

(4/16)
2019

REMINDERS

- (1) Mini project video due in 2 weeks (11:59pm, April 30)
- (2) HW 3 has been graded
- (3) 3rd proof reading schedule is now up.

RS Unique decoding

$\alpha_1, \dots, \alpha_n$ distinct

PROBLEM:

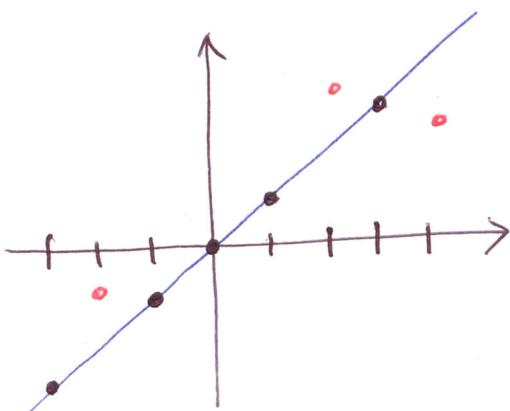
Input: $(y_1, \alpha_1), (y_2, \alpha_2), \dots, (y_n, \alpha_n) \in \mathbb{F}_q \times \mathbb{F}_q$

Output: (Unique) $P(x)$ of deg $< k$ s.t

we're looking for at least $t = n - e$ locations $i \in [n]$
 "lines" $y_i = P(\alpha_i)$ where

$$e < \frac{n-k+1}{2} \text{ or } t > \frac{n+k-1}{2}$$

or example: $n=8, k=2, e=3$



Welch-Berlekamp algo outline:

- ① Assume you know $P(x)$
- ② Come up w/ some poly identity that $P(x)$ satisfies
- ③ Generalize identity & "forget" $P(x)$
- ④ "Solve" general identity to get $P(x)$

Error locator poly

$E(x)$ s.t $\forall i$ s.t $P(\alpha_i) \neq y_i \Rightarrow E(\alpha_i) = 0$

~~$$E(x) = \prod_{i: P(\alpha_i) \neq y_i} (x - \alpha_i)$$~~

\Rightarrow CLAIM: $\forall i \in [n] \quad P(\alpha_i) E(\alpha_i) = y_i E(\alpha_i)$

\nwarrow n equations. $\# \text{vars} \uparrow$ $\downarrow e \Rightarrow$ $n \text{eqns in } k+e < n$
 BUT \uparrow quadratic eqns! \downarrow vars

TODAY [Proof reader: Alex]

- ① Finish WB algo
- ② Start with list decoding algos for RS

$$\forall i \in [n] \quad y_i E(d_i) = P(d_i) E(x_i)$$

Linearization: $N(x) = P(x) E(x)$ $\deg(N) \leq k-1+e = k+e-1$

(1) $\forall i \in [n] \quad \leftarrow N(d_i) = y_i E(d_i)$

$\rightarrow n$ linear eqns

$$\rightarrow \# \text{vars} = k+e + \cancel{k+e} = k+2e$$

we'll OK if $\# \text{vars} \leq \# \text{eqns}$.

2) If we compute $N(x)$ & $E(x)$

$$k+2e \leq n \\ \text{or } e \leq \frac{n-k}{2}$$

hopefully $P(x) = \frac{N(x)}{E(x)}$

Welch-Berlekamp Algo

i/p: $1 \leq k \leq n$, $0 \leq e \leq \frac{n-k+1}{2}$, n pairs (x_i, y_i)

o/p: $P(x)$ of deg $\leq k-1$ or FAIL

① Compute a monic $E(x)$ of deg = e , $N(x)$ of deg $\leq k+e-1$

s.t.

$$\forall i \in [n] \quad N(d_i) = y_i E(d_i) \quad \left\{ \begin{array}{l} \text{Q2 on HND} \\ O(n^3) \end{array} \right.$$

② If no such $N(x)$ or $E(x)$ \exists , output FAIL

③ If $E(x)$ does not divide $N(x)$

"long division"
 $O(n^3)$

④ $P(x) \leftarrow \frac{N(x)}{E(x)}$

⑤ If $\deg(P) \geq k$ or $\Delta(\bar{y}, (P(x_i)))_{i=1}^n > e$ o/p FAIL

⑥ Output $P(x)$

If WB outputs $P(x) \Rightarrow$ WB is correct.

Thm: If $P(x)$ is close enough to $\bar{y} \Rightarrow$ WB outputs $P(x)$

Claim 1: $\exists N^*(x), E^*(x)$ satisfying step 1 s.t. $P(x) = \frac{N^*(x)}{E^*(x)}$

\uparrow monic
 $\deg \leq e+k-1$ deg

$\forall i \quad N(\alpha_i) = y_i \cdot E(\alpha_i)$

Claim 2: $\exists (N_1(x), E_1(x)) \neq (N_2(x), E_2(x))$ that both satisfy step 1 $\Rightarrow \frac{N_1(x)}{E_1(x)} = \frac{N_2(x)}{E_2(x)}$

Claims 1+2 \Rightarrow Thm $e - A(y_i P(\alpha_i))_{i=1}^n$

Pf of Claim 1: $E^*(x) = x \cdot \prod_{i: P(\alpha_i) \neq y_i} (x - \alpha_i)$ \leftarrow monic + $\deg = e$

$$N^*(x) = E^*(x) \cdot P(x) \quad \leftarrow \deg \leq e+k-1 \quad \text{Also } N^*(\alpha_i) = y_i \cdot E(\alpha_i) \quad \forall i \in [n]$$

Pf of Claim 2: Use degree mantra. $\forall i \quad N_1(\alpha_i) = y_i \cdot E_1(\alpha_i)$

$$R(x) = N_1(x)E_2(x) - N_2(x)E_1(x) \quad N_2(\alpha_i) = y_i \cdot E_2(\alpha_i)$$

$$\forall i, R(\alpha_i) = N_1(\alpha_i)E_2(\alpha_i) - N_2(\alpha_i)E_1(\alpha_i)$$

$$= y_i E_1(\alpha_i)E_2(\alpha_i) - y_i E_2(\alpha_i)E_1(\alpha_i)$$

$\Rightarrow R$ has n roots.

$$\deg(R) \leq \deg(N) + \deg(E) \leq e+k-1 + e = 2e+k-1 < n$$

\Rightarrow By deg mantra $R(x) = 0$ by char of e

$$\Rightarrow N_1(x)E_2(x) = N_2(x)E_1(x)$$

$$\Rightarrow \frac{N_1(x)}{E_1(x)} = \frac{N_2(x)}{E_2(x)} \quad (\text{since } E_1(x), E_2(x) \neq 0 \text{ as } \deg(E_1) = \deg(E_2) = e > 0)$$

Observed WB takes $\mathcal{O}(n^3)$ ops over \mathbb{F}_q

Thm: For any $[n, k, d]_q$ RS code, correct up to $\leq \frac{d-1}{2} = \frac{n-k}{2}$ error is $\mathcal{O}(n^3)$ ops over \mathbb{F}_q .

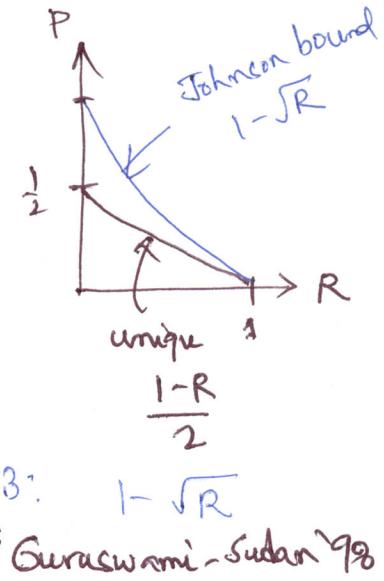
List decoding for RS codes

Recall: Johnson bound \Rightarrow RS is $(1-\sqrt{R}, O(n^2))$
- list decodable.

Q: Can we correct upto $1-\sqrt{R}$ frac of error in $\text{poly}(n)$ time?

A: Yes.

Plan: Algo 1: $1-2\sqrt{R}$, Algo 2: $1-\sqrt{2R}$, Algo 3: $1-\sqrt{R}$
 ↑ Sudan '96 ↑ Guruswami-Sudan '98



i/p: $(y_1, d_1), \dots, (y_n, d_n) \in \mathbb{F}_q^n \times \mathbb{F}_q$

o/p: ALL poly $P(x)$ of deg $\leq k-1$ s.t $P(d_i) = y_i$ for at least

$$WB \Rightarrow t \geq \frac{n+R}{2}$$

\boxed{t} location $i \in [n]$
 ↑ make this as small as possible

WB reformulation

Step 1: Find $P(x)$ & $N(x)$ s.t $\forall i \in [n]$
 $\text{deg } P(x) \leq k-1$ $\text{deg } N(x) \leq c(k-1)$ $N(d_i) = y_i \cdot E(d_i)$

Step 2: If $Y - P(x)$ divides $Q(x, Y) = YE(x) - N(x)$
 ⇒ output $P(x)$

→ Step 1': Compute $Q(x, Y) = Y(E(x) - N(x))$
 s.t $\forall i \in [n]$, $Q(d_i, \cancel{y_i}) = 0$

LD algo skeleton:

Step 1 (interpolation): Compute non-zero $Q(x, Y)$ s.t
 $\forall i \in [n] \quad Q(d_i, y_i) = 0$

Step 2 (root finding): If $Y - P(x)$ divides $Q(x, Y)$, add $P(x)$ to output list

$$WB: Q(x, Y) = YE(x) - N(x)$$

Algo 1/2/3: Put less constraints on $Q(x, Y)$

Step 2: If poly time algo to factorize $Q(X, Y)$
↳ Run this & retain all linear factor $Y - P(X)$

Def: $\deg_X(Q(X, Y))$ is the largest exponent of X in any monomial
 $\deg_Y(Q(X, Y))$ _____ Y _____

$$\deg_X(X^2Y + Y^2X) = 2$$

$$\deg_Y(X^2Y + Y^2X) = 2$$

Alg 1:

Do step 1 s.t $\deg_X(Q) \leq l$, $\deg_Y(Q) \leq \frac{n}{l}$
↳ Hie_i $\in \mathbb{N}$ $Q(x_i, y_i) = 0$

↳ n linear equations in coefficients of Q .

$$\# \text{coeff of } Q = (l+1)(\frac{n}{l}+1) > n$$