

# CSE 250

## Data Structures

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# Orderings and Priority Queues

# Examples

## *How might we order the following?*

- (B,10), (D,3), (E,40)
- "A+", "C", "B-"
- Taco Tuesday, Fish Friday, Meatless Monday
- Buffalo Bills, Denver Broncos, Baltimore Ravens
- Aardvark, Baboon, Capybara, Donkey, Echidna

# Ordering

An ordering (over type A),  $(A, \leq)$ :

- A set of things of type **A**
- A "relation" or comparator,  $\leq$ , that relates two things in the set

## Examples

$5 \leq 30 \leq 999$

Numerical order

$(E,40) \leq (B,10) \leq (D,3)$

Reverse-numerical order on the 2nd field

$C+ \leq B- \leq B \leq B+ \leq A- \leq A$

Letter grades

$AA \leq AM \leq BZ \leq CA \leq CD$

Compare first then 2nd, 3rd...(Lexical order)

# Ordering Properties

**Team A  $\leq$  Team B**

Team B won its match against Team A

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*Is this an ordering??*

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**Team A  $\leq$  Team B**

Team B won its match against Team A

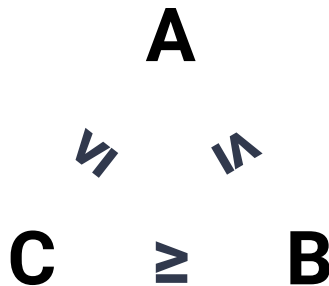
**Team B  $\leq$  Team C**

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Team A won its match against Team B

*Is this an ordering??*





# Ordering Properties

**Team A  $\leq$  Team B**

Team B won its match against Team A

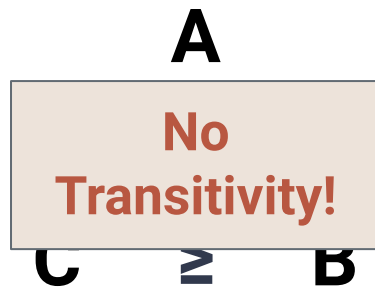
**Team B  $\leq$  Team C**

Team C won its match against Team B

**Team C  $\leq$  Team A**

Team A won its match against Team B

*Is this an ordering??* **NO!**



# Ordering Properties

An ordering must be...

## Reflexive

$$x \leq x$$

## Antisymmetric

If  $x \leq y$  and  $y \leq x$  then  $x = y$

## Transitive

If  $x \leq y$  and  $y \leq z$  then  $x \leq z$

# Another Example

**Define an ordering over CSE Courses:**

Course 1  $\preceq$  Course 2 iff Course 1 is a prereq of Course 2

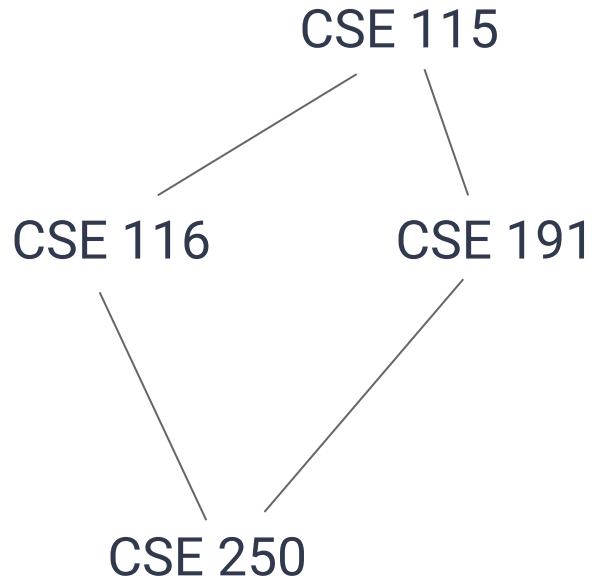
CSE 115  $\preceq$  CSE 116

CSE 116  $\preceq$  CSE 250

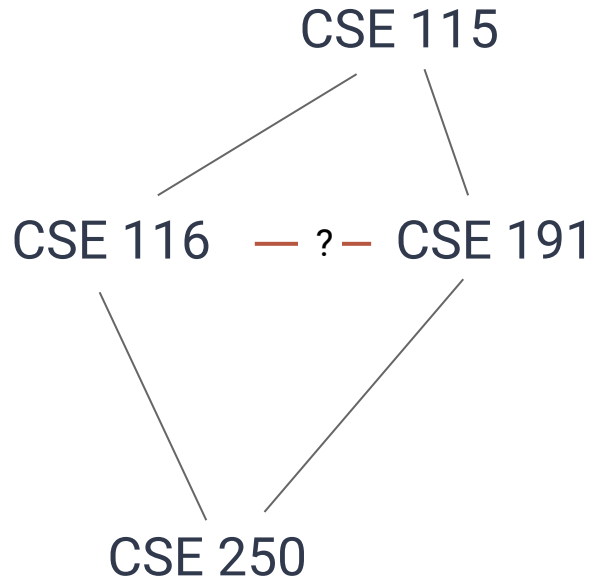
CSE 115  $\preceq$  CSE 191

CSE 191  $\preceq$  CSE 250

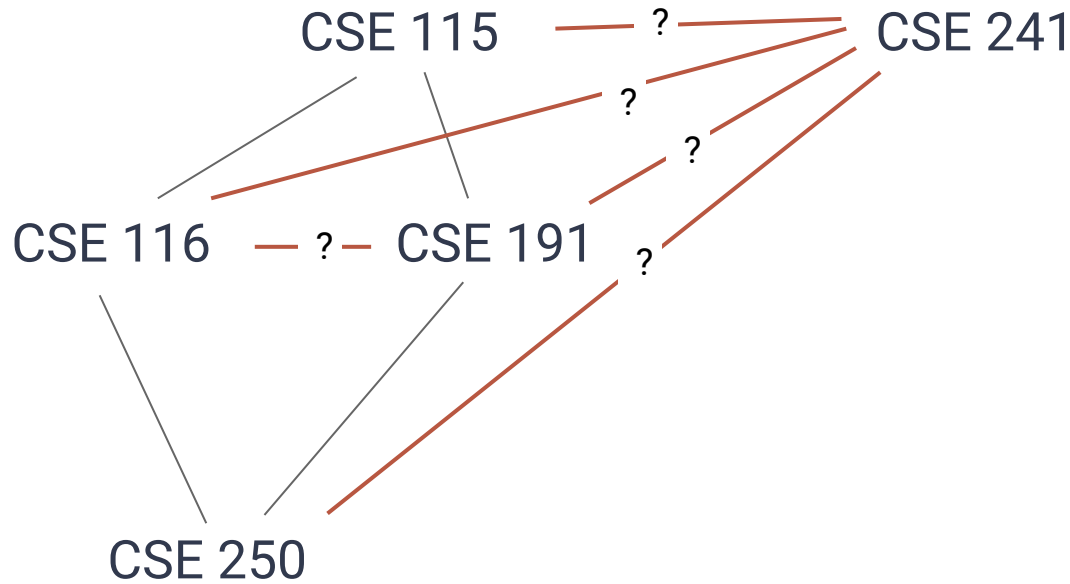
# Ordering Properties



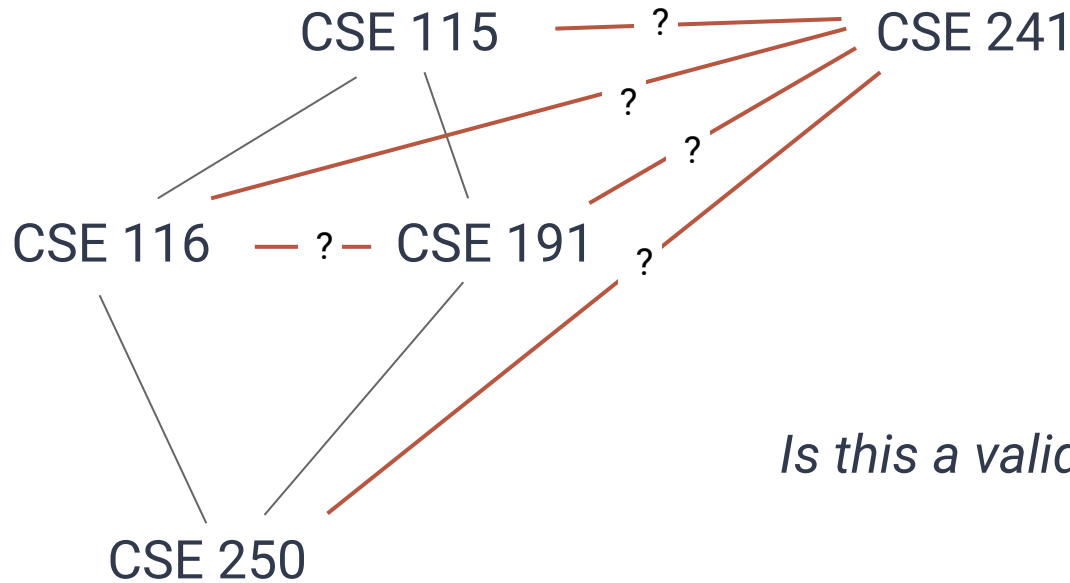
# Ordering Properties



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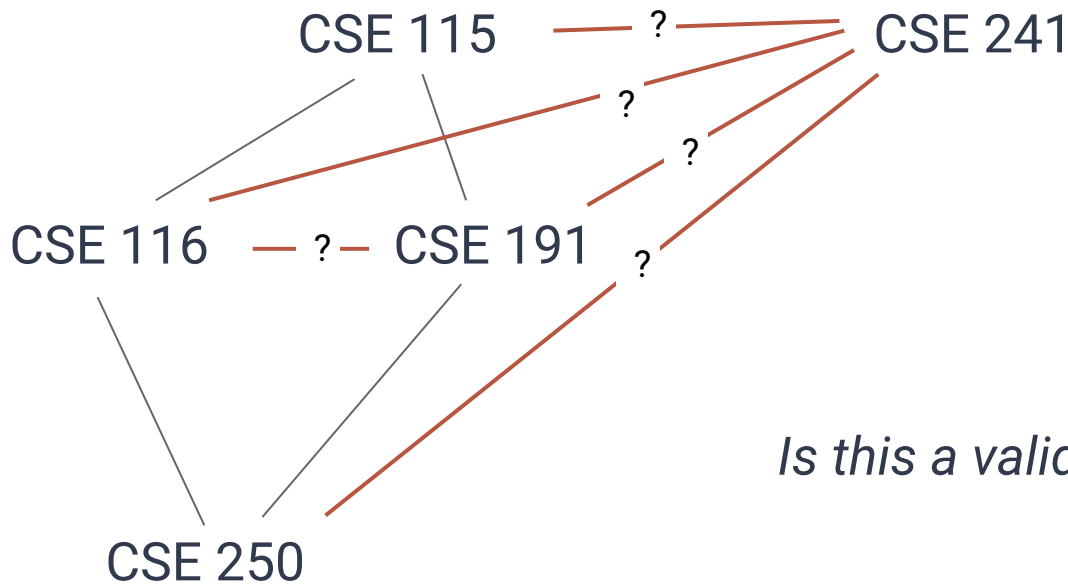


# Ordering Properties



*Is this a valid ordering?*

# Ordering Properties



*Is this a valid ordering?* **YES**



# (Partial) Ordering Properties

A partial ordering must be...

**Reflexive**

$$x \leq x$$

**Antisymmetric**

If  $x \leq y$  and  $y \leq x$  then  $x = y$

**Transitive**

If  $x \leq y$  and  $y \leq z$  then  $x \leq z$

# (Total) Ordering Properties

An total ordering must be...

**Reflexive**

$$x \leq x$$

**Antisymmetric**

If  $x \leq y$  and  $y \leq x$  then  $x = y$

**Transitive**

If  $x \leq y$  and  $y \leq z$  then  $x \leq z$

**Complete**

Either  $x \leq y$  or  $y \leq x$  for any  $x, y \in A$

# Some Other Definitions

For an ordering  $(A, \leq)$

The greatest element is an element  $x \in A$  s.t. there is no  $y$  in  $A$ , where  $x \leq y$

The least element is an element  $x \in A$  s.t. there is no  $y$  in  $A$ , where  $y \leq x$

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For an ordering  $(A, \leq)$

The **greatest** element is an element  $x \in A$  s.t. there is no  $y$  in  $A$ , where  $x \leq y$

The **least** element is an element  $x \in A$  s.t. there is no  $y$  in  $A$ , where  $y \leq x$

*A **partial** ordering may not have a **unique** greatest/least element*

# Describing an Ordering

$\leq$  can be described **explicitly**, by a set of tuples:

$$\{(a,a),(a,b),(a,c),\dots,(b,b),\dots,(z,z)\}$$

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$\leq$  can be described **explicitly**, by a set of tuples:

$\{(a,a),(a,b),(a,c),\dots,(b,b),\dots,(z,z)\}$

If  $(x,y)$  is in the set, then  $x \leq y$

# Describing an Ordering

$\leq$  can be described by a **mathematical rule**:

$$\{(x,y) \mid x, y \in \mathbb{Z}, \exists a \in \mathbb{Z}^+ \cup \{0\} : x + a = y\}$$

# Describing an Ordering

$\leq$  can be described by a **mathematical rule**:

$$\{(x,y) \mid x, y \in \mathbb{Z}, \exists a \in \mathbb{Z}^+ \cup \{0\} : x + a = y\}$$

$x \leq y$  iff  $x, y$  are integers and there is a non-negative integer  $a$  s.t.  $x+a=y$



# Multiple Orderings

## **Multiple Orderings can be defined for the same set**

- RottenTomatoes vs Metacritic vs Box Office Gross
- "Best Movie" first vs "Worst Movie" first
- Rank by number of swear words

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*We use subscripts to separate orderings ( $\leq_1, \leq_2, \leq_3, \dots$ )*

# Transformations

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**Reverse:** If  $x \preceq_1 y$  then define  $y \preceq_r x$

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**We can transform orderings:**

**Reverse:** If  $x \preceq_1 y$  then define  $y \preceq_r x$

**Lexical:** Given  $\preceq_1, \preceq_2, \preceq_3, \dots$

- if  $x \preceq_1 y$  then  $x \preceq_L y$
- else if  $x =_1 y$  and  $x \preceq_2 y$  then  $x \preceq_L y$
- else if  $x =_2 y$  and  $x \preceq_3 y$  then  $x \preceq_L y$
- ...

# Examples of Lexical Ordering

**Names:** First letter, then second letter, then third...

**Movies:** Average of reviews, then number of reviews...

**Tuples:** First field, then second field, then third...

**Sports Teams:** Games won, points scored, speed of victory...

# Ordering Over Keys

$\leq$  can be described as an **ordering over a key derived from the element:**

$$x \leq_{\text{edge}} y \text{ iff } \text{weight}(x) \leq \text{weight}(y)$$

$$x \leq_{\text{student}} y \text{ iff } \text{name}(x) \leq_{\text{Lex}} \text{name}(y)$$

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$$x \leq_{\text{edge}} y \text{ iff } \text{weight}(x) \leq \text{weight}(y)$$

$$x \leq_{\text{student}} y \text{ iff } \text{name}(x) \leq_{\text{Lex}} \text{name}(y)$$

*We say that weight/name are keys*



# Topological Sort

A Topological Sort of *partial* order  $(A, \leq_1)$  is *any total* order  $(A, \leq_2)$  that "agrees" with  $(A, \leq_1)$ :

For any two elements  $x, y$  in  $A$ :

if  $x \leq_1 y$  then  $x \leq_2 y$

if  $y \leq_1 x$  then  $y \leq_2 x$

Otherwise, either  $x \leq_2 y$  or  $y \leq_2 x$

# Topological Sort

The following are all topological sorts over our partial order from earlier:

- CSE 115, CSE 116, CSE 191, CSE 241, CSE 250
- CSE 241, CSE 115, CSE 116, CSE 191, CSE 250
- CSE 115, CSE 191, CSE 116, CSE 250, CSE 241

# Topological Sort

The following are all topological sorts over our partial order from earlier:

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- CSE 241, CSE 115, CSE 116, CSE 191, CSE 250
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*(In this case, the partial ordering is a schedule requirement, and each topological sort is a possible schedule)*

**And now for an ordering-based ADT...**

# A New ADT...PriorityQueue

**PriorityQueue**[A <: Ordering]

**enqueue**(v: A): Unit

Insert value **v** into the priority queue

**dequeue**: A

Remove the greatest element in the priority queue

**head**: A

Peek at the greatest element in the priority queue

How do we store  
the following→

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```
enqueue(5)
```

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the following→

```
enqueue(5)
```

```
enqueue(9)
```



How do we store  
the following→

```
enqueue(5)
```

```
enqueue(9)
```

```
enqueue(2)
```

How do we store  
the following→

enqueue(5)

enqueue(9)

enqueue(2)

enqueue(7)

How do we store  
the following→

```
enqueue(5)
enqueue(9)
enqueue(2)
enqueue(7)
head      // Should be 9
dequeue   // should be 9
```

How do we store  
the following→

```
enqueue(5)
enqueue(9)
enqueue(2)
enqueue(7)
head      // Should be 9
dequeue   // should be 9
size      // should be 3
head      // should be 7
```

How do we store  
the following→

```
enqueue(5)
enqueue(9)
enqueue(2)
enqueue(7)
head      // Should be 9
dequeue   // should be 9
size      // should be 3
head      // should be 7
dequeue   // 7
dequeue   // 5
dequeue   // 2
```

How do we store  
the following→

```
enqueue(5)
enqueue(9)
enqueue(2)
enqueue(7)
head      // Should be 9
dequeue   // should be 9
size      // should be 3
head      // should be 7
dequeue   // 7
dequeue   // 5
dequeue   // 2
isEmpty   // should be true
```

# How do we store the following →

Insertion Order?            5, 9, 7, 2

```
enqueue(5)
enqueue(9)
enqueue(2)
enqueue(7)
head      // Should be 9
dequeue   // should be 9
size      // should be 3
head      // should be 7
dequeue   // 7
dequeue   // 5
dequeue   // 2
isEmpty   // should be true
```

# How do we store the following →

Insertion Order?      5, 9, 7, 2  
Sorted Order?        9, 7, 5, 2

```
enqueue(5)
enqueue(9)
enqueue(2)
enqueue(7)
head      // Should be 9
dequeue   // should be 9
size      // should be 3
head      // should be 7
dequeue   // 7
dequeue   // 5
dequeue   // 2
isEmpty   // should be true
```



# How do we store the following →

Insertion Order?      5, 9, 7, 2  
Sorted Order?        9, 7, 5, 2  
Reverse Sorted Order?    2, 5, 7, 9

```
enqueue(5)
enqueue(9)
enqueue(2)
enqueue(7)
head        // Should be 9
dequeue     // should be 9
size        // should be 3
head        // should be 7
dequeue     // 7
dequeue     // 5
dequeue     // 2
isEmpty     // should be true
```

# Priority Queues

Two mentalities...

**Lazy:** Keep everything a mess

**Proactive:** Keep everything organized

# Priority Queues

Two mentalities...

**Lazy:** Keep everything a mess ("Selection Sort")

**Proactive:** Keep everything organized

# Priority Queues

Two mentalities...

**Lazy:** Keep everything a mess ("Selection Sort")

**Proactive:** Keep everything organized ("Insertion Sort")

# Lazy Priority Queue

**Base Data Structure:** Linked List

**enqueue** ( $v: A$ ) : **Unit**

Append  $t$  to the end of the linked list.

**dequeue/head** : **A**

Traverse the list to find the largest value.

# Lazy Priority Queue

**Base Data Structure:** Linked List

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Append  $t$  to the end of the linked list.  **$O(1)$**

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Traverse the list to find the largest value.

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**dequeue/head** : **A**

Traverse the list to find the largest value.  **$O(n)$**

# Sorting with Our Priority Queue

```
def pqueueSort[A](items: Seq[A], pqueue: PriorityQueue[A]): Seq[A] =
{
  val out = new Array[A](items.size)
  for(item <- items){ pqueue.enqueue(item) }
  i = out.size - 1
  while(!pqueue.isEmpty) { buffer(i) = pqueue.dequeue; i-- }
  return out.toSeq
}
```



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def pqueueSort[A](items: Seq[A], pqueue: PriorityQueue[A]): Seq[A] =  
{  
  val out = new Array[A](items.size)  
  for(item <- items){ pqueue.enqueue(item) } ← Add all items to pqueue  
  i = out.size - 1  
  while(!pqueue.isEmpty) { buffer(i) = pqueue.dequeue; i-- }  
  return out.toSeq  
}
```

# Sorting with Our Priority Queue

```
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{  
  val out = new Array[A](items.size)  
  for(item <- items){ pqueue.enqueue(item) } ← Add all items to pqueue  
  i = out.size - 1  
  while(!pqueue.isEmpty) { buffer(i) = pqueue.dequeue; i-- }  
  return out.toSeq ^ Pull all items out of pqueue  
}
```

# Selection Sort

	<b>Seq/Buffer</b>	<b>PQueue</b>
Input	(7,4,8,2,5,3,9)	()

# Selection Sort

	<b>Seq/Buffer</b>	<b>PQueue</b>
Input	(7,4,8,2,5,3,9)	()
Step 1	(4,8,2,5,3,9)	(7)

# Selection Sort

	<b>Seq/Buffer</b>	<b>PQueue</b>
Input	(7,4,8,2,5,3,9)	()
Step 1	(4,8,2,5,3,9)	(7)
Step 2	(8,2,5,3,9)	(7,4)

# Selection Sort

	<b>Seq/Buffer</b>	<b>PQueue</b>
Input	(7,4,8,2,5,3,9)	()
Step 1	(4,8,2,5,3,9)	(7)
Step 2	(8,2,5,3,9)	(7,4)
	...	...
Step $n$	()	(7,4,8,2,5,3,9)

# Selection Sort

	<b>Seq/Buffer</b>	<b>PQueue</b>
Input	(7,4,8,2,5,3,9)	()
Step 1	(4,8,2,5,3,9)	(7)
Step 2	(8,2,5,3,9)	(7,4)
	...	...
Step $n$	()	(7,4,8,2,5,3,9)
Step $n + 1$	[_,_,_,_,_,9]	(7,4,8,2,5,3)

# Selection Sort

	<b>Seq/Buffer</b>	<b>PQueue</b>
Input	(7,4,8,2,5,3,9)	()
Step 1	(4,8,2,5,3,9)	(7)
Step 2	(8,2,5,3,9)	(7,4)
	...	...
Step $n$	()	(7,4,8,2,5,3,9)
Step $n + 1$	[_,_,_,_,_,9]	(7,4,8,2,5,3)
Step $n + 2$	[_,_,_,_,8,9]	(7,4,2,5,3,9)



# Selection Sort

	Seq/Buffer	PQueue
Input	(7,4,8,2,5,3,9)	()
Step 1	(4,8,2,5,3,9)	(7)
Step 2	(8,2,5,3,9)	(7,4)
	...	...
Step $n$	()	(7,4,8,2,5,3,9)
Step $n + 1$	[_,_,_,_,_,9]	(7,4,8,2,5,3)
Step $n + 2$	[_,_,_,_,8,9]	(7,4,2,5,3,9)
Step $n + 3$	[_,_,_,7,8,9]	(4,2,5,3,9)

# Selection Sort

	Seq/Buffer	PQueue
Input	(7,4,8,2,5,3,9)	()
Step 1	(4,8,2,5,3,9)	(7)
Step 2	(8,2,5,3,9)	(7,4)
	...	...
Step $n$	()	(7,4,8,2,5,3,9)
Step $n + 1$	[_,_,_,_,_,9]	(7,4,8,2,5,3)
Step $n + 2$	[_,_,_,_,8,9]	(7,4,2,5,3,9)
Step $n + 3$	[_,_,_,7,8,9]	(4,2,5,3,9)
Step $n + 4$	[_,_,5,7,8,9]	(4,2,3,9)

# Selection Sort

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Input	(7,4,8,2,5,3,9)	()
Step 1	(4,8,2,5,3,9)	(7)
Step 2	(8,2,5,3,9)	(7,4)
	...	...
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Step $n + 1$	[_,_,_,_,_,9]	(7,4,8,2,5,3)
Step $n + 2$	[_,_,_,_,8,9]	(7,4,2,5,3,9)
Step $n + 3$	[_,_,_,7,8,9]	(4,2,5,3,9)
Step $n + 4$	[_,_,5,7,8,9]	(4,2,3,9)
	...	...
Step $2n$	[2,3,4,5,7,8,9]	()

# Selection Sort

```
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{
  val out = new Array[A](items.size)
  for(item <- items){ pqueue.enqueue(item) }
  i = out.size - 1
  while(!pqueue.isEmpty) { buffer(i) = pqueue.dequeue; i-- }
  return out.toSeq
}
```

*What is the complexity?*

# Selection Sort

```
def pqueueSort[A](items: Seq[A], pqueue: PriorityQueue[A]): Seq[A] =
{
  val out = new Array[A](items.size)
  for(item <- items){ pqueue.enqueue(item) }
  i = out.size - 1
  while(!pqueue.isEmpty) { buffer(i) = pqueue.dequeue; i-- }
  return out.toSeq
}
```

*What is the complexity?  $O(n^2)$*

# Proactive Priority Queue

**Base Data Structure:** Linked List

**enqueue** ( $v: A$ ) : **Unit**

Insert  $t$  in reverse sorted order.

**dequeue/head** : **A**

Refer to the first item in the list.

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Refer to the first item in the list.  **$O(1)$**



# Insertion Sort

	<b>Seq/Buffer</b>	<b>PQueue</b>
Input	(7,4,8,2,5,3,9)	()

# Insertion Sort

	<b>Seq/Buffer</b>	<b>PQueue</b>
Input	(7,4,8,2,5,3,9)	()
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Step 1	(4,8,2,5,3,9)	(7)
Step 2	(8,2,5,3,9)	(7,4)
Step 3	(2,5,3,9)	(8,7,4)

# Insertion Sort

	<b>Seq/Buffer</b>	<b>PQueue</b>
Input	(7,4,8,2,5,3,9)	()
Step 1	(4,8,2,5,3,9)	(7)
Step 2	(8,2,5,3,9)	(7,4)
Step 3	(2,5,3,9)	(8,7,4)
Step 4	(5,3,9)	(8,7,4,2)

# Insertion Sort

	<b>Seq/Buffer</b>	<b>PQueue</b>
Input	(7,4,8,2,5,3,9)	()
Step 1	(4,8,2,5,3,9)	(7)
Step 2	(8,2,5,3,9)	(7,4)
Step 3	(2,5,3,9)	(8,7,4)
Step 4	(5,3,9)	(8,7,4,2)
	...	...
Step $n$	[, , , , , , ]	(9,8,7,5,4,3,2)

# Insertion Sort

	<b>Seq/Buffer</b>	<b>PQueue</b>
Input	(7,4,8,2,5,3,9)	()
Step 1	(4,8,2,5,3,9)	(7)
Step 2	(8,2,5,3,9)	(7,4)
Step 3	(2,5,3,9)	(8,7,4)
Step 4	(5,3,9)	(8,7,4,2)
	...	...
Step $n$	[_,_,_,_,_,_] ]	(9,8,7,5,4,3,2)
Step $n + 2$	[_,_,_,_,_,9]	(8,7,5,4,3,2)

# Insertion Sort

	<b>Seq/Buffer</b>	<b>PQueue</b>
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Step 3	(2,5,3,9)	(8,7,4)
Step 4	(5,3,9)	(8,7,4,2)
	...	...
Step $n$	[_,_,_,_,_,_] ]	(9,8,7,5,4,3,2)
Step $n + 2$	[_,_,_,_,_,_9]	(8,7,5,4,3,2)
Step $n + 3$	[_,_,_,_,_8,9]	(7,5,4,3,2)



# Insertion Sort

	<b>Seq/Buffer</b>	<b>PQueue</b>
Input	(7,4,8,2,5,3,9)	()
Step 1	(4,8,2,5,3,9)	(7)
Step 2	(8,2,5,3,9)	(7,4)
Step 3	(2,5,3,9)	(8,7,4)
Step 4	(5,3,9)	(8,7,4,2)
	...	...
Step $n$	[_,_,_,_,_,_] ]	(9,8,7,5,4,3,2)
Step $n + 2$	[_,_,_,_,_,_9]	(8,7,5,4,3,2)
Step $n + 3$	[_,_,_,_,_8,9]	(7,5,4,3,2)
	...	...
Step $2n$	[2,3,4,5,7,8,9]	()

# Selection Sort

```
def pqueueSort[A](items: Seq[A], pqueue: PriorityQueue[A]): Seq[A] =
{
  val out = new Array[A](items.size)
  for(item <- items){ pqueue.enqueue(item) }
  i = out.size - 1
  while(!pqueue.isEmpty) { buffer(i) = pqueue.dequeue; i-- }
  return out.toSeq
}
```

*What is the complexity?*

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```

*What is the complexity?  $O(n^2)$*

# Priority Queues

<b>Operation</b>	<b>Lazy</b>	<b>Proactive</b>
enqueue	$O(1)$	$O(n)$
dequeue	$O(n)$	$O(1)$
head	$O(n)$	$O(1)$

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enqueue	$O(1)$	$O(n)$
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*Can we do better?*