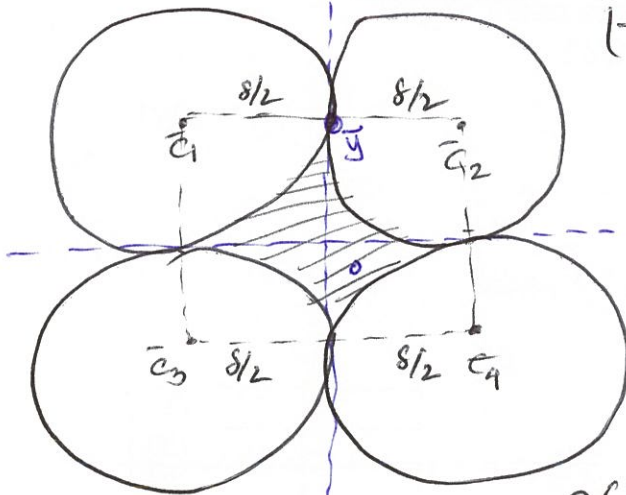


Mar 30

REMINDERS

- (o) Mini-project 2 pg. report due by 11:59pm TONIGHT
- (o) HW 4 out today
- (o) Please double check your proof reading assignment

RECAP!



(→) Every received word m in the shaded region except those in the cross-hatched region have a UNIQUE closest by codeword BUT we give up on decoding these currently

(→) The shaded region is pretty much the entire space as $n \rightarrow \infty$

(o) (for large enough $q \geq 2^{\Omega(1/\epsilon)}$) $P_{\text{Ham}} \leq \frac{1-R}{2}$, $P_{\text{Shannon}} \geq 1-R-\epsilon$

TODAY

- (o) Proof readers: Samarth, Rachan
- (o) List Decoding

We know if

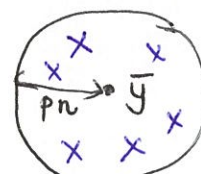
- (1) Deal with worst-case errors; AND
 - (2) Always need to output the transmitted codeword/message
- ⇒ $\leq \frac{\delta}{2}$ errors
- Next: relax this condition (list decoding)

LIST DECODING (Elias, Wozencraft late 50s)

~~Def~~ Def: $0 \leq p \leq 1$, $L \geq 1$. We say $C \subseteq \Sigma^n$ is (p, L) -listdecodable [or (p, L) -l.d.] if

$$\forall \bar{y} \in \Sigma^n, |C \cap B(\bar{y}, pn)| \leq L$$

$$\equiv |\{\bar{c} \in C \mid \Delta(\bar{y}, \bar{c}) \leq pn\}| \leq L.$$



x : codeword
 $\#x \leq L$

Q: If C has relative dist δ , C is $(\leq \frac{\delta}{2}, 1)$ -l.d.

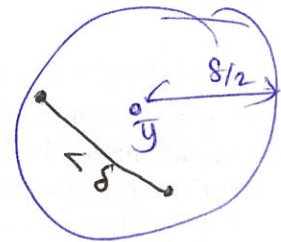
More generally, (p, L) -l.d. code

$C \Rightarrow$ for any transmitted codeword

if $< pn$ error occur ~~then~~ $\bar{y}$ is the

$\Rightarrow B(\bar{y}, pn)$ has $\leq L$ codewords INCLUDING the transmitted codeword.

if not



Algo Q: ~~Given~~ Let C be a (p, L) l.d. code.

Given $\bar{y} \in \Sigma^n$, output $C \cap B(\bar{y}, pn)$

$\Rightarrow$ for poly list decoding algo, $L \leq \text{poly}(n)$

WANT: L to be $\text{poly}(n)$.

What to do if list size > 1 ?

(0) You have no extra ^(side) information

$\hookrightarrow$ Declare an error?

$\hookrightarrow$ Can handle more error patterns

random errors (total frac of errors $\leq \delta - \epsilon$) whp
 list size = 1. (See 7.5) large alphabet size
 $q \geq 2^{\Omega(1/\epsilon)}$

(1) If $\exists$ side information (analogy: spell checker) $\Rightarrow$ use it to disambiguate the list of L codewords. In principle $O(\log L)$ extra bits of information.

$\hookrightarrow$ VERY USEFUL in complexity application.

$O(\log n)$
 bits if $L \leq \text{poly}(n)$

Q1: Can we correct $> \frac{\delta}{2}$ frac of errors with $\text{poly}(n)$ list size?

Q2: What is the optimal tradeoff between R & p for

A1: Yes! For all codes! (Johnson bound) $(p, \text{poly}(n))$ -l.d. code?

THM (Johnson bound) $q \geq 2$, $C \subseteq [q]^n$ with dist d

If $P \leq J_q(\frac{d}{n}) \Rightarrow C$ is (P, qdn) -l.d.

$$J_q(X) = \left(1 - \frac{1}{q}\right) \left(1 - \sqrt{1 - \frac{qX}{q-1}}\right)$$

poly(n) as long as q is poly(n)

Lemma 1: $\forall q \geq 2$, $0 \leq X \leq 1 - \frac{1}{q}$

(pf in book) $J_q(X) \geq 1 - \sqrt{1-X} > \frac{X}{2}$

$$\Rightarrow J_q(\delta) > \frac{\delta}{2}$$

$$n^2(1 - \frac{d}{n})$$

$\Rightarrow$ Any $(n, k, d)_q$ code is $(\frac{e}{n}, qdn)$ l.d. $e \leq n - \sqrt{n \cdot \frac{1}{q-1} d}$
 $\frac{e}{n} \leq 1 - \sqrt{1 - \delta}$

Assume C is MDS $\delta = 1 - R$

$$\Rightarrow \text{Johnson bound } P \leq 1 - \sqrt{1 - (1-R)} = 1 - \sqrt{R}$$

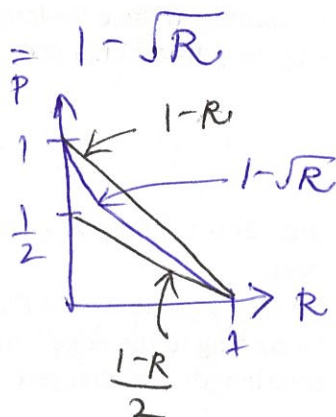
Q: Is Johnson bound tight?

$$P \leq 1 - \sqrt{1-\delta}$$

YES! $\exists$ code with rel dist δ s.t.

$P > 1 - \sqrt{1-\delta} \Rightarrow$ super-poly many code words?

NO! Random codes do better!
(Explicit)



Pf of Johnson bound ($q=2$)

Proof technique: Double counting (to prove some inequality)

① Come up w/ some quantity S

② show by (approx) counting that

$$(i) S \leq U \quad (ii) S \geq L$$

$$\Rightarrow U \geq L$$

③ (Somehow) use this to prove the required inequality.