

CSE 250

Data Structures

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Recursion
Textbook Ch 15

Announcements

- WA1 posted. Due Wed 3/1/23.

Seq Summary So Far

Operation	Array [T]	ArrayBuffer [T]	List [T] (index)	List [T] (ref)
<code>apply (i)</code>	$\Theta(1)$	$\Theta(1)$	$\Theta(i), O(n)$	$\Theta(1)$
<code>update (i, val)</code>	$\Theta(1)$	$\Theta(1)$	$\Theta(i), O(n)$	$\Theta(1)$
<code>insert (i, val)</code>	$\Theta(n)$	$O(n)$	$\Theta(i), O(n)$	$\Theta(1)$
<code>remove (i, val)</code>	$\Theta(n)$	$\Theta(n-i), O(n)$	$\Theta(i), O(n)$	$\Theta(1)$
<code>append (i)</code>	$\Theta(n)$	$O(n), \text{Amortized } \Theta(1)$	$\Theta(i), O(n)$	$\Theta(1)$

Recursion

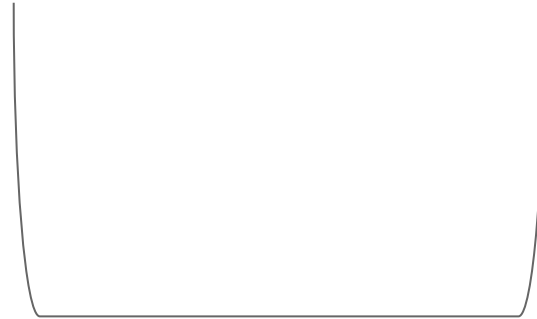


Factorial

$$\text{factorial}(n) = n * (n-1) * (n-2) * \dots * 2 * 1$$

Factorial

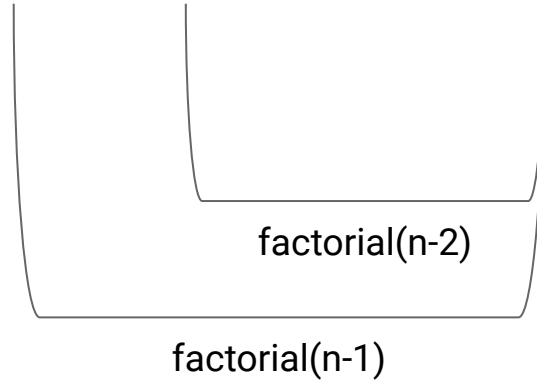
$$\text{factorial}(n) = n * (n-1) * (n-2) * \dots * 2 * 1$$



$\text{factorial}(n-1)$

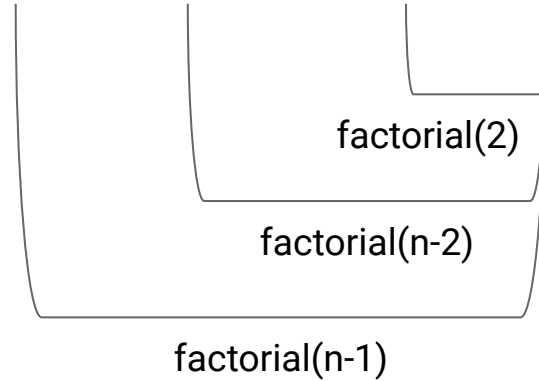
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factorial(1)

factorial(2)

factorial(n-2)

factorial(n-1)

Factorial

```
def factorial(n: Int): Long =  
  if(n <= 1){ 1 }  
  else { n * factorial(n - 1) }
```

Factorial

```
def factorial(n: Int): Long =  
  if(n <= 1){ 1 }           ← Base Case  
  else { n * factorial(n - 1) }
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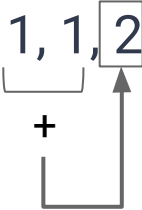
Factorial

```
def factorial(n: Int): Long =  
  if(n <= 1){ 1 } ← Base Case  
  else { n * factorial(n - 1) } ← Recursive Case
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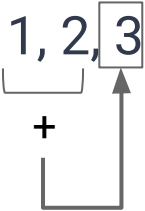
Fibonacci

$\text{fibb}(n) = 1, 1$

Fibonacci

$$\text{fibb}(n) = 1, 1, 2$$


Fibonacci

$$\text{fibb}(n) = 1, 1, 2, 3$$


The diagram illustrates the calculation of the 4th Fibonacci number. A bracket under the first two '1's is followed by a plus sign. An arrow points from the plus sign to the '3' in the sequence '1, 1, 2, 3', which is enclosed in a box.

Fibonacci

$$\text{fibb}(n) = 1, 1, 2, 3, 5$$

The diagram illustrates the calculation of the 5th Fibonacci number. The sequence is shown as $\text{fibb}(n) = 1, 1, 2, 3, 5$. A bracket is drawn under the numbers 2 and 3, with a plus sign (+) below it. An arrow points from the number 5 to the next position in the sequence, indicating that the next number is the sum of the two preceding numbers (2 + 3 = 5).

Fibonacci

$\text{fibb}(n) = 1, 1, 2, 3, 5, 8, 13, 21, 34, \dots$

Fibonacci

$\text{fibb}(n) = 1, 1, 2, 3, 5, 8, 13, 21, 34, \dots$

$$\text{fibb}(n) = \text{fibb}(n-1) + \text{fibb}(n-2)$$

Fibonacci

```
def fibb(n: Int): Long =  
  if(n < 2){ 1 }  
  else { fibb(n-1) + fibb(n-2) }
```

Fibonacci

```
def fibb(n: Int): Long =  
  if(n < 2){ 1 } ← Base Case  
  else { fibb(n-1) + fibb(n-2) }
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def fibb(n: Int): Long =  
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```

Towers of Hanoi

Live demo!

But What is the Complexity?

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def factorial(n: Int): Long =  
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def factorial(n: Int): Long =  
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How do we figure out complexity of a function, when part of the runtime of the function is calling itself?

But What is the Complexity?

```
def factorial(n: Int): Long =  
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  else { n * factorial(n - 1) } ←  $\Theta(1) + ???$ 
```

How do we figure out complexity of a function, when part of the runtime of the function is calling itself?

To know the complexity of `factorial`, we need to...know the complexity of `factorial`?

Complexity of factorial

$$T(n) = \begin{cases} \Theta(1) & \text{if } n \leq 1 \\ T(n-1) + \Theta(1) & \text{otherwise} \end{cases}$$

Solve for $T(n)$

Complexity of factorial

Solve for $T(n)$

Approach:

1. Generate a hypothesis
2. Prove your hypothesis for the base case
3. Prove the hypothesis for the recursive case *inductively*

Step 1 - Generate a Hypothesis

Let's start by looking at the runtime for increasing values of n

$$\Theta(1)$$

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$$\Theta(1), 2\Theta(1)$$

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$$\Theta(1), 2\Theta(1), 3\Theta(1)$$

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$\Theta(1)$, $2\Theta(1)$, $3\Theta(1)$, $4\Theta(1)$, $5\Theta(1)$, $6\Theta(1)$, $7\Theta(1)$

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$\Theta(1)$, $2\Theta(1)$, $3\Theta(1)$, $4\Theta(1)$, $5\Theta(1)$, $6\Theta(1)$, $7\Theta(1)$

What is the pattern?

Step 1 - Generate a Hypothesis

Let's start by looking at the runtime for increasing values of n

$\Theta(1)$, $2\Theta(1)$, $3\Theta(1)$, $4\Theta(1)$, $5\Theta(1)$, $6\Theta(1)$, $7\Theta(1)$

What is the pattern?

Hypothesis: $T(n) \in O(n)$

(there is some $c > 0$ such that $T(n) \leq c \cdot n$)

Prove for the Base Case

First, lets make our constants explicit

$$T(n) = \begin{cases} c_0 & \text{if } n \leq 1 \\ T(n - 1) + c_1 & \text{otherwise} \end{cases}$$

Prove $T(n) \in O(n)$ for the Base Case

Prove: $T(n) \in O(n)$ (ie: there exists a constant, c , such that $T(n) \leq c \cdot n$)

Base Case: $n = 1$

$$T(1) \leq c \cdot 1$$

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Base Case: $n = 1$

$$T(1) \leq c \cdot 1$$

$$T(1) \leq c$$

$$c_0 \leq c$$

True for any $c \geq c_0$

Prove $T(n) \in O(n)$ for the Base Case + 1

Prove: $T(n) \in O(n)$ (ie: there exists a constant, c , such that $T(n) \leq c \cdot n$)

Base Case + 1: $n = 2$

$$T(2) \leq c \cdot 2$$

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$$T(2) \leq c \cdot 2$$

$$T(1) + c_1 \leq 2c$$

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$$c_0 + c_1 \leq 2c$$

We already know there's a $c \geq c_0$, so...

Prove $T(n) \in O(n)$ for the Base Case + 1

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True for any $c \geq c_1$

Prove $T(n) \in O(n)$ for the Base Case + 2

Prove: $T(n) \in O(n)$ (ie: there exists a constant, c , such that $T(n) \leq c \cdot n$)

Base Case + 2: $n = 3$

$$T(3) \leq c \cdot 3$$

Prove $T(n) \in O(n)$ for the Base Case + 2

Prove: $T(n) \in O(n)$ (ie: there exists a constant, c , such that $T(n) \leq c \cdot n$)

Base Case + 2: $n = 3$

$$T(3) \leq c \cdot 3$$

$$T(2) + c_1 \leq 3c$$

Prove $T(n) \in O(n)$ for the Base Case + 2

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So if we show that $2c + c_1 \leq 3c$, then $T(2) + c_1 \leq 2c + c_1 \leq 3c$

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True for any $c \geq c_1$

Prove $T(n) \in O(n)$ for the Base Case + 3

Prove: $T(n) \in O(n)$ (ie: there exists a constant, c , such that $T(n) \leq c \cdot n$)

Base Case + 2: $n = 4$

$$T(4) \leq c \cdot 4$$

$$T(3) + c_1 \leq 4c$$

We know there's a c s.t. $T(3) \leq 3c$,

So if we show that $3c + c_1 \leq 4c$, then $T(3) + c_1 \leq 3c + c_1 \leq 4c$

True for any $c \geq c_1$

Proving the Hypothesis Inductively

We're starting to see a pattern...

Proving the Hypothesis Inductively

Approach: Assume our hypothesis is true for any $n' < n$;
Now prove it must also hold true for n .

Proving the Hypothesis Inductively

Assume: There is a $c > 0$ s.t. $T(n - 1) \leq c \cdot (n - 1)$

Prove: There is a $c > 0$ s.t. $T(n) \leq c \cdot n$

$$T(n) \leq c \cdot n$$

Proving the Hypothesis Inductively

Assume: There is a $c > 0$ s.t. $T(n - 1) \leq c \cdot (n - 1)$

Prove: There is a $c > 0$ s.t. $T(n) \leq c \cdot n$

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Proving the Hypothesis Inductively

Assume: There is a $c > 0$ s.t. $T(n - 1) \leq c \cdot (n - 1)$

Prove: There is a $c > 0$ s.t. $T(n) \leq c \cdot n$

$$T(n) \leq c \cdot n$$

$$T(n - 1) + c_1 \leq c \cdot n$$

By the inductive assumption, there is a c s.t. $T(n - 1) \leq (n - 1)c$

Proving the Hypothesis Inductively

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So if we show that $(n - 1)c + c_1 \leq nc$, then...

Proving the Hypothesis Inductively

Assume: There is a $c > 0$ s.t. $T(n - 1) \leq c \cdot (n - 1)$

Prove: There is a $c > 0$ s.t. $T(n) \leq c \cdot n$

$$T(n) \leq c \cdot n$$

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$$T(n - 1) + c_1 \leq (n - 1)c + c_1 \leq nc$$

True for any $c \geq c_1$

Therefore, we've proven our hypothesis for the Base Case, and inductively for the Recursive Case.
Therefore, the complexity of factorial is $\Theta(n)$

How much space is used?

`factorial (n)`

How much space is used?

<code>factorial (n-1)</code>
<code>factorial (n)</code>

How much space is used?

<code>factorial (n-2)</code>
<code>factorial (n-1)</code>
<code>factorial (n)</code>

How much space is used?

<code>factorial (n-3)</code>
<code>factorial (n-2)</code>
<code>factorial (n-1)</code>
<code>factorial (n)</code>

How much space is used?

•
•
•

<code>factorial (n-4)</code>
<code>factorial (n-3)</code>
<code>factorial (n-2)</code>
<code>factorial (n-1)</code>
<code>factorial (n)</code>

Tail Recursion

If the last thing we do in the function is a recursive call, we shouldn't need to create an entire stack of all the function calls...

```
def factorial(n: Int): Long =  
  if(n <= 1){ 1 }  
  else { n * factorial(n - 1) }
```

...smart compilers can often automatically convert to a loop...

```
def factorial(n: Int): Long = {  
  var total = 1  
  for(i <- 1 until n){ total *= i }  
  return total  
}
```

Tail Recursion

The Scala compiler will attempt to turn tail recursion into a loop

If you add `@tailrec` before your function definition, the compiler will yell at you if it cannot do the conversion

Fibonacci

What about a function without tail recursion, or with multiple recursive calls?

What is the complexity of `fibb(n)`?

```
def fibb(n: Int): Long =  
  if(n < 2){ 1 }  
  else { fibb(n-1) + fibb(n-2) }
```

Next time...

Divide and Conquer

Recursion Trees