

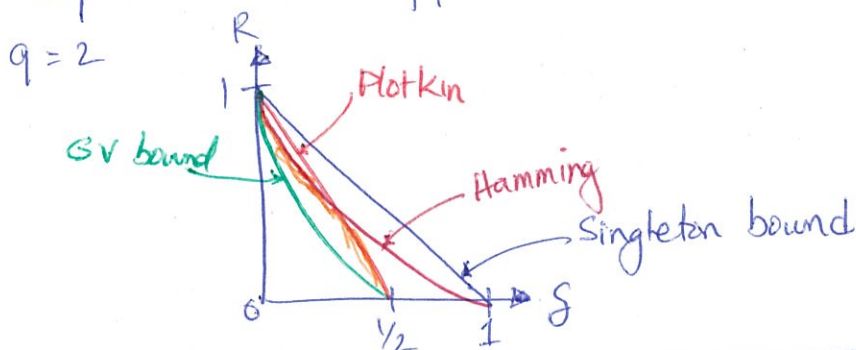
March 12, 2019

## REMINDERS

- (1) HW 3 out this Th and due 2 weeks after that (Mar 28)  
↳ Delay in HW 2 grading (during spring break)
- (2) 2-page report due in 3 week (April 2)  
↳ You can submit early & get comments sooner → email me after submission
- (3) 2<sup>nd</sup> round of proof reading starts today  
↳ Make sure you're aware when your 2<sup>nd</sup> proof reading is due

## RECAP:

Big Q: Optimal tradeoff between  $R$  &  $S$ . (recall: worst-case noise model due to Hamming)



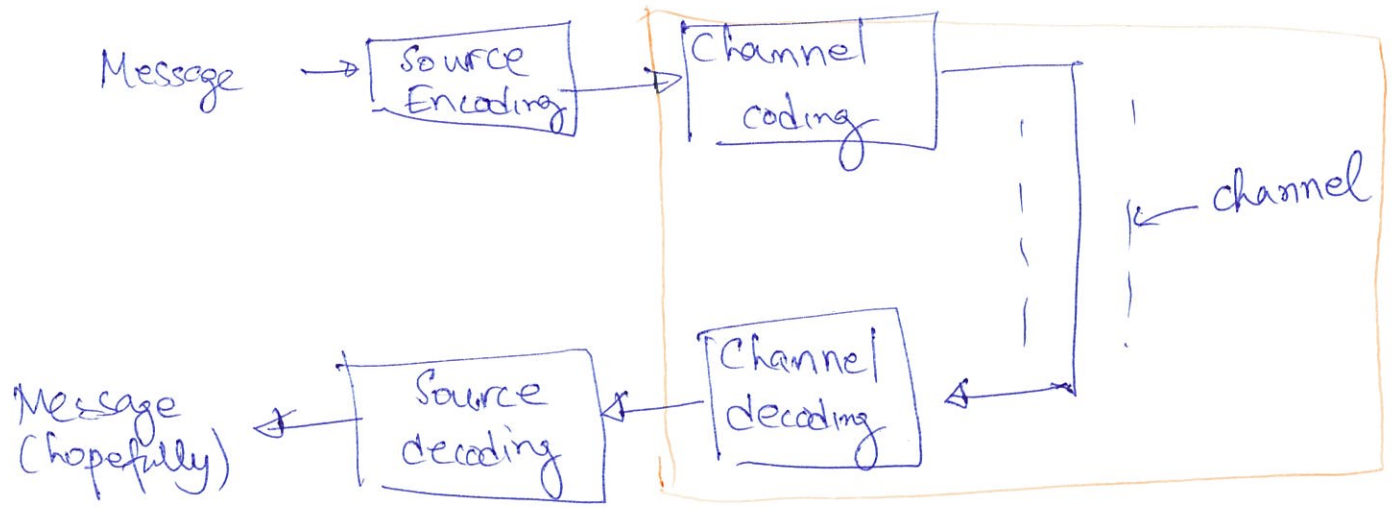
## TODAY: Proof reader → Amy

- Revisit the worst-case noise model.
- Noise model pioneered by Shannon ← things are pretty different compared to worst-case noise model
  - ↳ Memoryless (ie noise model acts independently on each transmitted symbol)
  - ↳ (Fully specified) random noise.

## Shannon's '48 paper:

- (\*) Noisy channel: (Channel coding) Our setup so far except noise is not worst-case ('stochastic noise')
- (\*) Noiseless channel: (Source coding) No noise during transmission ⇒ do compression.

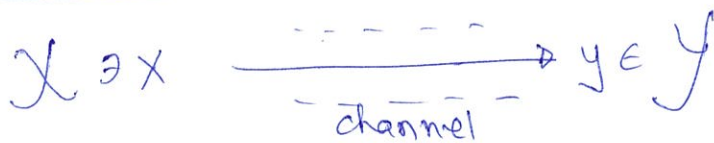
Generic setup:



→ In Shannon's setup can ~~decouple~~ decouple source and channel coding/decoding & optimize separately.  
(More on channel coding next.)

Source coding: notion of entropy as the "right" notion of compressibility was introduced.

Shannon's noise model:



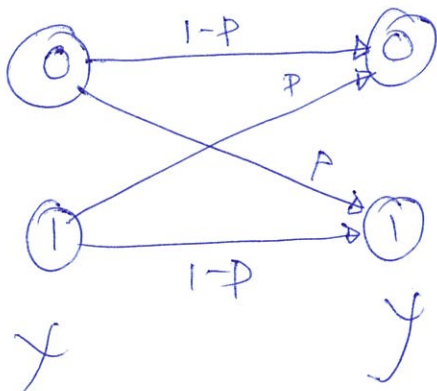
For us:  $X, Y$ : discrete.

memoryless: same noise function acts on all the  $n$  symbols transmitted.

Transition matrix  $X \ni x \rightarrow \begin{pmatrix} \vdots \\ \vdots \end{pmatrix} = M = \Pr(Y|X)$

① Binary Symmetric Channel (BSC)  $0 \leq p \leq 1$  (BSC<sub>p</sub>)

$X = Y = \{0, 1\}$



= each bit gets flipped w.p.  $p$

Q: Assume  $0 < p \leq \frac{1}{2}$ .

A: flip all bit received.  
& use scheme for  $0 \leq p \leq \frac{1}{2}$ .

## ② q-ary Symmetric channel ( $qSC_p$ ) ( $q=2 \rightarrow BSC_p$ )

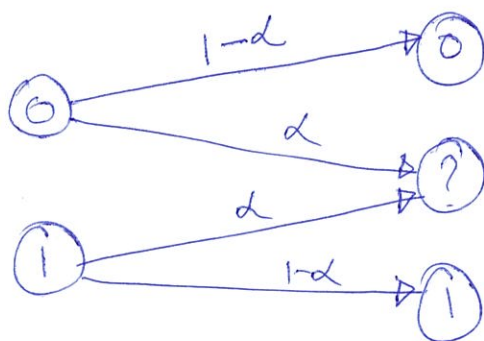
$$0 \leq p \leq 1 - \frac{1}{q} \quad X = Y = [q]$$

$$M[X, Y] = \begin{cases} 1-p & \text{if } y=x \\ \frac{p}{q-1} & y \neq x \end{cases}$$

so for  $X = Y$

## ③ Binary erasure channel ( $BEC_\alpha$ ) $0 \leq \alpha \leq 1$

$$X = \{0, 1\} ; Y = \{0, 1, ?\}$$



i.e. each bit independent gets flipped with prob  $\alpha$ .

Error correction: ( $BSC_p$ )  $\rightarrow$  some non-zero prob that transmitted codeword gets changed to another codeword.

$BEC_\alpha \rightarrow$  some non-zero prob that all symbols got erased.

WANT: Every message is decoded correctly w.p.  $1 - f(n)$   
 Let  $\lim_{n \rightarrow \infty} f(n) = 0$  Ideally:  $f(n) = 2^{-\Omega(n)}$

## Shannon's general result

Big Q!

$R$  vs errors  $\rightarrow$  error parameter  
 $p$ :  $qSC_p$

$\alpha$ :  $BEC_\alpha$

Shannon's thm: For every channel there is a specific  $0 \leq C \leq 1$  s.t.  $\forall$  all  $R < C \Rightarrow$  reliable communication.  
 $\&$  for any  $R > C \Rightarrow$  ————— is not possible

$C$ : capacity of channel.

Next: Above for  $BSC_p$

$$\bar{e} \sim \text{BSC}_p \quad \bar{e} \in \{0,1\}^n$$

Shannon's Capacity thm for BSCp

$$0 \leq p < \frac{1}{2}, \quad 0 \leq \epsilon \leq \frac{1}{2} - p$$

following are true for large enough  $n$ :

①  $\exists \delta > 0$ , an encoding function  $E: \{0,1\}^k \rightarrow \{0,1\}^n$   
 a decoding  $D: \{0,1\}^n \rightarrow \{0,1\}^k$   
 for  $k \leq \lfloor (1-H(p)-\epsilon)n \rfloor$  s.t.  
 $\forall \bar{m} \in \{0,1\}^k \quad \Pr_{\bar{e} \sim \text{BSC}_p} [D(E(\bar{m}) + \bar{e}) \neq \bar{m}] \leq 2^{-\delta n}$

② If  $k \geq \lfloor (1-H(p)+\epsilon)n \rfloor$  then  $\forall E: \{0,1\}^k \rightarrow \{0,1\}^n$   
 $D: \{0,1\}^n \rightarrow \{0,1\}^k$   
 $\exists \bar{m} \in \{0,1\}^k$  s.t.  
 $\Pr_{\bar{e} \sim \text{BSC}_p} [D(E(\bar{m}) + \bar{e}) \neq \bar{m}] \geq \frac{1}{2}$  \* can make this  $1 - 2^{-\delta n}$

$\Rightarrow$  Capacity of BSCp :  $1-H(p)$  } same reason as GV  
 $\text{qSCp} : 1-H_q(p)$  } bound: upper/lower  
 $\text{BEL} : 1-\alpha$  } bounds for  
 $\text{Vol}_q(pn, n)$

Pf (sketch) of part ①

Via probabilistic method: Pick  $E$  at random:

$D: \text{MLD}_E$   
 $\forall \bar{m} \in \{0,1\}^k \quad E(\bar{m})$  is uniformly random  $\in \{0,1\}^n$   
 $\hookrightarrow$  independent choices for different  $\bar{m}$ .

2 steps:

Step 1: For any  $\bar{m} \in \{0,1\}^k$ , for random  $E$  prob of  
 decoding error is  $2^{-\Omega(n)}$   
 $\Rightarrow \exists$  a good  $E$  for a fixed  $\bar{m}$

Step 2: show similar result  $\forall \bar{m} \in \{0,1\}^k$ .  
 Involves crapping half of codewords ( $k \rightarrow k-1$ )

2 sources of randomness:

① Choice of  $E$   $\rightarrow$  for prob. method

② Randomness in BSCp noise  $\rightarrow$  contributes to decoding error prob.