

CSE 443  
Compilers

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# Building the finite control for a bottom-up parser

- Build a finite state machine, whose states are sets of items
- Build a table ( $M$ ) incorporating shift/reduce decisions

# Augment grammar

Given a grammar

$$G = (N, T, P, S)$$

we augment to a grammar

$$G' = (N \cup \{S'\}, T, P \cup \{S' \rightarrow S\}, S'), \text{ where } S' \notin N$$

$G'$  has exactly one rule with  $S'$  on left.

# CLOSURE(I)

• I is a set of items

• CLOSURE(I) fixed point construction

$$\text{CLOSURE}_0(I) = I$$

repeat {

$$\text{CLOSURE}_{i+1}(I) =$$

$$\text{CLOSURE}_i(I) \cup \{ B \rightarrow \bullet \gamma \mid A \rightarrow \alpha \bullet B \beta \in \text{CLOSURE}_i(I) \text{ and } B \rightarrow \gamma \in P \}$$

} until  $\text{CLOSURE}_{i+1}(I) = \text{CLOSURE}_i(I)$

# GOTO(I, X)

•  $\text{GOTO}(I, X)$  is the closure of the set of items  $A \rightarrow \alpha X \beta$  s.t.  
 $A \rightarrow \alpha X \beta \in I$

•  $\text{GOTO}(I, X)$  construction for  $G'$  (figure 4.32):

set-of-items  $\text{CLOSURE}(I)$  {

$J = I$

repeat {

  for each item  $A \rightarrow \alpha B \beta \in J$

    for each production  $B \rightarrow \gamma \in P$

      if  $B \rightarrow \gamma$  not already in  $J$

        add  $B \rightarrow \gamma$  to  $J$

  } until no more items are added to  $J$

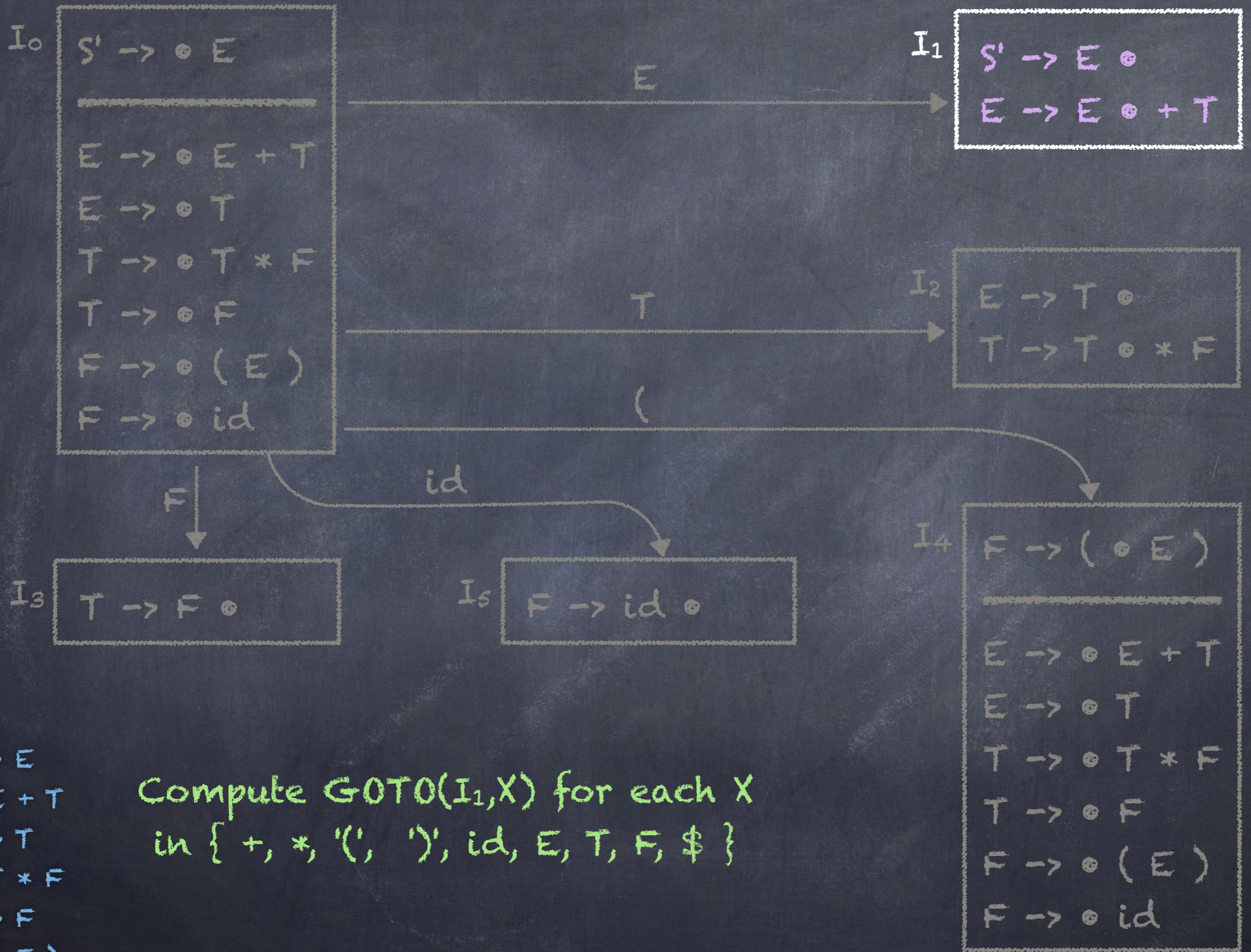
return  $J$

}

# Building the LR(0) automaton

```
void items(G') {  
  C = { CLOSURE( { S' -> • S } ) }  
  repeat {  
    for each set of items  $I \in C$  and  
    for each grammar symbol  $X \in (NUT)$   
    if ( GOTO( $I, X$ ) is not empty and not already in C )  
      add GOTO( $I, X$ ) to C  
  } until no new sets of items are added to C  
}
```

C is a set of sets of items



Compute  $GOTO(I_1, X)$  for each  $X$  in  $\{ +, *, '(', ')', id, E, T, F, \$ \}$

$S' \rightarrow E$   
 $E \rightarrow E + T$   
 $E \rightarrow T$   
 $T \rightarrow T * F$   
 $T \rightarrow F$   
 $F \rightarrow ( E )$   
 $F \rightarrow id$

$GOTO(I_1, \$) = \text{accept}$

$GOTO(I_1, +) = \text{CLOSURE}(\{ E \rightarrow E + \bullet T \})$

$= \{ E \rightarrow E + \bullet T, T \rightarrow \bullet T * F, T \rightarrow \bullet F, F \rightarrow \bullet ( E ), F \rightarrow \bullet id \}$

$I_6$

$E \rightarrow E + \bullet T$

$T \rightarrow \bullet T * F$

$T \rightarrow \bullet F$

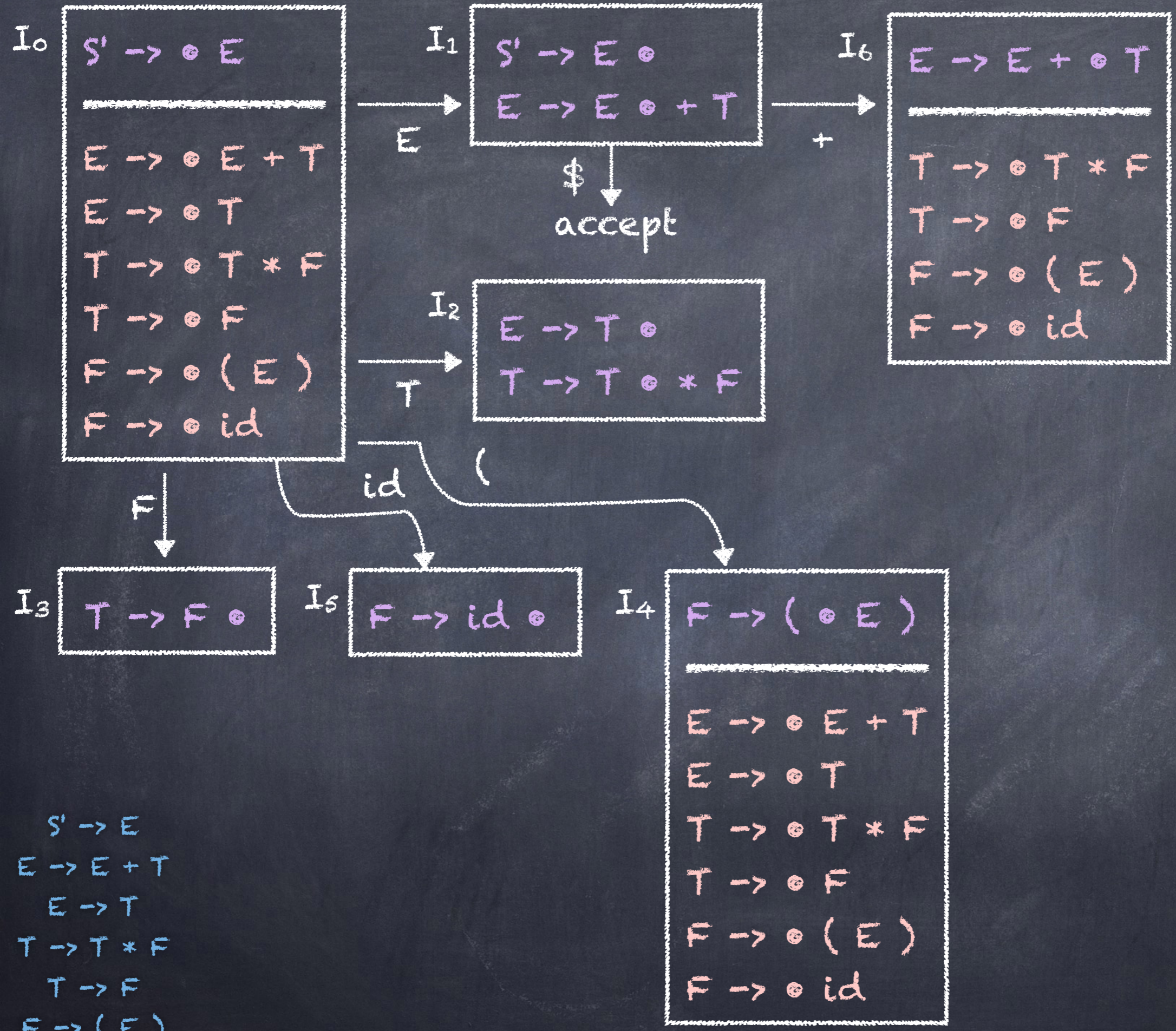
$F \rightarrow \bullet ( E )$

$F \rightarrow \bullet id$

$S' \rightarrow E$   
 $E \rightarrow E + T$   
 $E \rightarrow T$   
 $T \rightarrow T * F$   
 $T \rightarrow F$   
 $F \rightarrow ( E )$   
 $F \rightarrow id$

$GOTO(I_1, '(') = GOTO(I_1, ')') = GOTO(I_1, '*') = GOTO(I_1, id) =$   
 $GOTO(I_1, E) = GOTO(I_1, T) = GOTO(I_1, F) = \emptyset$





$S' \rightarrow E$   
 $E \rightarrow E + T$   
 $E \rightarrow T$   
 $T \rightarrow T * F$   
 $T \rightarrow F$   
 $F \rightarrow (E)$   
 $F \rightarrow id$

$S' \rightarrow E$   
 $E \rightarrow E + T$   
 $E \rightarrow T$   
 $T \rightarrow T * F$   
 $T \rightarrow F$   
 $F \rightarrow (E)$   
 $F \rightarrow id$

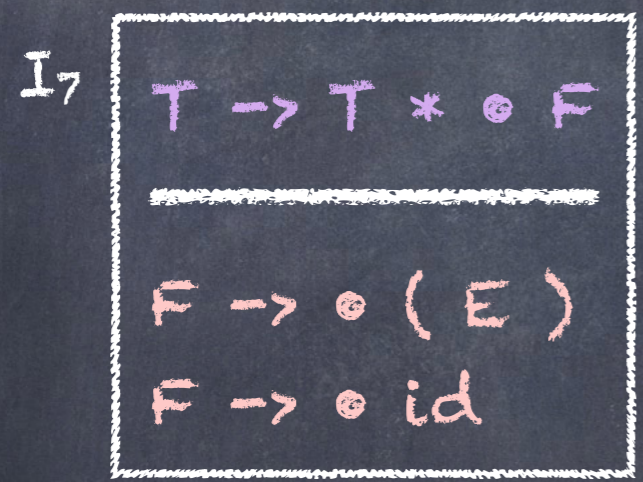
$I_2$

$E \rightarrow T \odot$
$T \rightarrow T \odot * F$

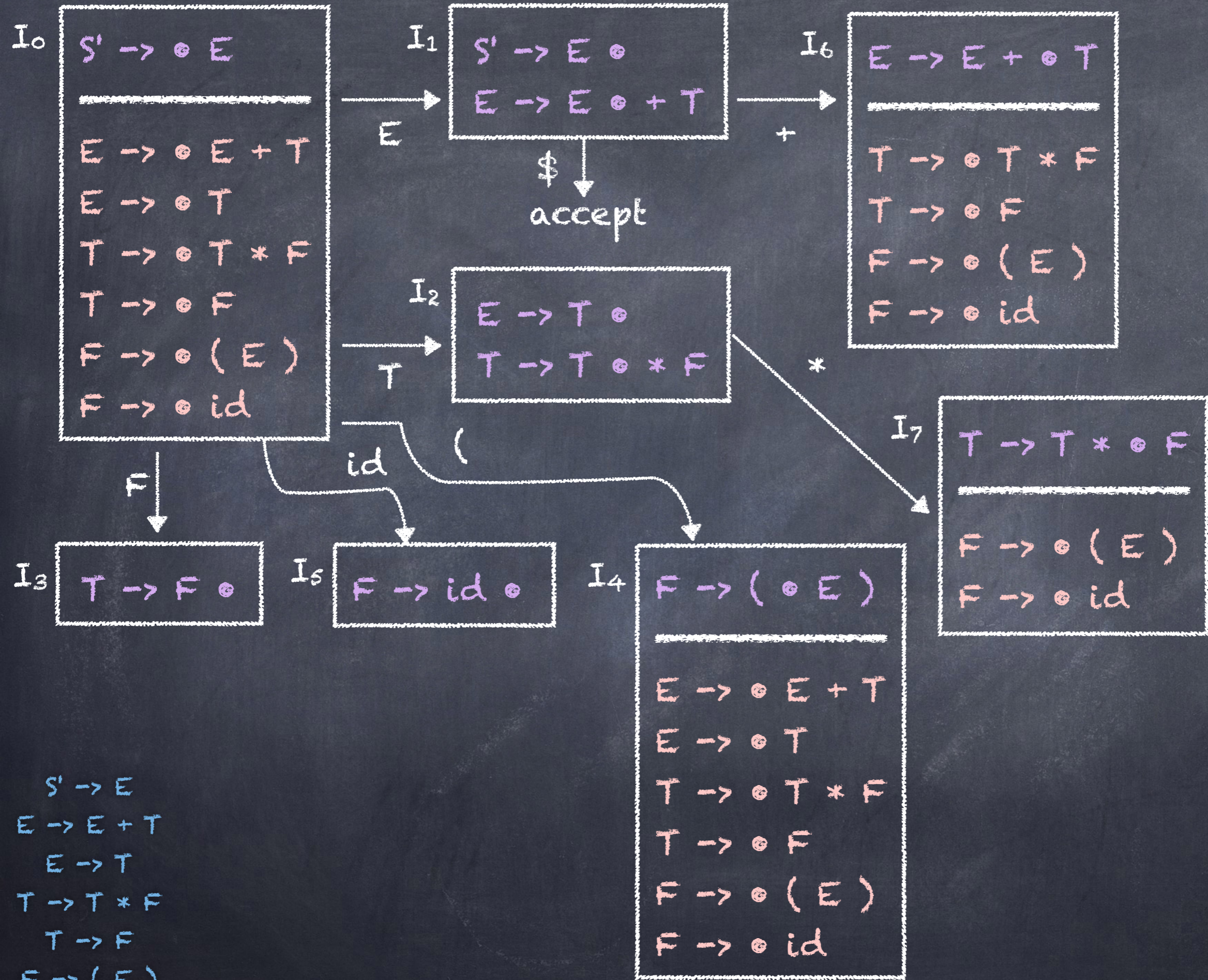
Compute  $GOTO(I_2, X)$  for each  $X$   
in  $\{ +, *, '(', ')', id, E, T, F, \$ \}$

$S' \rightarrow E$   
 $E \rightarrow E + T$   
 $E \rightarrow T$   
 $T \rightarrow T * F$   
 $T \rightarrow F$   
 $F \rightarrow ( E )$   
 $F \rightarrow id$

$$\begin{aligned}
 \text{GOTO}(I_2, *) &= \text{CLOSURE}(\{ T \rightarrow T * \bullet F \}) \\
 &= \{ T \rightarrow T * \bullet F, F \rightarrow \bullet ( E ), F \rightarrow \bullet id \}
 \end{aligned}$$



$$\begin{aligned}
 \text{GOTO}(I_2, '(') &= \text{GOTO}(I_2, ')') = \text{GOTO}(I_2, '+') = \text{GOTO}(I_2, id) = \\
 \text{GOTO}(I_2, E) &= \text{GOTO}(I_2, T) = \text{GOTO}(I_2, F) = \text{GOTO}(I_2, \$) = \emptyset
 \end{aligned}$$



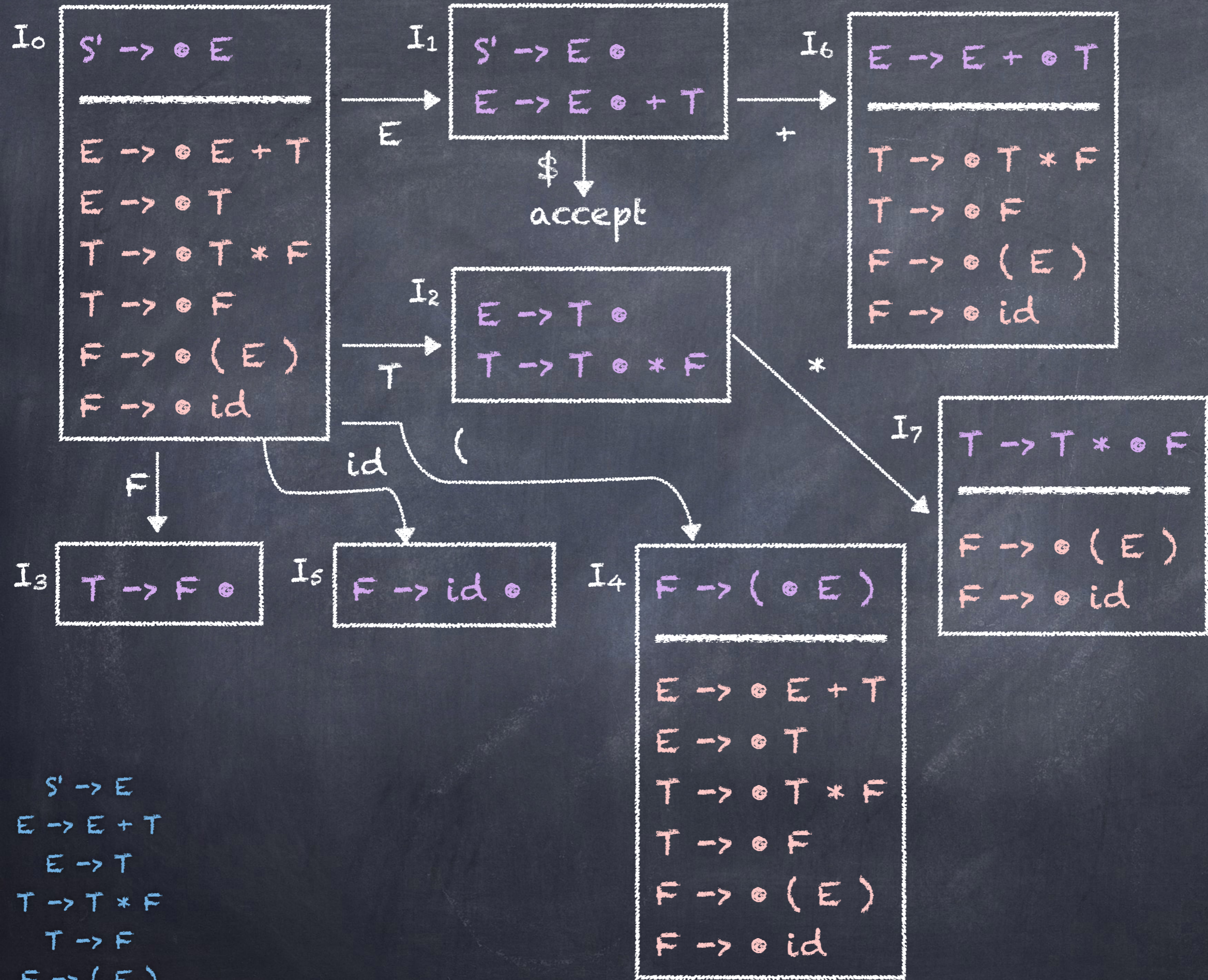
$S' \rightarrow E$   
 $E \rightarrow E + T$   
 $E \rightarrow T$   
 $T \rightarrow T * F$   
 $T \rightarrow F$   
 $F \rightarrow (E)$   
 $F \rightarrow id$

$S' \rightarrow E$   
 $E \rightarrow E + T$   
 $E \rightarrow T$   
 $T \rightarrow T * F$   
 $T \rightarrow F$   
 $F \rightarrow (E)$   
 $F \rightarrow id$

$I_3$   $T \rightarrow F \circ$

Compute  $GOTO(I_3, X)$  for each  $X$   
in  $\{ +, *, '(', ')', id, E, T, F, \$ \}$

$$\begin{aligned} \text{GOTO}(I_3, E) &= \text{GOTO}(I_3, T) = \text{GOTO}(I_3, F) = \text{GOTO}(I_3, +) = \\ \text{GOTO}(I_3, *) &= \text{GOTO}(I_3, '(') = \text{GOTO}(I_3, ')') = \text{GOTO}(I_3, \text{id}) = \\ \text{GOTO}(I_3, \$) &= \emptyset \end{aligned}$$



$S' \rightarrow E$   
 $E \rightarrow E + T$   
 $E \rightarrow T$   
 $T \rightarrow T * F$   
 $T \rightarrow F$   
 $F \rightarrow ( E )$   
 $F \rightarrow id$

Compute  $GOTO(I_4, X)$  for each  $X$   
in  $\{ +, *, '(', ')', id, E, T, F, \$ \}$

$S' \rightarrow E$   
 $E \rightarrow E + T$   
 $E \rightarrow T$   
 $T \rightarrow T * F$   
 $T \rightarrow F$   
 $F \rightarrow ( E )$   
 $F \rightarrow id$

$I_4$

$F \rightarrow ( \bullet E )$	
<hr/>	
$E \rightarrow \bullet E + T$	
$E \rightarrow \bullet T$	
$T \rightarrow \bullet T * F$	
$T \rightarrow \bullet F$	
$F \rightarrow \bullet ( E )$	
$F \rightarrow \bullet id$	



$$\text{GOTO}(I_4, E) =$$

$$\text{CLOSURE}(\{ F \rightarrow (E \bullet), E \rightarrow E \bullet + T \}) =$$

$$\{ F \rightarrow (E \bullet), E \rightarrow E \bullet + T \}$$

$I_8$

$$\begin{array}{l} E \rightarrow E \bullet + T \\ F \rightarrow (E \bullet) \end{array}$$

$$S' \rightarrow E$$

$$E \rightarrow E + T$$

$$E \rightarrow T$$

$$T \rightarrow T * F$$

$$T \rightarrow F$$

$$F \rightarrow (E)$$

$$F \rightarrow id$$

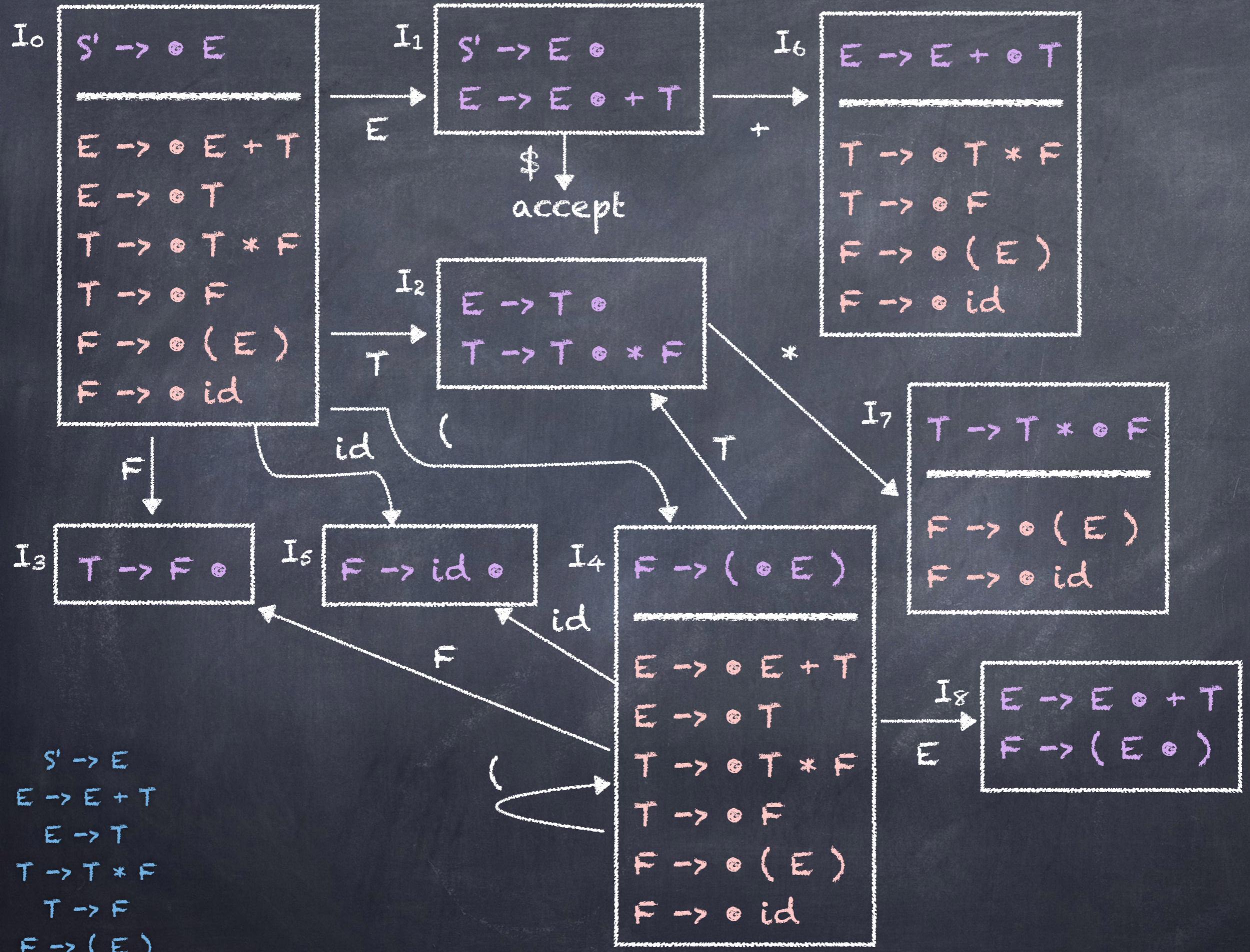
$$\text{GOTO}(I_4, T) = \text{CLOSURE}(\{ E \rightarrow T \bullet, T \rightarrow T \bullet * F \}) = \{ E \rightarrow T \bullet, T \rightarrow T \bullet * F \} = I_2$$

$$\text{GOTO}(I_4, F) = \text{CLOSURE}(\{ T \rightarrow F \bullet \}) = I_3$$

$$\text{GOTO}(I_4, '(') = \text{CLOSURE}(\{ F \rightarrow ( \bullet E ) \}) = I_7$$

$$\text{GOTO}(I_4, id) = \text{CLOSURE}(\{ F \rightarrow id \bullet \}) = I_5$$

$$\text{GOTO}(I_4, ')') = \text{GOTO}(I_4, +) = \text{GOTO}(I_4, *) = \text{GOTO}(I_4, \$) = \emptyset$$



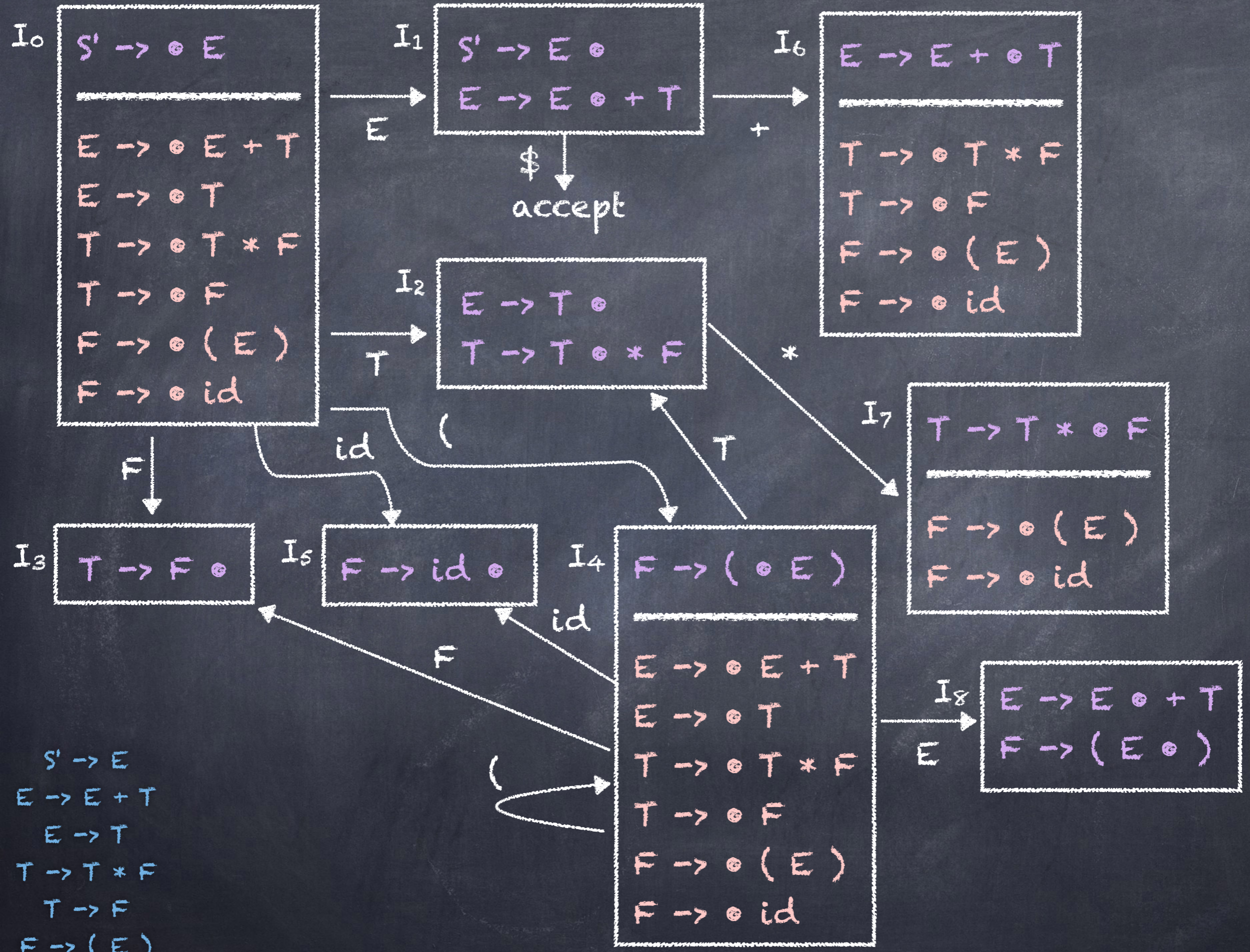
$S' \rightarrow E$   
 $E \rightarrow E + T$   
 $E \rightarrow T$   
 $T \rightarrow T * F$   
 $T \rightarrow F$   
 $F \rightarrow (E)$   
 $F \rightarrow id$

$S' \rightarrow E$   
 $E \rightarrow E + T$   
 $E \rightarrow T$   
 $T \rightarrow T * F$   
 $T \rightarrow F$   
 $F \rightarrow (E)$   
 $F \rightarrow id$

$I_s$   $F \rightarrow id \circ$

Compute  $GOTO(I_s, X)$  for each  $X$   
in  $\{ +, *, '(', ')', id, E, T, F, \$ \}$

$\text{GOTO}(I_s, E) = \text{GOTO}(I_s, T) = \text{GOTO}(I_s, F) = \text{GOTO}(I_s, +) =$   
 $\text{GOTO}(I_s, *) = \text{GOTO}(I_s, '(') = \text{GOTO}(I_s, ')') = \text{GOTO}(I_s, id) =$   
 $\text{GOTO}(I_s, \$) = \emptyset$



$S' \rightarrow E$   
 $E \rightarrow E + T$   
 $E \rightarrow T$   
 $T \rightarrow T * F$   
 $T \rightarrow F$   
 $F \rightarrow (E)$   
 $F \rightarrow id$

$I_6$

$E \rightarrow E + \odot T$

---

$T \rightarrow \odot T * F$

$T \rightarrow \odot F$

$F \rightarrow \odot ( E )$

$F \rightarrow \odot id$

$S' \rightarrow E$

$E \rightarrow E + T$

$E \rightarrow T$

$T \rightarrow T * F$

$T \rightarrow F$

$F \rightarrow ( E )$

$F \rightarrow id$

Compute  $GOTO(I_6, X)$  for each  $X$   
in  $\{ +, *, '(', ')', id, E, T, F, \$ \}$

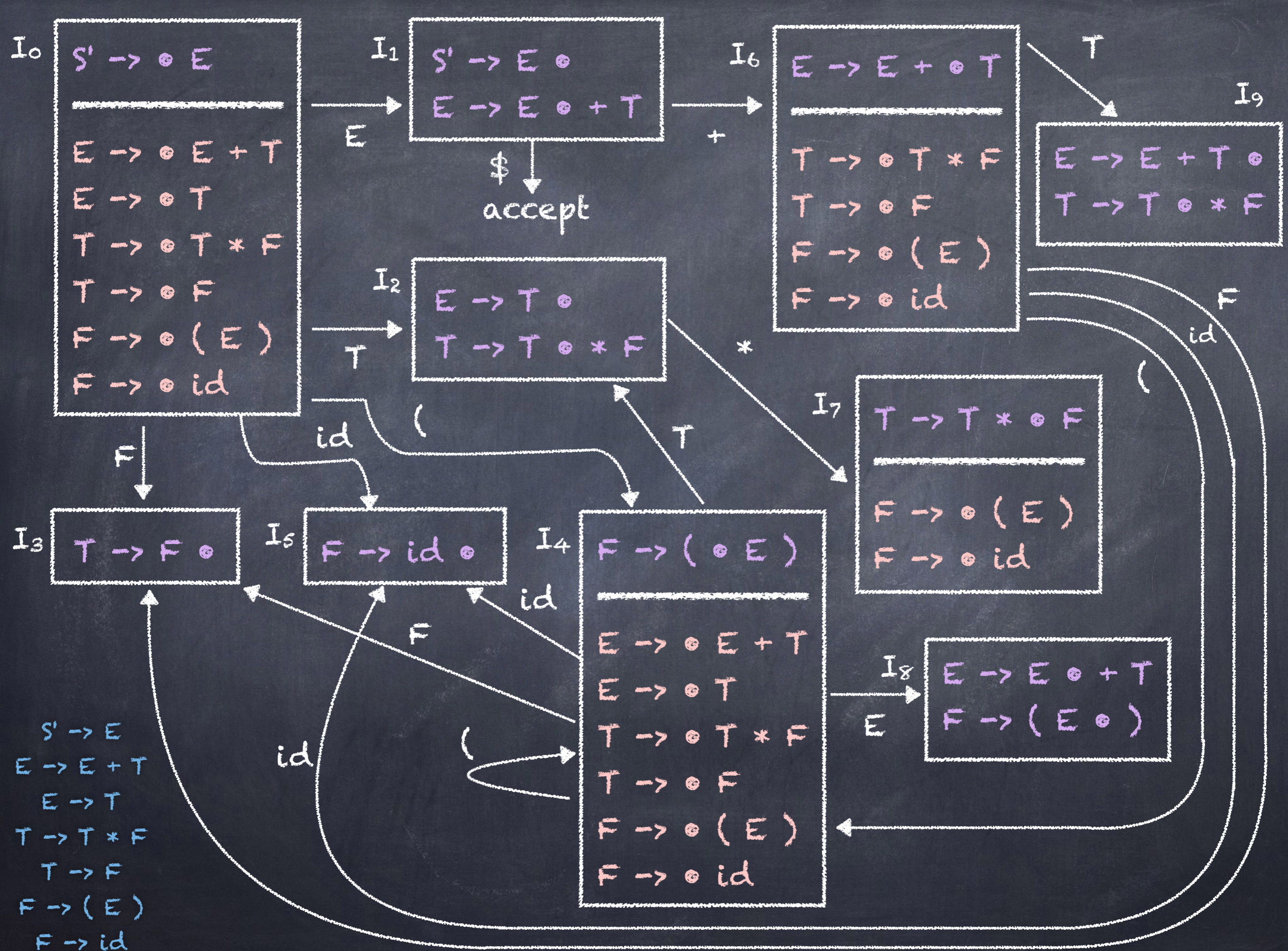
$$\text{GOTO}(I_6, T) = \text{CLOSURE}(\{ E \rightarrow E + T \bullet, T \rightarrow T \bullet * F \}) = \{ E \rightarrow E + T \bullet, T \rightarrow T \bullet * F \}$$

$$\text{GOTO}(I_6, F) = \text{CLOSURE}(\{ T \rightarrow F \bullet \}) = I_3$$

$$\text{GOTO}(I_6, '(') = \text{CLOSURE}(\{ F \rightarrow ( \bullet E ) \}) = I_4$$

$$\text{GOTO}(I_6, \text{id}) = \text{CLOSURE}(\{ F \rightarrow \text{id} \bullet \}) = I_5$$

$$\begin{aligned} \text{GOTO}(I_6, E) &= \text{GOTO}(I_6, ')') = \text{GOTO}(I_6, +) = \text{GOTO}(I_6, *) = \\ \text{GOTO}(I_6, \$) &= \emptyset \end{aligned}$$



- $S' \rightarrow E$
- $E \rightarrow E + T$
- $E \rightarrow T$
- $T \rightarrow T * F$
- $T \rightarrow F$
- $F \rightarrow (E)$
- $F \rightarrow id$



$S' \rightarrow E$   
 $E \rightarrow E + T$   
 $E \rightarrow T$   
 $T \rightarrow T * F$   
 $T \rightarrow F$   
 $F \rightarrow (E)$   
 $F \rightarrow id$

$I_7$

$T \rightarrow T * \bullet F$
<hr/>
$F \rightarrow \bullet (E)$
$F \rightarrow \bullet id$

Compute  $GOTO(I_7, X)$  for each  $X$   
in  $\{ +, *, '(', ')', id, E, T, F, \$ \}$

$$\text{GOTO}(I_7, F) = \text{CLOSURE}(\{T \rightarrow T * F \bullet\}) = \{T \rightarrow T * F \bullet\}$$

$$\text{GOTO}(I_7, '(') = \text{CLOSURE}(\{F \rightarrow (\bullet E)\}) = I_4$$

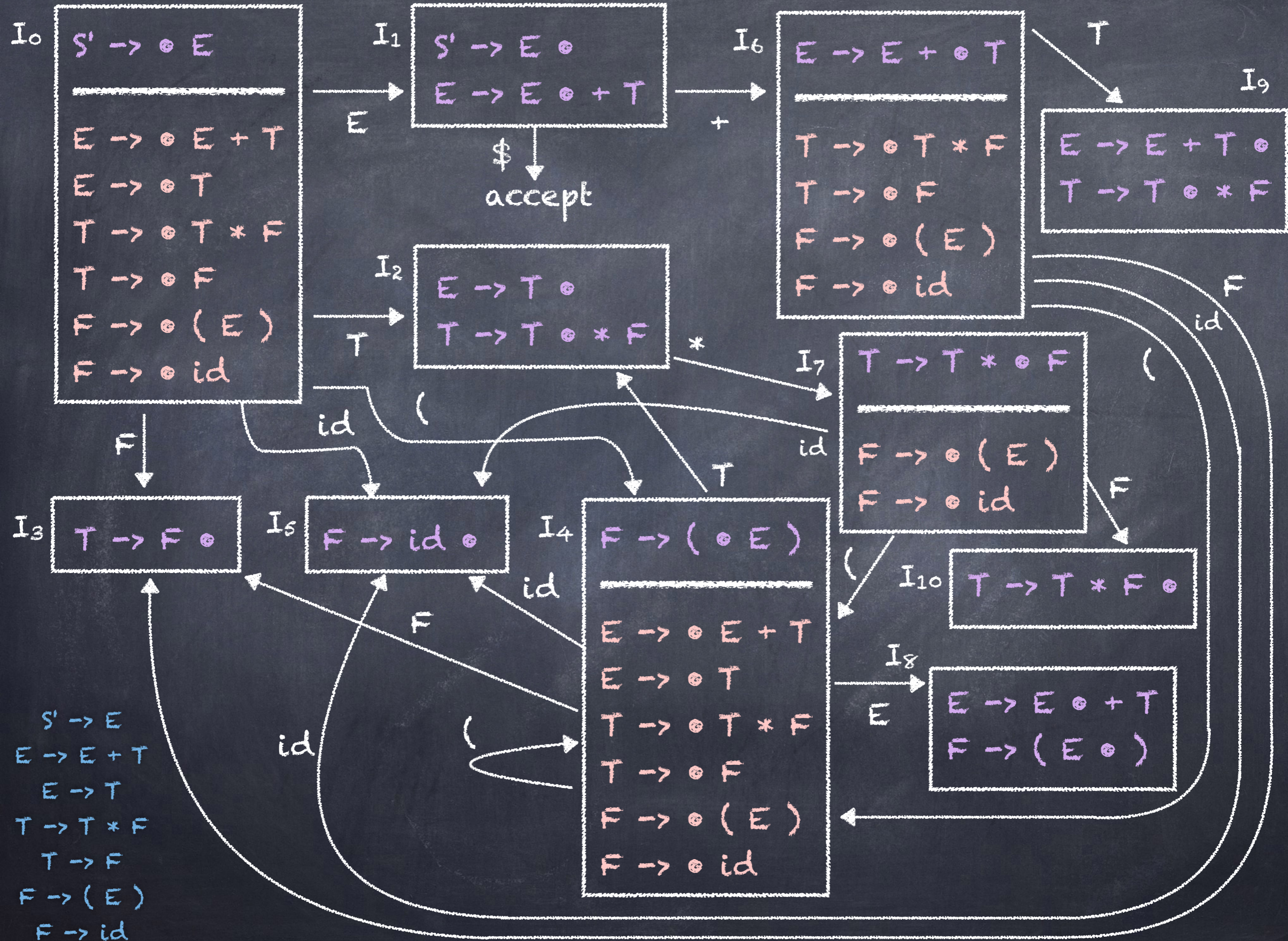
$$\text{GOTO}(I_7, \text{id}) = \text{CLOSURE}(\{F \rightarrow \text{id} \bullet\}) = I_5$$

$S' \rightarrow E$   
 $E \rightarrow E + T$   
 $E \rightarrow T$   
 $T \rightarrow T * F$   
 $T \rightarrow F$   
 $F \rightarrow (E)$   
 $F \rightarrow \text{id}$

$I_{10}$

$T \rightarrow T * F \bullet$

$$\text{GOTO}(I_7, E) = \text{GOTO}(I_7, T) = \text{GOTO}(I_7, ')') = \text{GOTO}(I_7, +) = \text{GOTO}(I_7, *) = \text{GOTO}(I_7, \$) = \emptyset$$



- $S' \rightarrow E$
- $E \rightarrow E + T$
- $E \rightarrow T$
- $T \rightarrow T * F$
- $T \rightarrow F$
- $F \rightarrow (E)$
- $F \rightarrow id$

$S' \rightarrow E$   
 $E \rightarrow E + T$   
 $E \rightarrow T$   
 $T \rightarrow T * F$   
 $T \rightarrow F$   
 $F \rightarrow (E)$   
 $F \rightarrow id$

Compute  $GOTO(I_8, X)$  for each  $X$   
in  $\{ +, *, '(', ')', id, E, T, F, \$ \}$

$I_8$

$E \rightarrow E \bullet + T$   
 $F \rightarrow ( E \bullet )$

$$\text{GOTO}(I_8, ') = \text{CLOSURE}(\{F \rightarrow (E) \bullet\}) = \{F \rightarrow (E) \bullet\}$$

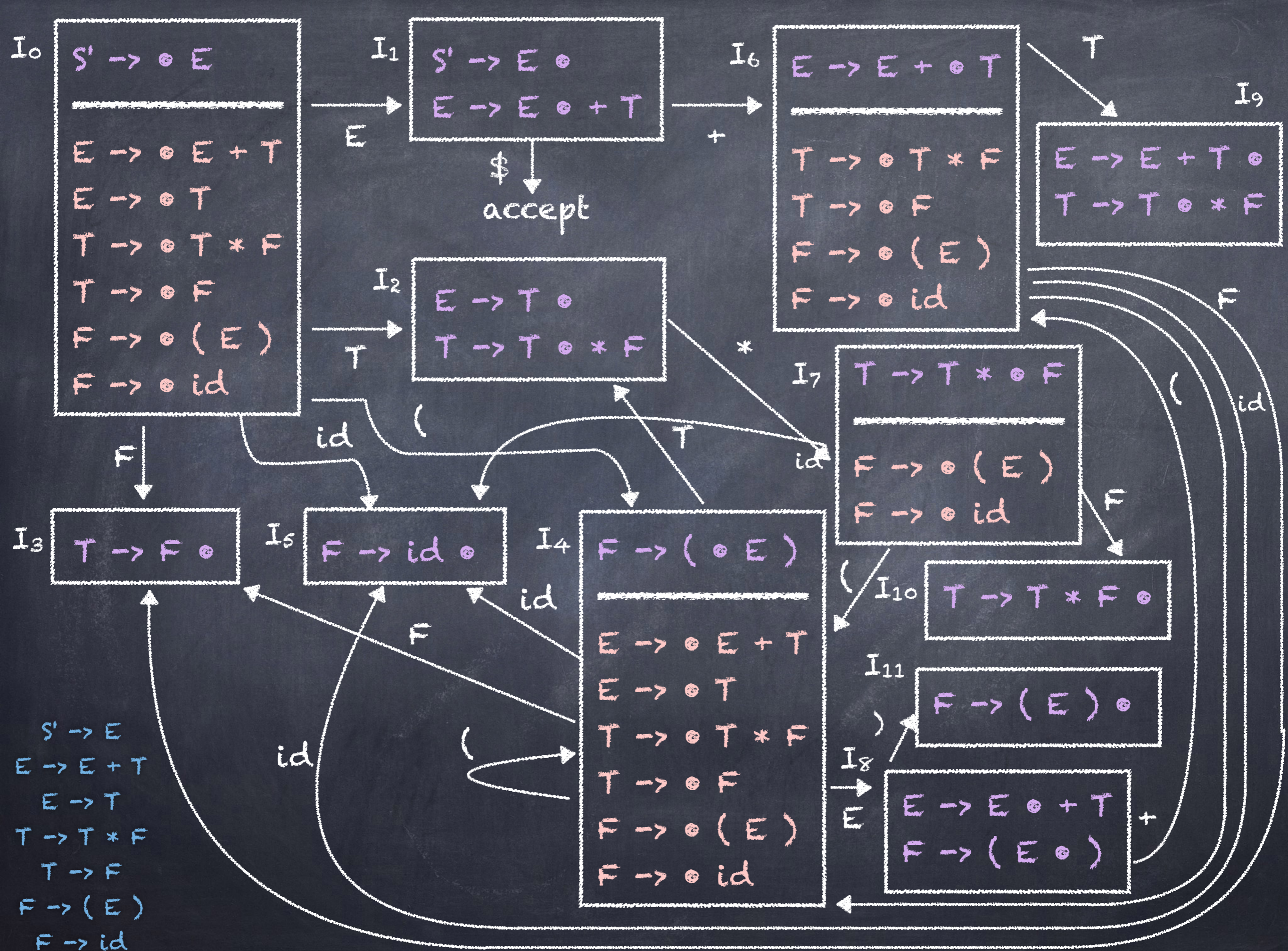
$$\text{GOTO}(I_8, +) = \text{CLOSURE}(\{E \rightarrow E + \bullet T\}) = I_6$$

$S' \rightarrow E$   
 $E \rightarrow E + T$   
 $E \rightarrow T$   
 $T \rightarrow T * F$   
 $T \rightarrow F$   
 $F \rightarrow (E)$   
 $F \rightarrow id$

$I_{11}$

$F \rightarrow (E) \bullet$

$$\text{GOTO}(I_8, '(') = \text{GOTO}(I_8, '*') = \text{GOTO}(I_8, 'E') = \text{GOTO}(I_8, 'T') = \text{GOTO}(I_8, 'F') = \text{GOTO}(I_8, '\$') = \text{GOTO}(I_8, 'id') = \emptyset$$



- $S' \rightarrow E$
- $E \rightarrow E + T$
- $E \rightarrow T$
- $T \rightarrow T * F$
- $T \rightarrow F$
- $F \rightarrow (E)$
- $F \rightarrow id$

$S' \rightarrow E$

$E \rightarrow E + T$

$E \rightarrow T$

$T \rightarrow T * F$

$T \rightarrow F$

$F \rightarrow ( E )$

$F \rightarrow id$

$I_0$

$E \rightarrow E + T \circ$

$T \rightarrow T \circ * F$

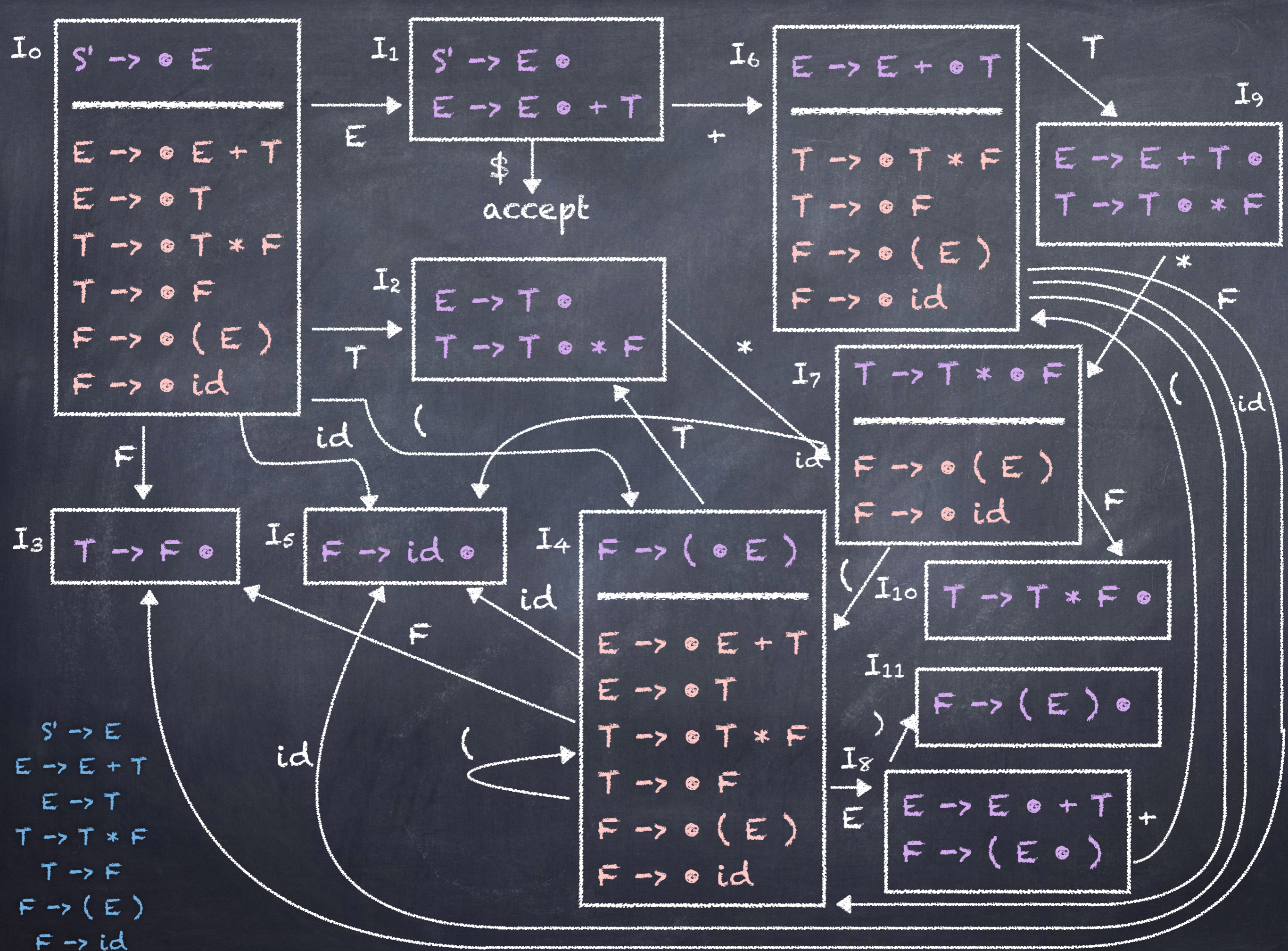
Compute  $GOTO(I_0, X)$  for each  $X$   
in  $\{ +, *, '(', ')', id, E, T, F, \$ \}$

$S' \rightarrow E$   
 $E \rightarrow E + T$   
 $E \rightarrow T$   
 $T \rightarrow T * F$   
 $T \rightarrow F$   
 $F \rightarrow ( E )$   
 $F \rightarrow id$

$$\text{GOTO}(I_9, *) = \text{CLOSURE}(\{ T \rightarrow T * \bullet F \}) = I_7$$

$$\begin{aligned} \text{GOTO}(I_9, '(') &= \text{GOTO}(I_9, ')') = \text{GOTO}(I_9, +) = \text{GOTO}(I_9, id) = \text{GOTO}(I_9, \$) = \\ \text{GOTO}(I_9, E) &= \text{GOTO}(I_9, T) = \text{GOTO}(I_9, F) = \emptyset \end{aligned}$$





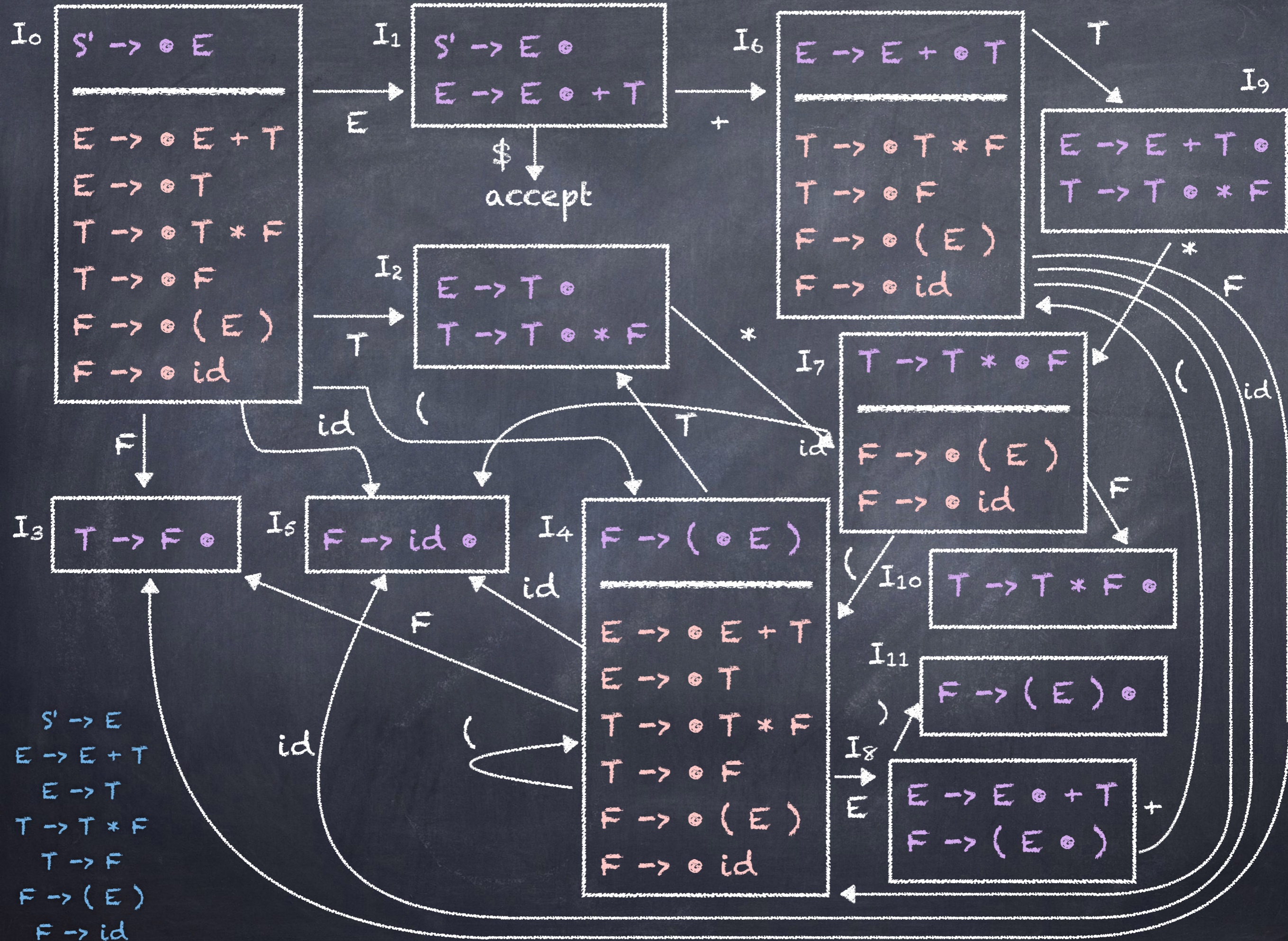
- $S' \rightarrow E$
- $E \rightarrow E + T$
- $E \rightarrow T$
- $T \rightarrow T * F$
- $T \rightarrow F$
- $F \rightarrow (E)$
- $F \rightarrow id$

$S' \rightarrow E$   
 $E \rightarrow E + T$   
 $E \rightarrow T$   
 $T \rightarrow T * F$   
 $T \rightarrow F$   
 $F \rightarrow (E)$   
 $F \rightarrow id$

$I_{10}$   $T \rightarrow T * F \odot$

Compute  $GOTO(I_{10}, X)$  for each  $X$   
in  $\{ +, *, '(', ')', id, E, T, F, \$ \}$

$\text{GOTO}(I_{10}, E) = \text{GOTO}(I_{10}, T) = \text{GOTO}(I_{10}, F) = \text{GOTO}(I_{10}, +) =$   
 $\text{GOTO}(I_{10}, *) = \text{GOTO}(I_{10}, '(') = \text{GOTO}(I_{10}, ')') = \text{GOTO}(I_{10}, id) =$   
 $\text{GOTO}(I_{10}, \$) = \emptyset$



- $S' \rightarrow E$
- $E \rightarrow E + T$
- $E \rightarrow T$
- $T \rightarrow T * F$
- $T \rightarrow F$
- $F \rightarrow (E)$
- $F \rightarrow id$

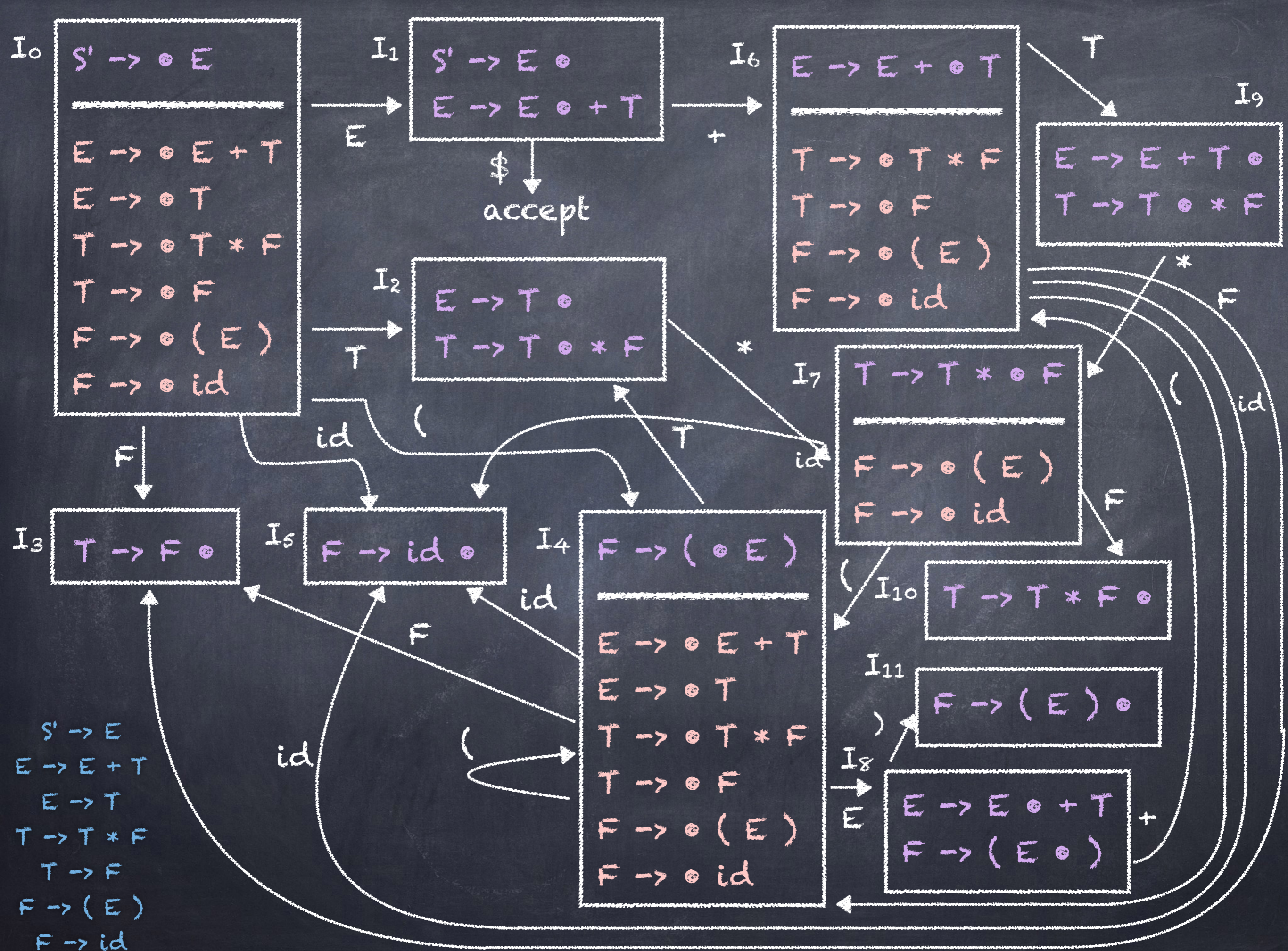
$S' \rightarrow E$   
 $E \rightarrow E + T$   
 $E \rightarrow T$   
 $T \rightarrow T * F$   
 $T \rightarrow F$   
 $F \rightarrow (E)$   
 $F \rightarrow id$

$I_{11}$

$F \rightarrow (E) \circ$

Compute  $GOTO(I_{11}, X)$  for each  $X$   
in  $\{ +, *, '(', ')', id, E, T, F, \$ \}$

$\text{GOTO}(I_{11}, E) = \text{GOTO}(I_{11}, T) = \text{GOTO}(I_{11}, F) = \text{GOTO}(I_{11}, +) =$   
 $\text{GOTO}(I_{11}, *) = \text{GOTO}(I_{11}, '(') = \text{GOTO}(I_{11}, ')') = \text{GOTO}(I_{11}, id) =$   
 $\text{GOTO}(I_{11}, \$) = \emptyset$



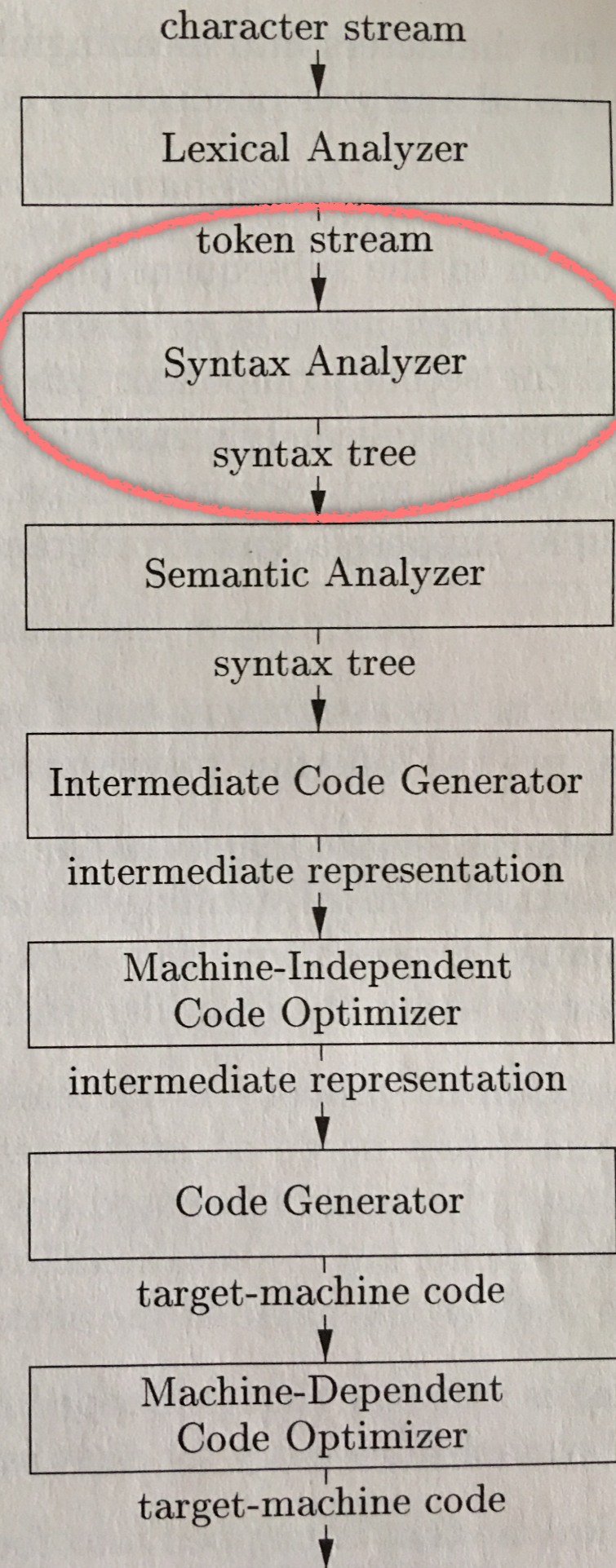
- $S' \rightarrow E$
- $E \rightarrow E + T$
- $E \rightarrow T$
- $T \rightarrow T * F$
- $T \rightarrow F$
- $F \rightarrow (E)$
- $F \rightarrow id$

# Phases of a compiler

Syntactic  
structure

Symbol Table

Figure 1.7,  
page 5 of text

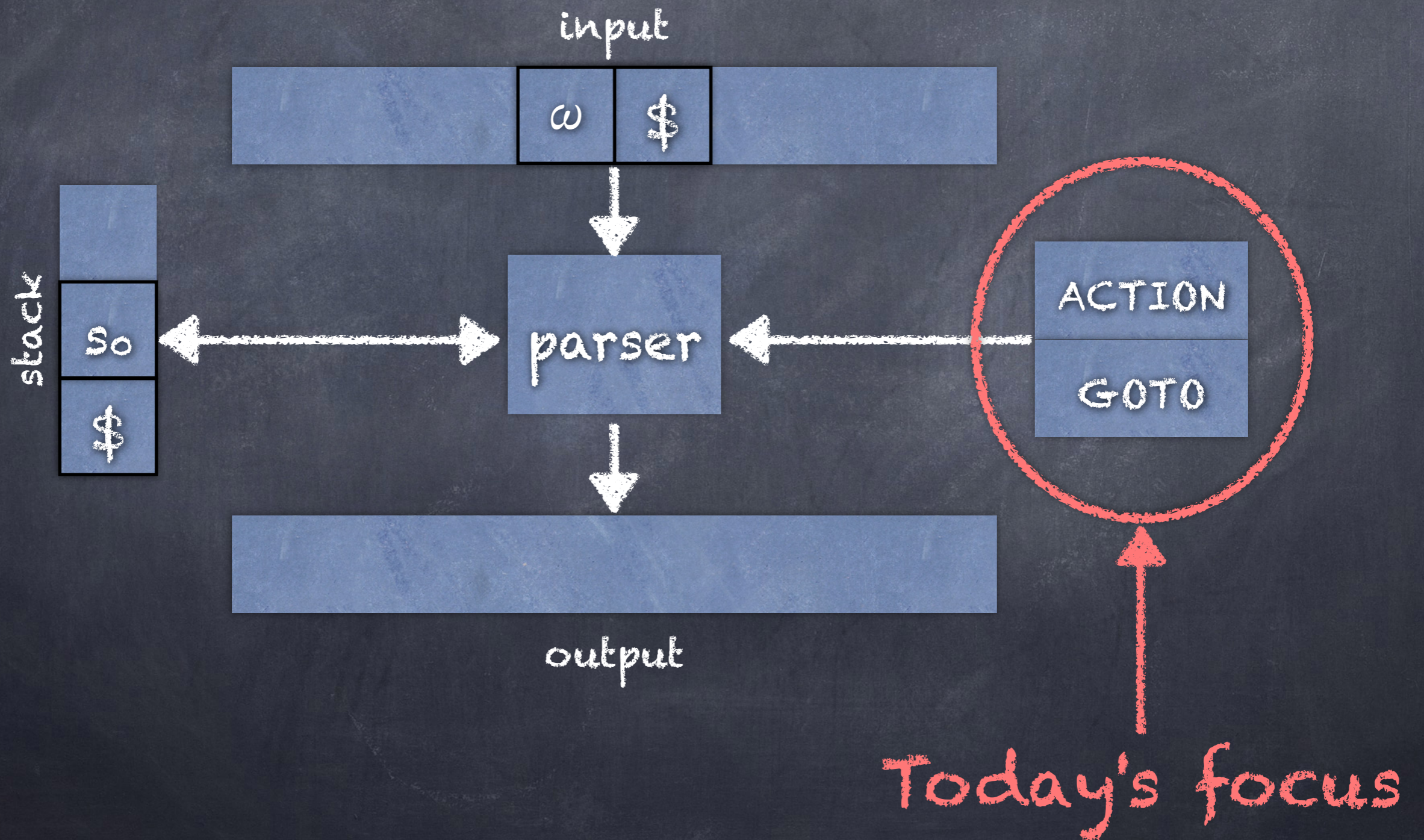




# Initial state of the parser

(top of stack is current state in LR(0) automata)

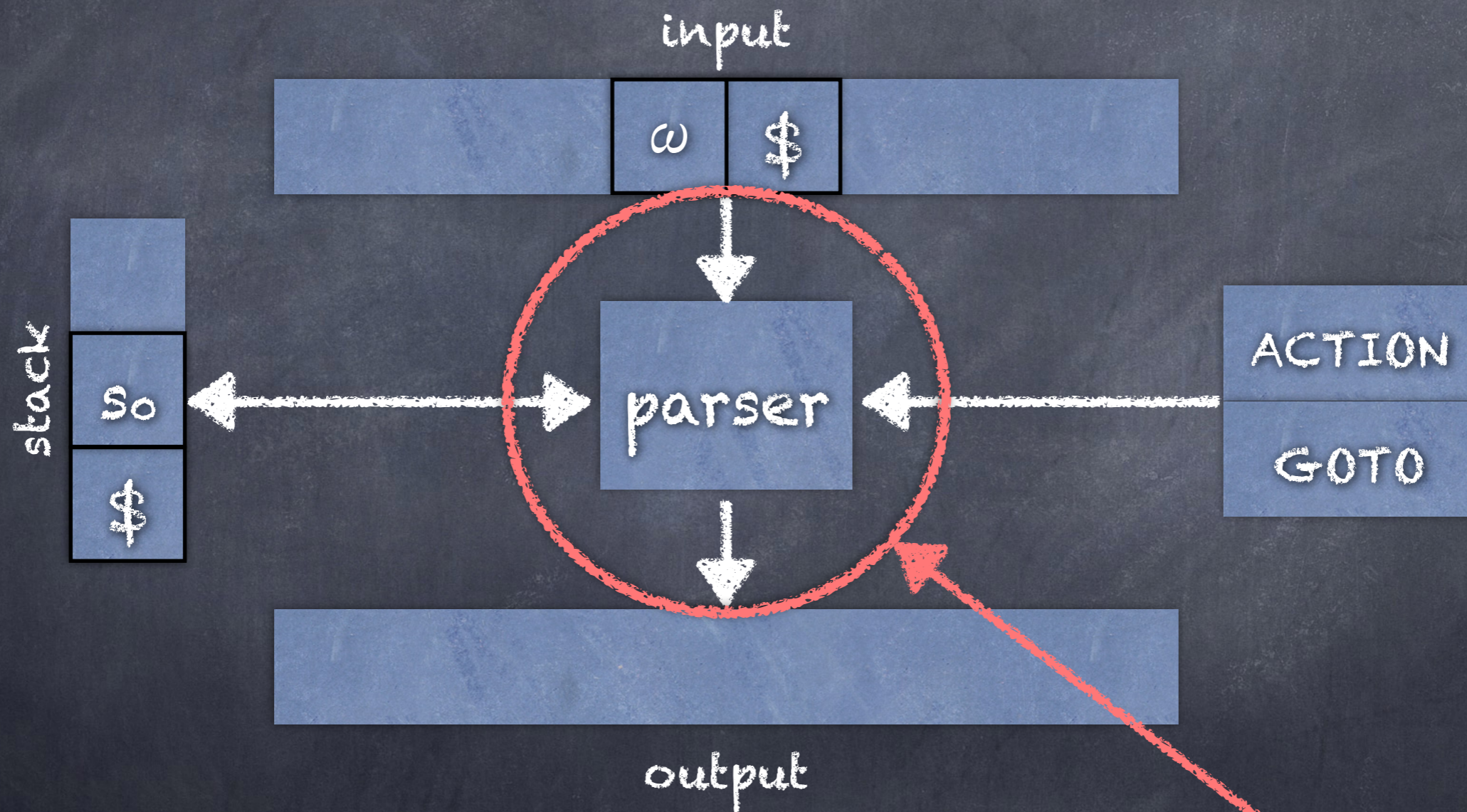
modified from figure 4.35 [p. 248]



# Initial state of the parser

(top of stack is current state in LR(0) automata)

modified from figure 4.35 [p. 248]



Later lectures

# LR(k)

- LR(k) parser
  - L  $\Rightarrow$  left-to-right scanning of input
  - R  $\Rightarrow$  rightmost derivation in reverse
  - k  $\Rightarrow$  number of lookahead symbols
  - k is typically 0 or 1
  - LR  $\Rightarrow$  LR(1)

# LR(k)

- LR(k) parser
  - L  $\Rightarrow$  left-to-right scanning of input
  - R  $\Rightarrow$  rightmost derivation in reverse
  - k  $\Rightarrow$  number of lookahead symbols
  - k is typically 0 or 1
  - LR  $\Rightarrow$  LR(1)

Lookahead here refers to how many input symbols can be consulted during parsing

[pg. 242]

- "The LR-parsing method is the most general nonbacktracking shift-reduce parsing method known"
- "[The LR-parsing method] can be implemented as efficiently as other [...] shift-reduce methods"
- "An LR parser can detect a syntactic error as soon as it is possible to do so on a left-to-right scan of the input."
- "The class of grammars that can be parsed using LR methods is a proper superset of the class of grammars that can be parsed with predictive or LL methods."