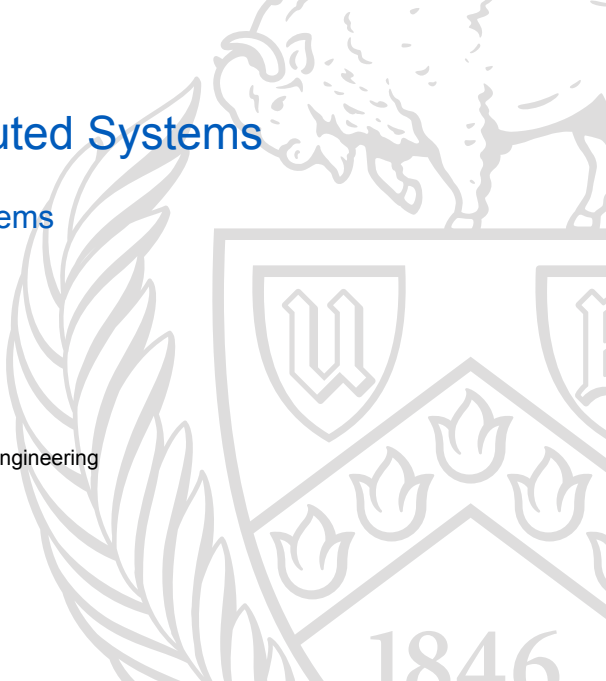


A Model of Distributed Systems

CSE 486: Distributed Systems

Ethan Blanton

Department of Computer Science and Engineering
University at Buffalo



Distributed Systems

Early on, we defined a distributed system as:

... multiple computer programs, possibly spread out over different networked components, communicating by passing messages

What are:

- computer programs?
- communication?
- messages?

What is our **model** of distributed systems?

Computer Programs

What is a computer program? is a hard question.

We will take an **abstract view**:

- A sequence of **instructions**
- Performing some task

For our purposes, these multiple programs could be:

- Built from the same source code
- Built from different source code
- Threads in a single **process**
- Separate processes **possibly on different computers**

Message Passing

There are many avenues for message passing:

- Shared memory
- Files
- Sockets
- Pipes
- Go channels

This is distinct from [general shared state](#), however.

[Many programming models](#) can be implemented through message passing.

Concurrency

In this model, many programs run **concurrently**.

This means that multiple programs may **appear to make progress simultaneously**.

From the perspective of a program P :

Between time t and $t + \epsilon$, a program Q may **take some action**.

Whether P and Q **actually run simultaneously** is irrelevant! [4]

Synchronous Systems

In a **synchronous system**, all actions take **predictable time**:

- A message sent from P to Q always arrives within some bounded time.
- The relative rate of progress in P and Q is known.

Examples of synchronous systems are:

- Symmetric multiprocessor computers
- Some circuit-switched networks

Some tasks are **substantially easier** in synchronous systems.

We usually will not examine synchronous systems.

Asynchronous Systems

In an **asynchronous system**, actions take **unpredictable time**:

- Messages may be **arbitrarily delayed**
- The difference in rate of progress in different processes is **unbounded**

All **Internet protocols** are asynchronous.

Asynchronous systems have special challenges.

We will focus on asynchronous systems.

Implications of Asynchrony

Asynchronous systems present challenges.

Suppose that:

- P sends a message to Q and expects a response.
- No message arrives for **longer than expected**.

What happened?

- Did Q fail?
- Is Q much slower than P expects, and still working?
- Was the request message **delayed in the network**?
- Was the request message lost?
- Was the **response** delayed or lost?

Loss and Delay

Loss and delay are **indistinguishable in an async system**.

You cannot tell whether a message is:

- **late**, or
- **never going to arrive**.

TCP and Loss

Recall that TCP used **heuristics** to detect loss!

1. A **relatively long time** without positive acknowledgment
2. Acknowledgment of **some data** but not all

There is no **reliable loss indicator**.

The missing segment might just be **stuck in a queue** somewhere!

Loss as Delay

In the end, **sometimes loss looks like delay**.

Consider what happens if TCP loses a segment:

- The next data cannot be delivered at the receiver
- Eventually the sender retransmits
- The data is delivered at the receiver **later than expected**

In particular:

Loss at a lower layer may look like **delay at a higher layer**.

Loss and Failure

Loss and failure may also be indistinguishable.

This is a consequence of the system relying on message passing.

Consider:

- Process P sends a message to Q and expects a reply
- P never receives a reply

Did Q fail (crash, shut down, *etc.*)?

Was P 's message lost, or Q 's reply lost?

P can't tell.

Correctness and Safety

The introduction of concurrency has implications on **correctness**.

Operations that are **safe** without concurrency may become **unsafe**.

Example:

Suppose we have a variable $x = 0$ **visible to both P and Q** .

$$P : x = x + 1$$

$$Q : x = x - 1$$

If these execute concurrently, what is x ?

We don't have enough information.

Race Conditions

This is a **race**, or **race condition**:

- Two or more events are **dependent upon each other**
- Some of the events **may happen in more than one order**, or even simultaneously
- There exists some ordering of the events that is **incorrect**

For example:

- Some state will be updated multiple times
- Output will be produced based on the state

If some order of updates results in invalid output, **this is a race**.

Example Race

$$P : x = x + 1$$

$$Q : x = x - 1$$

There are **at least three possible outcomes** here:

- $x = -1$
- $x = 0$
- $x = 1$

Why?

Atomicity

These statements are not **atomic**: they can be interrupted.

$x = x + 1$ is **at least three** operations:

- Read the value of x
- Add one to that value
- Write the new value to x

P reads x

P computes $x + 1$

P stores $x = x + 1$

Q reads x

Q computes $x - 1$

Q stores $x = x - 1$

Happens Before

The **happens before** relationship ensures a particular outcome.

If $x = x + 1$ **happens before** $x = x - 1$, then $x = 0$.

By judicious use of happens before, we can **prevent races**.

Many languages define happens before relationships.

The Go Memory Model [5] defines this for Go.

Mutexes

Mutexes can be expressed as happens before relationships.

From the Go memory model:

For any `sync.Mutex` or `sync.RWMutex` variable l and $n < m$, call n of $l.Unlock()$ happens before call m of $l.Lock()$ returns.

These guarantees **must be made explicit** in a language!

You **cannot assume** happens before relationships.

Messages

A message **send happens before its corresponding receive**.

This is trivially true for a network transmission.

This is **guaranteed by Go channels**.

In shared memory, **use mutexes or other synchronization**.

Communicating Sequential Processes

Tony Hoare proposed **communicating sequential processes** in 1978 [1].

CSP is a **programming model** built on message passing.

Hoare showed that it can:

- Model other constructions (such as subroutines)
- Enable parallel computation
- **Naturally express** concurrent problems

CSP in Distributed Systems

CSP maps naturally to distributed systems:

- Distributed systems communicate by message passing
- Message exchanges **create happens before relationships**

Many distributed systems languages and libraries emulate CSP.

Go channels implement CSP input and output operations.

Socket communications can also provide CSP input and output.

Fixing x

With CSP, we can ask [a single process](#) to manipulate x:

```
func handleX() {  
    for cmd := range c  
    {  
        switch cmd {  
        case INCREMENT:  
            x = x + 1  
        case DECREMENT:  
            x = x - 1  
        }  
    }  
}
```

```
func P() {  
    c <- INCREMENT  
}  
  
func Q() {  
    c <- DECREMENT  
}
```

Summary

- Distributed systems communicate by **message passing**
- We will work with **asynchronous systems**
- Delay is **indistinguishable** from loss
- **Concurrent execution** can lead to **races**
- **Happens before** is the cure for races
- CSP is a programming model for message passing

Next Time ...

- Failures and failure detection

References I

Required Readings

- [3] Ajay D. Kshemkalyani and Mukesh Singhal. *Distributed Computing: Principles, Algorithms, and Systems*. Chapter 1: 1.1–1.3, 1.5–1.8. Cambridge University Press, 2008. ISBN: 978-0-521-18984-2.

Optional Readings

- [1] C. A. R. Hoare. “Communicating Sequential Processes”. In: *Communications of the ACM* 21.8 (Aug. 1978), pp. 666–677. URL: https://search.lib.buffalo.edu/permalink/01SUNY_BUF/12pkqkt/cdi_crossref_primary_10_1145_359576_359585.

References II

- [2] C. A. R. Hoare. *Communicating Sequential Processes*. Prentice Hall International, 1985. URL: <http://www.usingcsp.com/>.
- [4] Rob Pike. *Concurrency is not Parallelism*. Jan. 2012. URL: <https://go.dev/blog/waza-talk>.
- [5] *The Go Memory Model*. May 2014. URL: <https://go.dev/ref/mem>.

Copyright 2019, 2021, 2023–2025 Ethan Blanton, All Rights Reserved.

Reproduction of this material without written consent of the author is prohibited.

To retrieve a copy of this material, or related materials, see <https://www.cse.buffalo.edu/~eblanton/>.