs}(1)] \not\leq 0 \quad \dots \text{oops}$$

# Quicksort's Expected Runtime

$$E[T_{qs}(N)] = \begin{cases} c_1 & \text{if } N \leq 1 \\ 2 \cdot \left( \sum_{i=0}^{N-1} \frac{1}{N} E[T_{qs}(i)] \right) + c_2 N & \text{otherwise} \end{cases}$$

# Quicksort's Expected Runtime

$$E[T_{qs}(N)] = \begin{cases} c_1 & \text{if } N \leq 1 \\ 2 \cdot \left( \sum_{i=0}^{N-1} \frac{1}{N} E[T_{qs}(i)] \right) + c_2 N & \text{otherwise} \end{cases}$$

**Base Case (with  $N_0 = 2$ )**

$$E[T_{qs}(2)] \stackrel{?}{\leq} c \cdot 2 \cdot \log(2)$$

# Quicksort's Expected Runtime

$$E[T_{qs}(N)] = \begin{cases} c_1 & \text{if } N \leq 1 \\ 2 \cdot \left( \sum_{i=0}^{N-1} \frac{1}{N} E[T_{qs}(i)] \right) + c_2 N & \text{otherwise} \end{cases}$$

**Base Case (with  $N_0 = 2$ )**

$$E[T_{qs}(2)] \stackrel{?}{\leq} c \cdot 2 \cdot \log(2)$$

$$2 \cdot \left( \sum_{i=0}^1 \frac{1}{2} E[T_{qs}(i)] \right) + 2c_2 \stackrel{?}{\leq} c \cdot 2 \cdot \log(2)$$

# Quicksort's Expected Runtime

$$E[T_{qs}(N)] = \begin{cases} c_1 & \text{if } N \leq 1 \\ 2 \cdot \left( \sum_{i=0}^{N-1} \frac{1}{N} E[T_{qs}(i)] \right) + c_2 N & \text{otherwise} \end{cases}$$

**Base Case (with  $N_0 = 2$ )**

$$E[T_{qs}(2)] \stackrel{?}{\leq} c \cdot 2 \cdot \log(2)$$

$$2 \cdot \left( \sum_{i=0}^1 \frac{1}{2} E[T_{qs}(i)] \right) + 2c_2 \stackrel{?}{\leq} c \cdot 2 \cdot \log(2)$$

$$\left( \sum_{i=0}^1 \frac{1}{2} E[T_{qs}(i)] \right) + c_2 \stackrel{?}{\leq} c$$

# Quicksort's Expected Runtime

$$\left( \sum_{i=0}^1 \frac{1}{2} E[T_{qs}(i)] \right) + c_2 \stackrel{?}{\leq} c$$

# Quicksort's Expected Runtime

$$\left( \sum_{i=0}^1 \frac{1}{2} E[T_{qs}(i)] \right) + c_2 \stackrel{?}{\leq} c$$

$$\frac{1}{2} (c_1 + c_1) + c_2 \stackrel{?}{\leq} c$$

# Quicksort's Expected Runtime

$$\left( \sum_{i=0}^1 \frac{1}{2} E[T_{qs}(i)] \right) + c_2 \stackrel{?}{\leq} c$$

$$\frac{1}{2} (c_1 + c_1) + c_2 \stackrel{?}{\leq} c$$

$$c_1 + c_2 \leq c$$

(true if we set  $c \geq c_1 + c_2$ )

# Quicksort's Expected Runtime

$$E[T_{qs}(N)] = \begin{cases} c_1 & \text{if } N \leq 1 \\ 2 \cdot \left( \sum_{i=0}^{N-1} \frac{1}{N} E[T_{qs}(i)] \right) + c_2 N & \text{otherwise} \end{cases}$$

## Inductive Step

Assume:  $E[T_{qs}(N')] \leq c \cdot N' \log(N')$  for all  $2 \leq N' \leq N$

Show:  $E[T_{qs}(N)] \leq c \cdot N \log(N)$

$$2 \cdot \left( \sum_{i=0}^{N-1} \frac{1}{N} E[T_{qs}(i)] \right) + c_2 \stackrel{?}{\leq} c \cdot N \log(N)$$

$$2 \cdot \frac{1}{N} \left( \sum_{i=0}^{N-1} E[T_{qs}(i)] \right) + c_2 \stackrel{?}{\leq} c \cdot N \log(N)$$

# Quicksort's Expected Runtime

$$2 \cdot \frac{1}{N} \left( \sum_{i=0}^{N-1} E[T_{qs}(i)] \right) + c_2 \stackrel{?}{\leq} c \cdot N \log(N)$$

# Quicksort's Expected Runtime

$$2 \cdot \frac{1}{N} \left( \sum_{i=0}^{N-1} E[T_{qs}(i)] \right) + c_2 \stackrel{?}{\leq} c \cdot N \log(N)$$

$$2 \cdot \frac{1}{N} \left( E[T_{qs}(0)] + E[T_{qs}(1)] + \sum_{i=2}^{N-1} E[T_{qs}(i)] \right) + c_2 \stackrel{?}{\leq} c \cdot N \log(N)$$

# Quicksort's Expected Runtime

$$2 \cdot \frac{1}{N} \left( \sum_{i=0}^{N-1} E[T_{qs}(i)] \right) + c_2 \stackrel{?}{\leq} c \cdot N \log(N)$$

$$2 \cdot \frac{1}{N} \left( E[T_{qs}(0)] + E[T_{qs}(1)] + \sum_{i=2}^{N-1} E[T_{qs}(i)] \right) + c_2 \stackrel{?}{\leq} c \cdot N \log(N)$$

$$2 \cdot \frac{1}{N} \left( 2 \cdot c_1 + \sum_{i=2}^{N-1} E[T_{qs}(i)] \right) + c_2 \stackrel{?}{\leq} c \cdot N \log(N)$$

# Quicksort's Expected Runtime

$$2 \cdot \frac{1}{N} \left( \sum_{i=0}^{N-1} E[T_{qs}(i)] \right) + c_2 \stackrel{?}{\leq} c \cdot N \log(N)$$

$$2 \cdot \frac{1}{N} \left( E[T_{qs}(0)] + E[T_{qs}(1)] + \sum_{i=2}^{N-1} E[T_{qs}(i)] \right) + c_2 \stackrel{?}{\leq} c \cdot N \log(N)$$

$$2 \cdot \frac{1}{N} \left( 2 \cdot c_1 + \sum_{i=2}^{N-1} E[T_{qs}(i)] \right) + c_2 \stackrel{?}{\leq} c \cdot N \log(N)$$

$$\frac{2}{N} \left( \sum_{i=2}^{N-1} E[T_{qs}(i)] \right) + \frac{2 \cdot c_1}{N} + c_2 \stackrel{?}{\leq} c \cdot N \log(N)$$

# Quicksort's Expected Runtime

$$\frac{2}{N} \left( \sum_{i=2}^{N-1} E[T_{qs}(i)] \right) + \frac{2 \cdot c_1}{N} + c_2 \stackrel{?}{\leq} c \cdot N \log(N)$$

# Quicksort's Expected Runtime

$$\frac{2}{N} \left( \sum_{i=2}^{N-1} E[T_{qs}(i)] \right) + \frac{2 \cdot c_1}{N} + c_2 \stackrel{?}{\leq} c \cdot N \log(N)$$

$$\frac{2}{N} \left( \sum_{i=2}^{N-1} c \cdot i \log(i) \right) + \frac{2 \cdot c_1}{N} + c_2 \stackrel{?}{\leq} c \cdot N \log(N)$$

## Quicksort's Expected Runtime

$$\frac{2}{N} \left( \sum_{i=2}^{N-1} E[T_{qs}(i)] \right) + \frac{2 \cdot c_1}{N} + c_2 \stackrel{?}{\leq} c \cdot N \log(N)$$

$$\frac{2}{N} \left( \sum_{i=2}^{N-1} c \cdot i \log(i) \right) + \frac{2 \cdot c_1}{N} + c_2 \stackrel{?}{\leq} c \cdot N \log(N)$$

$$\frac{2c}{N} \left( \sum_{i=2}^{N-1} i \log(i) \right) + \frac{2 \cdot c_1}{N} + c_2 \stackrel{?}{\leq} c \cdot N \log(N)$$

## Quicksort's Expected Runtime

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So is Quicksort  $O(N \log(N))$ ? **No!**

Quicksort's **Expected** runtime is  $O(N \log(N))$

# Bound Guarantees

- $f(N)$  is a Worst-Case Bound  $(T(N) \in O(f(N)))$   
The algorithm **always** runs in at most  $c \cdot f(N)$  steps.
- $f(N)$  is an Amortized Worst-Case Bound  
 $N$  invocations of the algorithm **always** run in at most  $N \cdot c \cdot f(N)$  steps.
- $f(N)$  is an Expected Worst-Case Bound  $(E[T(N)] \in O(f(N)))$   
The algorithm is **statistically likely** to run in at most  $c \cdot f(N)$  steps.

# Back to Sequence ADTs

- **Sequence**

- `get(i)`, `set(i, v)`

- **List**

- ... and `add(v)`, `add(i, v)`, `remove(i)`,

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- ... and `add(v)`, `add(i, v)`, `remove(i)`,

- **Stack**

- `push(v)`, `pop()`, `peek()`

- **Queue**

- `add(v)`, `remove()`, `peek()`

# The Stack ADT

A stack of objects on top of one another.

- **Push**

Put a new object on top of the stack.

- **Pop**

Remove the object from the top of the stack.

- **Top**

Peek at what's on top of the stack.

# Stacks

## Demo

# Stacks in Practice

- Storing method-local variables ("call stack")
- Certain types of parsers ("context free")
- Backtracking search (more on Friday)
- Reversing sequences

# The Stack Interface

```
1  public interface Stack<E> extends List<E> {  
2      public boolean empty();  
3      public E peek();  
4      public E pop();  
5      public E push(E item);  
6      /* ... */  
7  }
```

# LinkedListStack

```
1  class LinkedListStack<E> implements Stack<E> {
2      LinkedList<E> data = new LinkedList();
3
4      public boolean empty() { return data.size() == 0; }
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- Each call to **pop** gives you another **push** credit.
- Keeping elements together in memory is worth the overhead.