

Macros and Syntax Transformations

CSE 410/510 ETH: Interactive Programming Environments

Ethan Blanton

Department of Computer Science and Engineering
University at Buffalo



Homoiconicity

Lisp `code` looks like lisp `data`.

`(read)` essentially returns an `abstract syntax tree`!

We call this `homoiconicity`.

This encourages `(eval (transform (read)))`.

A Trivial Transform

```
(defconstant tau 6.283185307)

(defun replace-pi (&rest body)
  (labels ((do-replace (l)
            (cond
             ((and (atom l) (eql l 'pi))
              (list '/ 'tau 2.0))
             ((atom l) l)
             (t (mapcar #'do-replace l))))))
    (cons 'progn (mapcar #'do-replace body))))

(eval (replace-pi '(* pi 1 1)))
```

Expansion Time

This construction is **expanded** and **evaluated** together.

Frequently we wish to:

- **expand** at “compile time”
- **evaluate** at “run time”

(These concepts are a little fuzzy in Lisp!)

defmacro

Common Lisp provides `defmacro`:

```
(defmacro my-when (pred &body body)
  `(if ,pred
      (progn
        ,@body)))
```

```
(macroexpand-1
 '(my-when (not (null l))
  (process (car l))
  (recurse (cdr l))))
```

```
(IF (NOT (NULL L))
    (PROGN (PROCESS (CAR L)) (RECURSE (CDR L))))
```

Surprise!

It is important that macros minimize **surprise**.

This means things like:

- Evaluating things in an order that preserves side effects
- Not (unexpectedly) evaluating things more than once
- Not introducing name clashes

This requires **tools** and **careful design**.

Multiple Evaluation

Consider this (modified) example from CLTL2 [1]:

```
(defmacro arithmetic-if (test neg-form zero-form
  pos-form)
  `(cond
    ((< ,test 0) ,neg-form)
    ((= ,test 0) ,zero-form)
    (t          ,pos-form)))
```

Note that test will be evaluated **twice!**

Using let

This can be avoided using `let`:

```
(defmacro arithmetic-if (test neg-form zero-form
  pos-form)
  `(let ((result ,test))
     (cond
      ((< result 0) ,neg-form)
      ((= result 0) ,zero-form)
      (t              ,pos-form))))
```


Name Conflicts

But now:

```
(let ((result (calculation)))  
  (arithmetic-if result (* result -1) 0 result))
```

Name Conflicts

But now:

```
(let ((result (calculation)))  
  (arithmetic-if result (* result -1) 0 result))
```

```
(LET ((RESULT (CALCULATION)))  
  (LET ((RESULT RESULT))  
    (COND ((< RESULT 0) (* RESULT -1)) ((= RESULT 0)  
      0) (T RESULT))))
```

Which RESULT?

gensym

`(gensym)` produces a **globally unique** variable name.

```
(defmacro arithmetic-if (test neg-form zero-form
  pos-form)
  (let ((result (gensym)))
    `(let ((,result ,test))
      (cond
        ((< ,result 0) ,neg-form)
        ((= ,result 0) ,zero-form)
        (t ,pos-form))))))
```

The Expansion

```
(LET ((RESULT (CALCULATION)))  
  (LET ((#:G245 RESULT))  
    (COND ((< #:G245 0) (* RESULT -1))  
          ((= #:G245 0) 0)  
          (T RESULT))))
```

Hygienic Macros

Hygienic macros [2] solve name conflicts in macros.

All symbols declared in a hygienic macro are unique.

In Common Lisp, hygiene is up to the programmer.

In Scheme, hygiene is provided by the macro facility.

Syntax-case

Recent scheme uses a (syntax-case) form for macros.

It combines two things:

- Simple **syntactic transformations** (with minimal computation)
- A generalized macro system as we saw in Common Lisp

It is **hygienic by default**.

Special operators can create non-hygienic macros.

Syntax-case example

(Almost) from the GNU Guile documentation, (`when`):

```
(define-syntax when
  (lambda (x)
    (syntax-case x ()
      ((when test e e* ...)
       (syntax
        (if test (begin e e* ...))))))))
```

Note the ellipses for repetition!

Destructuring

Destructuring allows declarations to describe [structure](#):

```
(defmacro dolist ((var elts &optional retval) &body
  body)
  ;; expansion
  ))
```

Scheme also provides destructuring of [repetition](#). [3]

```
(define-syntax my-let
  (lambda (x)
    (syntax-case x ()
      ((my-let ((var val) ...) body ...)
        (syntax
          ((lambda (var ...) body ...) val ...))))))
```


Destructuring in Other Contexts

Both Scheme and Common Lisp have general destructuring.

In Common Lisp, use `(destructuring-bind)`.

In Scheme, there are [several macro packages](#).

Andrew Wright's pattern matcher [4] is in most implementations.

References I

Optional Readings

- [1] Guy L. Steele Jr. *Common Lisp: The Language*. Second Edition. Digital Press, 1990.
- [2] Eugene Kohlbecker et al. Tech. rep. 194. Indiana University, May 1986. URL: <https://legacy.cs.indiana.edu/ftp/techreports/TR194.pdf>.
- [3] Guy L. Steele and Richard P. Gabriel. “The Evolution of Lisp”. In: *History of Programming Languages II*. Association for Computing Machinery, 1996, pp. 233–330.
- [4] Andrew K. Wright and Bruce F. Duba. *Pattern Matching for Scheme*. May 1995. URL: <https://citeseerx.ist.psu.edu/document?&doi=bb49b002a82cbd288ee5b0b9113b5f1ce8338a60>.

License

Copyright 2025 Ethan Blanton, All Rights Reserved.

Reproduction of this material without written consent of the author is prohibited.

To retrieve a copy of this material, or related materials, see <https://www.cse.buffalo.edu/~eblanton/>.