

# Broadcast and Multicast

CSE 486: Distributed Systems

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# Multi-Process Communication

Distributed systems often send messages between **multiple processes**.

It can be convenient to model that as a **single send** with **multiple recipients**.

Modern communication networks **do not always allow this**.

Protocols above the transport layer can simulate this.

# Unicast

Traditional network communications are **unicast**: one sender, one receiver.

TCP in particular is **always unicast**.

Unicast is the **only reliably available** method on the Internet.

This is a combination of several factors:

- The **minimal requirements** for IP's network of networks
- Stateless routing of individual packets
- Unicast's natural **proof-of-stake** limiting abuse potential

# Broadcast

Broadcast sends messages to all recipients on a network.

This is typically only available on local area networks.

The abuse and misconfiguration potential for broadcast is very high!

(Imagine sending a message to every computer on the Internet!)

Not all underlying networks are capable of broadcast.

# Multicast

Then there is something in between: **group multicast**.

Multicast sends a single message to **more than one** recipient.

Like broadcast, this works on **local networks**.

There is also **Internet routed** multicast!

(But you probably can't use it.)

Multicast makes a lot of sense for distributed systems:

- Not every system on the network is part of the system
- Maybe more than one of them **is**

# Emulating Broadcast or Multicast

Broadcast and multicast can be **emulated** with unicast.

A process makes **multiple unicast connections**, one to each recipient.

It sends a unicast message on each connection.

This is **more work** for the sender.

It does not require specific assistance from the network!

# Multicast as a Service

Assume that the hosts  $g_0, \dots, g_n$  are in a group  $G$ .

Hosts from  $G$  wish to send messages to **all other hosts** in  $G$ .

We will model **group multicast as a service**.

It has three operations:

- $\text{MSend}(m, G)$ : Send the message  $m$  to every host in  $G$
- $\text{MRecv}(m)$ : Receive the message  $m$  from the network
- $\text{Deliver}(m)$ : **Asynchronously** deliver  $m$  to the application

Note that  $\text{MRecv}$  and  $\text{Deliver}$  are different steps!

# Simple Multicast from Unicast

A host can **emulate multicast** using unicast as follows:

MSend( $m$ ,  $G$ ):

  for each  $g_i \in G$ :

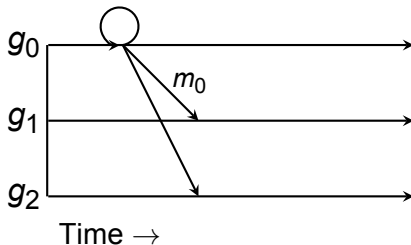
    Send( $m$ ,  $g_i$ )

MRecv( $m$ ):

  Deliver( $m$ )

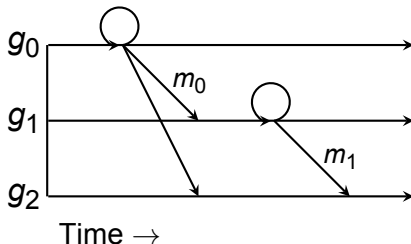


# Sending a Message



$g_0$  issues  $\text{MSend}(m_0, G)$

# Sending a Message



$g_1$  issues  $\text{MSend}(m_1, G)$  but fails before sending to  $g_0$

# Simple Multicast Properties

What are the properties of this multicast?

Cost:

- $|G|$  messages to send to  $|G|$  hosts

Reliability:

- If the sender does not fail, the messages are delivered

How does this seem?

# Simple Multicast Properties

What are the properties of this multicast?

Cost:

- $|G|$  messages to send to  $|G|$  hosts

Reliability:

- If the sender does not fail, the messages are delivered

How does this seem?

Cost: great! Reliability: **could be better...**

We will use MSend and MRecv to build **more reliable** protocols.

# Building Reliable Multicast

We can **build a reliable multicast** using MSend and Deliver.

This multicast ensures that if **any process** receives a message, **all processes** receive the message.

We define two new primitives **in terms of** simple multicast:

R\_MCast( $m$ ,  $G$ ):  
    MSend( $m$ ,  $G$ )

R\_MRecv( $m$ ):  
    if  $m$  has not previously been received:  
        MSend( $m$ ,  $G$ )  
    Deliver( $m$ )

# Reliable Multicast Requirements

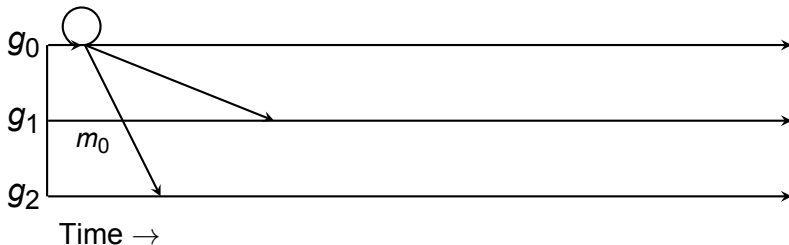
The **receive rule** requires **message identification**.

**Unique identifiers** can solve this:

- Globally unique (such as content addressing)
- Locally unique (each process  $g_i \in G$  keeps a counter)

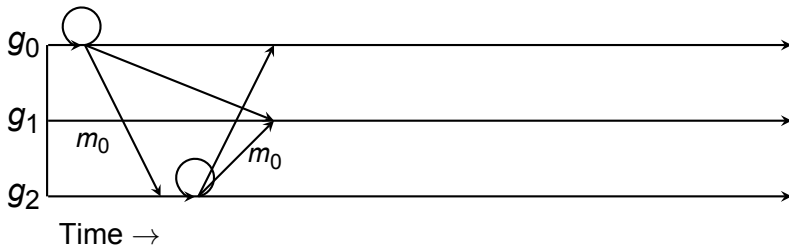
This each process delivers a message **at most once**.

# Sending a Message



$g_0$  issues  $R\_MCast(m_0, G)$

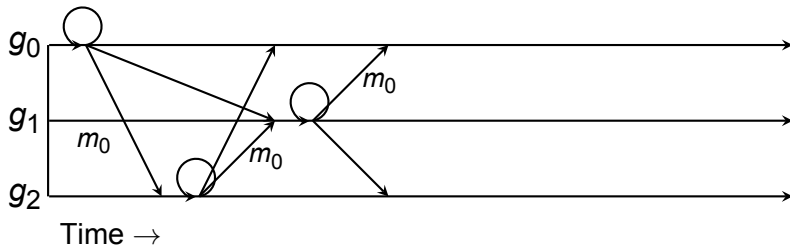
# Sending a Message



$g_2$  processes  $R\_MRecv(m_0)$  and issues  $R\_MCast(m_0, G)$

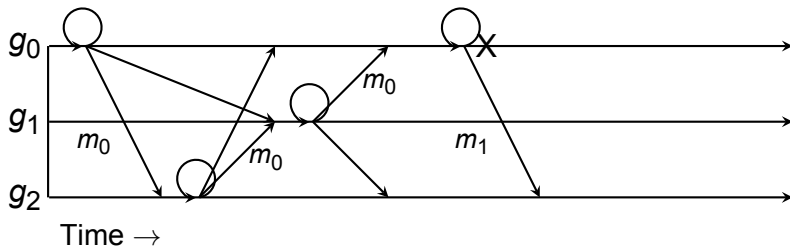


# Sending a Message



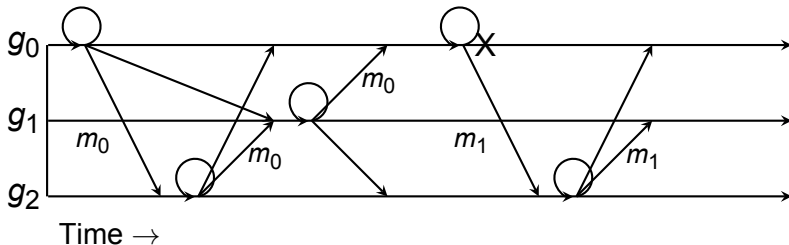
$g_1$  processes  $R\_MRecv(m_0)$  and issues  $R\_MCast(m_0, G)$

# Sending a Message



$g_0$  issues  $R\_MCast(m_1, G)$  but fails before sending to  $g_1$

# Sending a Message



$g_2$  processes  $R\_MRecv(m_1)$  and issues  $R\_MCast(m_1, G)$



# Reliable Multicast Properties

## Cost:

- Much more expensive than simple multicast!
- $O(|G|^2)$  messages are sent.

## Reliability:

- If any receiver does not fail, all non-failed receivers receive the message.

If the cost can be borne, the benefit is agreement on what was received.

# Ordering Messages

There is value to [ordering multicast messages](#).

There are three meaningful orderings for message delivery:

- First-in-First-out (FIFO)
- Causal
- Total

# FIFO Ordering

FIFO ordering preserves the message order **from each process**.

Messages from different processes **may be reordered**, however.

Formally:

If a process  $p$  sends  $m$  followed by  $m'$ , then every correct process that delivers  $m'$  must have **already delivered**  $m$ .

Remember that Chandy-Lamport snapshots required **FIFO delivery**.

# Causal Ordering

Causal ordering preserves the **causal relationship** between messages.

This is like **Lamport clocks** [4] or **vector clocks** [5].

Formally:

If  $\text{MSend}(m, G) \rightarrow \text{MSend}(m', G)$ , then every correct process that delivers  $m'$  must have **already delivered**  $m$ .

Causal ordering **implies FIFO ordering**.



# Total Ordering

Total ordering preserves the order of **all messages** across **all processes**.

Formally:

If **any correct process** delivers  $m$  before  $m'$ , then **every correct process** that delivers  $m'$  must have **already delivered**  $m$ .

Total ordering **does not imply** causal ordering!

# Observations on FIFO Ordering

Note that **TCP connections** preserve FIFO ordering.

This is only true for messages sent on the **same connection**.

Multicast messaging **often uses UDP**.

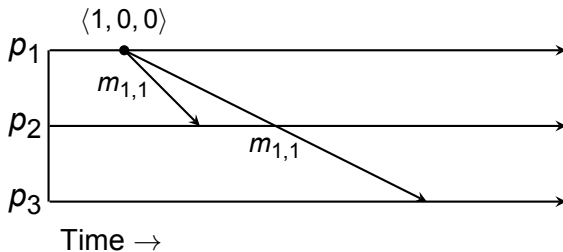
This is because **TCP handshakes are expensive**.

Similar techniques to **reliable multicast or TCP sequence numbers** can be used.

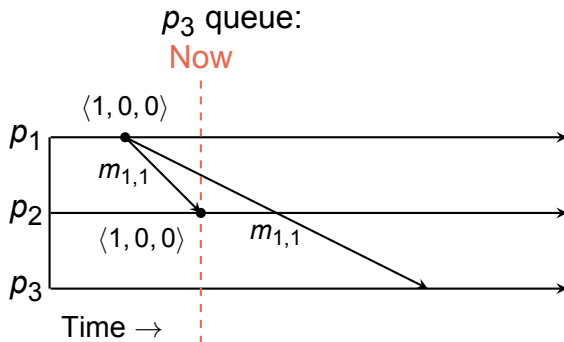
Note that this **requires a receive buffer and queuing!**

# FIFO Sequence

$p_3$  queue:

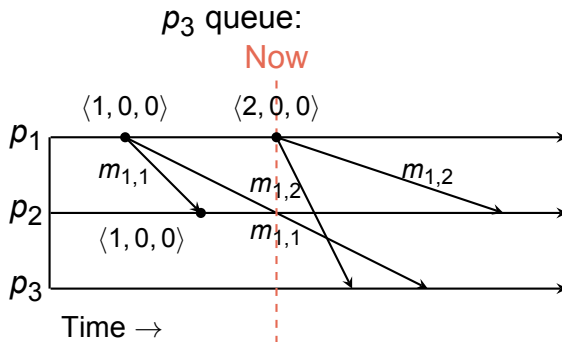


# FIFO Sequence

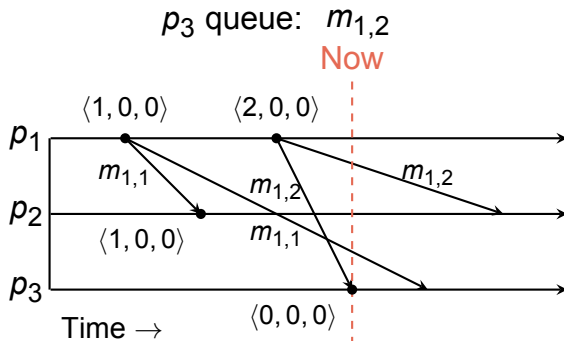


Process  $p_2$  can immediately deliver  $m_{1,1}$  as it is in-order from  $p_1$ .

# FIFO Sequence

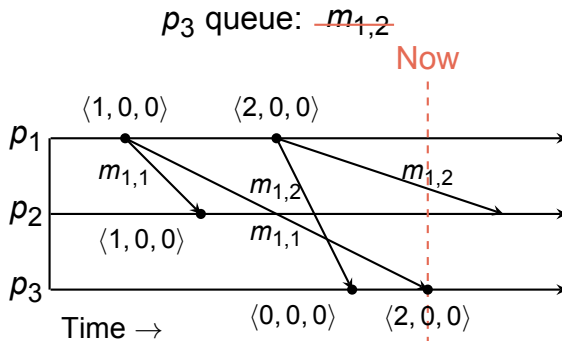


# FIFO Sequence



Process  $p_3$  cannot deliver  $m_{1,2}$  as it is waiting for  $m_{1,1}$ !  
It must queue  $m_{1,2}$ .

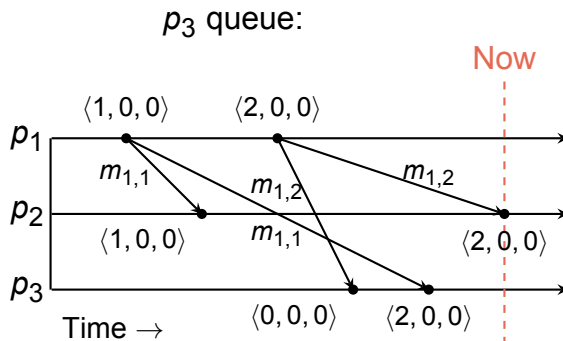
# FIFO Sequence



Process  $p_3$  can immediately deliver  $m_{1,1}$ .

Process  $p_3$  can deliver the queued  $m_{1,2}$ .

# FIFO Sequence



Process  $p_2$  can immediately deliver  $m_{1,2}$ .



# Summary

- Distributed systems benefit from group communication
- Internet communication is **mostly** unicast
- **Broadcast and multicast** can be built from unicast
- Relatively **simple protocols** can achieve all-or-nothing delivery
- FIFO delivery requires only a **TCP-like** sequence number

# Next Time ...

- Causal and total ordered multicast

# References I

## Required Readings

- [3] Ajay D. Kshemkalyani and Mukesh Singhal.  
Distributed Computing: Principles, Algorithms, and Systems.  
Chapter 6: Intro, 6.1 through 6.1.3, 6.4. Cambridge University  
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## Optional Readings

- [1] Kenneth P. Birman and Thomas A. Joseph. “Reliable  
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# References II

- [2] Ajay D. Kshemkalyani and Mukesh Singhal. Distributed Computing: Principles, Algorithms, and Systems. Chapter 6: 6.5. Cambridge University Press, 2008. ISBN: 978-0-521-18984-2.
- [4] Leslie Lamport. “Time, Clocks, and the Ordering of Events in a Distributed System”. In: 21.7 (July 1978). Ed. by R. Stockton Gaines, pp. 558–565. URL: <https://dl-acm-org.gate.lib.buffalo.edu/doi/pdf/10.1145/359545.359563>.

# References III

- [5] Friedemann Mattern. “Virtual Time and Global States of Distributed Systems”. In: Proceedings of the Workshop on Parallel and Distributed Algorithms. Elsevier Science Publishers B.V., Oct. 1988, pp. 215–226. URL: <http://citeseerx.ist.psu.edu/viewdoc/summary?doi=10.1.1.1068.1331>.

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