

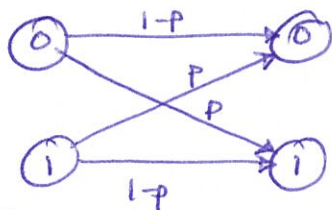
Mar 16

REMINDERS

- (o) HW 3 due by 11:59pm tonight
- (o) Mini project 2-pg report due by 11:59pm on Wed, Mar 20 in exactly two weeks
- (o) Please vote on proposed HW grading policy change
- (o) If you want to sign up for a future proof reading, 5pm Mon (Mar 20) is the deadline.

RECAP

(o) BSC_p



Each of the n transmitted bits independently get flipped w/ prob $0 \leq p \leq \frac{1}{2}$

$\bar{e} \sim \text{BSC}_p$: noise vector $\bar{e} \in \{0,1\}^n$ sampled from BSC_p

Shannon's capacity thm for BSC_p

$0 \leq p \leq \frac{1}{2}, 0 \leq \epsilon \leq \frac{1}{2} - p$

① $\exists \delta > 0, E: \{0,1\}^k \rightarrow \{0,1\}^n; D: \{0,1\}^n \rightarrow \{0,1\}^k$
 $\forall k \leq \left\lfloor (1 - H(p + \epsilon))n \right\rfloor$ s.t. last lecture by mistake stated $\left\lfloor (1 - H(p) - \epsilon)n \right\rfloor$
 $\forall \bar{m} \in \{0,1\}^k, \Pr_{\bar{e} \sim \text{BSC}_p} [D(E(\bar{m}) + \bar{e}) \neq \bar{m}] \leq 2^{-\delta n}$

② If $k \geq \left\lceil (1 - H(p) + \epsilon)n \right\rceil$, then $\forall E: \{0,1\}^k \rightarrow \{0,1\}^n, \forall D: \{0,1\}^n \rightarrow \{0,1\}^k$
 $\exists \bar{m} \in \{0,1\}^k$ s.t. $\Pr_{\bar{e} \sim \text{BSC}_p} [D(E(\bar{m}) + \bar{e}) \neq \bar{m}] \geq \frac{1}{2}$

(o) Capacity of BSC_p is $1 - H(p)$

TODAY: Pf reader: Manaswini

- (o) start w/ proof of part ①
- (o) Reading assignment for part ②: Sec 6.3.1

Pf sketch of ①

via probabilistic method: Pick E at random

$\forall \bar{m} \in \{0,1\}^k, E(\bar{m})$ is distributed uniformly over $\{0,1\}^n$
choice is independent for each $\bar{m}$.

$D: \text{MLDE}$

2 steps:

Step 1: Show for any fixed $m \in \{0,1\}^k$, for random E the prob of decoding error is $2^{-\Omega(n)}$

Q: Is the above enough $\Rightarrow \forall \bar{m} \in \{0,1\}^k, \exists E$ with dec error prob

A: NO for every $\bar{m}$, $\exists$ a "good" E but we need the same E that is good $\forall \bar{m}$.

Step 2: Show similar result $\forall \bar{m} \in \{0,1\}^k$

$\hookrightarrow$ involve dropping half of the messages.

Aside: 2 sources of randomness

① choice of E : for prob. method.

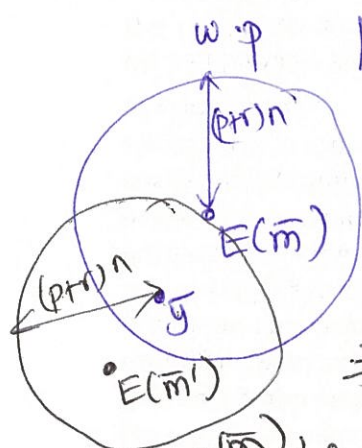
② randomness in BSCp noise: contribute to the decoding error prob.

"pf. by picture" fix $\bar{m} \in \{0,1\}^k$

Goal: upper bound $\Pr_{\bar{e} \sim \text{BSCp}} [D(E(\bar{m}) + \bar{e}) \neq \bar{m}] \stackrel{\text{def}}{=} \text{ERR}^E(\bar{m})$
 $(\leq 2^{-\Omega(n)})$ $\frac{\Omega(n)}{3}$

$$\bar{y} = E(\bar{m}) + \bar{e}$$

By Chernoff bound $\Pr_{\bar{e} \sim \text{BSCp}} [\text{wt}(\bar{e}) \geq (p+r)n] \leq 2^{-\Omega(r^2 n)}$ $\uparrow$ coming soon!



$$1 - 2^{-\Omega(r^2 n)}, \quad \Delta(\bar{y}, E(\bar{m})) \leq (p+r)n$$

$$\text{If } \bar{m}' = D(\underbrace{E(\bar{m}) + \bar{e}}_{=\bar{y}}) \neq \bar{m}$$

$$\Rightarrow \Delta(\bar{y}, E(\bar{m}')) \leq \Delta(\bar{y}, E(\bar{m}))$$

$$\Rightarrow \text{Dec error prob (due to } \bar{m} \neq \bar{m}') \leq (p+r)n$$

Even fixing $E(\bar{m})$, $\bar{e} \Rightarrow \bar{y}$ is fixed

$E(\bar{m}')$ is still a uniformly random vector $\in \{0,1\}^n$

$$\leq \Pr [E(\bar{m}') \in B(\bar{y}, (p+r)n)] = \frac{\text{Vol}_2((p+r)n, n)}{2^n} \leq \frac{2^{H(p+r)n}}{2^n}$$

Since there are $2^k - 1$ $\overline{m}' \neq \overline{m} \rightarrow \leq 2^k$ choices for $\overline{m}$,
 Dec error prob $\leq 2^k \cdot 2^{-n(1-H(p+r))} \quad k \leq (1-H(p+\epsilon))$

$$\leq 2^{n(1-H(p+\epsilon))} \cdot 2^{-n(1-H(p+\epsilon))}$$

$$= 2^{-n(1-H(p+\epsilon) - 1 + H(p+\epsilon))}$$

$$= 2^{-n(H(p+\epsilon) - H(p+r))}$$

$$\leq 2^{-2(n)} \quad \text{if say pick } r = \frac{\epsilon}{2}$$

Recap/Review [Ch. 3] Probability

(I) Chernoff bound: $X_1, \dots, X_m$ be independent random variables
 $X_i \in \{0, 1\}$ (r.v.s)
 $X = \sum_{i=1}^m X_i$

$$\Pr[X - \mathbb{E}[X] > \gamma m] \leq e^{-\gamma^2 m / 2}$$

(II) Linearity of expectation: For any r.v. $Y_1, \dots, Y_m$

$$\mathbb{E}\left(\sum_{i=1}^m Y_i\right) = \sum_{i=1}^m \mathbb{E}(Y_i)$$

(III) Union bound: $\Pr\left[\bigcup_{i=1}^m E_i\right] \leq \sum_{i=1}^m \Pr[E_i]$

(IV) for independent r.v.s X, Y $\mathbb{E}(X \cdot Y) = \mathbb{E}(X) \cdot \mathbb{E}(Y)$

Application of (I) + (II) $\overline{e} = (e_1, \dots, e_n) \sim \text{BSC}_p$ $e_i = \begin{cases} 1 & \text{w.p. } p \\ 0 & \text{o.w.} \end{cases}$
 $\underbrace{e_1, \dots, e_n}_{\text{indep.}}$

By (I) $\Rightarrow \Pr[\text{wt}(\overline{e}) - \mathbb{E}(\text{wt}(\overline{e})) > \gamma n] \leq e^{-\gamma^2 n / 2}$
 $\mathbb{E}[\text{wt}(\overline{e})] = \mathbb{E}\left(\sum_{i=1}^n e_i\right) = \sum_{i=1}^n \mathbb{E}[e_i] = \sum_{i=1}^n p = pn$
 $\Rightarrow \Pr[\text{wt}(\overline{e}) - pn > \gamma n] \leq e^{-\gamma^2 n / 2}$