#### **CSE 191** Introduction to Discrete Structures

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## Finite Automata and Regular Expressions

## Outline

- Computing with Limited Resources
  - Finite Automata (Model of Computation)
  - Regular Expressions



#### **Example**

Design the payment accepting component of a vending machine



#### Assume

- Price of a drink/snack is \$1
- Denominations accepted: \$1 dollar bills
- No change is given
- Enter \$1, press a button, machine gives you a drink/snack

Output

pressButton

NONE

DRINK

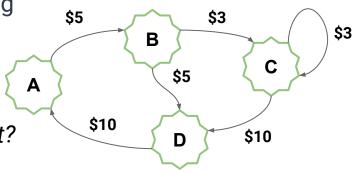
				State	Table for a Ver	nding Machi	ine	
sButton/NONE	\$1/NONE	\$1/NONE		Next	State	Οι	utpu	
					Input		Input	
Balance: \$0		Balance: Sufficient		\$1	pressButton	\$1	р	
	$\langle \rangle$		S <sub>o</sub>	S <sub>1</sub>	S <sub>o</sub>	NONE		
S <sub>o</sub>	pressButton/DRINK	<b>S</b> <sub>1</sub>	S <sub>1</sub>	S <sub>1</sub>	S <sub>o</sub>	NONE		

#### **Example**

Consider a park with a group of islands connected by 1-way bridges

- Each bridge has a toll to pay for each crossing
- Park entrance: **A**, Park exit: **D**

What is a valid fare sequence from entrance to exit?



#### **Example**

Consider a park with a group of islands connected by 1-way bridges

\$5

\$10

Α

\$3

С

\$10

В

**\$5** 

D

\$3

- Each bridge has a toll to pay for each crossing
- Park entrance: **A**, Park exit: **D**

What is a valid fare sequence from entrance to exit?

• The shortest drive: **A**, **B**, **D** 

• Fare sequence: \$5, \$5

#### **Example**

Consider a park with a group of islands connected by 1-way bridges

\$5

\$10

Α

\$3

С

\$10

В

**\$5** 

D

\$3

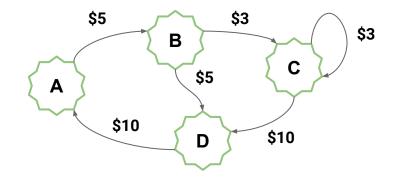
- Each bridge has a toll to pay for each crossing
- Park entrance: **A**, Park exit: **D**

What is a valid fare sequence from entrance to exit?

- Another drive: A, B, C, C, D, A, B, C, D
  - $\mathbf{A} \rightarrow \mathbf{B}$ : pay \$5  $\mathbf{B} \rightarrow \mathbf{C}$ : pay \$3 ...  $\mathbf{C} \rightarrow \mathbf{D}$ : pay \$10
  - Fare sequence: \$5, \$3, \$3, \$10, \$10, \$5, \$3, \$10

Does the fare sequence \$5, \$5, \$10, \$5, \$3 end at **D**?

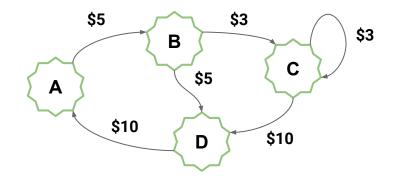
What about \$5, \$10, \$10?



Does the fare sequence \$5, \$5, \$10, \$5, \$3 end at **D**?

#### No: *A*, *B*, *D*, *A*, *B*, *C*

What about \$5, \$10, \$10?



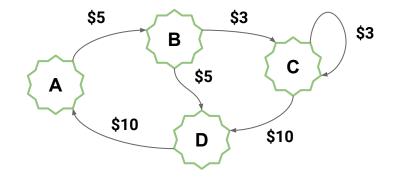
Does the fare sequence \$5, \$5, \$10, \$5, \$3 end at **D**?

No: *A*, *B*, *D*, *A*, *B*, *C* 

What about \$5, \$10, \$10?

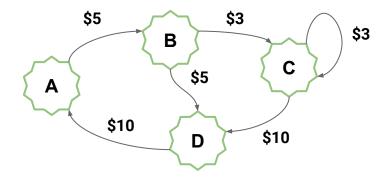
No: *A*, *B*, ???

Not even a valid sequence



#### Suppose we survey visitors of the park on what route they took

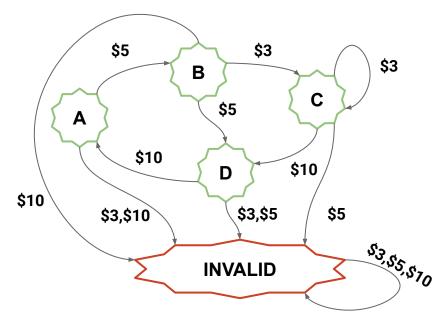
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How can we validate their response?

**Account for INVALID scenarios** 



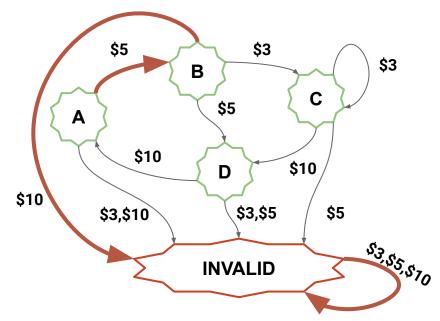
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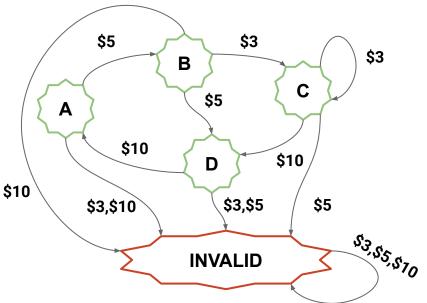
Reconsider the sequence \$5, \$10, \$10

• A, B, INVALID, INVALID



#### What is needed to create this program?

- Vertices: states of computation
- Edges: state transitions
- Edge labels: symbols we process
- **Start**: where to start out computation
- Final: where it is OK/valid to end

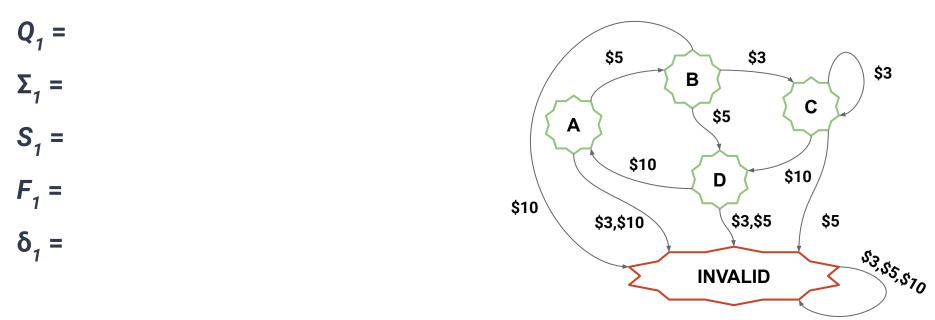


#### Finite Automata - Finite State Machines (with no output)

A (deterministic) <u>finite automaton</u> *M* is a 5-tuple ( $Q, \Sigma, \delta, q_0, F$ ) where:

- The set of states Q is finite and non-empty
- The **input alphabet Σ** is finite and non-empty
- The transition function  $\delta: Q \times \Sigma \rightarrow Q$
- The starting state  $q_0 \in Q$
- The set of final states F

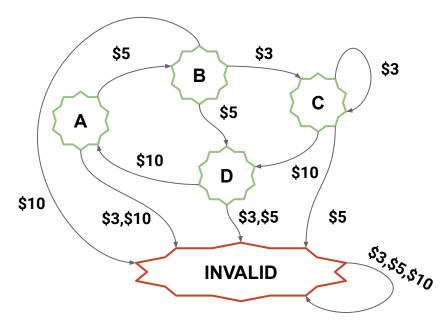
Let this automata be  $\boldsymbol{M}_1 = (\boldsymbol{Q}_1, \boldsymbol{\Sigma}_1, \boldsymbol{\delta}_1, \boldsymbol{S}_1, \boldsymbol{F}_1)$ 



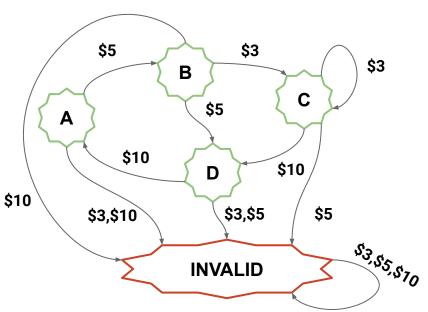
Let this automata be  $M_1 = (Q_1, \Sigma_1, \delta_1, S_1, F_1)$ 

 $Q_1 = \{ A, B, C, D, INVALID \}$ 

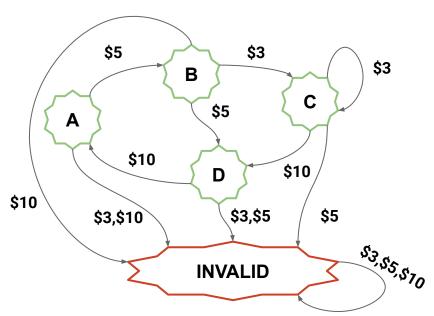
 $\Sigma_{1} =$   $S_{1} =$   $F_{1} =$   $\delta_{1} =$ 



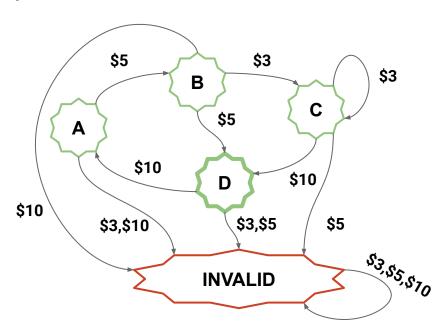
Let this automata be  $M_1 = (Q_1, \Sigma_1, \delta_1, S_1, F_1)$  $Q_1 = \{ A, B, C, D, INVALID \}$ Σ<sub>1</sub> = { \$3, \$5, \$10 } **S**<sub>1</sub> = **F**<sub>1</sub> = δ<sub>1</sub> =



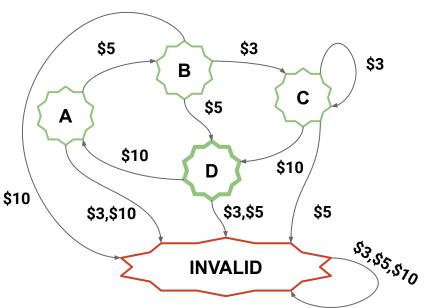
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Let this automata be  $M_1 = (Q_1, \Sigma_1, \delta_1, S_1, F_1)$  $Q_1 = \{ A, B, C, D, INVALID \}$ Σ<sub>1</sub> = { \$3, \$5, \$10 }  $S_1 = A$  $F_1 = \{ D \}$ δ, =



Let this automata be  $M_1 = (Q_1, \Sigma_1, \delta_1, S_1, F_1)$  $Q_1 = \{ A, B, C, D, INVALID \}$  $\Sigma_1 = \{ \$3, \$5, \$10 \}$  $S_1 = A$  $F_{1} = \{ D \}$  $\delta_1 = \{ ((A, \$3), INVALID), ((A, \$5), B), ... \}$ 



Let this automata be  $M_1 = (Q_1, \Sigma_1, \delta_1, S_1, F_1)$  $Q_1 = \{ A, B, C, D, INVALID \}$ \$5 \$3 \$3  $\Sigma_1 = \{ \$3, \$5, \$10 \}$ В С **\$5** Α  $S_1 = A$ \$10 \$10 D  $F_{1} = \{ D \}$ \$10 \$3,\$10 \$3,\$5 \$5 δ<sub>1</sub> = { ((A, \$3), INVALID), ((A, \$5), B), ... } <sup>53,55,5</sup>70 **INVALID** 

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Let this automata be  $\boldsymbol{M}_1 = (\boldsymbol{Q}_1, \boldsymbol{\Sigma}_1, \boldsymbol{\delta}_1, \boldsymbol{S}_1, \boldsymbol{F}_1)$ 

		Input Symbols		
	δ <sub>1</sub>	\$3	\$5	\$10
States	А	INVALID	В	INVALID
	В	С	D	INVALID
	С	С	INVALID	D
	D	INVALID	INVALID	A
	INVALID	INVALID	INVALID	INVALID

\$3

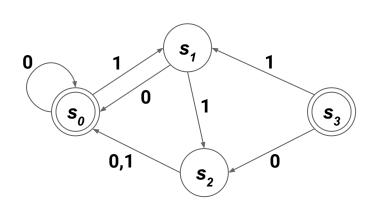
53,55,570

Let  $M_2 = (Q_2, \Sigma_2, \delta_2, s_0, F_2)$  where  $Q_2 = \{s_0, s_1, s_2, s_3\}, \Sigma_2 = \{0, 1\}, F = \{s_0, s_3\}, \text{ and } \delta_2 \text{ is defined as:}$ 

		Input Symbols		
	δ2	0	1	
	s <sub>0</sub>	s <sub>o</sub>	S <sub>1</sub>	
States	S <sub>1</sub>	s <sub>o</sub>	s <sub>2</sub>	
States	S <sub>2</sub>	s <sub>o</sub>	s <sub>o</sub>	
	S <sub>3</sub>	s <sub>2</sub>	S <sub>1</sub>	

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		Input Symbols		
	δ2	0	1	
	s <sub>0</sub>	s <sub>o</sub>	s <sub>1</sub>	
States	<b>S</b> <sub>1</sub>	s <sub>0</sub>	s <sub>2</sub>	
States	s <sub>2</sub>	s <sub>o</sub>	s <sub>o</sub>	
	S <sub>3</sub>	s <sub>2</sub>	s <sub>1</sub>	



A string **x** is **recognized** or **accepted** by the machine **M** if it takes the starting state  $q_0$  to a final state.

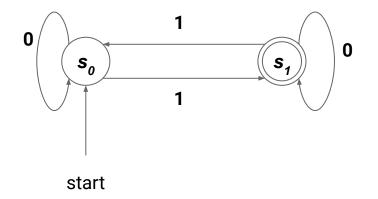
The <u>language</u> that is *recognized* or *accepted* by the machine *M*, denoted by *L(M)* is the set of strings recognized by *M*.

 $L(M) = \{ x \in \Sigma^* \mid \delta(q_0, x) \in F \}$ 

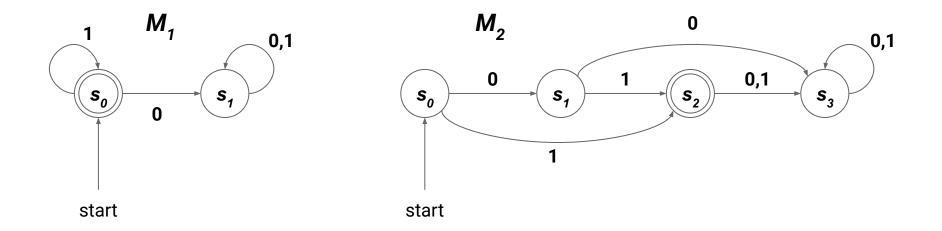
**Problem:** Design a machine that determines if the total number of 1s in a bit string is even or odd

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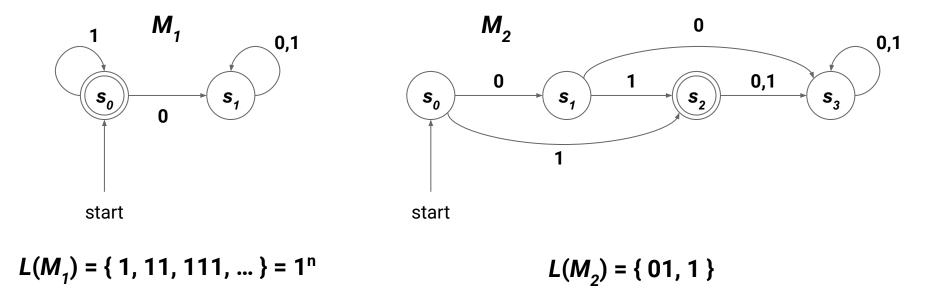
If this machine accepts the string, it has odd parity. Otherwise, even.



Determine the languages recognized by these finite automata



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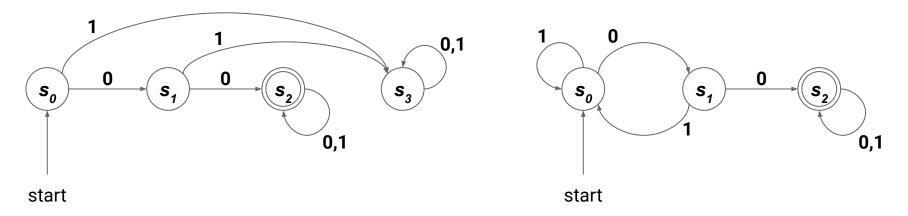


Construct finite automata that recognize the following languages:

- 1. The set of bit strings that begin with two 0s
- 2. The set of bit strings that contain two consecutive 0s

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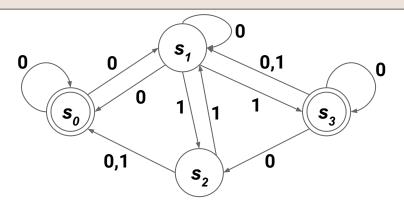


## Nondeterministic Finite Automata (NFA)

A <u>nondeterministic finite-state automaton</u>  $M = (S, \Sigma, f, s_0, F)$  consists of a set of states S, an input alphabet  $\Sigma$ , and a transition function f that assigns a **set** of states to each pair of state and input

- DFA: For each pair of state and input there is a unique next state
- NFA: There may be many possible next states for each state/input pair

		Input Symbols		
	f	0	1	
States	s <sub>0</sub>	s <sub>0</sub> , s <sub>1</sub>		
	s <sub>1</sub>	s <sub>0</sub> , s <sub>1</sub>	s <sub>2</sub> , s <sub>3</sub>	
	s <sub>2</sub>	s <sub>o</sub>	s <sub>0</sub> , s <sub>1</sub>	
	S <sub>3</sub>	s <sub>1</sub> , s <sub>2</sub> , s <sub>3</sub>	s <sub>1</sub>	



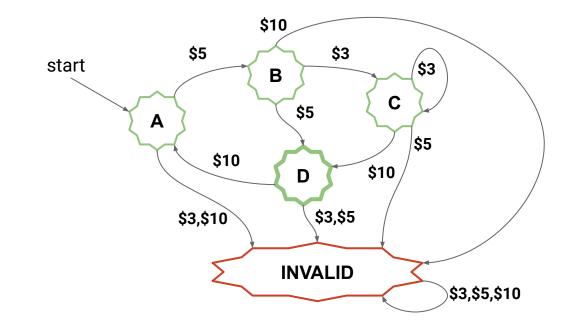
# Outline

#### - Computing with Limited Resources

- Finite Automata (Model of Computation)
- Regular Expressions

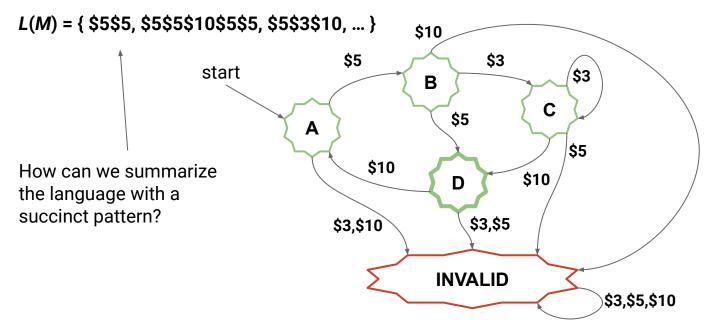
## Valid Fare Pattern

Is there a pattern representing every fare sequence to get from **A** to **D**?



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## **Regular Expressions**

A <u>regular expression</u>, or *regex*, *r* over alphabet  $\Sigma = \{c_1, c_2, ..., c_k\}$  is: •  $r = c_i$  for some  $i \in \{1, ..., k\}$ •  $r = \emptyset$ •  $r = \lambda$ or, given regular expressions  $r_1$  and  $r_2$ , we can build up a new regex *r*:

- $\mathbf{r} = (\mathbf{r}_1 | \mathbf{r}_2) \leftarrow \mathbf{r}_1 \text{ OR } \mathbf{r}_2$ , also sometimes written  $(\mathbf{r}_1 \cup \mathbf{r}_2)$
- $\mathbf{r} = (\mathbf{r}_1 \mathbf{r}_2) \leftarrow \mathbf{r}_1$  concatenated with  $\mathbf{r}_2$
- $r = (r_1)^* \leftarrow \text{kleene closure (0 or more repetitions)}$

## **Regular Expressions**

Each regular expression represents a set specified by these rules:

- ø represents the empty set (the set with no strings)
- $\lambda$  represents the set {  $\lambda$  } (the set containing the empty string)
- **x** represents the set { **x** } (the set containing one symbol, **x**)
- (AB) represents the concatenation of the sets represented by A and B
- (**A** U **B**) represents the union of the sets represented by **A** and **B**
- **A**\* represents the Kleene closure of the set represented by **A**

**<u>Regular Sets</u>** are the sets represented by regular expressions

**Let**  $\Sigma = \{ a, b \}$ 

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Let  $r_1 = a, r_2 = b$ •  $L(r_1) = \{a\}, L(r_2) = \{b\}$ 

Let  $\Sigma = \{a, b\}$ Let  $r_1 = a, r_2 = b$ •  $L(r_1) = \{a\}, L(r_2) = \{b\}$ Let  $r_3 = (r_1 | r_2) = (a | b)$ •  $L(r_3) = \{a, b\} \leftarrow a \text{ or } b$ 

- Let  $r_4 = (r_1 r_2) = (ab)$ •  $L(r_4) = \{ab\} \leftarrow a \text{ followed by } b$
- Let  $r_3 = (r_1 | r_2) = (a | b)$ •  $L(r_3) = \{a, b\}$
- Let  $r_1 = a, r_2 = b$ •  $L(r_1) = \{a\}, L(r_2) = \{b\}$
- Let  $\Sigma = \{ a, b \}$

Let  $\Sigma = \{a, b\}$ Let  $r_1 = a, r_2 = b$ • L(r<sub>1</sub>) = { a }, L(r<sub>2</sub>) = { b } Let  $\mathbf{r}_3 = (\mathbf{r}_1 | \mathbf{r}_2) = (a | b)$ • *L*(*r*<sub>3</sub>) = { a, b } Let  $r_4 = (r_1 r_2) = (ab)$ • *L*(*r*<sub>4</sub>) = { ab }

0 or more copies of a concatenated

Let 
$$r_4 = (r_1 r_2) = (ab)$$
  
•  $L(r_4) = \{ab\}$ 

Let 
$$r_3 = (r_1 | r_2) = (a | b)$$
  
•  $L(r_3) = \{a, b\}$ 

Let 
$$r_1 = a, r_2 = b$$
  
•  $L(r_1) = \{a\}, L(r_2) = \{b\}$ 

• 
$$L(r_5) = \{\lambda, a, aa, aaa, ... \}$$
  
Let  $r_6 = \emptyset$ 

•  $L(r_6) = \{\} \leftarrow \text{matches nothing}$ 

Let 
$$r_5 = (r_1)^* = (a)^*$$
  
•  $L(r_5) = \{\lambda, a, aa, aaa, ... \}$ 

**Let**  $\Sigma = \{ a, b \}$ 

Let  $\Sigma = \{a, b\}$ Let  $r_1 = a, r_2 = b$ • L(r<sub>1</sub>) = { a }, L(r<sub>2</sub>) = { b } Let  $\mathbf{r}_3 = (\mathbf{r}_1 | \mathbf{r}_2) = (a | b)$ • *L*(*r*<sub>3</sub>) = { a, b } Let  $r_4 = (r_1 r_2) = (ab)$ • *L*(*r*<sub>4</sub>) = { ab }

Let 
$$r_5 = (r_1)^* = (a)^*$$
  
•  $L(r_5) = \{ \lambda, a, aa, aaa, ... \}$   
Let  $r_6 = \emptyset$   
•  $L(r_6) = \{ \}$   
Let  $r_7 = \lambda$   
•  $L(r_7) = \{ \lambda \} \leftarrow \text{matches } \lambda$