

# CSE 250

## Data Structures

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**Lec 25: Trees**

# Announcements

- PA2 due last night, submissions close Tuesday
- WA4 due Sunday

# (Rooted) Trees

# (Even More) Tree Terminology

**Rooted, Directed Tree** - Has a single root node (node with no parents)

**Parent of node X** - A node with an out-edge to X (max 1 parent per node)

**Child of node X** - A node with an in-edge from X

**Leaf** - A node with no children

**Depth of node X** - The number of edges in the path from the root to X

**Height of node X** - The number of edges in the path from X to the deepest leaf

# (Even More) Tree Terminology

**Level of a node** - Depth of the node + 1

**Size of a tree ( $n$ )** - The number of nodes in the tree

**Height/Depth of a tree ( $d$ )** - Height of the root/depth of the deepest leaf

# (Even More) Tree Terminology

Binary Tree - Every vertex has at most 2 children

Complete Binary Tree - All leaves are in the deepest two levels

Full Binary Tree - All leaves are at the deepest level, therefore every vertex has exactly 0 or 2 children, and  $d = \log(n)$

# Tree Implementation with Optional

```
1 public class Tree<T> {  
2     Optional<TreeNode<T>> root;  
3 }  
4  
5 public class TreeNode<T> {  
6     T value;  
7     Optional<TreeNode<T>> leftChild;  
8     Optional<TreeNode<T>> rightChild;  
9 }
```

Trees are inherently recursive data structures...

So most of the methods we are about to talk about will be very naturally expressed with recursion

# Computing Tree Height

The height of a tree is the height of the root



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The children of the root are each roots of the left and right subtrees

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The children of the root are each roots of the left and right subtrees

So we can compute height recursively:

$$h(\text{root}) = \begin{cases} \text{0} - 1 & \text{if the tree is empty} \\ 1 + \max(h(\text{root.left}), h(\text{root.right})) & \text{otherwise} \end{cases}$$

# Computing Tree Height

```
1 public int height(Optional<TreeNode<T>> root) {  
2     if (!root.isPresent()) return -1;  
3     return Math.max(height(root.leftChild), height(root.rightChild)) + 1;  
4 }
```

$$h(\text{root}) = \begin{cases} \cancel{0} - 1 & \text{if the tree is empty} \\ 1 + \max(h(\text{root.left}), h(\text{root.right})) & \text{otherwise} \end{cases}$$

# Binary Search Tree

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# Binary Search Tree

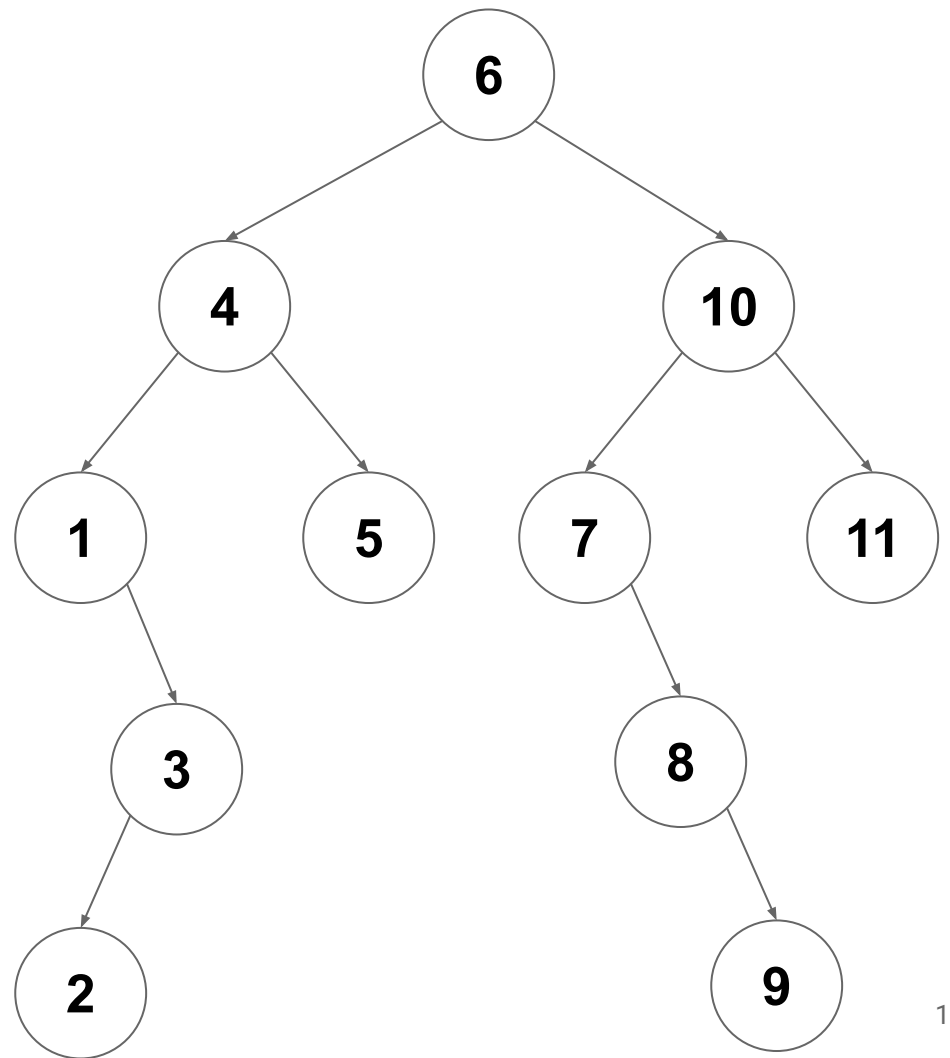
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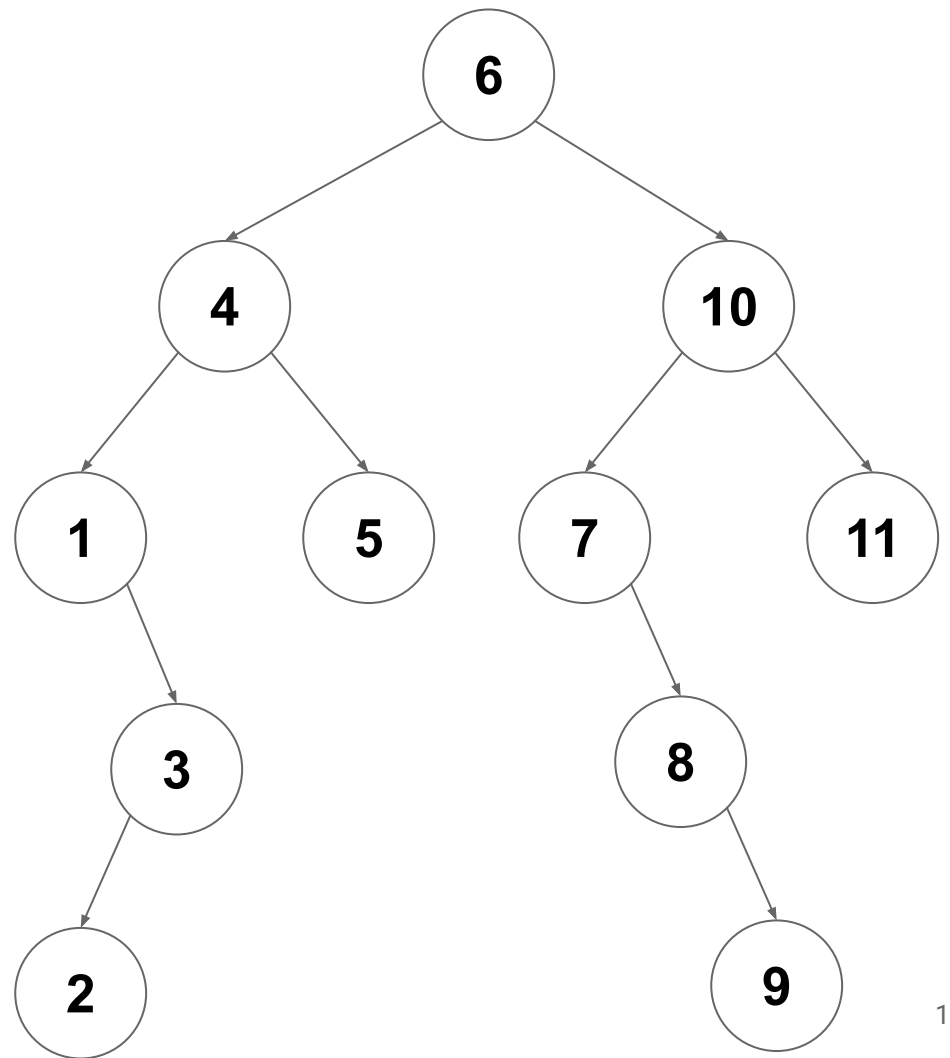
$X$  **partitions** its children

Is this a valid  
BST?



# Is this a valid BST?

Yes!

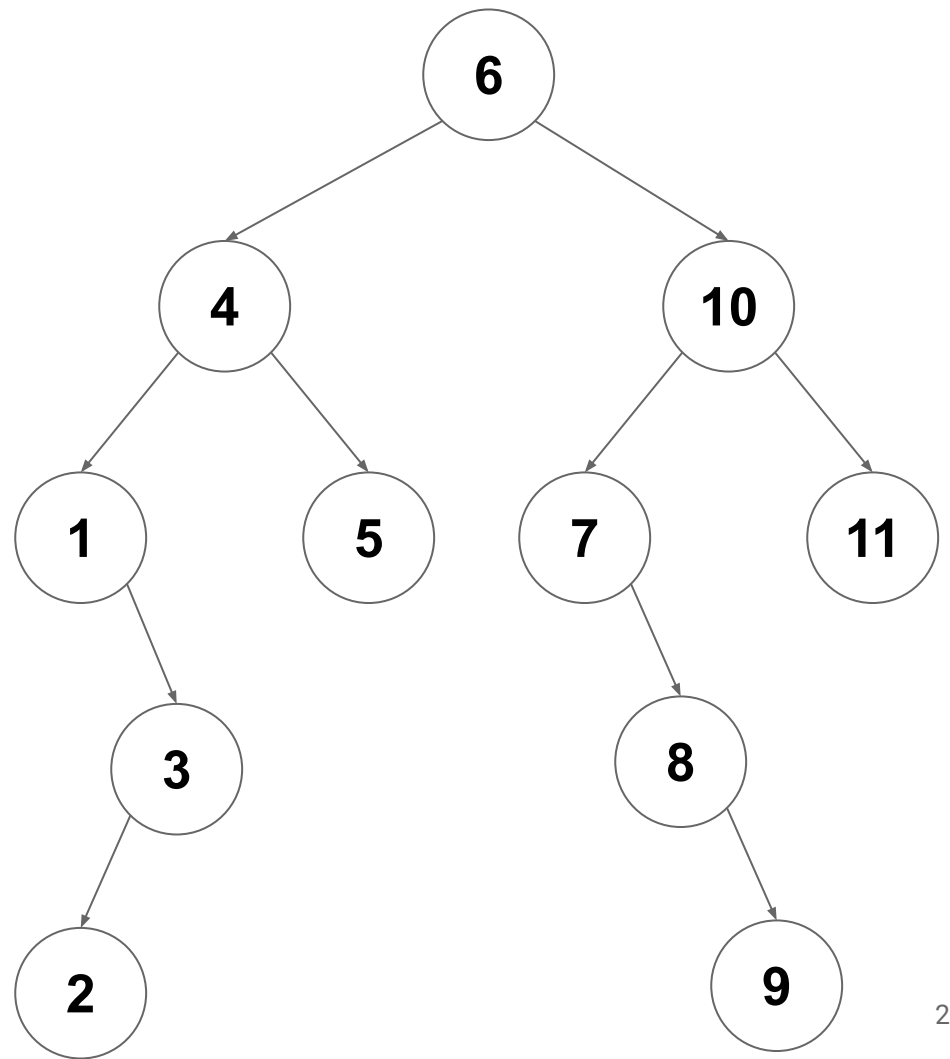


# Is this a valid BST?

Yes!

Everything in the left  
subtree is  $< 6$

Everything in the right  
subtree is  $> 6$



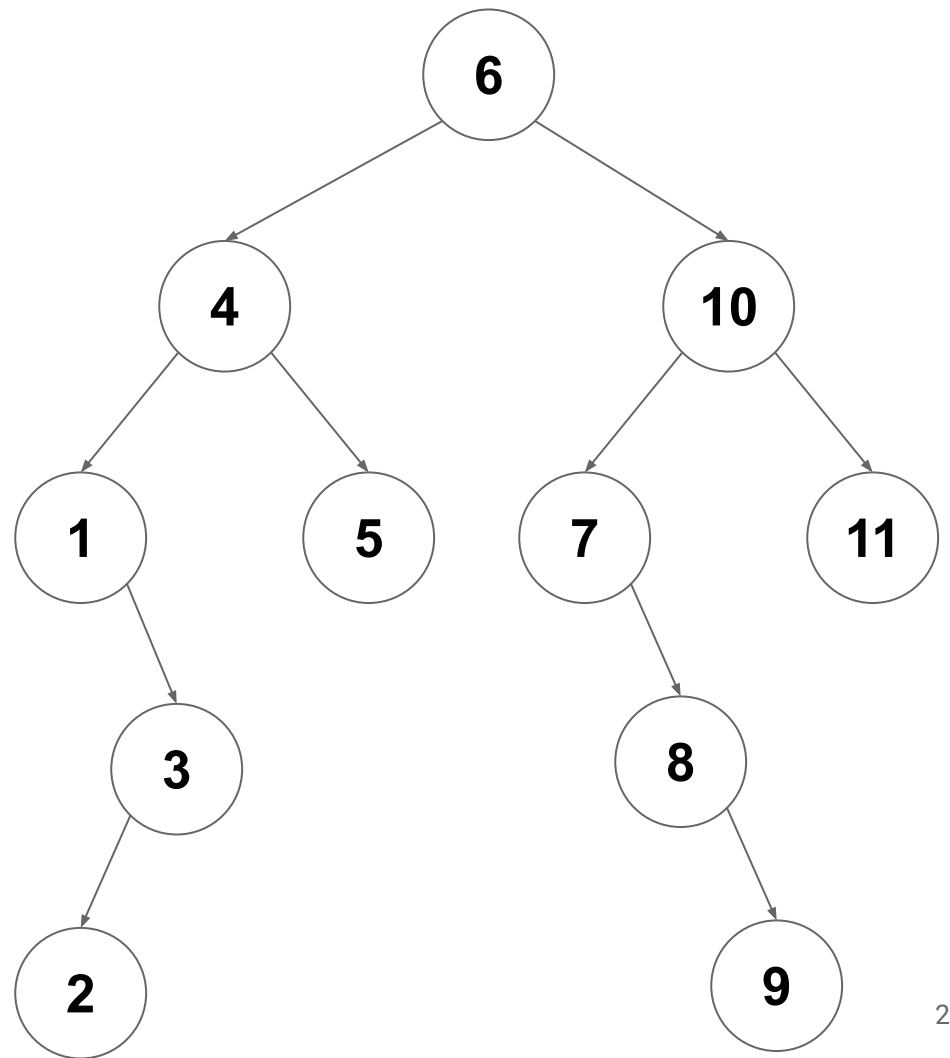
# Is this a valid BST?

Yes!

AND:

The left subtree is a BST

The right subtree is a BST



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**Goal:** Find an item with key  $k$  in a BST rooted at **root**

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2. Does **root.value** have key  $k$ ? (if yes, done!)



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4. Is  $k$  greater than **root.value**'s key? (If yes, search the right subtree)

# find

```
1 public Optional<TreeNode<T>> find(T target, Optional<TreeNode<T>> root) {  
2     if (!root.isPresent()) return Optional.empty();  
3     if (target < root.value) {  
4         return find(target, root.leftChild);  
5     } else if (target > root.value()) {  
6         return find(target, root.rightChild);  
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8         return root;  
9     }  
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```

If the current node we are looking at is empty, then the value we are looking for is not in the tree

# find

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```

Otherwise, search the BST whose root is the left child if the target is less than our current value

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8         return root;
9     }
10 }
```

... or search the BST whose root is the right child if the target is greater than our current value...

# find

```
1 public Optional<TreeNode<T>> find(T target, Optional<TreeNode<T>> root) {
2     if (!root.isPresent()) return Optional.empty();
3     if (target < root.value) {
4         return find(target, root.leftChild);
5     } else if (target > root.value()) {
6         return find(target, root.rightChild);
7     } else {
8         return root;
9     }
10 }
```

... or the target is equal to our current value so return our current node

# find

```
1 public Optional<TreeNode<T>> find(T target, Optional<TreeNode<T>> root) {  
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6         return find(target, root.rightChild);  
7     } else {  
8         return root;  
9     }  
10 }
```

*What's the complexity?*



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```

*What's the complexity? (how many times do we call **find**)?*

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1 public Optional<TreeNode<T>> find(T target, Optional<TreeNode<T>> root) {
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7     } else {
8         return root;
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10 } What's the complexity? (how many times do we call find)?  $O(d)$ 
```

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4. Is  $k$  greater than **root.value**'s key? (call insert on right subtree)

```
1 public TreeNode<T> insert(T target, TreeNode<T> root) {
2     if (target < root.value) {
3         if (root.leftChild.isPresent()) {
4             return insert(target, root.leftChild.get());
5         } else {
6             root.leftChild = Optional.of(new TreeNode<>(target));
7             return root.leftChild.get();
8         }
9     } else if (target > root.value) {
10        if (root.rightChild.isPresent()) {
11            return insert(target, root.rightChild.get());
12        } else {
13            root.rightChild = Optional.of(new TreeNode<>(target));
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```

If the target is smaller than our current root and the left subtree exists, insert into the left subtree

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```

If the target is smaller than our current root and the left subtree does not exist, insert the new node as the left subtree

```
1 public TreeNode<T> insert(T target, TreeNode<T> root) {
2     if (target < root.value) {
3         if (root.leftChild.isPresent()) {
4             return insert(target, root.leftChild.get());
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```

Repeat the same logic for the right tree

```
1 public TreeNode<T> insert(T target, TreeNode<T> root) {
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```

Otherwise the value already exists so don't insert

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**Goal:** Insert a new item with key  $k$  in a BST rooted at **root**

1. Is **root** empty? (insert here)
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*What is the complexity?*

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*What is the complexity?  $O(d)$*

# Remove

**Goal:** Remove the item with key  $k$  from a BST rooted at  $root$

1. **find** the item
2. Replace the found node with the right subtree
3. Insert the left subtree under the right

*We'll look at this in more detail later, but for now...*

*What's the complexity?*

# Remove

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*We'll look at this in more detail later, but for now...*

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# BST Operations

Operation	Runtime
find	$O(d)$
insert	$O(d)$
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*What about the lower bound?*

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*Can we do better?*

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*What about the lower bound?  $\Omega(\log(n))$*

*Can we do better? **TBD...***

# The Set ADT

**void add(T element)**

Store one copy of **element** if not already present

**boolean contains(T element)**

Return true if **element** is present in the set

**boolean remove(T element)**

Remove **element** if present, or return false if not



# The Set ADT

**void add(T element)** → **BST.insert(...)** in  $O(n)$

Store one copy of **element** if not already present

**boolean contains(T element)** → **BST.find(...)** in  $O(n)$

Return true if **element** is present in the set

**boolean remove(T element)** → **BST.remove(...)** in  $O(n)$

Remove **element** if present, or return false if not

# Sets and Bags

**So we could use this specification of a BST to implement a Set**

*What about bags? How could we change our BST to implement a Bag?*

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**Idea 1:** Allow multiple copies ( $X_L \leq X$  instead of  $<$ )

# Sets and Bags

**So we could use this specification of a BST to implement a Set**

*What about bags? How could we change our BST to implement a Bag?*

**Idea 1:** Allow multiple copies ( $X_L \leq X$  instead of  $<$ )

**Idea 2:** Only store one copy of each element, but also store a count

# Collection ADTs

Property	Sequence	List	Set	Bag
Explicit Order	✓	✓		
Enforced Uniqueness			✓	
Fixed Size	✓			
Iterable	✓	✓	✓	✓

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<b>Iterable</b>	✓	✓	✓	✓

# Tree Traversals

**Goal:** Visit every element of a tree (in linear time?)

## **Pre-Order (top-down)**

Visit the **root**, then the **left** subtree, then the **right** subtree

## **In-Order**

Visit the **left** subtree, then the **root**, then the **right** subtree

## **Post-Order (bottom-up)**

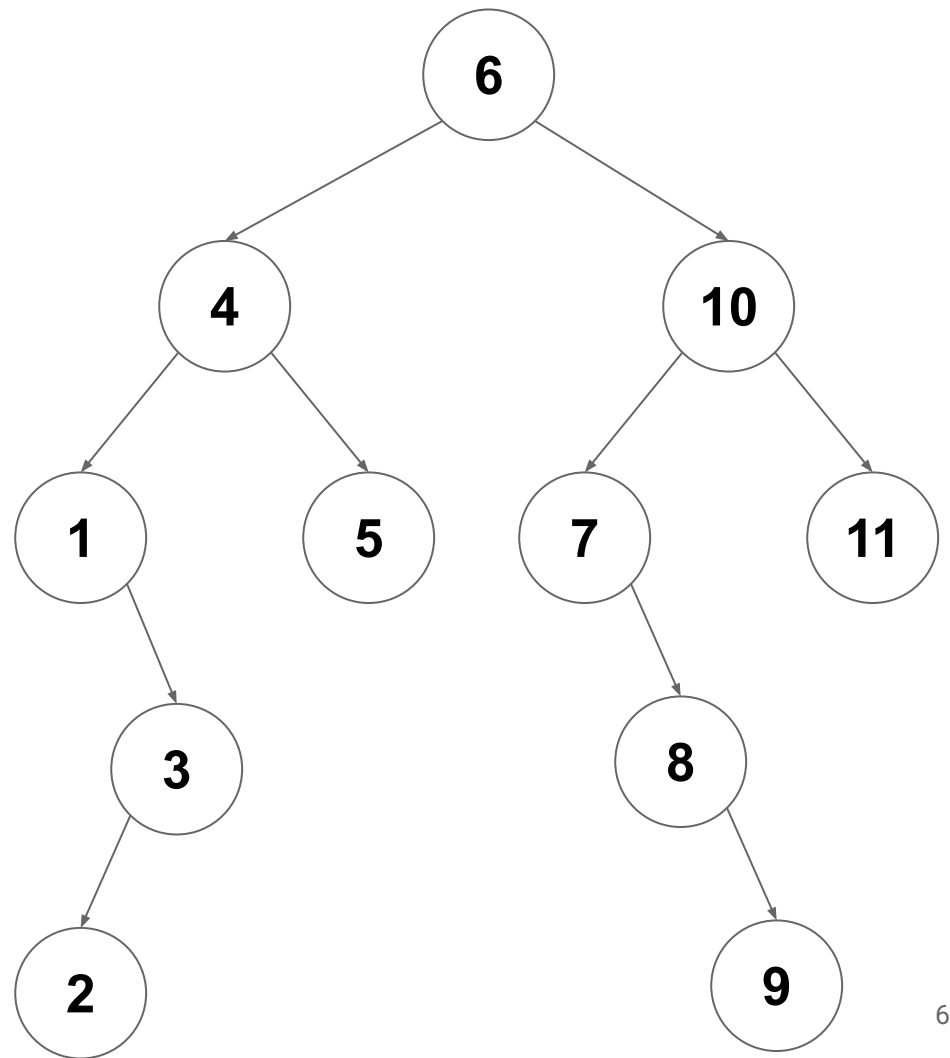
Visit the **left** subtree, then the **right** subtree, then the **root**

# Tree Traversal: In-Order

```
1 void inOrderVisit(Optional<TreeNode<T>> root, Visitor v) {  
2     if (root.isPresent()) {  
3         inOrderVisit(root.get().leftChild, v);  
4         v.visit(root.get().value);  
5         inOrderVisit(root.get().rightChild, v);  
6     }  
7 }
```

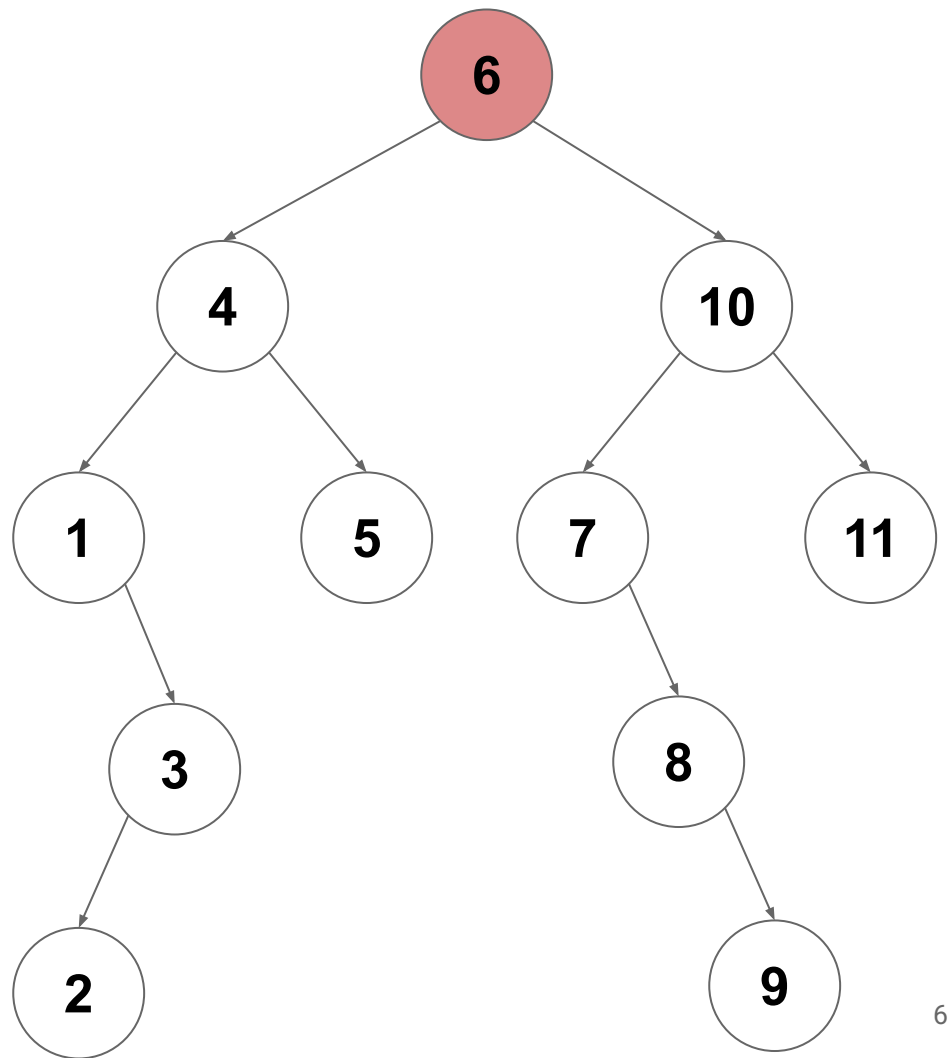


# In-Order Traversal on a BST



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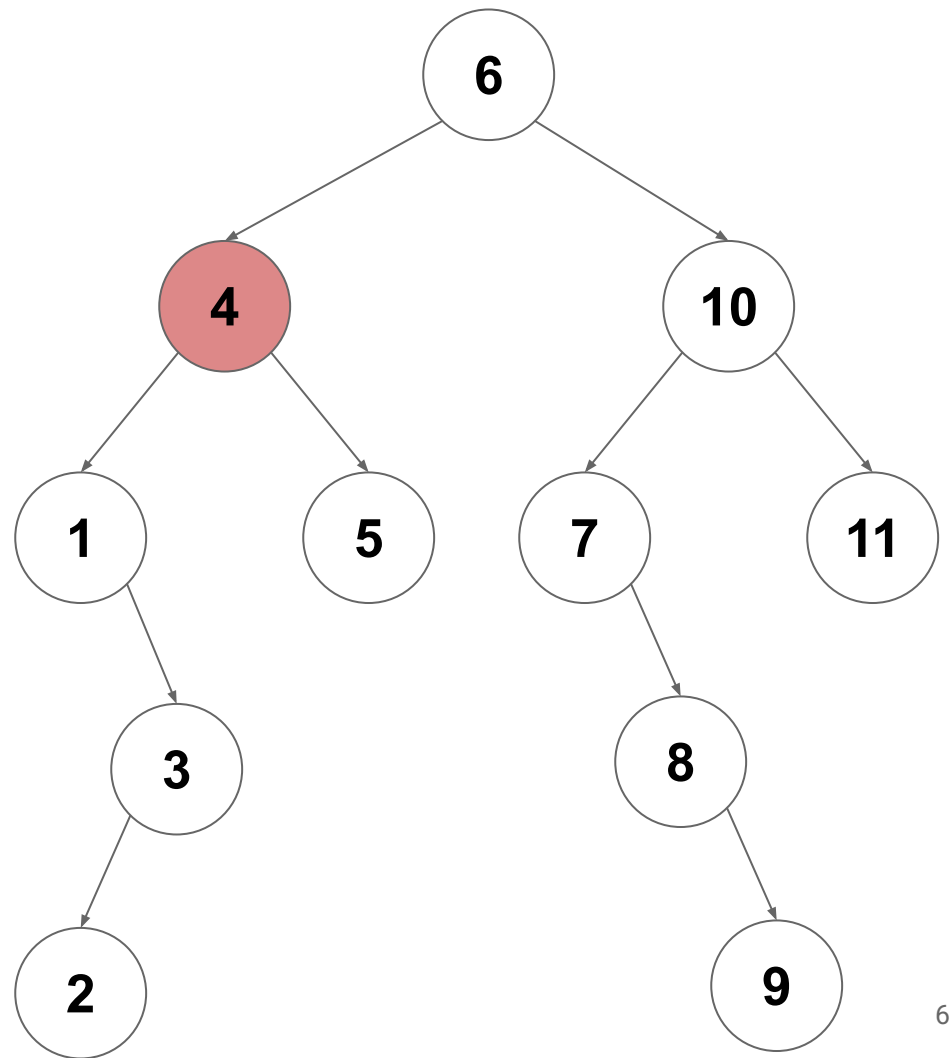
`inorderVisit(6)`



# In-Order Traversal on a BST

`inorderVisit(6)`

`inorderVisit(4)`

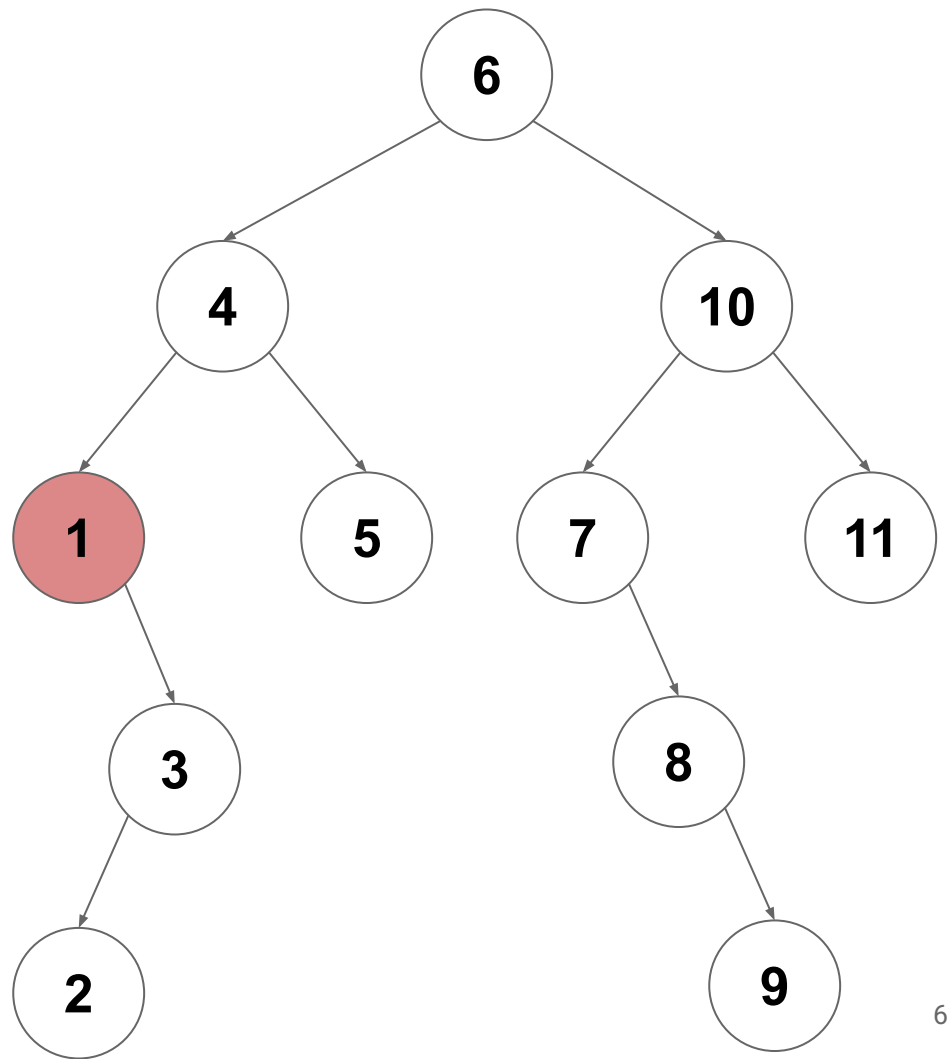


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`inorderVisit(6)`

`inorderVisit(4)`

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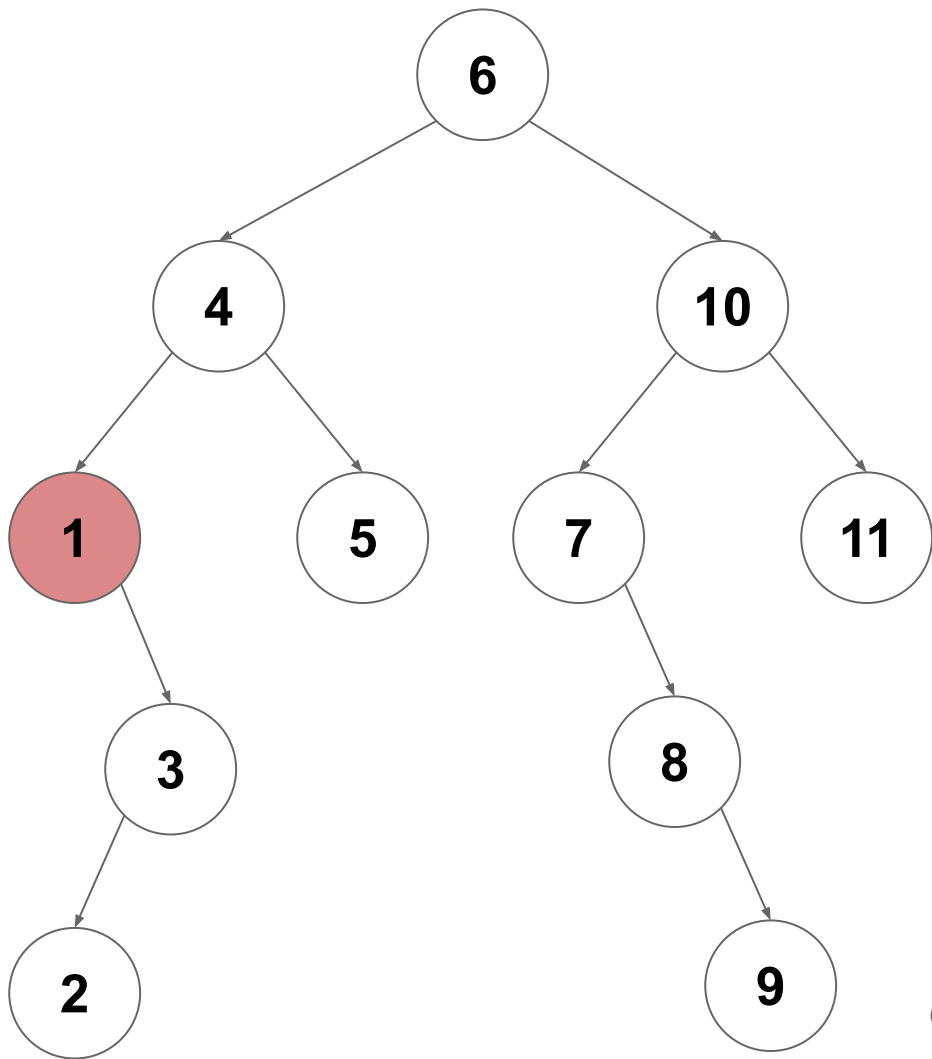
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`inorderVisit(6)`

`inorderVisit(4)`

`inorderVisit(1)`

`inorderVisit(empty)`



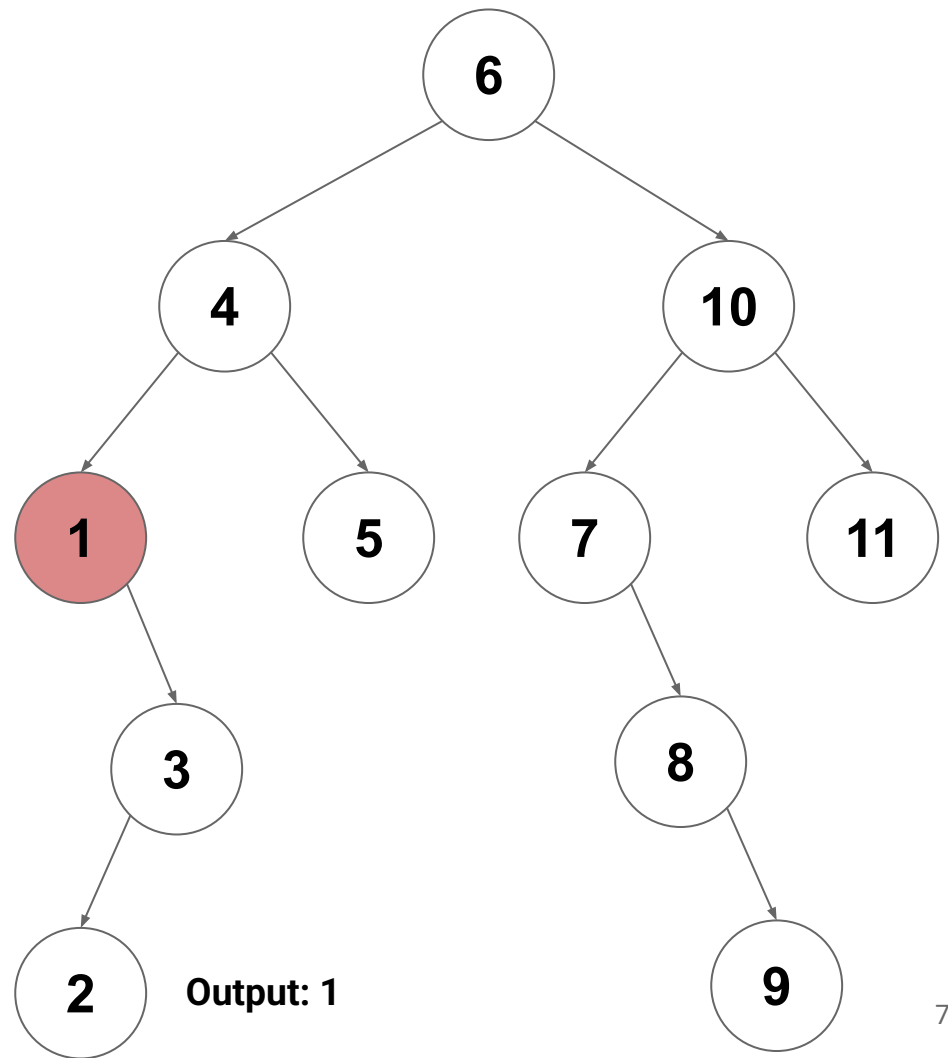
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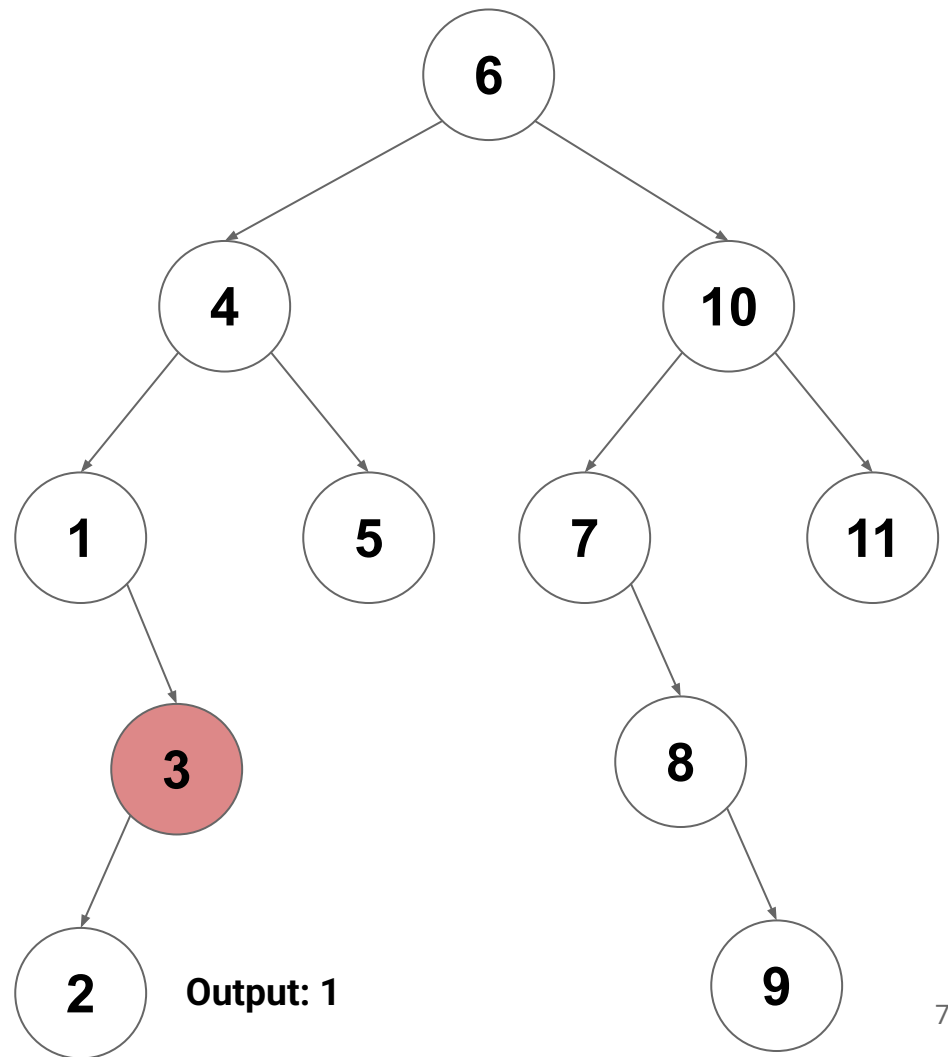
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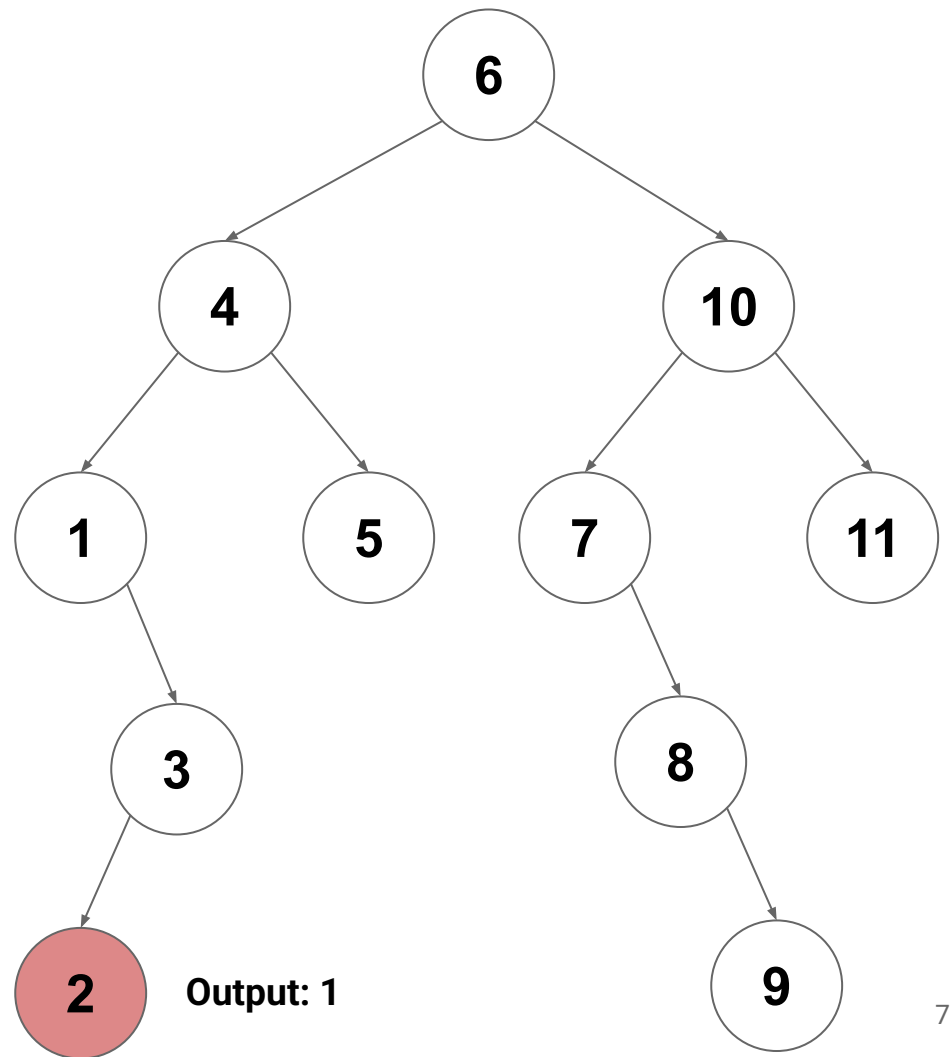
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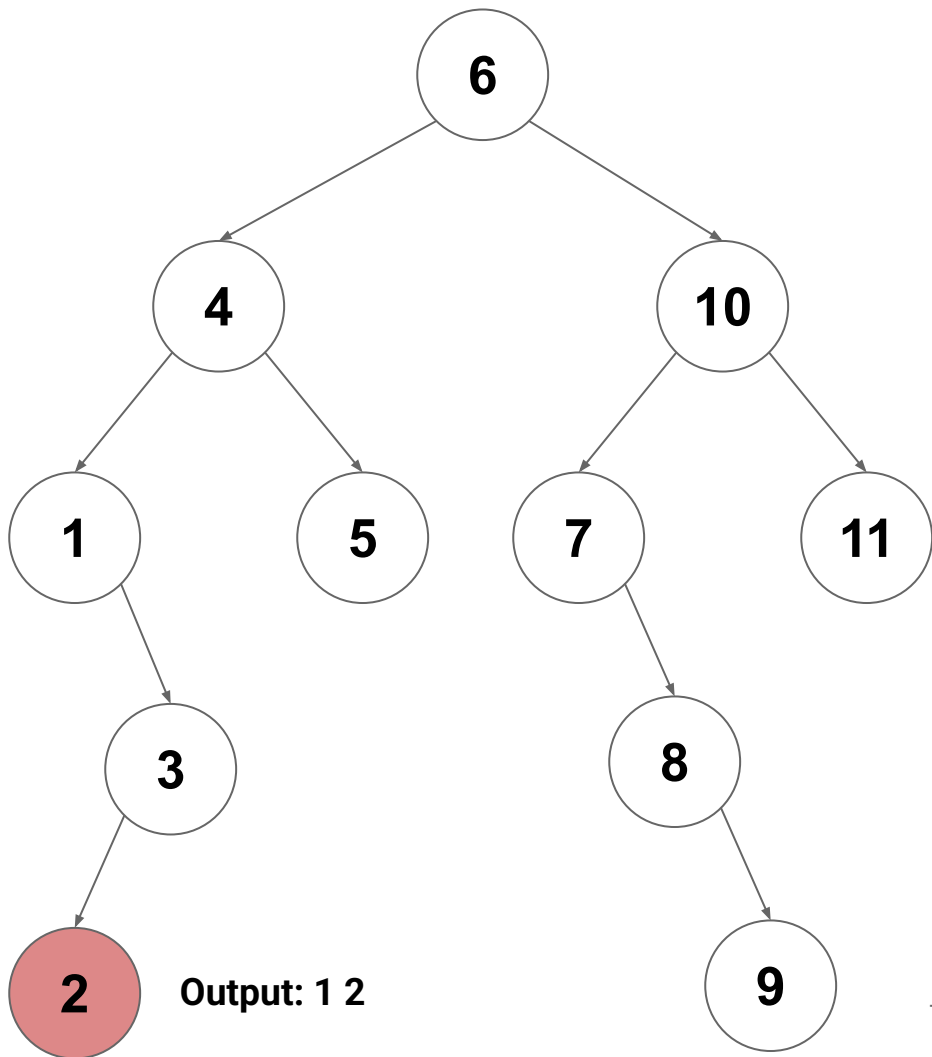
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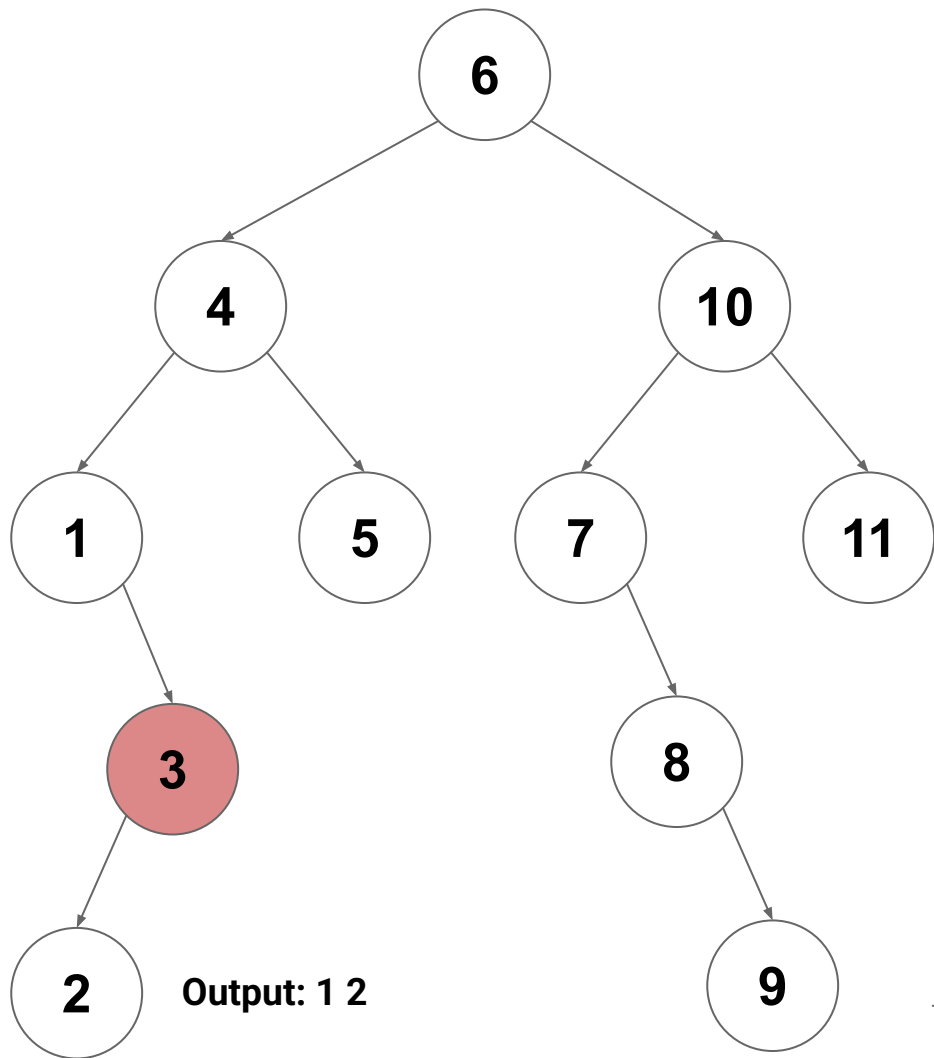
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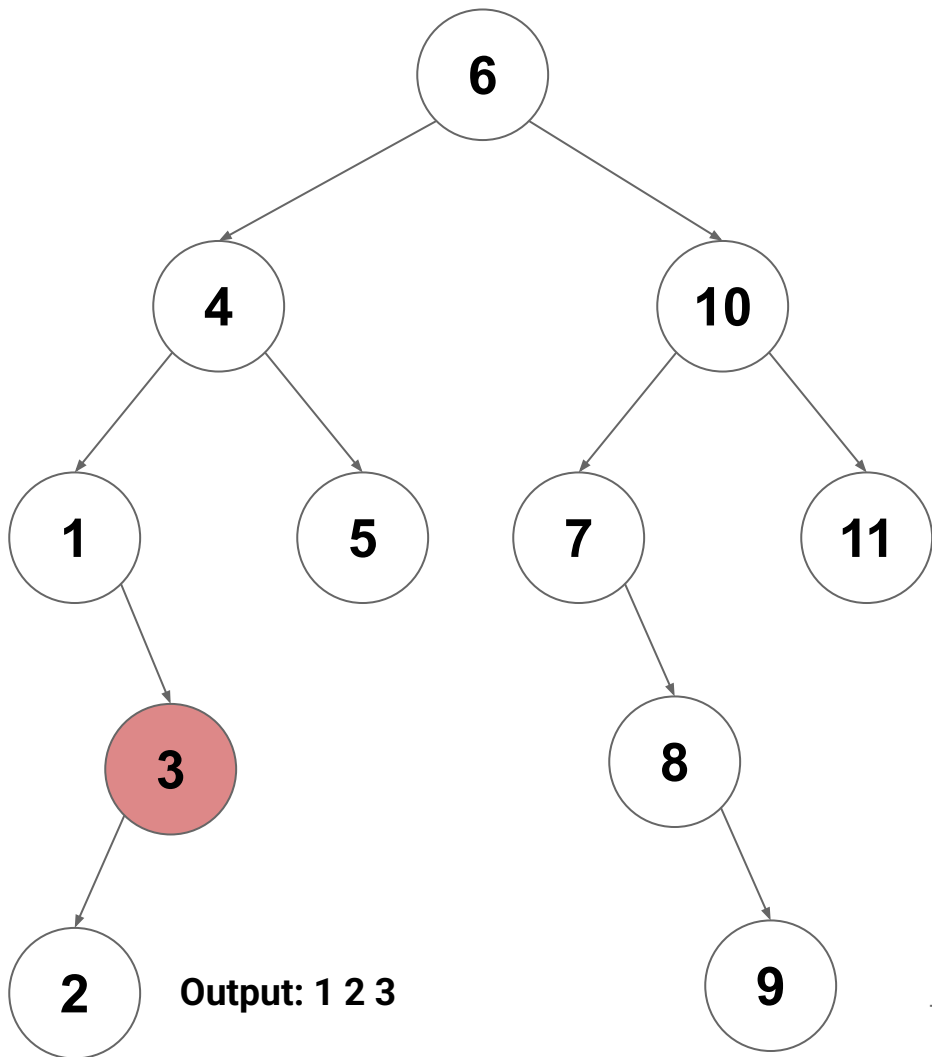
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`inorderVisit(1)`

`visit(3)`

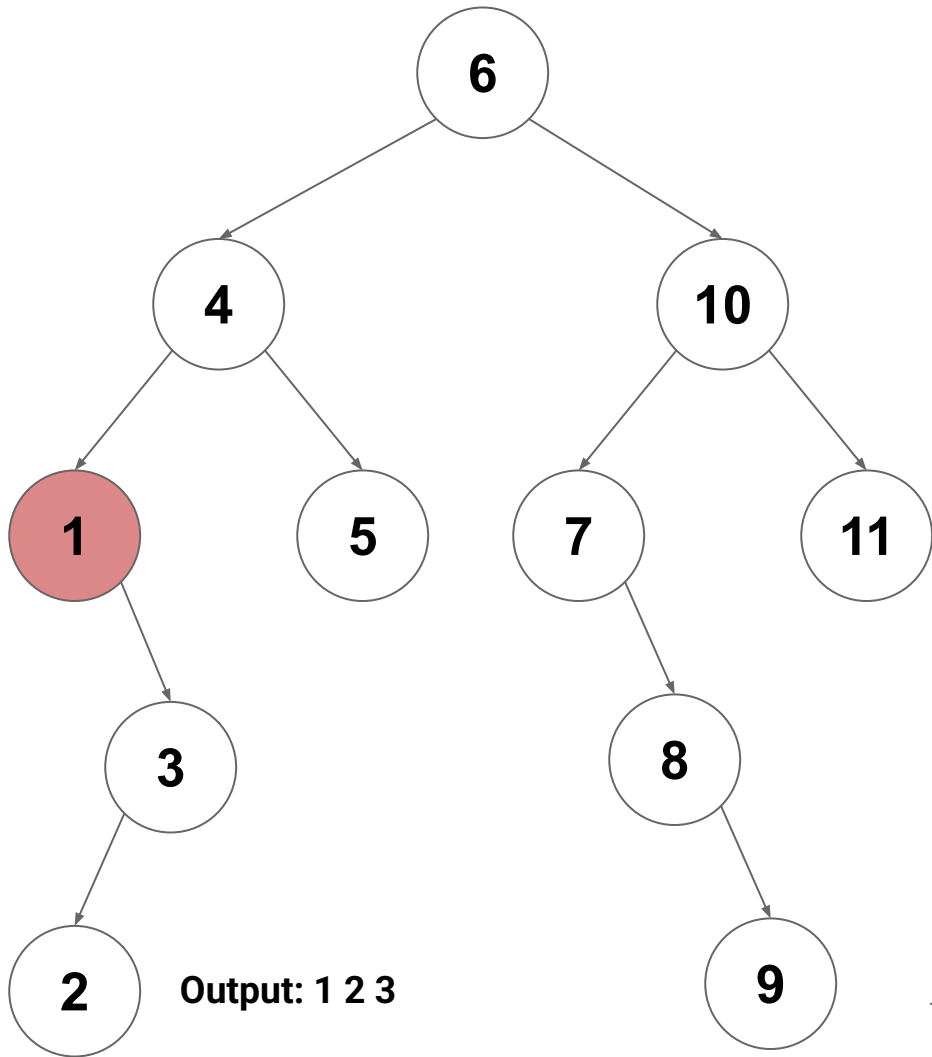


# In-Order Traversal on a BST

`inorderVisit(6)`

`inorderVisit(4)`

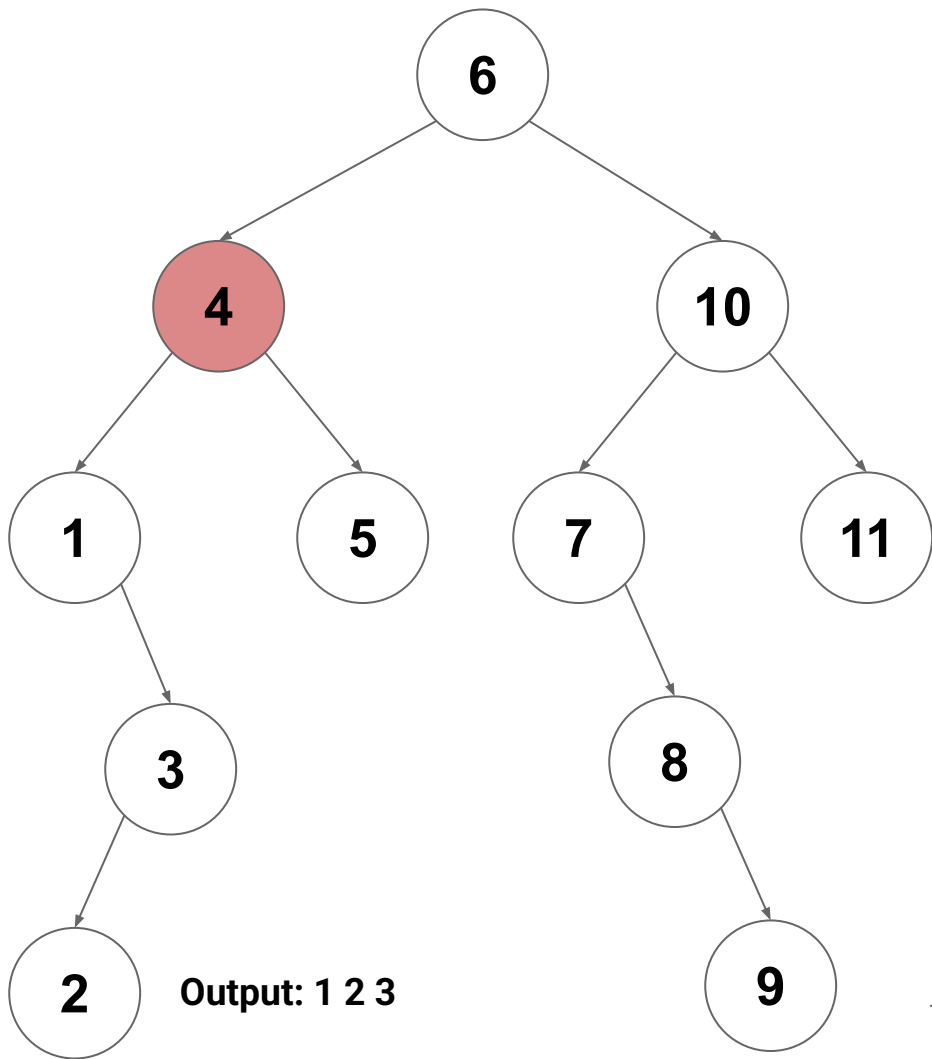
`inorderVisit(1)`



# In-Order Traversal on a BST

`inorderVisit(6)`

`inorderVisit(4)`

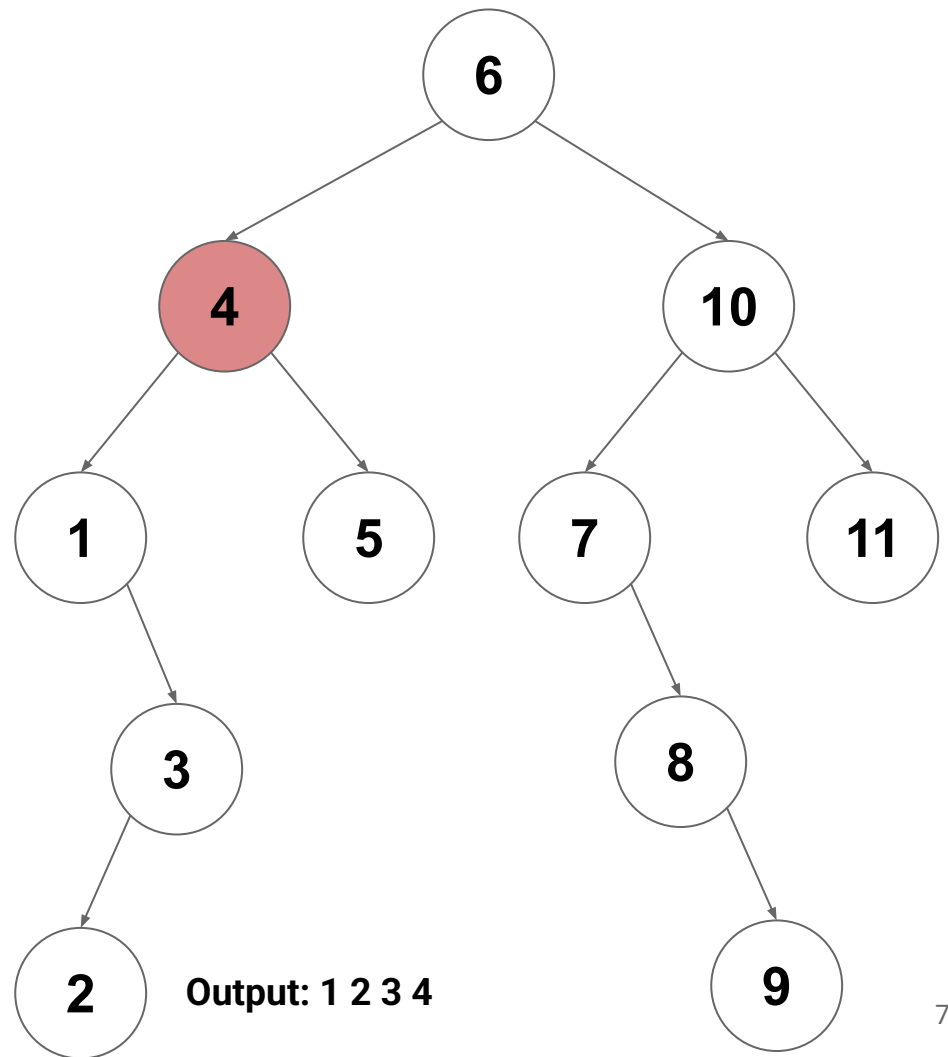


# In-Order Traversal on a BST

`inorderVisit(6)`

`inorderVisit(4)`

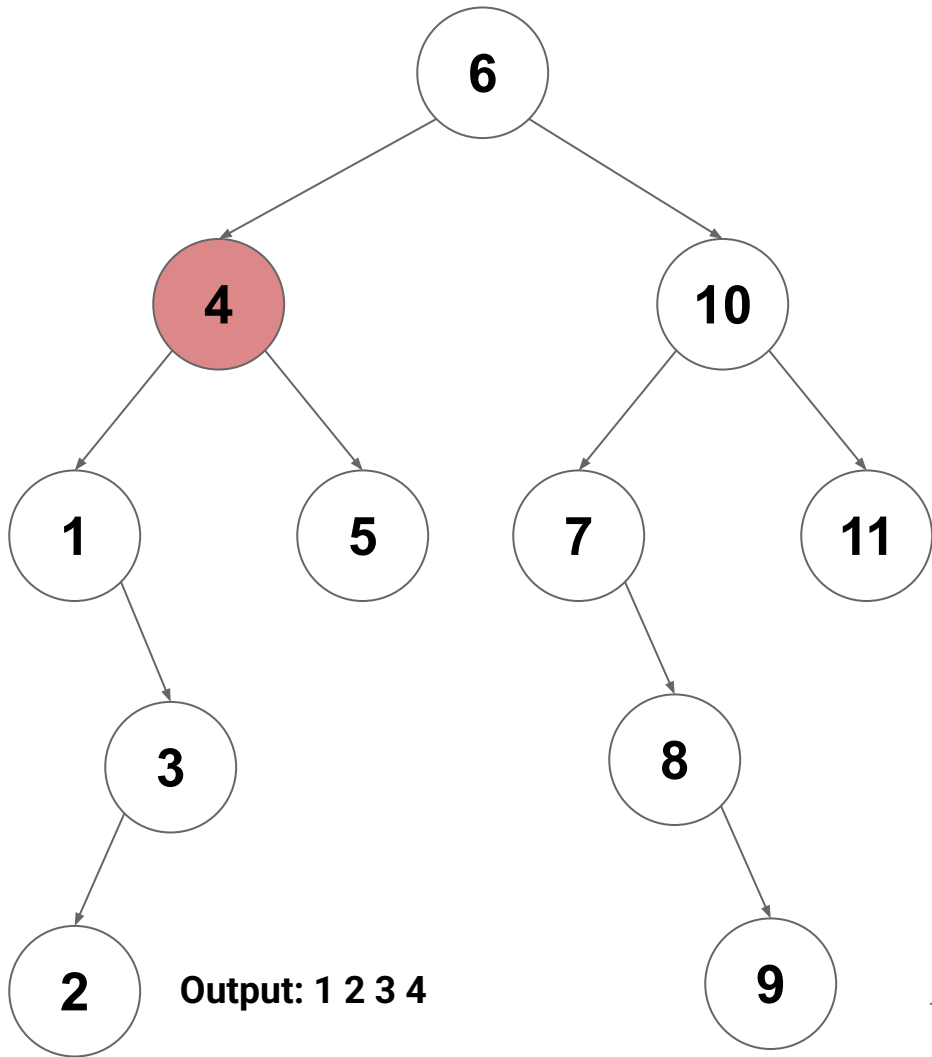
`visit(4)`



# In-Order Traversal on a BST

`inorderVisit(6)`

`inorderVisit(4)`

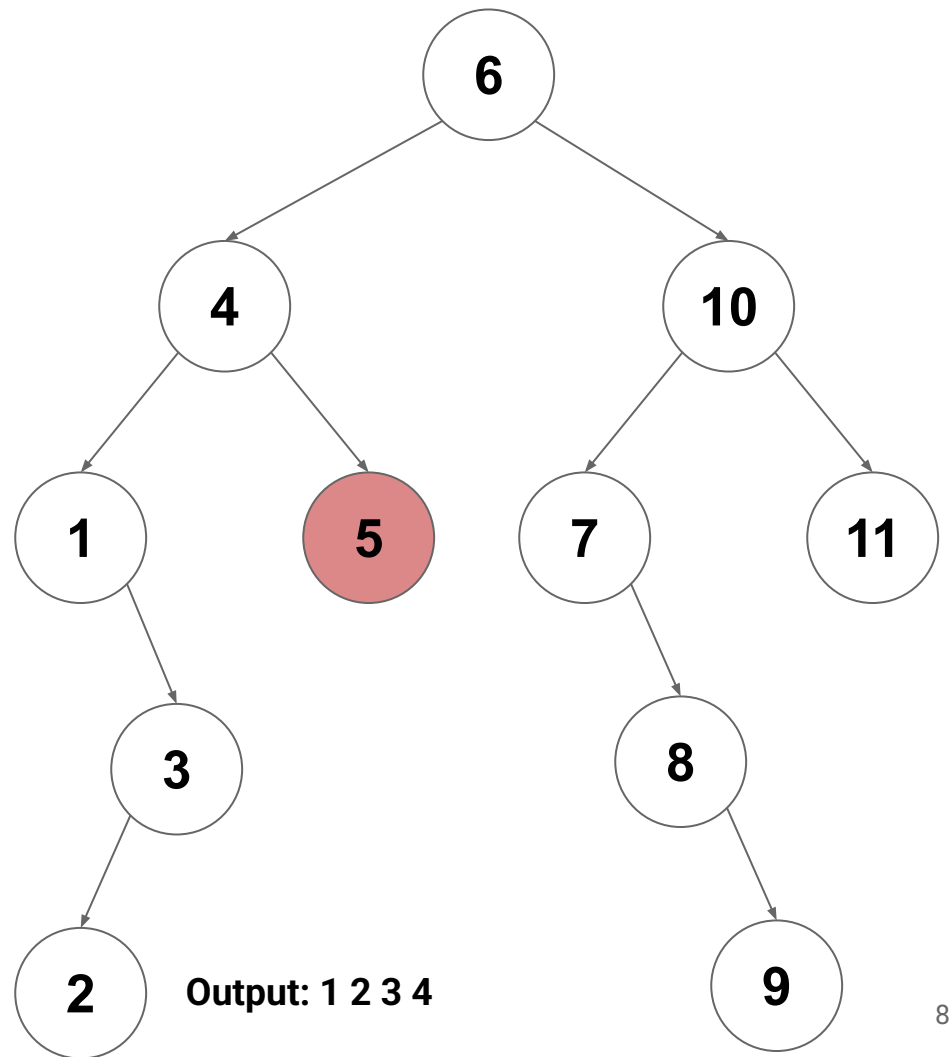


# In-Order Traversal on a BST

`inorderVisit(6)`

`inorderVisit(4)`

`inorderVisit(5)`



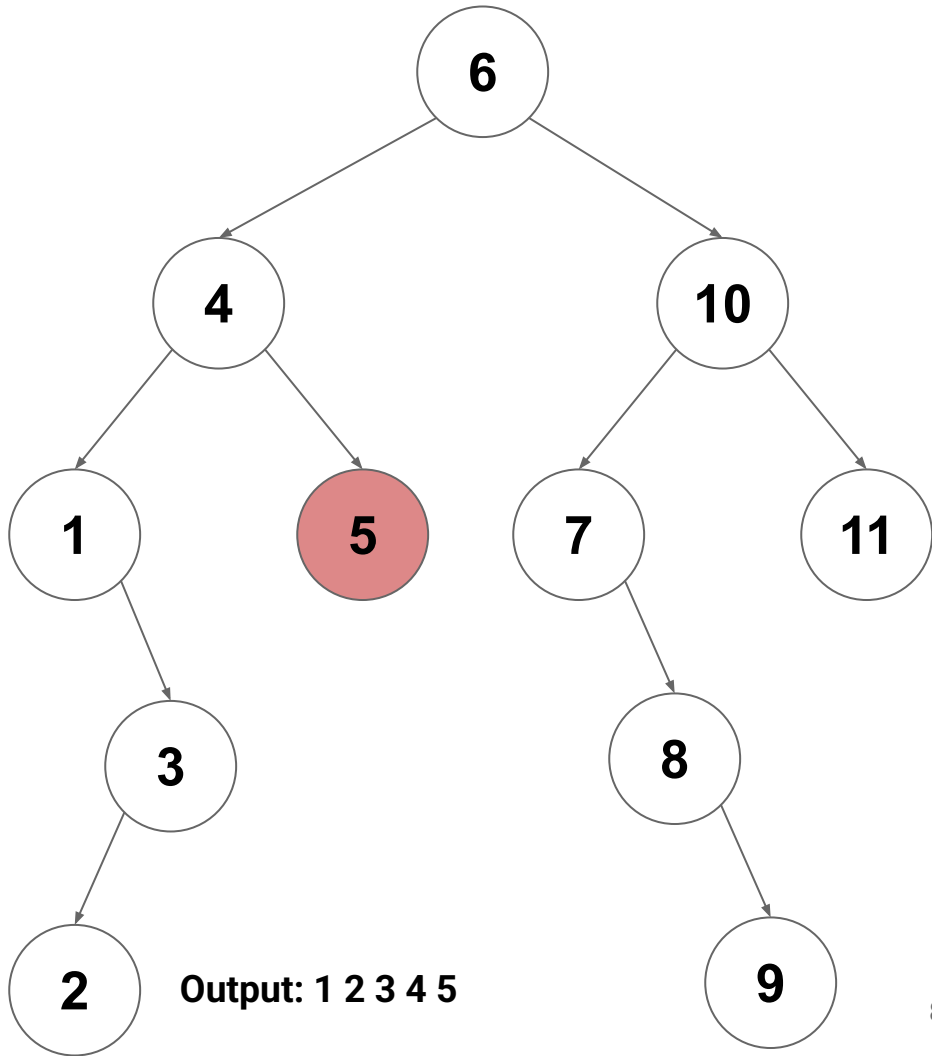


# In-Order Traversal on a BST

`inorderVisit(6)`

`inorderVisit(4)`

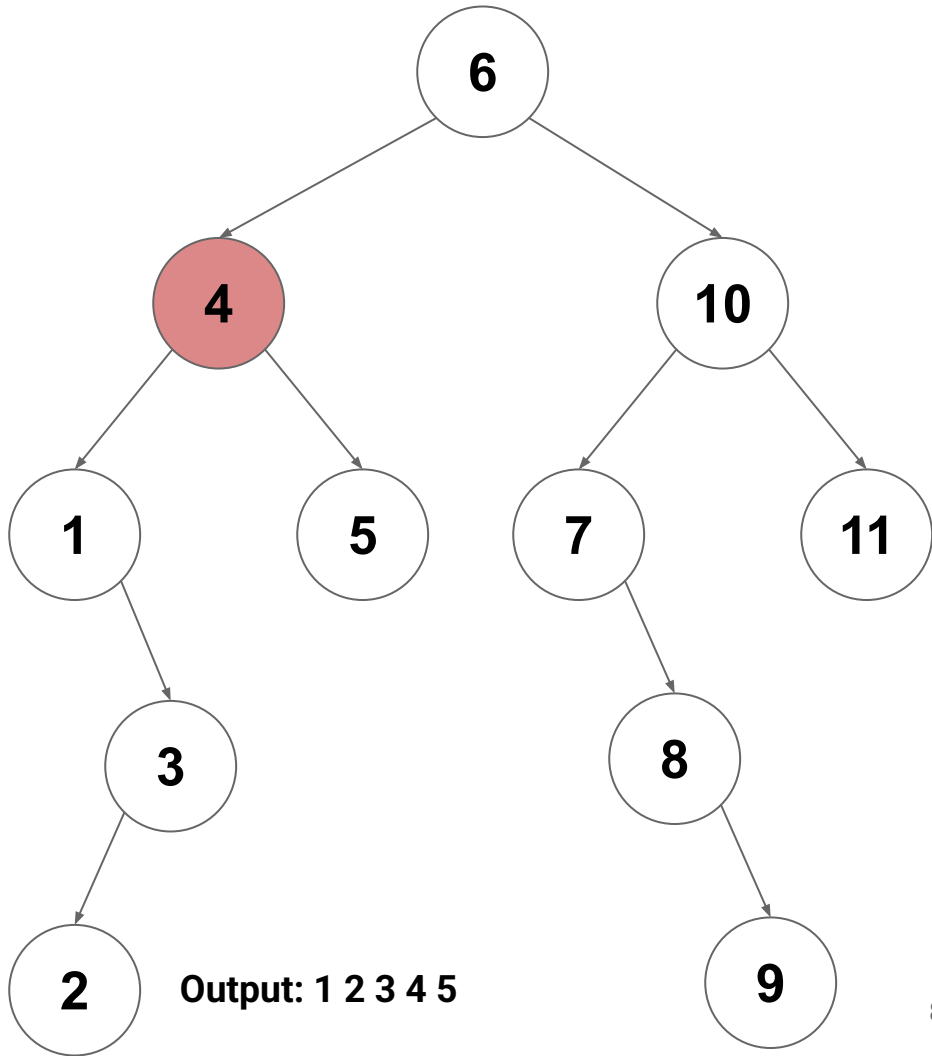
`visit(5)`



# In-Order Traversal on a BST

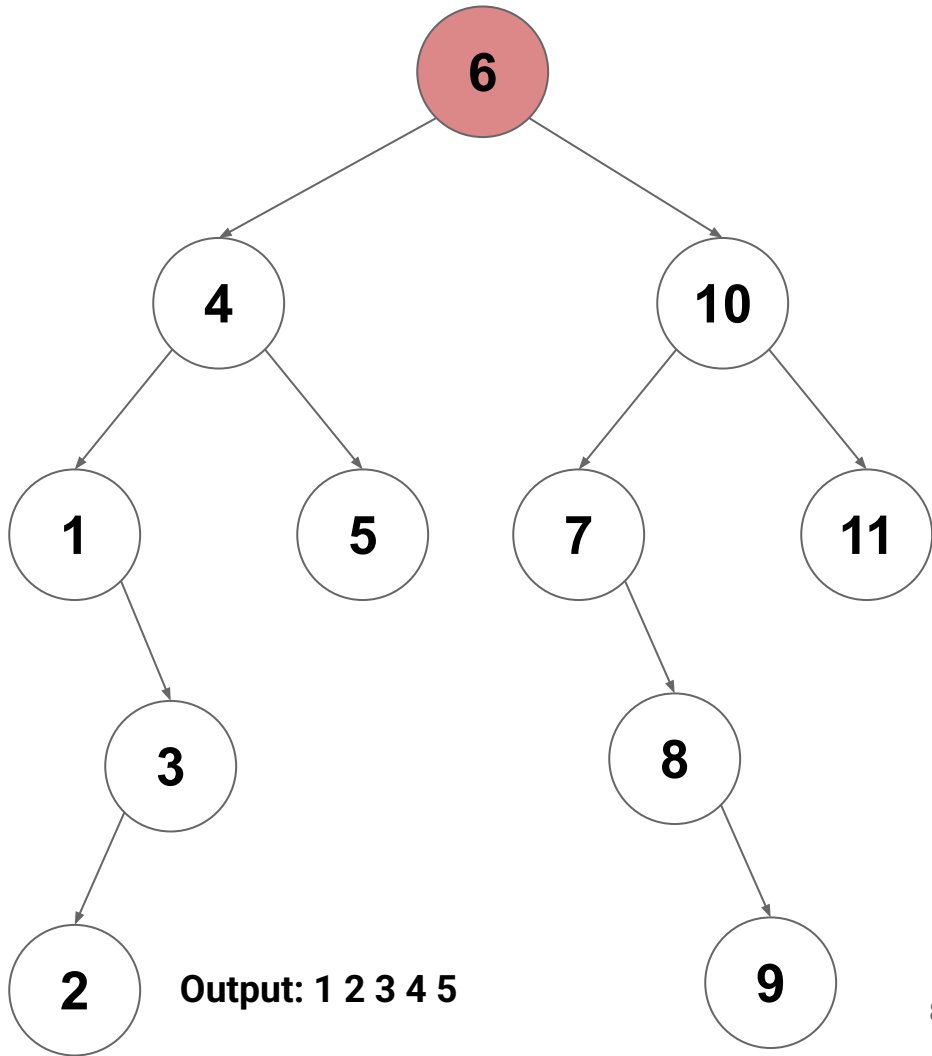
`inorderVisit(6)`

`inorderVisit(4)`



# In-Order Traversal on a BST

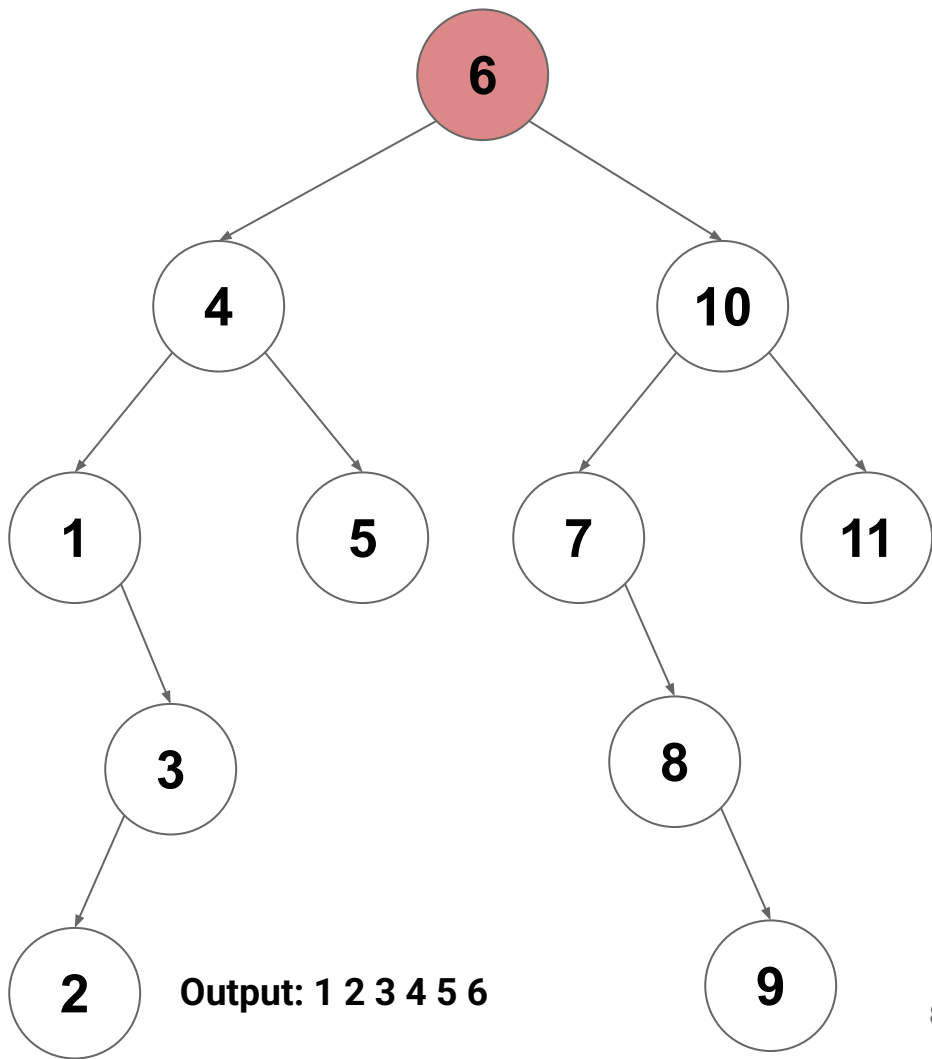
`inorderVisit(6)`



# In-Order Traversal on a BST

`inorderVisit(6)`

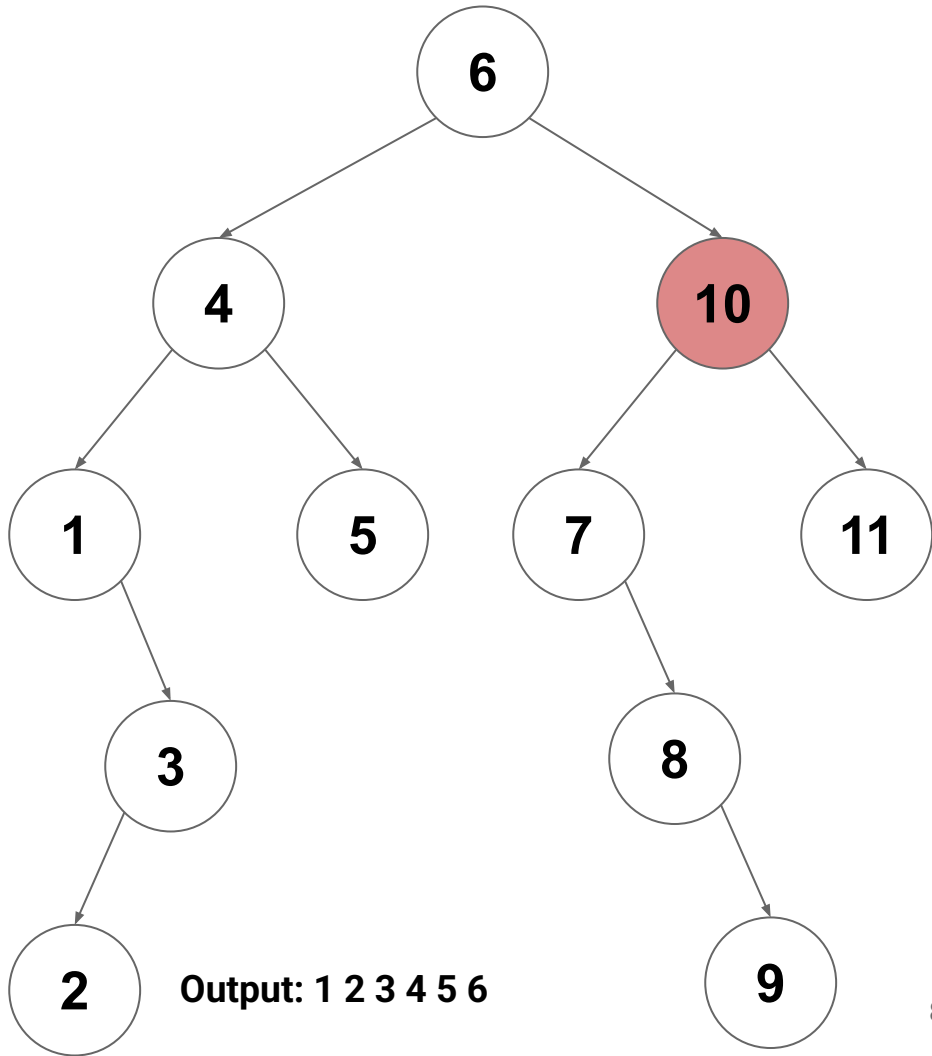
`visit(6)`



# In-Order Traversal on a BST

`inorderVisit(6)`

`inorderVisit(10)`

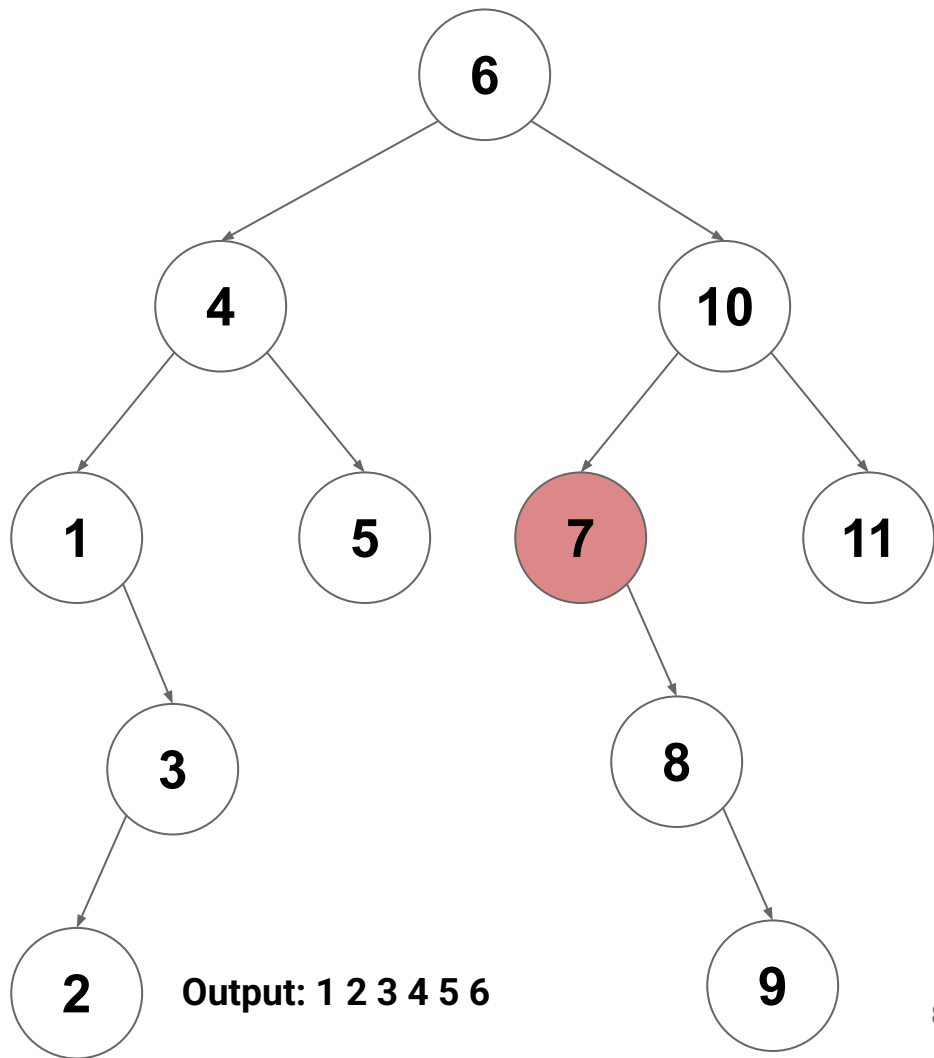


# In-Order Traversal on a BST

`inorderVisit(6)`

`inorderVisit(10)`

`inorderVisit(7)`



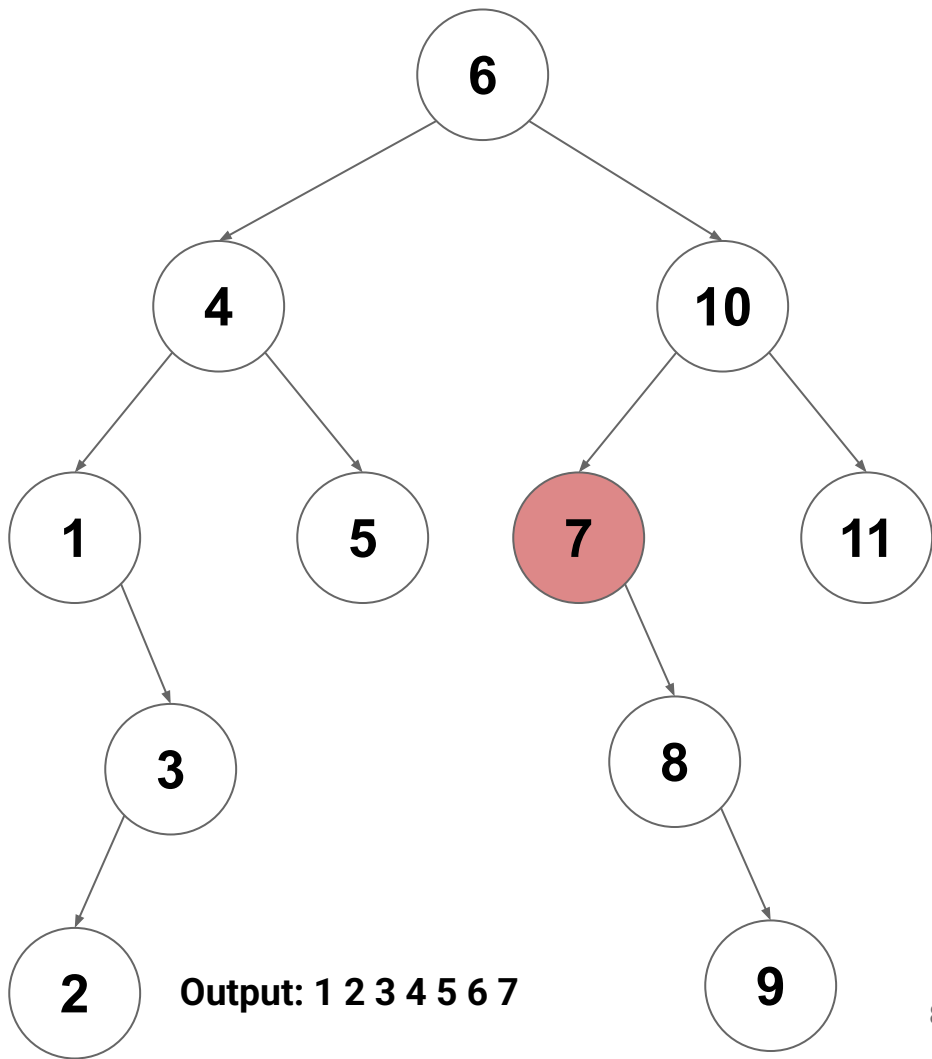
# In-Order Traversal on a BST

`inorderVisit(6)`

`inorderVisit(10)`

`inorderVisit(7)`

`visit(7)`



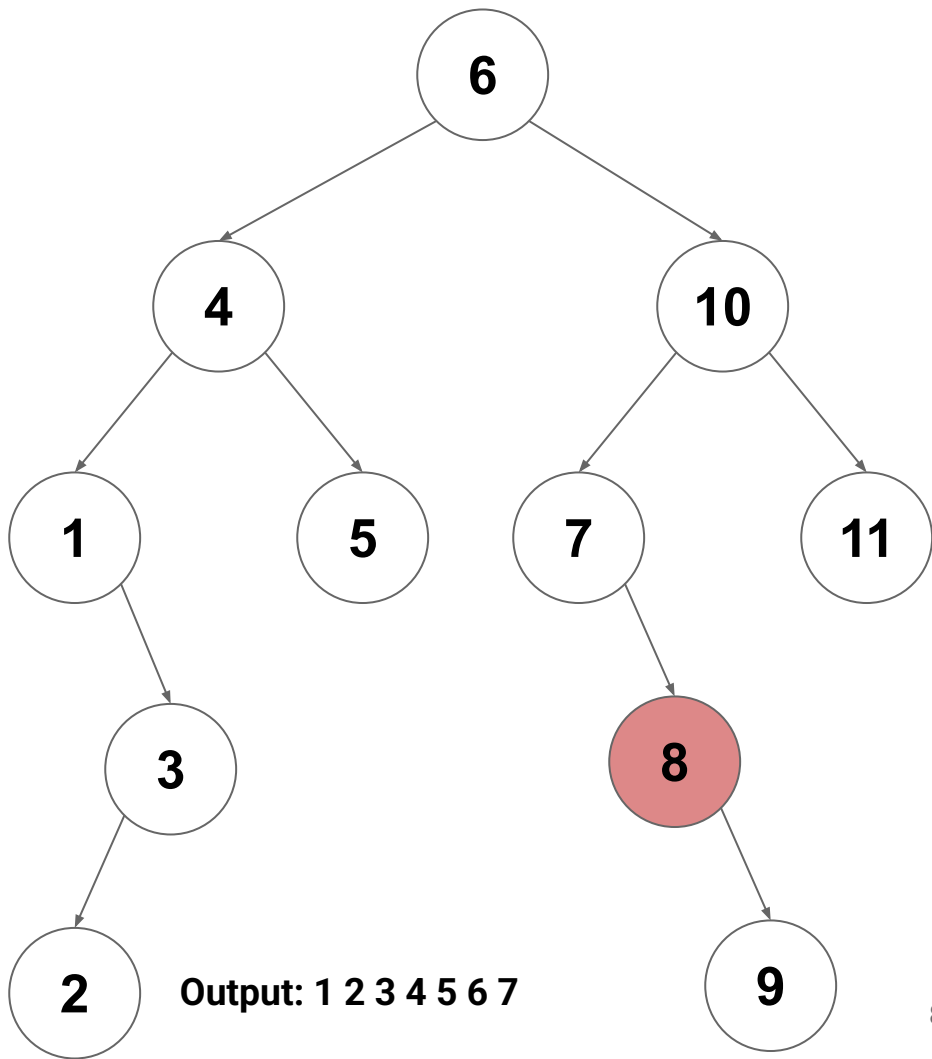
# In-Order Traversal on a BST

`inorderVisit(6)`

`inorderVisit(10)`

`inorderVisit(7)`

`inorderVisit(8)`





# In-Order Traversal on a BST

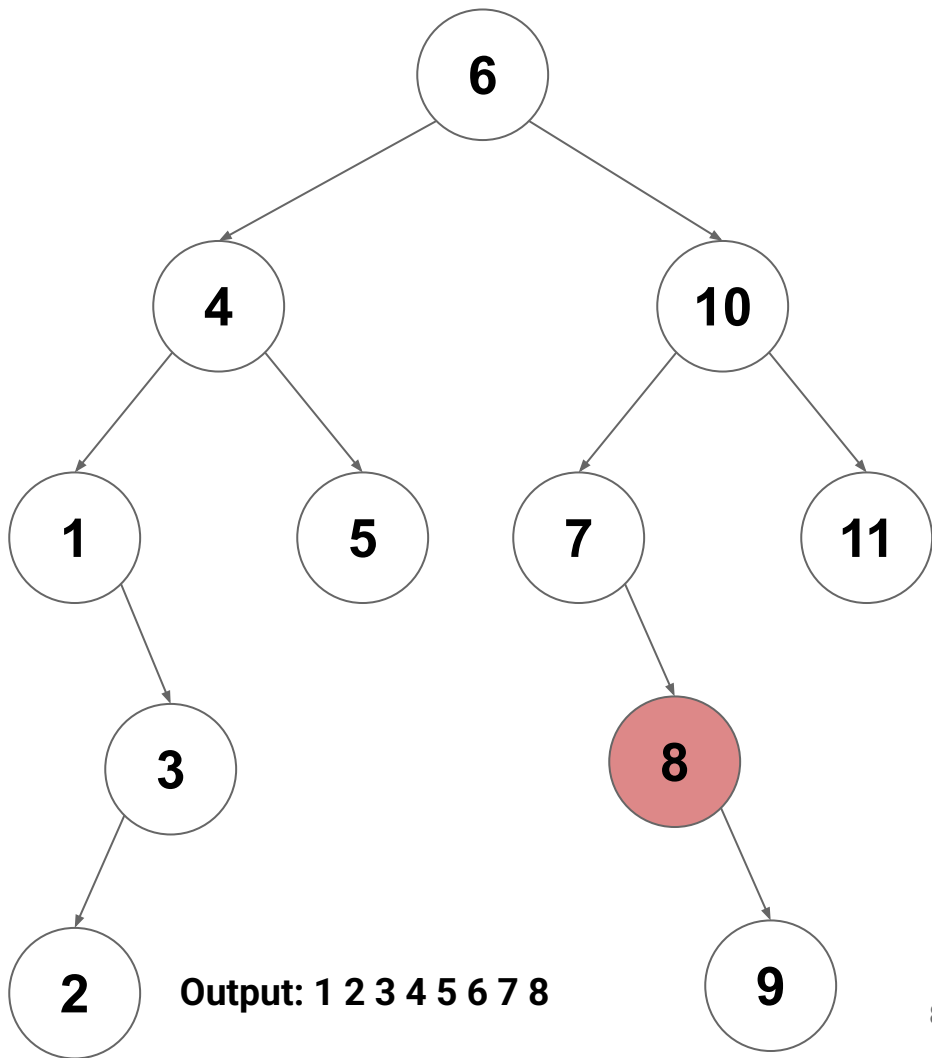
`inorderVisit(6)`

`inorderVisit(10)`

`inorderVisit(7)`

`inorderVisit(8)`

`visit(8)`



# In-Order Traversal on a BST

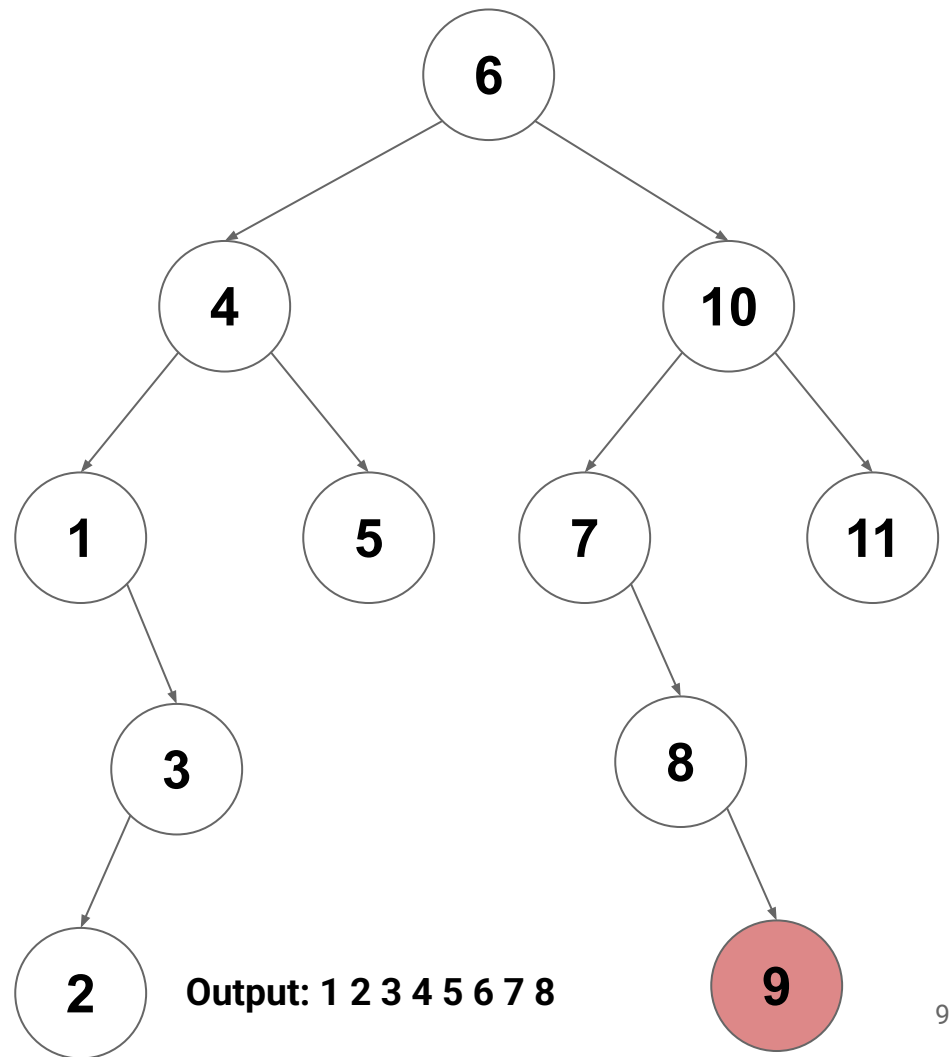
`inorderVisit(6)`

`inorderVisit(10)`

`inorderVisit(7)`

`inorderVisit(8)`

`inorderVisit(9)`



# In-Order Traversal on a BST

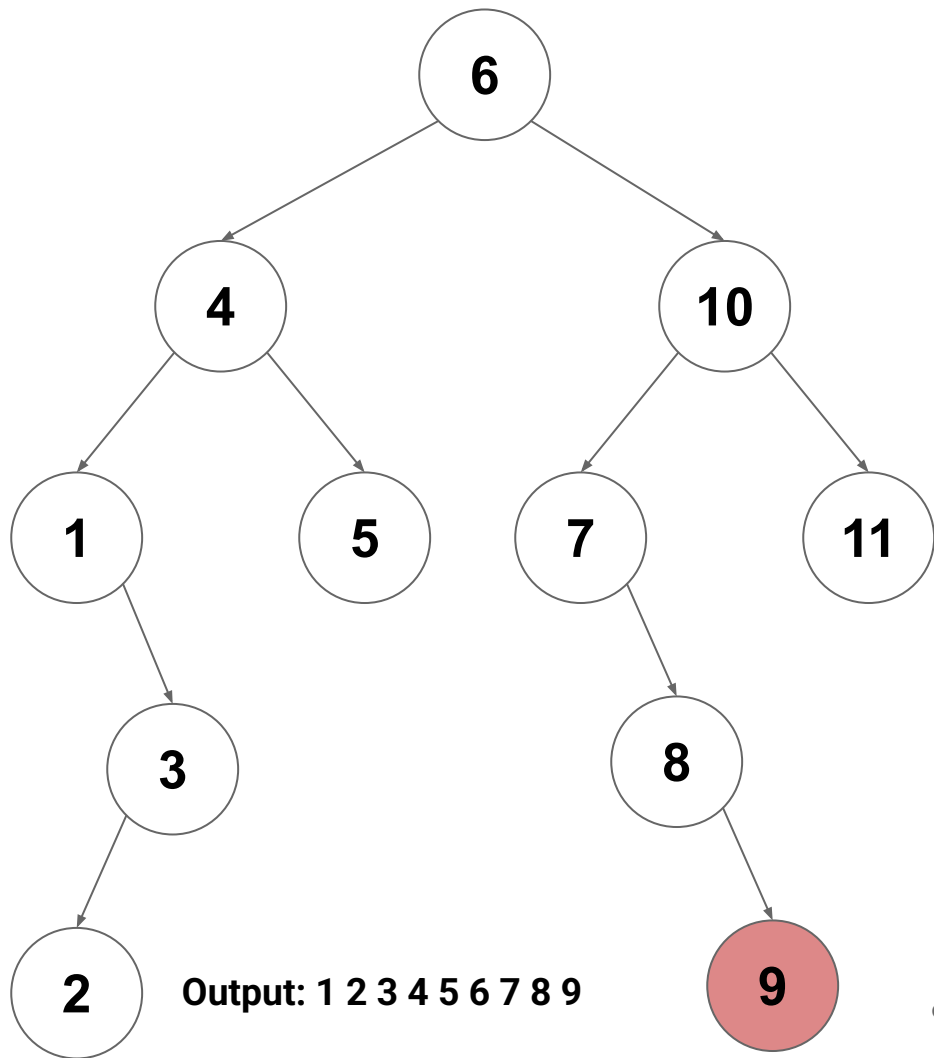
`inorderVisit(6)`

`inorderVisit(10)`

`inorderVisit(7)`

`inorderVisit(8)`

`visit(9)`



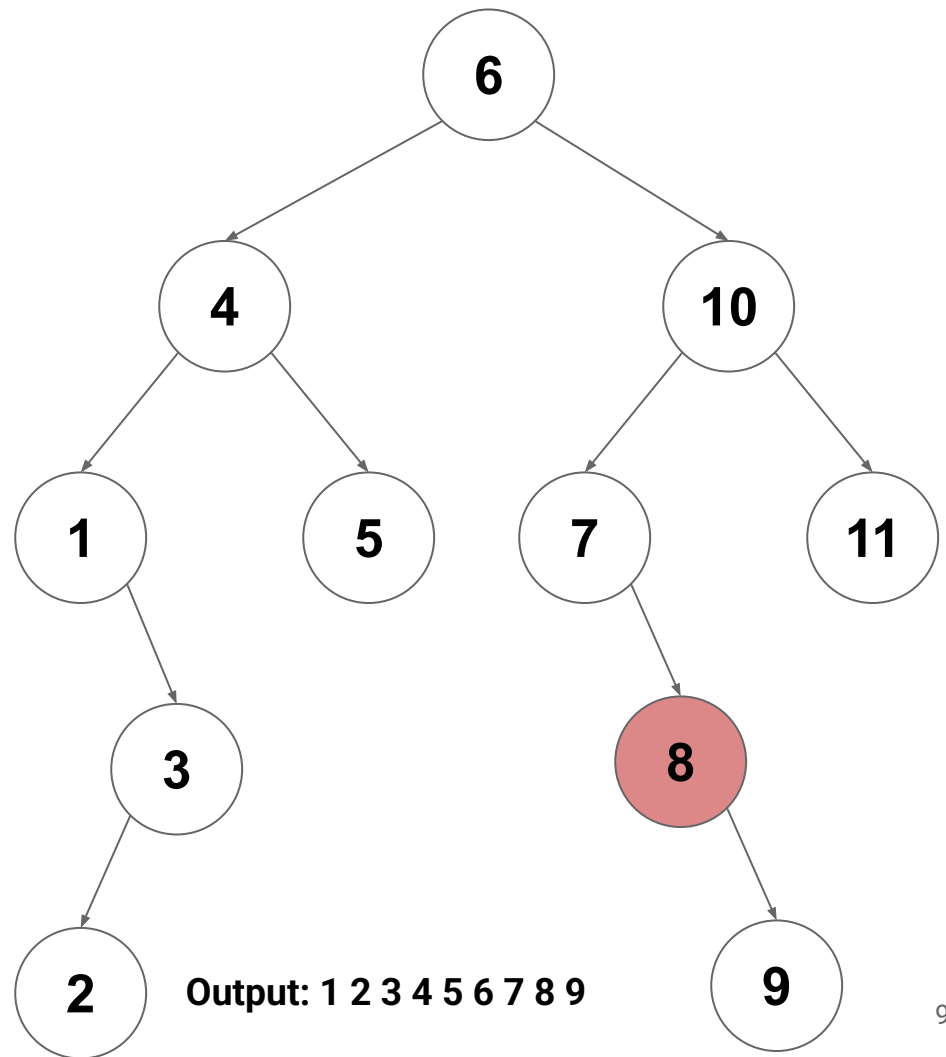
# In-Order Traversal on a BST

`inorderVisit(6)`

`inorderVisit(10)`

`inorderVisit(7)`

`inorderVisit(8)`

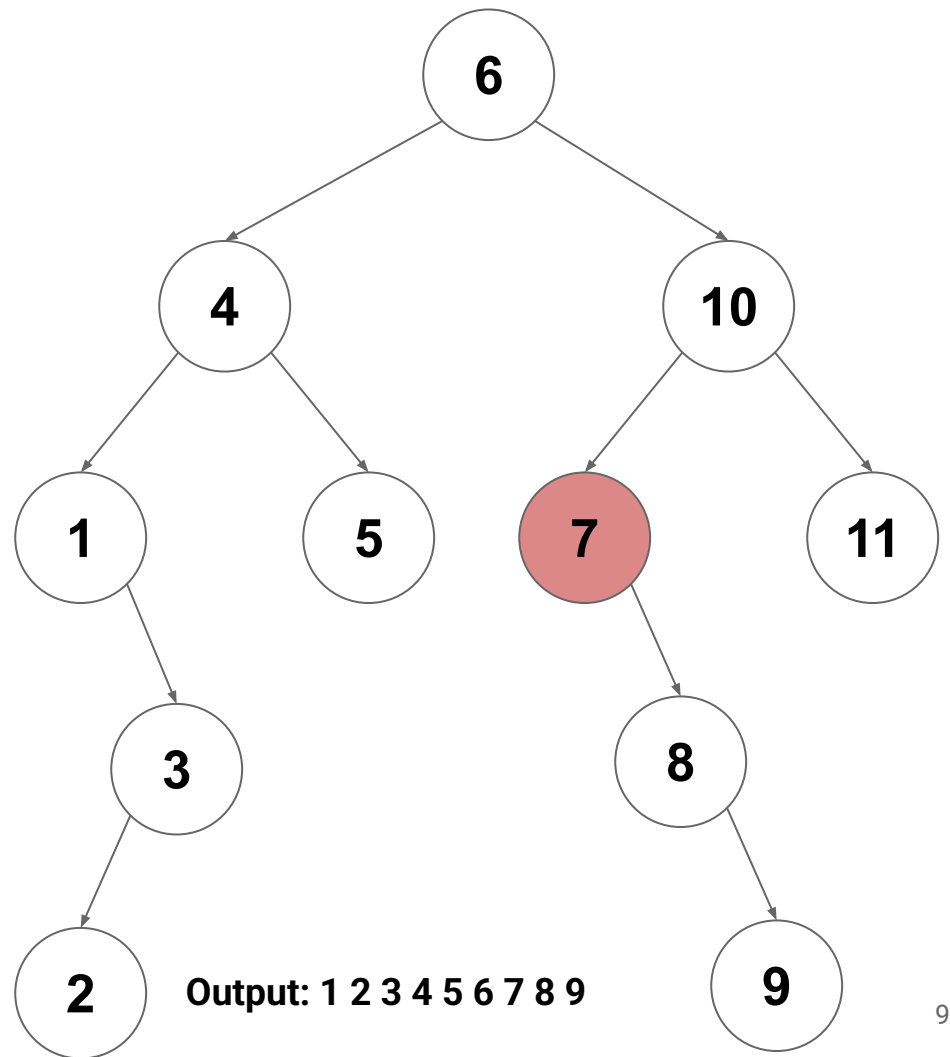


# In-Order Traversal on a BST

`inorderVisit(6)`

`inorderVisit(10)`

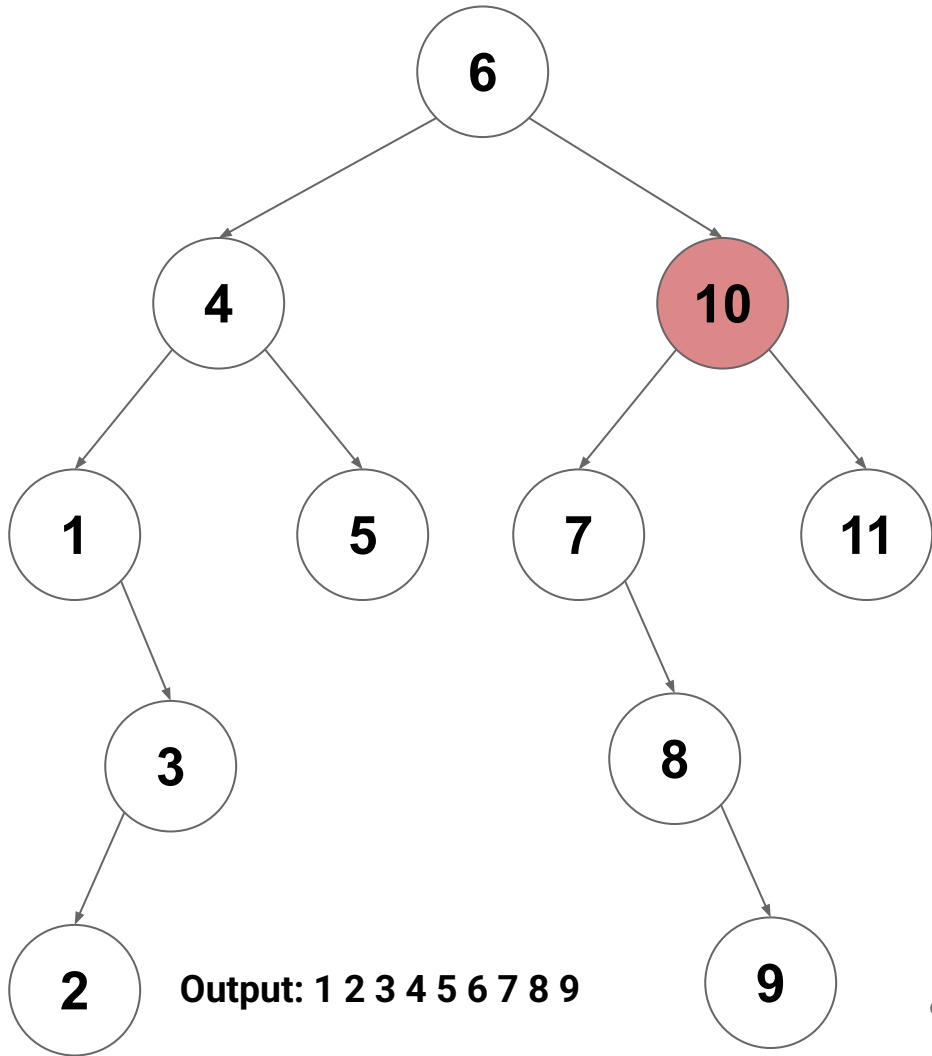
`inorderVisit(7)`



# In-Order Traversal on a BST

`inorderVisit(6)`

`inorderVisit(10)`

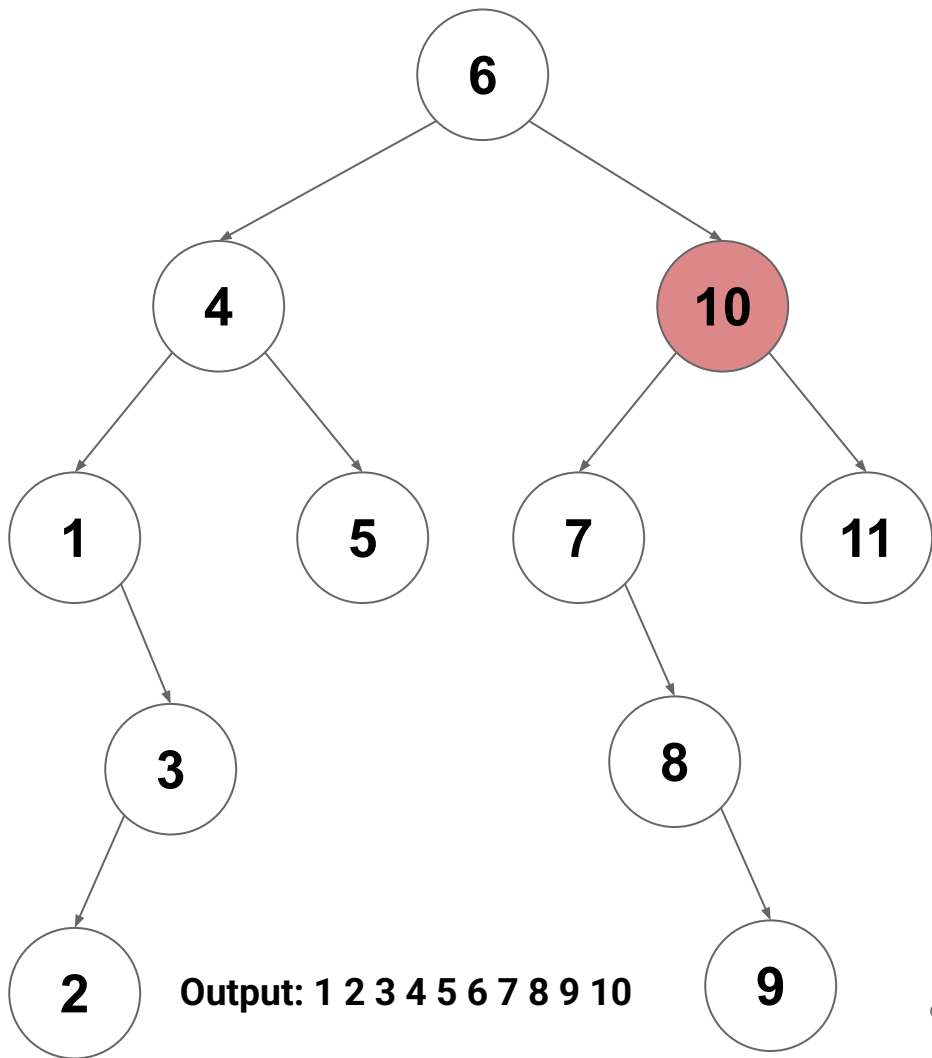


# In-Order Traversal on a BST

`inorderVisit(6)`

`inorderVisit(10)`

`visit(10)`

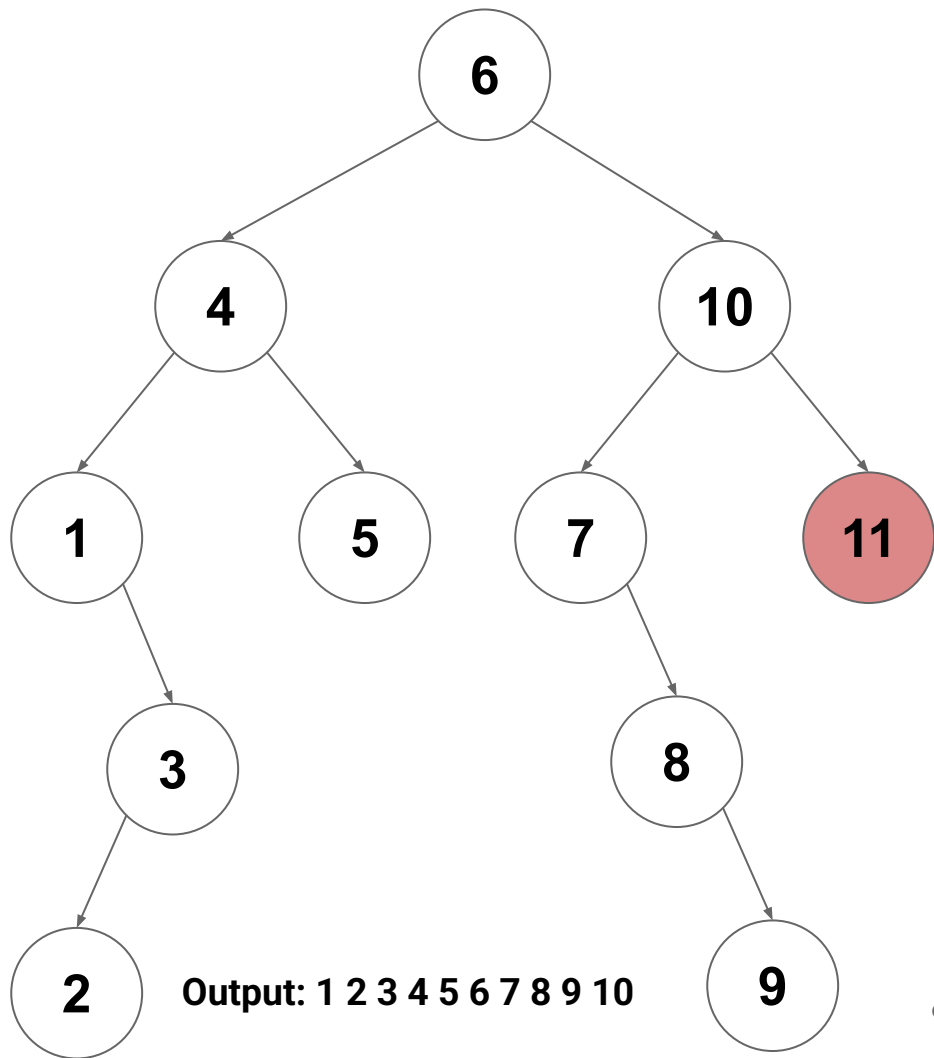


# In-Order Traversal on a BST

`inorderVisit(6)`

`inorderVisit(10)`

`inorderVisit(11)`





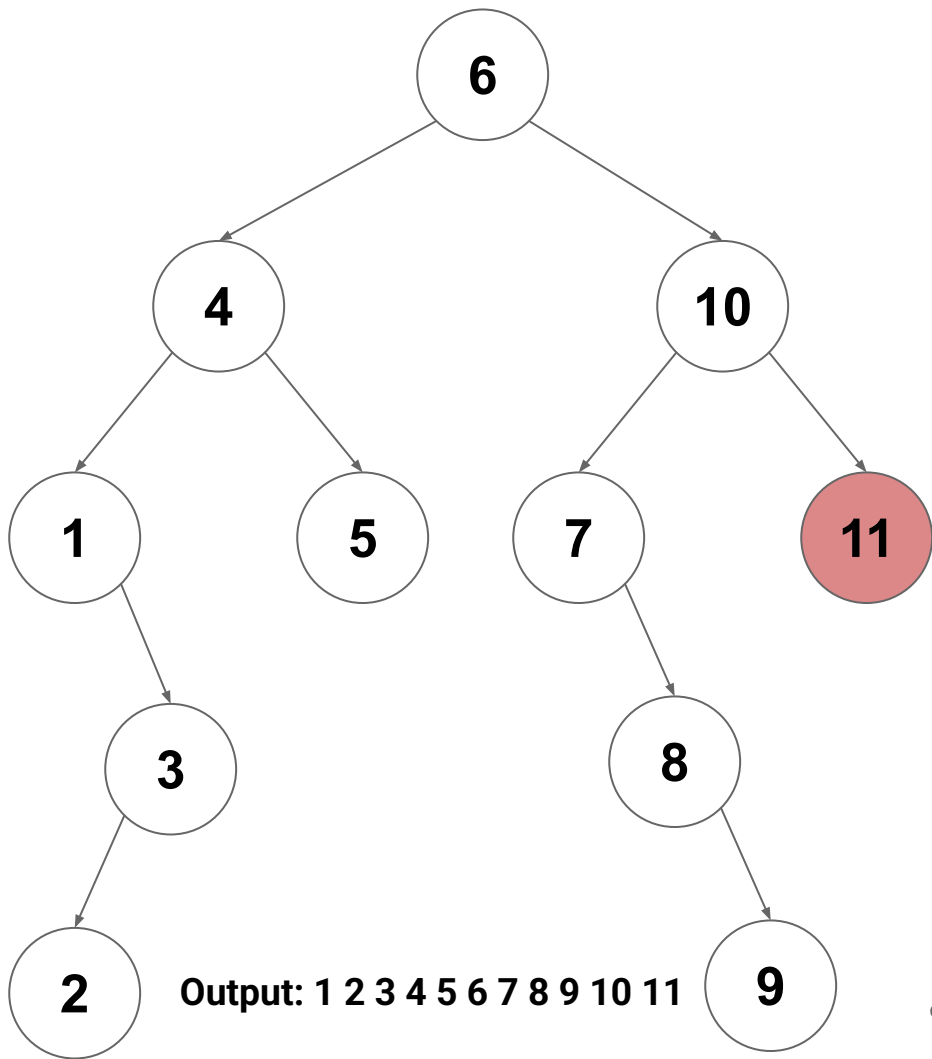
# In-Order Traversal on a BST

`inorderVisit(6)`

`inorderVisit(10)`

`inorderVisit(11)`

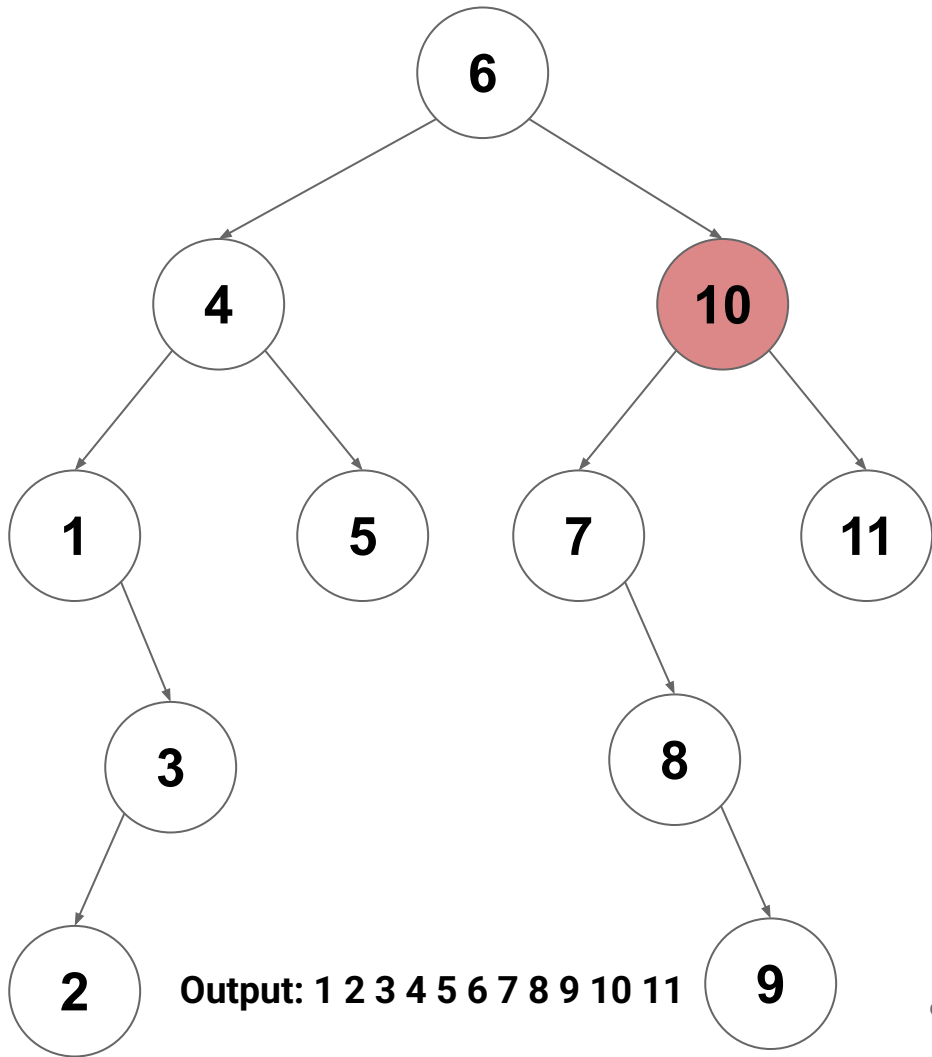
`visit(11)`



# In-Order Traversal on a BST

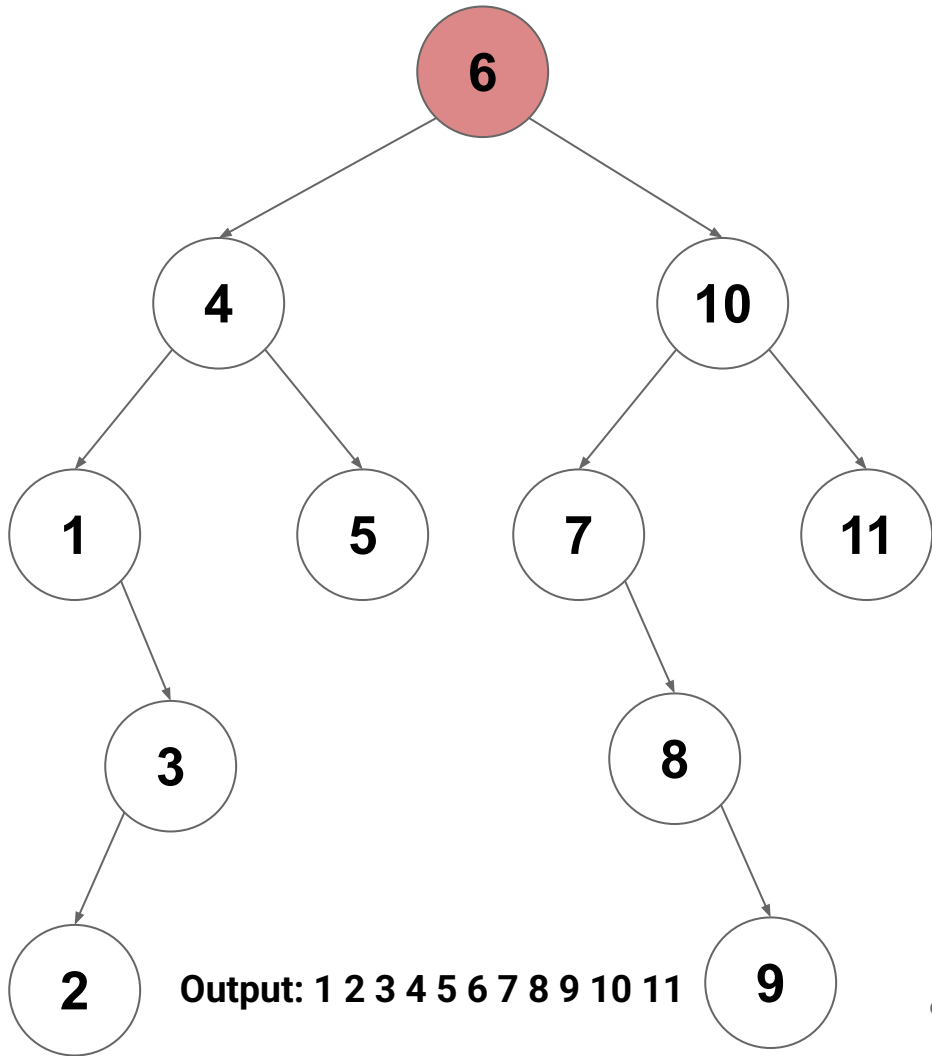
`inorderVisit(6)`

`inorderVisit(10)`

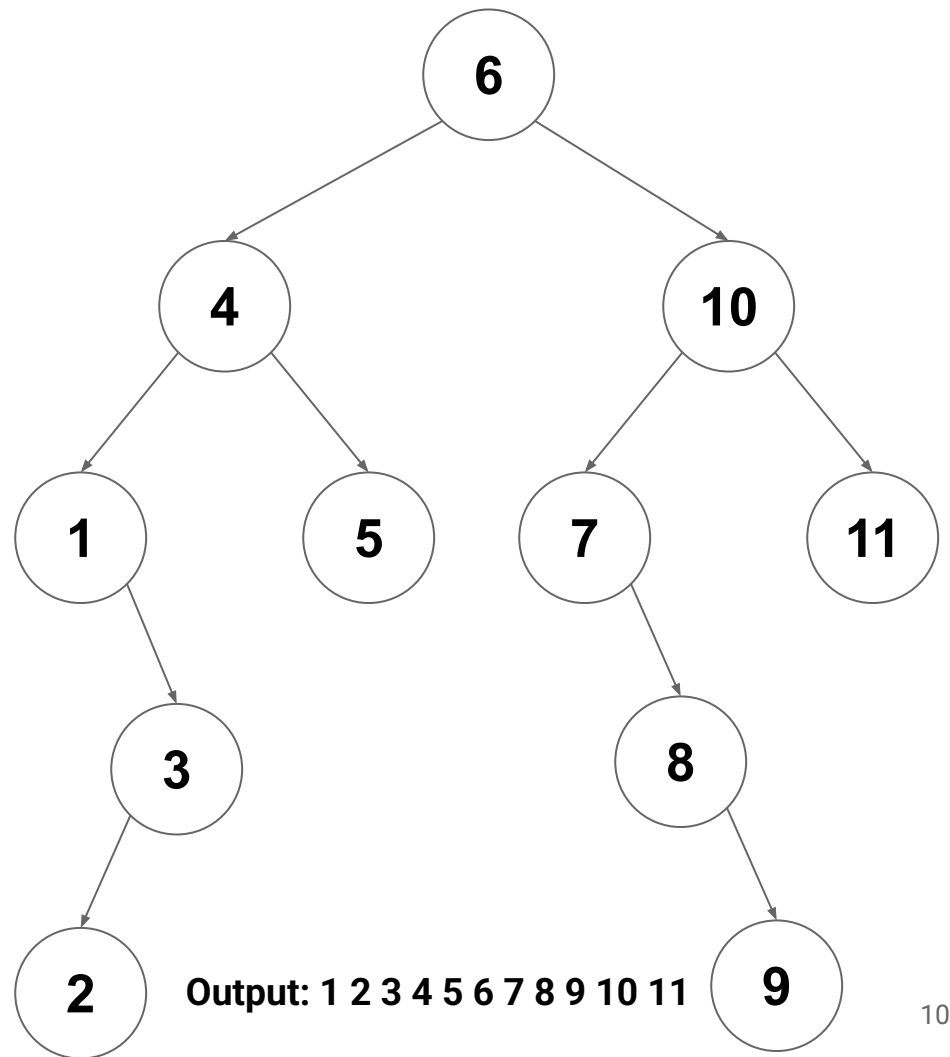


# In-Order Traversal on a BST

`inorderVisit(6)`



# In-Order Traversal on a BST



# Tree Traversal: In-Order Iterator

```
1 class TreeIterator<T> implements Iterator<T> {
2     Stack<TreeNode<T>> toVisit;
3     TreeIterator() {
4         toVisit = new Stack<>();
5         pushLeft(root);
6     }
7     void pushLeft(Optional<TreeNode<T>> node) {
8         if (node.isPresent()) {
9             toVisit.push(node.get());
10            pushLeft(node.get().leftChild());
11        }
12    }
13    /* ... */
14 }
```

# Tree Traversal: In-Order Iterator

```
1 class TreeIterator<T> implements Iterator<T> {
2     Stack<TreeNode<T>> toVisit;      Keep track of what we need to visit in a stack
3     TreeIterator() {
4         toVisit = new Stack<>();    Recursively push all the left nodes (they are
5         pushLeft(root);            the smallest and therefore should be visited
6     }                                first)
7     void pushLeft(Optional<TreeNode<T>> node) {
8         if (node.isPresent()) {
9             toVisit.push(node.get());
10            pushLeft(node.get().leftChild());
11        }
12    }
13    /* ... */
14 }
```

# Tree Traversal: In-Order Iterator

```
1 class TreeIterator<T> implements Iterator<T> {
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6     }
7     void pushLeft(Optional<TreeNode<T>> node) {
8         if (node.isPresent()) {
9             toVisit.push(node.get());
10            pushLeft(node.get().leftChild());
11        }
12    }
13    /* ... */
14 }
```

Push the node, and then recursively push it's left children

# Tree Traversal: In-Order Iterator

```
1 class TreeIterator<T> implements Iterator<T> {
2     /* ... */
3
4     boolean hasNext() { return !toVisit.isEmpty(); }
5
6     T next() {
7         TreeNode<T> nextNode = toVisit.pop();
8         pushLeft(nextNode.rightChild);
9         return nextNode.value;
10    }
11 }
```

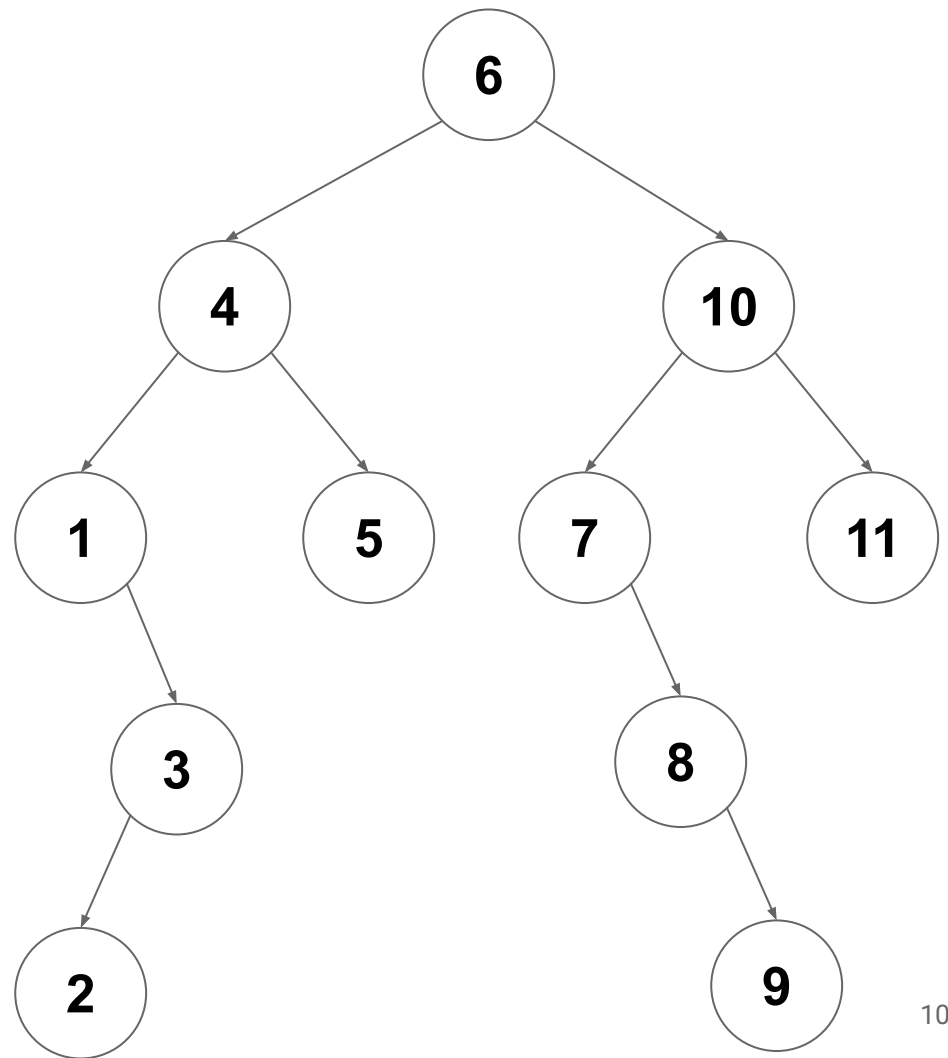


# Tree Traversal: In-Order Iterator

```
1 class TreeIterator<T> implements Iterator<T> {  
2     /* ... */  
3  
4     boolean hasNext() { return !toVisit.isEmpty(); }  
5  
6     T next() {  
7         TreeNode<T> nextNode = toVisit.pop();  
8         pushLeft(nextNode.rightChild);  
9         return nextNode.value;  
10    }  
11 }
```

Pop the next node, then push the left children of it's right subtree

# In-Order Traversal with an Iterator

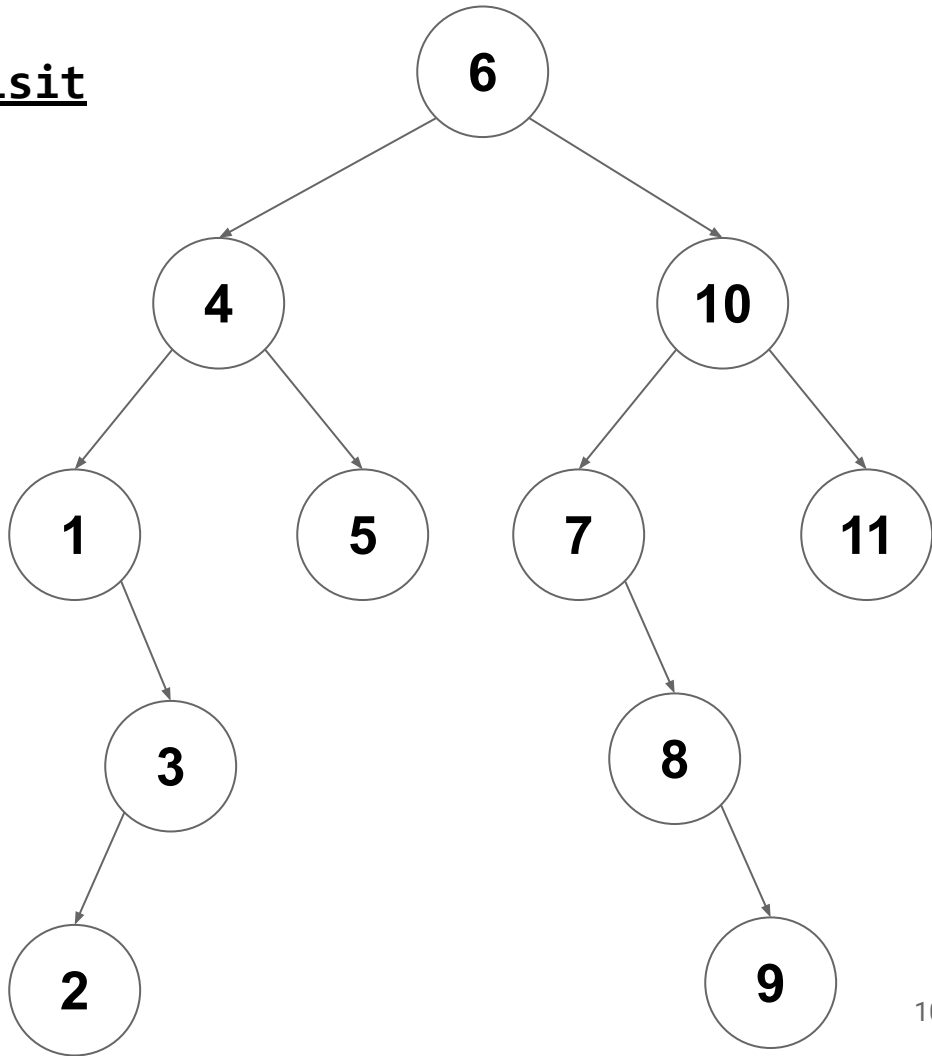


# In-Order Traversal with an Iterator

When we create the  
iterator, the `toVisit`  
stack is initialized

toVisit

6  
4  
1

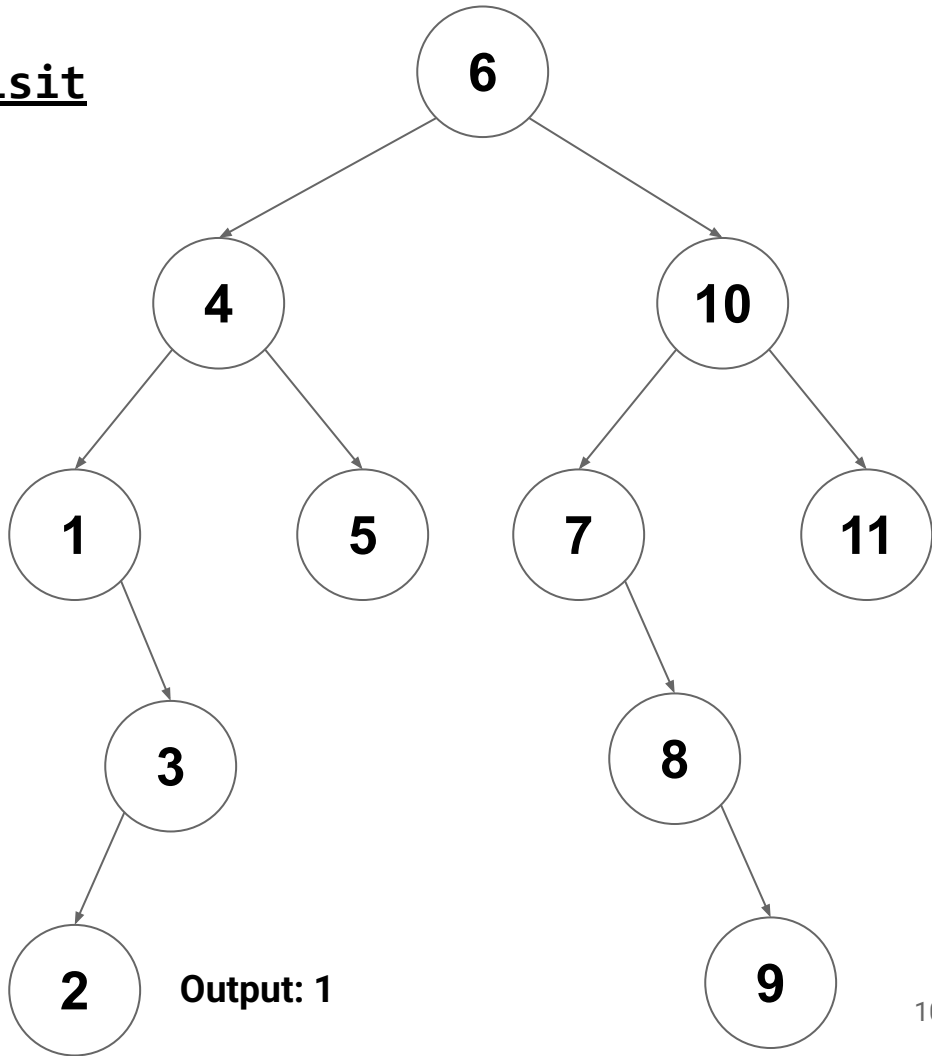


# In-Order Traversal with an Iterator

next pops the stack (1),  
and calls pushLeft on  
the right subtree of 1

toVisit

6  
4  
3  
2

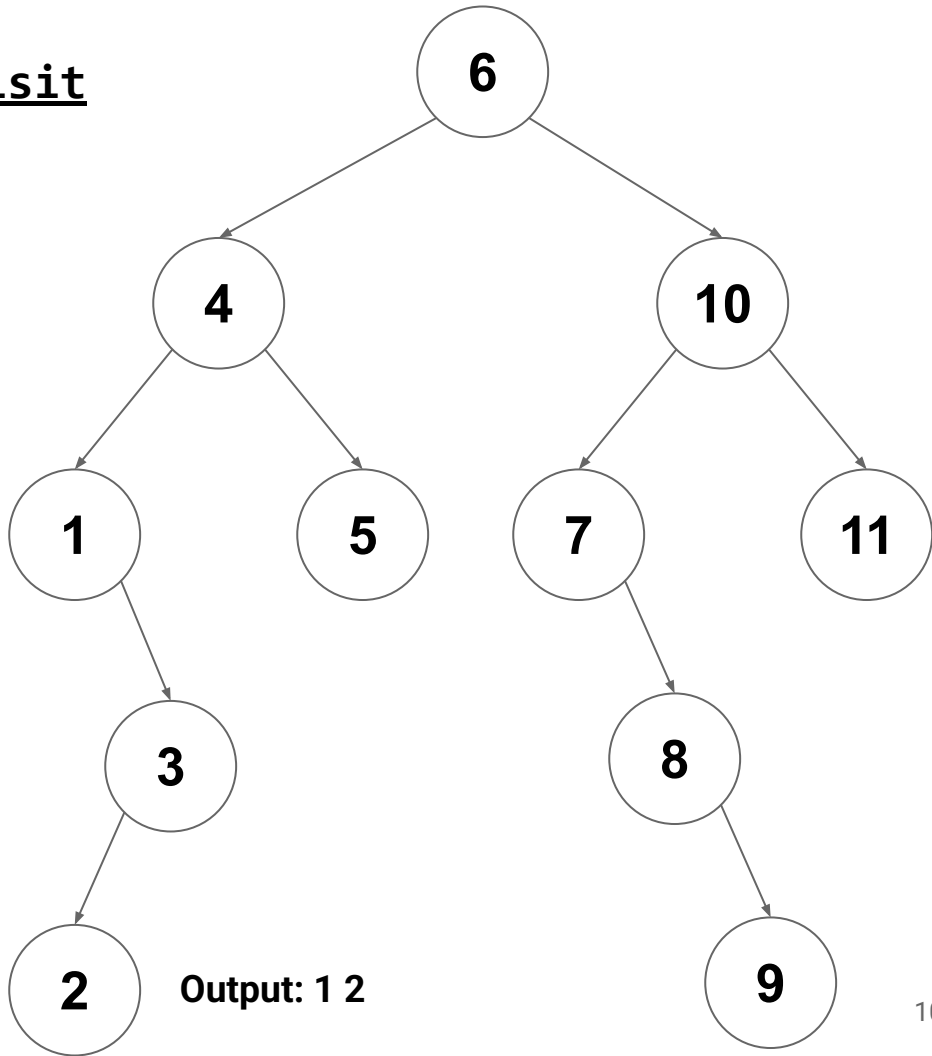


# In-Order Traversal with an Iterator

next pops the stack (2)  
and pushes the right  
subtree (nothing)

toVisit

6  
4  
3



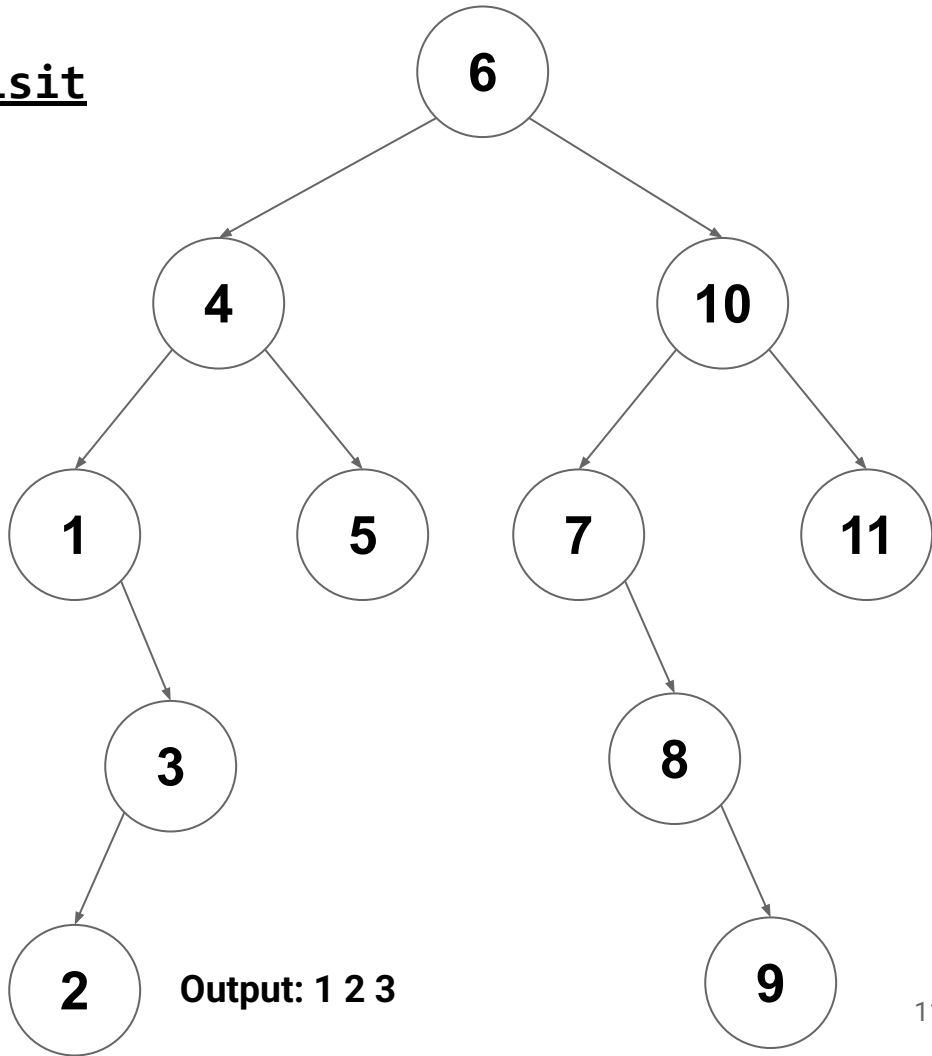
# In-Order Traversal with an Iterator

next pops the stack (3)  
and pushes the right  
subtree (nothing)

toVisit

6

4

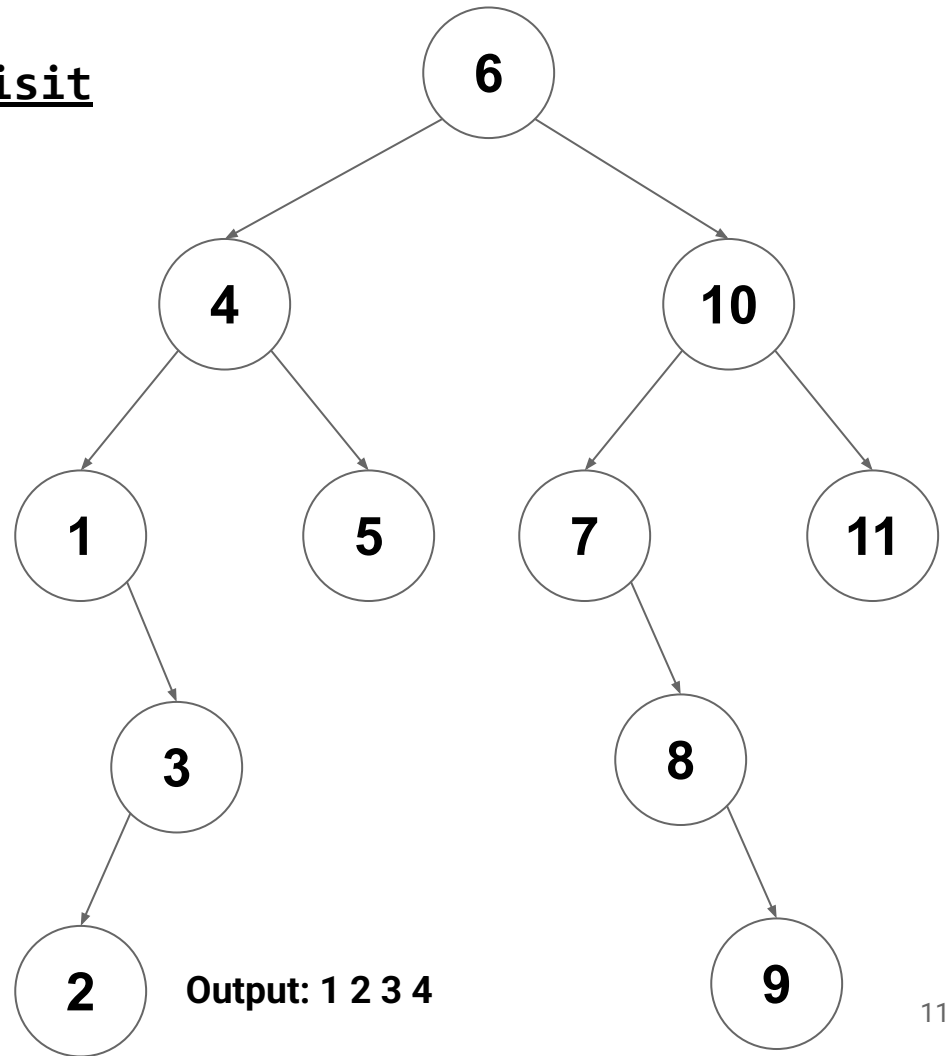


# In-Order Traversal with an Iterator

next pops the stack (4)  
and pushes the right  
subtree

toVisit

6  
5

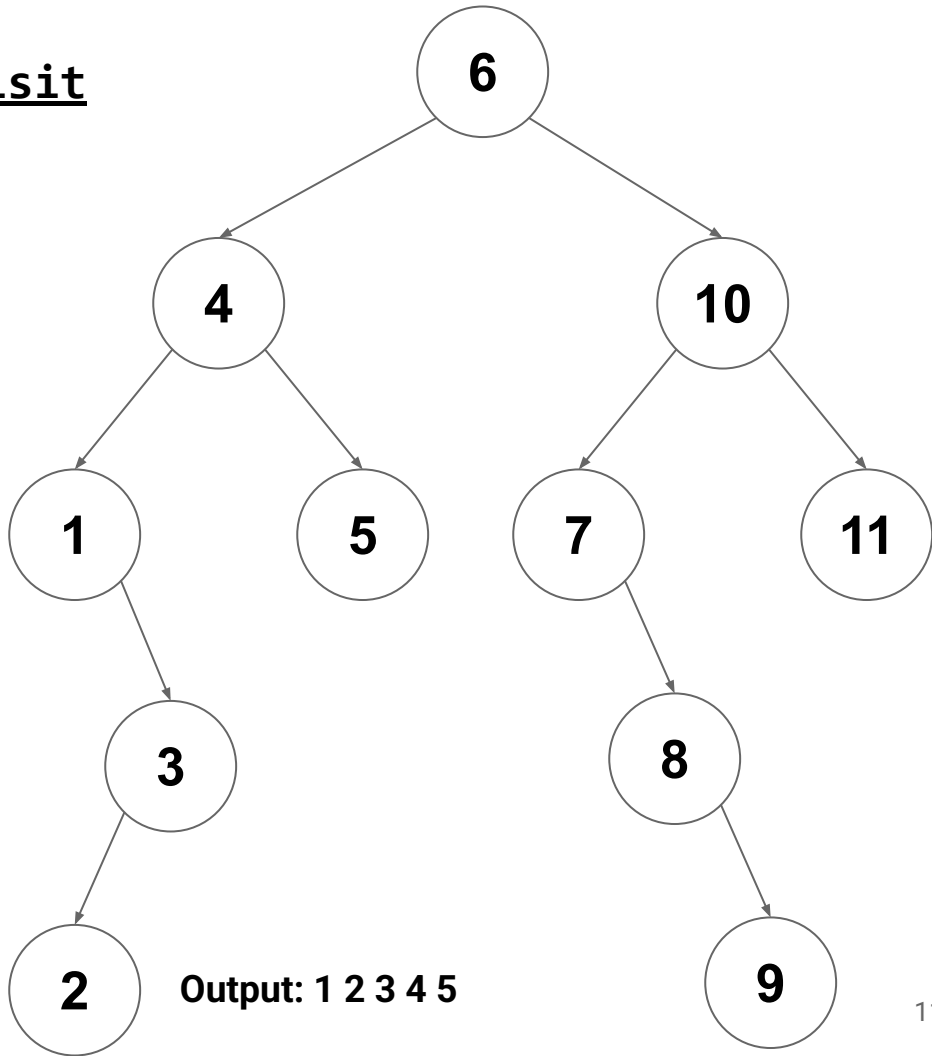


# In-Order Traversal with an Iterator

next pops the stack (5)  
and pushes the right  
subtree (nothing)

toVisit

6





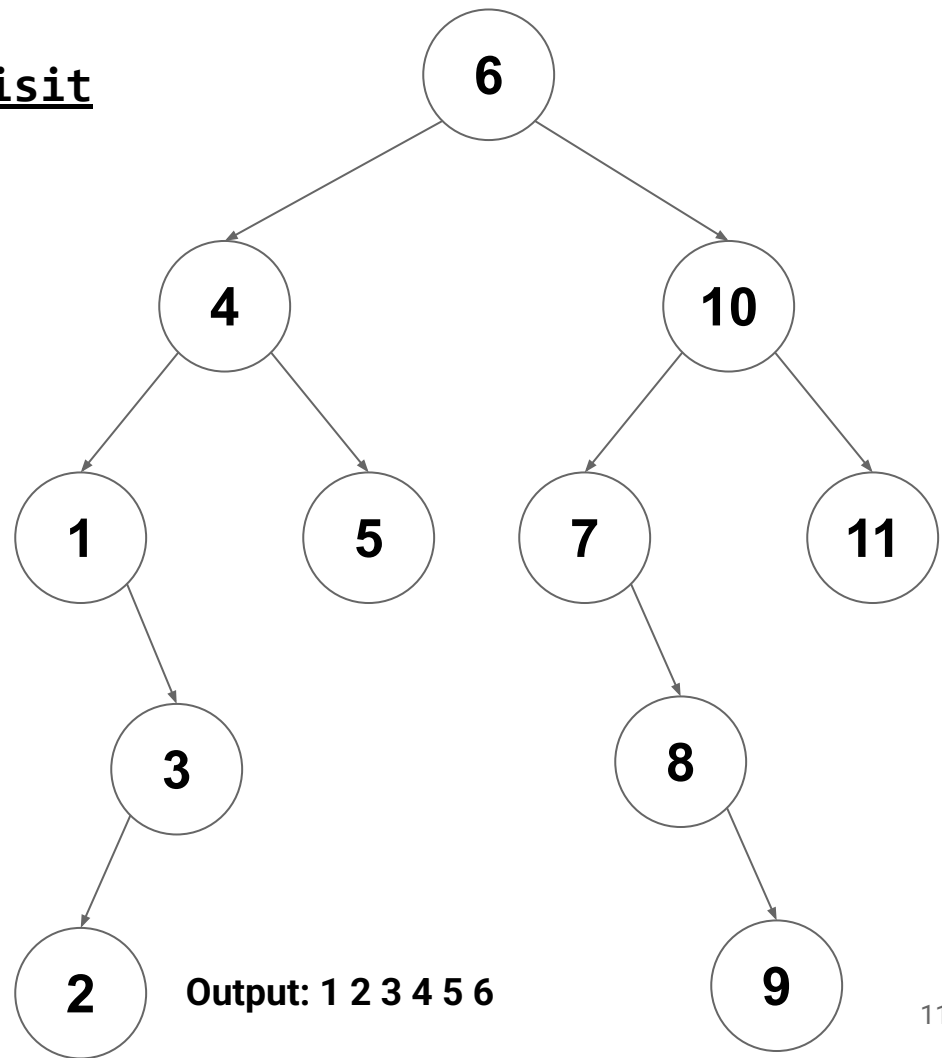
# In-Order Traversal with an Iterator

next pops the stack (6)  
and pushes the right  
subtree (10 7)

toVisit

10

7



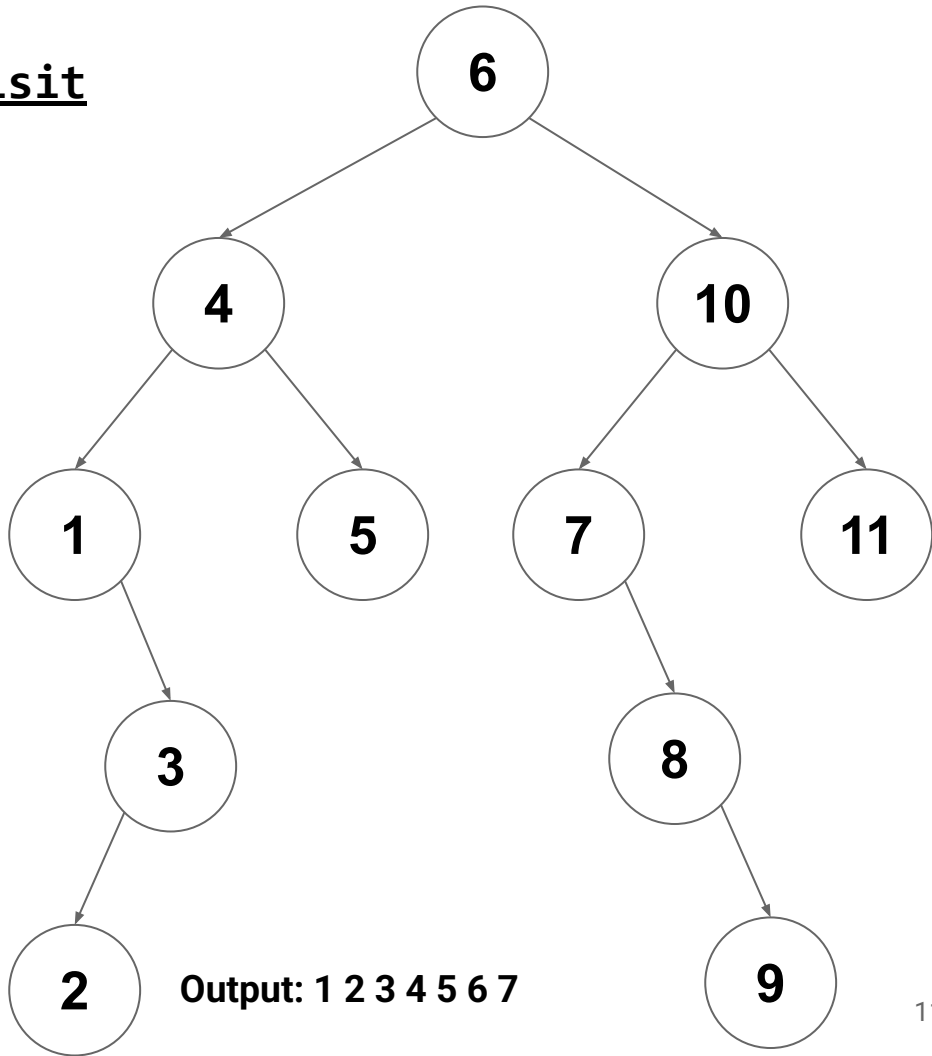
# In-Order Traversal with an Iterator

next pops the stack (7)  
and pushes the right  
subtree (8)

toVisit

10

8



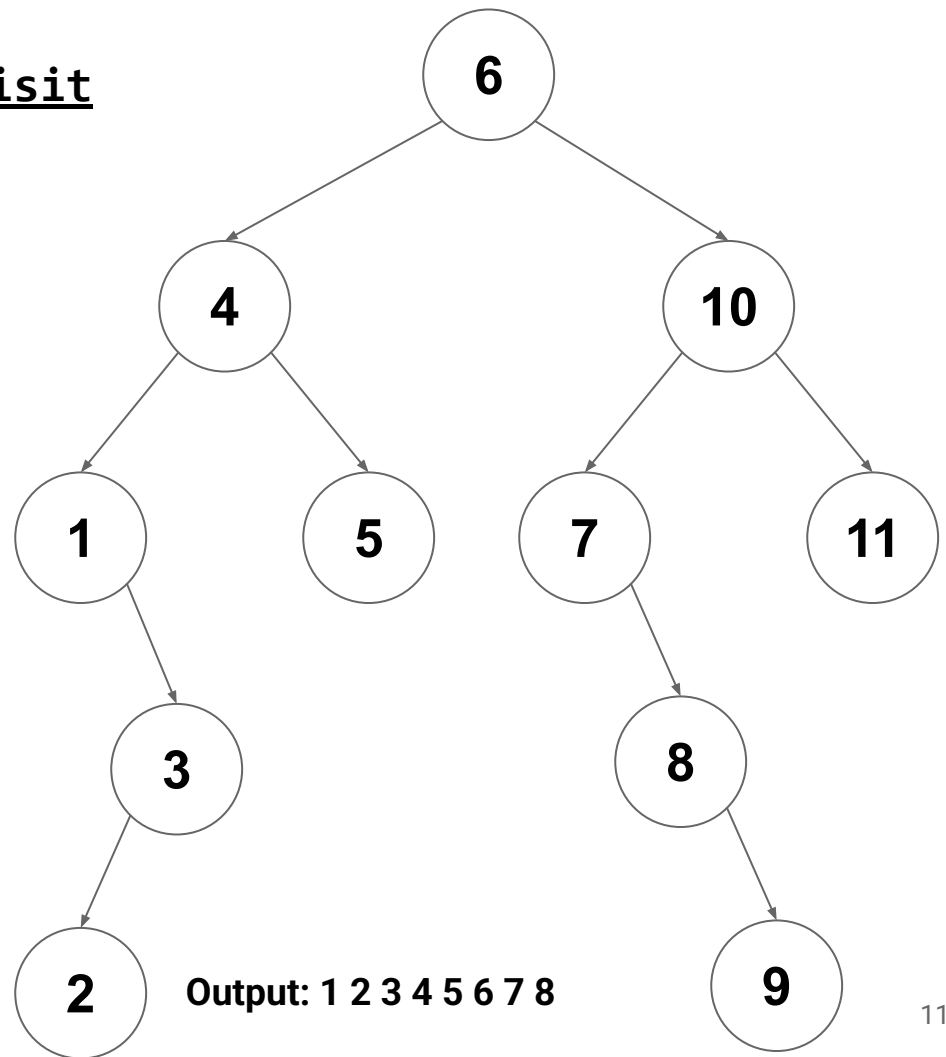
# In-Order Traversal with an Iterator

next pops the stack (8)  
and pushes the right  
subtree (9)

toVisit

10

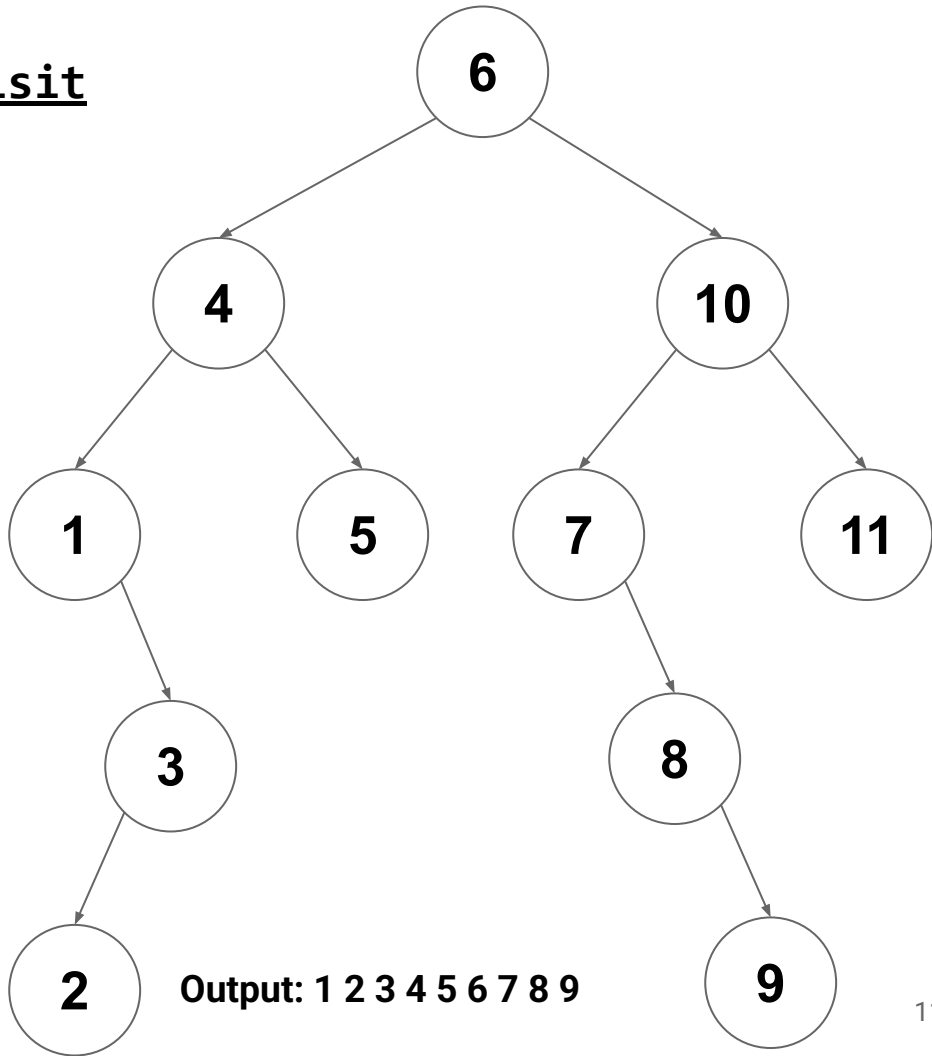
9



# In-Order Traversal with an Iterator

next pops the stack (9)  
and pushes the right  
subtree (nothing)

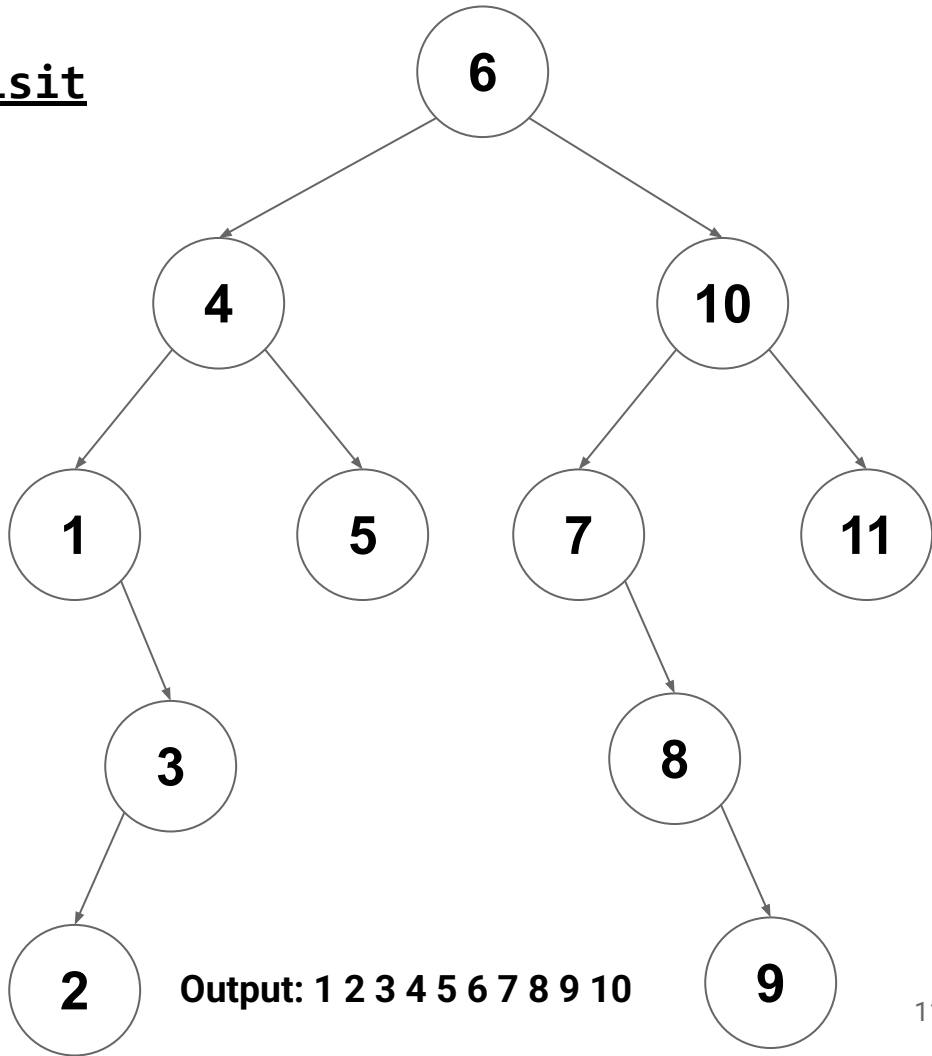
toVisit  
10



# In-Order Traversal with an Iterator

next pops the stack (10)  
and pushes the right  
subtree (11)

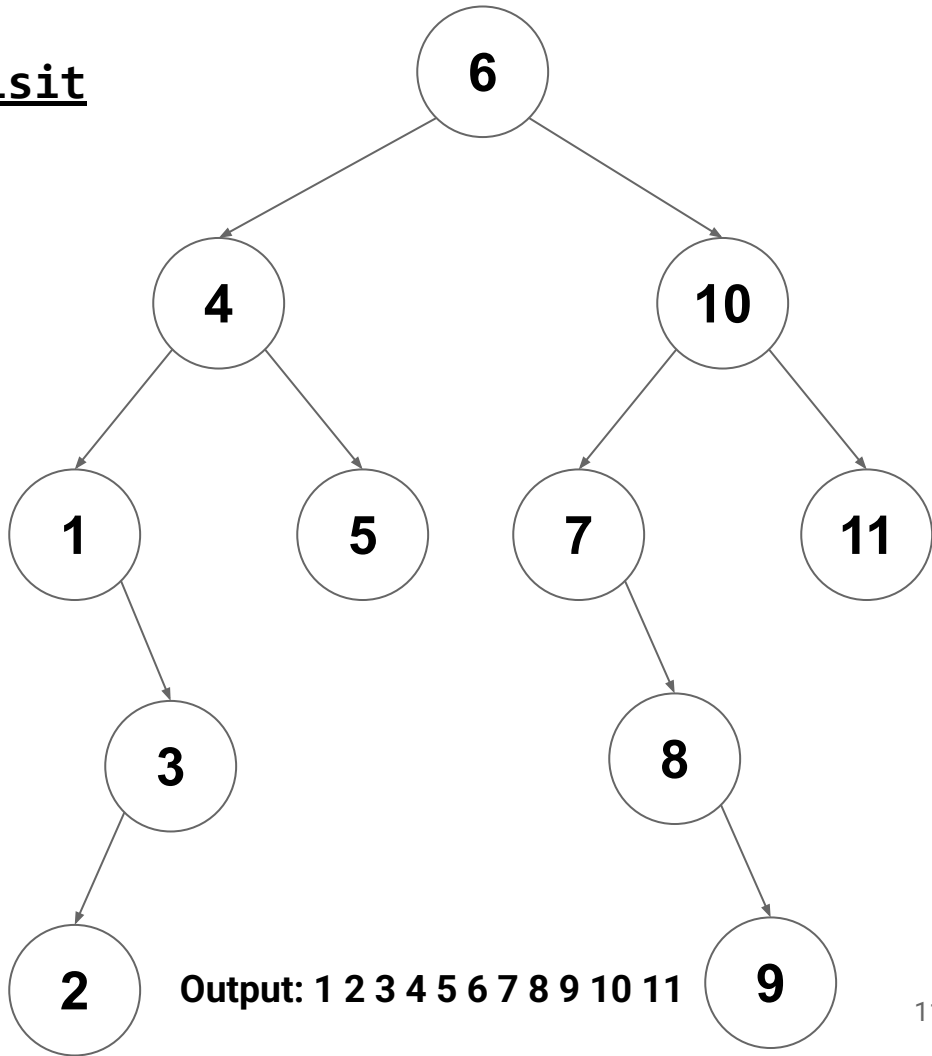
toVisit  
11



# In-Order Traversal with an Iterator

next pops the stack (11)  
and pushes the right  
subtree (nothing)

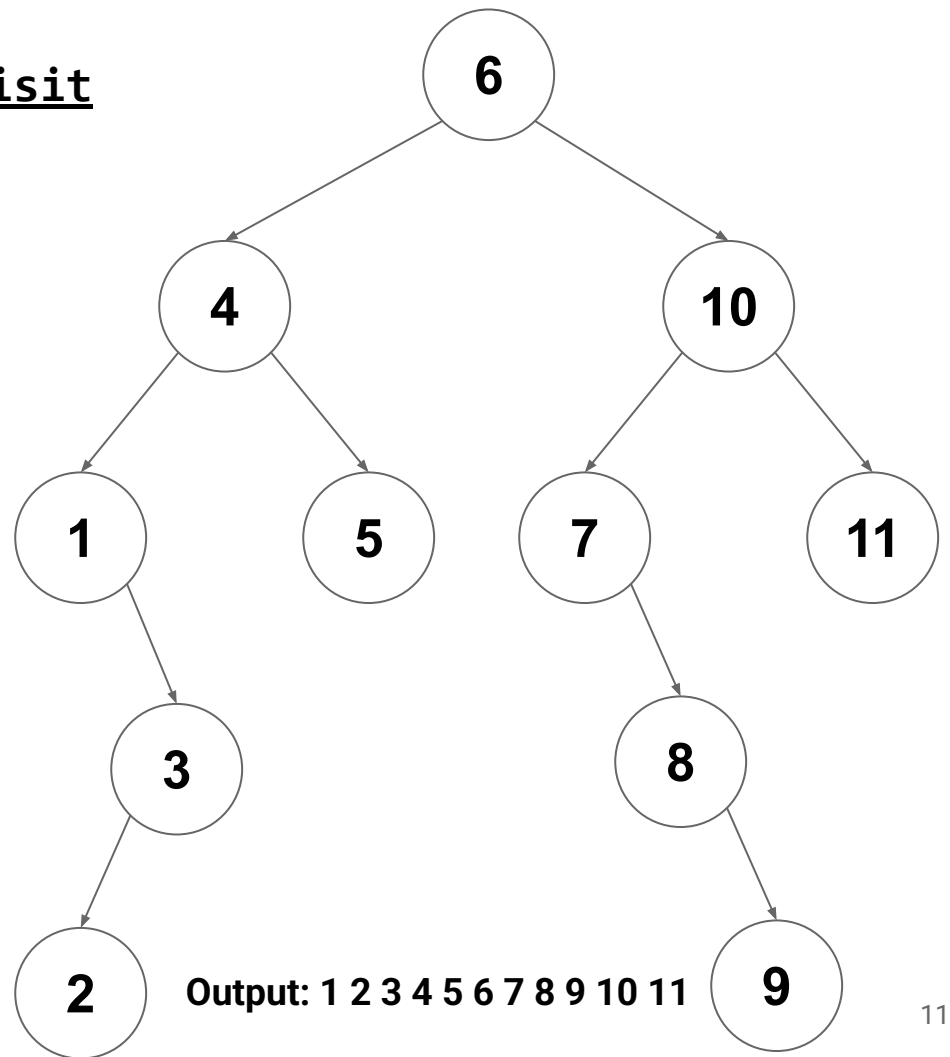
toVisit



# In-Order Traversal with an Iterator

Our `toVisit` stack is empty, so `isEmpty` will now be true

toVisit



# Complexity

```
1 TreeIterator() {  
2     toVisit = new Stack<>();  
3     pushLeft(root);  
4 }
```

*What is our worst-case runtime to initialize the iterator?*



# Complexity

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1 TreeIterator() {  
2     toVisit = new Stack<>();  
3     pushLeft(root);  
4 }
```

*What is our worst-case runtime to initialize the iterator?  $O(d)$*

# Complexity

```
1 TreeIterator() {  
2   toVisit = new Stack<>();  
3   pushLeft(root);  
4 }
```

*What is our worst-case runtime to initialize the iterator?  $O(d)$*

*(we may have to push as many as  $d$  nodes onto the stack)*

# Complexity

```
1 T next() {  
2   TreeNode<T> nextNode = toVisit.pop();  
3   pushLeft(nextNode.rightChild);  
4   return nextNode.value;  
5 }
```

*What is our worst-case runtime to call next?*

# Complexity

```
1 T next() {  
2   TreeNode<T> nextNode = toVisit.pop();  
3   pushLeft(nextNode.rightChild);  
4   return nextNode.value;  
5 }
```

*What is our worst-case runtime to call next?  $O(d)$*

*(we may have to push as many as  $d$  nodes onto the stack)*

# Complexity

*What is the worst-case complexity to visit ALL  $n$  nodes?*

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**Each node is at the top of the stack exactly once:**

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- One push  $O(1)$

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*What is the worst-case complexity to visit ALL  $n$  nodes?*

**Each node is at the top of the stack exactly once:**

- One push  $O(1)$
- One pop  $O(1)$



# Complexity

*What is the worst-case complexity to visit ALL  $n$  nodes?*

**Each node is at the top of the stack exactly once:**

- One push  $O(1)$
- One pop  $O(1)$

**Total:  $O(n)$**