

April 23, 2019

REMINDERS

- (.) Video due in 1 week (11:59pm next Tue)
- (.) 3rd proof reading schedule starts next Tue
- (.) Guest lecture ~~next Tue~~ on THURSDAY: Coding for cloud (Sai)

RECAP:

- (.) Can achieve BSCp capacity (rate = $1 - H(p) - \epsilon$) with:
 - (#) poly time encoding
 - (#) poly time decoding
 - (#) $2^{-\Omega(N)}$ decoding error prob.
 - (#) $N \geq 2^{\Omega(1/\epsilon)}$
- } Can make this $O(N)$ using expander codes.

Question: Can we get above BUT with $N = \text{poly}(\frac{1}{\epsilon})$?

TODAY (Proof reader: Vikram)

- (.) Make a start towards answering above Q. (enc/dec time $O(N \log N)$)
- (.) Polar codes (Arikan '08)
 - Reduction to (linear) compression
 - Information theory primer

Notation (+ Main Result)

BSCp: i/p: $\bar{X} = (X_1, \dots, X_n) \in \{0, 1\}^n$
 o/p: $\bar{Y} = (Y_1, \dots, Y_n) \in \{0, 1\}^n$

$$\bar{Y} = \bar{X} + \bar{Z}, \quad \bar{Z} = (Z_1, \dots, Z_n) \in \text{Bern}(p)^n$$

Ex 11.1 (Thm 11.1.2)
get rid of this using code concat

k, n depend on ϵ
 Want to be true for all large enough n
 Want to be $\exp(-n)$

THM: $\forall 0 \leq p < \frac{1}{2}$, $\exists$ a poly func $n_0(\cdot)$ s.t. $\forall \epsilon > 0$

$\exists k, n$, $E: \mathbb{F}_2^k \rightarrow \mathbb{F}_2^n$; $D: \mathbb{F}_2^n \rightarrow \mathbb{F}_2^k$ s.t.

① Length & rate: $k \geq (1 - H(p) - \epsilon)n$; $\frac{1}{\epsilon} \leq n \leq n_0(\frac{1}{\epsilon})$

② Runtimes: E & D run in $O(n \log n)$

③ Failure prob: $\forall m \in \mathbb{F}_2^k$; $\Pr_{Z \in \text{Bern}(p)^n} [D(E(m) + \bar{Z}) \neq m] \leq \epsilon$

Reduction to linear compression

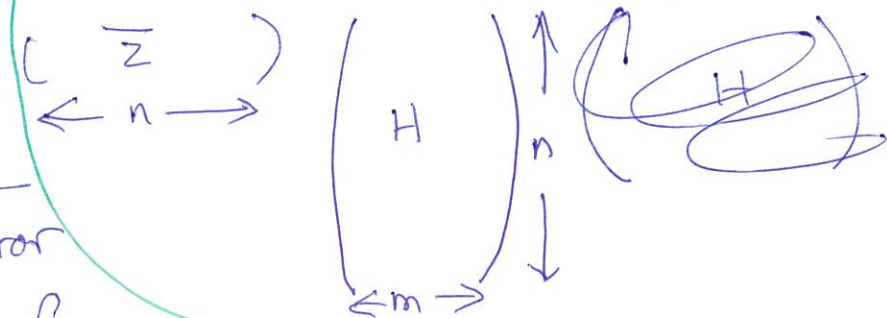
"Thm": If $\exists$ an efficient linear compression $\bar{Z} \in \text{Bern}(p)^n$
 $\Rightarrow \exists$ coding scheme for BScp

Linear compression scheme: $(n \geq m)$ $H \in \mathbb{F}_2^{n \times m}$;
 rate: $\frac{m}{n}$ $D: \mathbb{F}_2^m \rightarrow \mathbb{F}_2^n$

(H, D) is a τ -error linear compression scheme:

(*) H has full rank. (compression: $\bar{Z} \cdot H$)

(*) $\Pr_{\bar{Z} \sim \text{Bern}(p)^n} [D(\bar{Z} \cdot H) \neq \bar{Z}] \leq \tau$



Prop: (H, D) be a τ -error linear compression for $\text{Bern}(p)^n$

$\in \mathbb{F}_2^{n \times m}$
 These $\exists$ by standard LA arguments $\rightarrow$ Let $G \in \mathbb{F}_2^{k \times n}$, $G^* \in \mathbb{F}_2^{n \times k}$ be full rank matrices
 (i) $G \cdot H = 0$ (ii) $G \cdot G^* = I_k$
 H^T is the parity check matrix $\Rightarrow k + m = n \Rightarrow R = 1 - \frac{m}{n}$
 gen matrix $\uparrow$ transpose of parity check matrix

$\rightarrow$ Let enc function $E: \mathbb{F}_2^k \rightarrow \mathbb{F}_2^n$ s.t. $E(\bar{x}) = \bar{x} \cdot G$

$\rightarrow$ Let dec $D': \mathbb{F}_2^n \rightarrow \mathbb{F}_2^k$ s.t.

$$D'(\bar{y}) = (\bar{y} - D(\bar{y} \cdot H)) \cdot G$$

$\Rightarrow \forall \bar{m} \in \mathbb{F}_2^k, \Pr_{\bar{Z} \in \text{Bern}(p)^n} (D'(E(\bar{m}) + \bar{Z}) \neq \bar{m}) \leq \tau$

Enc complexity: mat. vect complexity for G
Dec complexity: $\frac{\text{for } H \& G^*}{\left. \begin{matrix} O(n^2) \\ \text{(for polar codes)} \\ O(n \log n) \end{matrix} \right\}}$

(*) Linear compression $\Rightarrow$ coding for BScp (Ex 6.11)
 $\text{Bern}(p)^n$

Pf of Prop: Assume $\bar{Z}$ (error pattern) s.t. $D(\bar{Z} \cdot H) = \bar{Z}$
 (argue D' works) Fix any $\bar{m} \in \mathbb{F}_2^k$

$$D'(E(\bar{m}) + \bar{Z}) = (E(\bar{m}) + \bar{Z} - D((E(\bar{m}) + \bar{Z}) \cdot H)) \cdot G^*$$

Def of $D' \rightarrow$
 Def of $E \rightarrow = (\bar{m} \cdot G + \bar{Z} - D(\bar{m} \cdot G + \bar{Z}) \cdot H) \cdot G^*$
 from (i) $\rightarrow = (\bar{m} \cdot G + \bar{Z} - D(\bar{Z} \cdot H)) \cdot G^*$
 by (*) $\rightarrow = (\bar{m} \cdot G + \bar{Z} - \bar{Z}) \cdot G^*$
 by (ii) $\rightarrow = \bar{m} \cdot G \cdot G^*$
 $= \bar{m}$

$$\Rightarrow \text{Dec error prob} \leq \Pr_{\bar{Z} \in \text{Bern}(p)^n} (D(\bar{Z} \cdot H) \neq \bar{Z}) \leq \tau$$

Modified Goal: Design an ϵ -error linear compression scheme for $\text{Bern}(p)^n$ with rate $H(p) + \epsilon$

Information theory background (App E)

r.v. Z with distribution $\mathcal{D}$

$$\text{supp}(\mathcal{D}) = \{z \mid \Pr[Z=z] > 0\} = \text{supp}(Z)$$

$$H(Z) \stackrel{\text{def}}{=} \mathbb{E}_{z \sim \mathcal{D}} \log_2 \frac{1}{\Pr(z)} = \sum_{z \in \text{supp}(Z)} \Pr(z) \log_2 \frac{1}{\Pr(z)}$$

($0 \cdot \log_2 \frac{1}{0} = 0$)

$$Z_0 = \begin{cases} 1 & \text{w.p. } p \\ 0 & \text{o/w} \end{cases} \quad H(Z_0) = p \log_2 \frac{1}{p} + (1-p) \log_2 \frac{1}{1-p} = H_2(p)$$

Z' is uniform over $\{0,1\}^n$; $H(Z') = n$

$$Z'' \sim \{z_1, \dots, z_N\} : H(Z'') = \log_2 N$$

Prop 1: Z_0 is $|\text{supp}(Z)| = N \Rightarrow H(Z) \leq \log_2 N$

Prop 2: $H(Z) \geq 0$, $H(Z) = 0 \Leftrightarrow Z$ is def. $\equiv |\text{supp}(Z)| = 1$

Prop 3: $Z \sim \text{Bern}(p)^n$, $H(Z) = H_2(p) \cdot n$

Joint + Conditional entropy

r.v.s X & Y

(10-related)

$$H(X, Y) = \sum_{x, y} \Pr(x, y) \log_2 \frac{1}{\Pr(x, y)}$$

$\Pr[X=x, Y=y]$

X & Y are indep

$$\Pr(X, Y) = \Pr(X) \cdot \Pr(Y)$$

$$\begin{aligned} H(X, Y) &= \sum_{x, y} \Pr(x, y) \log_2 \frac{1}{\Pr(x) \cdot \Pr(y)} \\ &= \sum_{x, y} \Pr(x, y) \log_2 \frac{1}{\Pr(x)} + \sum_{x, y} \Pr(x, y) \log_2 \frac{1}{\Pr(y)} \\ &= \sum_x \Pr(x) \log_2 \frac{1}{\Pr(x)} + \sum_y \Pr(y) \log_2 \frac{1}{\Pr(y)} \\ &= H(X) + H(Y) \end{aligned}$$

Let $\bar{Z} = (Z_1, \dots, Z_n)$ s.t. all Z_i are indep ($\in \text{Bern}(p)$)

$$H(\bar{Z}) = \sum_{i=1}^n H(Z_i) = n \cdot H_2(p) \Rightarrow (\text{Prop 3})$$

In general $\Pr(Y|X) = \frac{\Pr(X, Y)}{\Pr(X)} \Rightarrow \Pr(X, Y) = \Pr(Y|X) \Pr(X)$

$$\begin{aligned} \Rightarrow H(X, Y) &= \sum_{x, y} \Pr(x, y) \log_2 \frac{1}{\Pr(y|x) \Pr(x)} \\ &= \sum_{x, y} \Pr(x, y) \log_2 \frac{1}{\Pr(x)} + \sum_{x, y} \Pr(y|x) \Pr(x) \log_2 \frac{1}{\Pr(y|x)} \\ &= \sum_x \Pr(x) \log_2 \frac{1}{\Pr(x)} + \sum_x \Pr(x) \sum_y \Pr(y|x) \log_2 \frac{1}{\Pr(y|x)} \\ &= H(X) + \sum_x \Pr(x) H(Y|X=x) \\ &= H(X) + \underbrace{\sum_x \Pr(x) H(Y|X=x)}_{\text{def } H(Y|X)} \end{aligned}$$

Def: $H(Y|X) = \sum_x \Pr(x) H(Y|X=x)$

Chain rule: $H(X, Y) = H(X) + H(Y|X)$

$$H(Z_1, \dots, Z_n) = H(Z_1) + H(Z_2|Z_1) + H(Z_3|Z_1, Z_2) + \dots + H(Z_n|Z_1, Z_2, \dots, Z_{n-1})$$

X & Y are indep $\cdot$ $H(X, Y) = H(X) + H(Y)$

$$\Rightarrow H(Y|X) = H(Y)$$