

## We've done

- Growth of functions
- Asymptotic Notations ( $O$ ,  $o$ ,  $\Omega$ ,  $\omega$ ,  $\Theta$ )

## Now

- Recurrence relations, solving them, Master theorem

## Next

- Sorting

## Recurrence Relations: Examples

FibA

$$T(n) = T(n - 1) + T(n - 2) + \Theta(1)$$

Binary search

$$T(n) \leq T(\lceil n/2 \rceil) + \Theta(1)$$

Merge sort

$$T(n) = T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + \Theta(n) \quad (1)$$

and tons of others

$$T(n) = 4T(n/2) + n^2 \lg n$$

$$T(n) = 3T(n/4) + \lg n$$

$$T(n) = T(n/a) + T(a)$$

As we've done earlier, equations like (1) means:

“ $T(n)$  is  $T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil)$  plus some function  $f(n)$  which is  $\Theta(n)$ ”

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# Recurrent Relations: Methods

- Substitution method
- Recurrence tree
- Generating functions and others (take CSE 594 next sem)
- Master Theorem

## The Substitution Method

Mostly to show  $O$  and  $\Omega$  relations

- Guess a solution
- Use induction to show it works

Recall the first lecture

$$T(n) = \begin{cases} a & \text{if } n \leq 1 \\ T(n-1) + T(n-2) + b & \text{if } n \geq 2 \end{cases}$$

then we “guess”

$$T(n) = (a+b)F_{n+1} - b \quad (2)$$

where  $F_n$  is the  $n$ th Fibonacci number

$$\begin{aligned} F_n &= \frac{1}{\sqrt{5}} \left( \frac{1+\sqrt{5}}{2} \right)^n - \frac{1}{\sqrt{5}} \left( \frac{1-\sqrt{5}}{2} \right)^n \\ &= \Theta \left( \left( \frac{1+\sqrt{5}}{2} \right)^n \right) = \Theta(\phi^n) \end{aligned}$$

Hence (why?),

$$T(n) = \Theta(\phi^n) \quad (3)$$

We can show both (2) & (3) by induction.

## More examples

- Given

$$T(1) = \Theta(1)$$

$$T(n) = T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + \Theta(n),$$

which means

$$T(1) = c_0$$

$$T(n) \geq T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + c_1 n$$

$$T(n) \leq T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + c_2 n$$

- Guess:  $T(n) = \Theta(n \lg n)$ .
- Need to show by induction that there are  $a, b > 0$  s.t.

$$an \lg n \leq T(n) \leq bn \lg n.$$

Now try

- $T(n) = T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + 1$ .
- Guess  $T(n) = \Theta(n)$ .

## Misc. Notes

1. It is often safe to ignore integrality issues. For example:

$$T(n) = T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + n,$$

can be solved by saying

$$T(n) = 2T(n/2) + n$$

which gives the exact same solution.

2. To see why integrality isn't important, consider

$$T(n) = 2T(\lfloor n/2 \rfloor + 17) + n.$$

It is still  $O(n \lg n)$ . (Induction)

3. Avoid doing

$$\begin{aligned} T(n) &\leq 2c\lfloor n/2 \rfloor + n \\ &\leq cn + n \\ &= O(n) \end{aligned}$$

which is **dead wrong!!**

## Change of variable

Solve

$$T(n) = 2T(\sqrt{n}) + 1$$

Let  $m = \lg n$ , then

$$T(2^m) = 2T(2^{m/2}) + 1$$

Let  $S(m) = T(2^m)$ , then

$$S(m) = 2S(m/2) + 1$$

Hence,

$$S(m) = O(m)$$

Thus,

$$T(n) = S(\lg n) = O(\lg n)$$

## How to guess?

### Iterating the recurrence a few times

Like we did with the Fibonacci case.

### Use recursion-tree method

Let's try

$$T(n) = 3T(\lfloor n/4 \rfloor) + \Theta(n^2).$$

Recursion tree suggests  $T(n) = O(n^2)$ . Now rigorously shows it by induction.

*Sick of induction already?*

Now try

$$T(n) = T(n/3) + T(2n/3) + O(n)$$



# Master Theorem

Let  $a \geq 1$ ,  $b > 1$  be constants. Suppose

$$T(n) = aT(n/b) + f(n),$$

where  $n/b$  could either be  $\lceil n/b \rceil$  or  $\lfloor n/b \rfloor$ . Then

1. If  $f(n) = O(n^{\log_b a - \epsilon})$  for some  $\epsilon > 0$ , then

$$T(n) = \Theta(n^{\log_b a})$$

2. If  $f(n) = \Theta(n^{\log_b a})$ , then

$$T(n) = \Theta(n^{\log_b a} \lg n)$$

3. If  $f(n) = \Omega(n^{\log_b a + \epsilon})$  for some  $\epsilon > 0$ , and if  $af(n/b) \leq cf(n)$  for some constant  $c < 1$  for all sufficiently large  $n$ , then

$$T(n) = \Theta(f(n))$$

## Examples

- $T(n) = 8T(n/2) + n^5$
- $T(n) = 8T(n/2) + n^4$
- $T(n) = 8T(n/2) + n^3$
- $T(n) = 3T(n/2) + n^2$
- $T(n) = 3T(n/2) + n$

## Notes

- There is a gap between case 1 & case 2
- There is a gap between case 2 & case 3
- There is a gap within case 3