

# Agenda

We've discussed

- C++ basics
- Some built-in data structures and their applications: stack, map, vector, array
- The Fibonacci example showing the importance of good algorithms and asymptotic analysis

Now

- Growth of functions
- Asymptotic notations
- Scare some people off

Next

- Recurrence relations & a way to solve them
- Binary search and some sorting algorithms to illustrate

# Some conventions

$$\lg n = \log_2 n$$

$$\log n = \log_{10} n$$

$$\ln n = \log_e n$$

# Growth of functions

Consider an Intel Core i7 Extreme Edition 980X (Hex core), 3.33GHz, top speed  $< 150 \cdot 10^9$  instructions per second (IPS). For simplicity, say it's  $10^9$  IPS.

	10	20	30	40	50	1000
$\lg \lg n$	1.7 ns	2.17 ns	2.29 ns	2.4 ns	2.49 ns	3.3 ns
$\lg n$	3.3 ns	4.3 ns	4.9 ns	5.3 ns	5.6 ns	9.9 ns
$n$	10 ns	20 ns	3 ns	4 ns	5 ns	1 $\mu$ s
$n^2$	0.1 $\mu$ s	0.4 $\mu$ s	0.9 $\mu$ s	1.6 $\mu$ s	2.5 $\mu$ s	1 ms
$n^3$	1 $\mu$ s	8 $\mu$ s	27 $\mu$ s	64 $\mu$ s	125 $\mu$ s	1 sec
$n^5$	0.1 ms	3.2 ms	24.3 ms	0.1 sec	0.3 sec	277 h
$2^n$	1 $\mu$ s	1 ms	1 s	18.3 m	312 h	$3.4 \cdot 10^{282}$ Cent.
$3^n$	59 $\mu$ s	3.5 s	57.2 h	386 y	227644 c	$4.2 \cdot 10^{458}$ Cent.

$1.6^{100}$  ns is approx. 82 centuries (Recall `fib1()`).

$$\lg 10^{10} = 33, \quad \lg \lg 10^{10} = 4.9$$

# Some other large numbers

- The age of the universe  $\leq 13$  G-Years =  $13 \cdot 10^7$  centuries.
- ⇒ Number of seconds since big-bang  $\approx 10^{18}$ .
- $4 * 10^{78} \leq$  Number of atoms in the universe  $\leq 6 * 10^{79}$ .
  - The probability that a monkey can compose **Hamlet** is  $\approx \frac{1}{10^{60}}$
- so what's the philosophical implication of this?

## Robert Wilensky, speech at a 1996 conference

We've heard that a million monkeys at a million keyboards could produce the complete works of Shakespeare; now, thanks to the Internet, we know that is not true.

# Dominating Terms

When  $n$  is sufficiently large, order the following functions:

$$f_1(n) = 2000n^2 + 1,000,000n + 3$$

$$f_2(n) = 100n^2$$

$$f_3(n) = n^5 + 10^7 n$$

$$f_4(n) = 2^n + n^{10,000}$$

$$f_5(n) = 2^n$$

$$f_6(n) = \frac{3^n}{10^6}$$

(Only need to look at the **dominating term**)

We will only look at functions of the type

$$f : \mathbb{N} \rightarrow \mathbb{R}^+$$

as they are used for time and space complexity estimation.

# Behind comparing functions

- Mathematically,  $f(n) \gg g(n)$  for “sufficiently large”  $n$  means

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty.$$

We also say  $f(n)$  is **asymptotically larger** than  $g(n)$ .

- They are **comparable** (or **of the same order**) if

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c > 0$$

- and  $f(n)$  is **asymptotically smaller** than  $g(n)$  when

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0.$$

## Question

Are there  $f(n)$  and  $g(n)$  not falling into one of the above cases?

# Asymptotic notations

$f(n) = O(g(n))$  iff  $\exists c > 0, n_0 > 0 : f(n) \leq cg(n)$ , for  $n \geq n_0$

$f(n) = \Omega(g(n))$  iff  $\exists c > 0, n_0 > 0 : f(n) \geq cg(n)$ , for  $n \geq n_0$

$f(n) = \Theta(g(n))$  iff  $f(n) = O(g(n))$  &  $f(n) = \Omega(g(n))$

$f(n) = o(g(n))$  iff  $\forall c > 0, \exists n_0 > 0 : f(n) \leq cg(n)$ , for  $n \geq n_0$

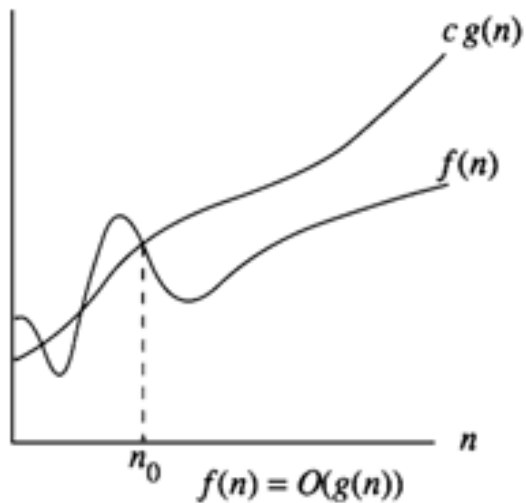
$f(n) = \omega(g(n))$  iff  $\forall c > 0, \exists n_0 > 0 : f(n) \geq cg(n)$ , for  $n \geq n_0$

## Note:

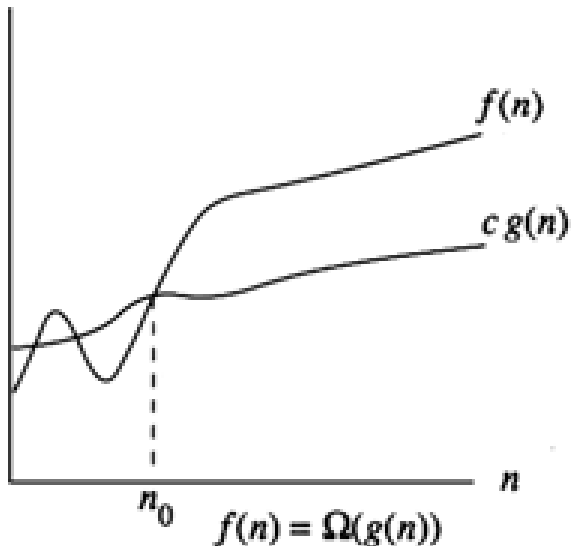
- we shall be concerned only with functions  $f$  of the form  $f : \mathbb{N}^+ \rightarrow \mathbb{R}^+$ , unless specified otherwise.
- $f(n) = \mathbf{x}(g(n))$  isn't mathematically correct;  $f(n) \in \mathbf{x}(g(n))$  is, but not commonly used.



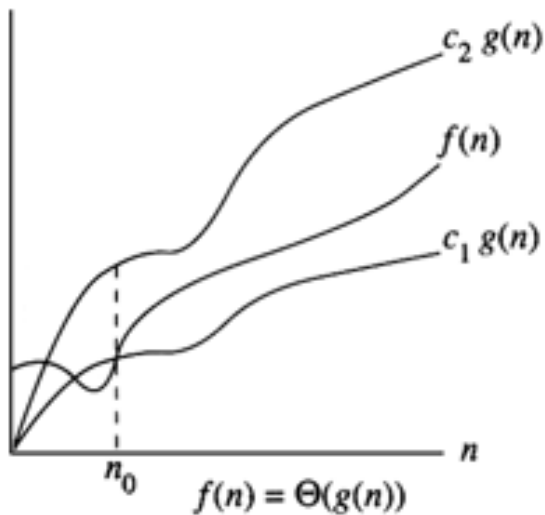
# An illustration of big-O



# An illustration of big- $\Omega$



# An illustration of $\Theta$



# Some examples

$$a(n) = \sqrt{n}$$

$$b(n) = n^5 + 10^7 n$$

$$c(n) = (1.3)^n$$

$$d(n) = (\lg n)^{100}$$

$$e(n) = \frac{3^n}{10^6}$$

$$f(n) = 3180$$

$$g(n) = n^{0.0000001}$$

$$h(n) = (\lg n)^{\lg n}$$

# A few properties

$$f(n) = o(g(n)) \Rightarrow f(n) = O(g(n)) \ \& \ f(n) \neq \Theta(g(n)) \quad (1)$$

$$f(n) = \omega(g(n)) \Rightarrow f(n) = \Omega(g(n)) \ \& \ f(n) \neq \Theta(g(n)) \quad (2)$$

$$f(n) = O(g(n)) \Leftrightarrow g(n) = \Omega(f(n)) \quad (3)$$

$$f(n) = \Theta(g(n)) \Leftrightarrow g(n) = \Theta(f(n)) \quad (4)$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = +\infty \Leftrightarrow f(n) = \omega(g(n)) \quad (5)$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c > 0 \Rightarrow f(n) = \Theta(g(n)) \quad (6)$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0 \Leftrightarrow f(n) = o(g(n)) \quad (7)$$

Remember: we only consider functions from  $\mathbb{N}^+ \rightarrow \mathbb{R}^+$ .

# A reminder: L'Hôpital's rule

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \lim_{n \rightarrow \infty} \frac{f'(n)}{g'(n)}$$

if

$\lim_{n \rightarrow \infty} f(n)$  and  $\lim_{n \rightarrow \infty} g(n)$  are both 0 or both  $\pm \infty$

Examples:

$$\lim_{n \rightarrow \infty} \frac{\lg n}{\sqrt{n}} = 0 \quad (8)$$

$$\lim_{n \rightarrow \infty} \frac{(\lg n)^{\lg n}}{\sqrt{n}} = ? \quad (9)$$

# Stirling's approximation

For all  $n \geq 1$ ,

$$n! = \sqrt{2\pi n} \left(\frac{n}{e}\right)^n e^{\alpha_n},$$

where

$$\frac{1}{12n+1} < \alpha_n < \frac{1}{12n}.$$

It then follows that

$$n! = \sqrt{2\pi n} \left(\frac{n}{e}\right)^n \left(1 + \Theta\left(\frac{1}{n}\right)\right).$$

The last formula is often referred to as the **Stirling's approximation**

# More examples

$$a(n) = \lfloor \lg n \rfloor!$$

$$b(n) = n^5 + 10^7 n$$

$$c(n) = 2^{\sqrt{\lg n}}$$

$$d(n) = (\lg n)^{100}$$

$$e(n) = 3^n$$

$$f(n) = (\lg n)^{\lg \lg n}$$

$$g(n) = 2^{n^{0.001}}$$

$$h(n) = (\lg n)^{\lg n}$$

$$i(n) = n!$$



# Special functions

Some functions cannot be compared, e.g.  $n^{1+\sin(n\frac{\pi}{2})}$  and  $n$ .

$$\lg^* n = \min\{i \geq 0 : \lg^{(i)} n \leq 1\},$$

where for any function  $f : \mathbb{N}^+ \rightarrow \mathbb{R}^+$ ,

$$f^{(i)}(n) = \begin{cases} n & \text{if } i = 0 \\ f(f^{(i-1)}(n)) & \text{if } i > 0 \end{cases}$$

**Intuitively**, compare

$$\lg^* n \quad \text{vs} \quad \lg n$$

$$\lg^* n \quad \text{vs} \quad (\lg n)^\epsilon, \quad \epsilon > 0$$

$$2^n \quad \text{vs} \quad n^n$$

$$\lg^*(\lg n) \quad \text{vs} \quad \lg(\lg^* n)$$

How about **rigorously**?

# Asymptotic notations in equations

$$5n^3 + 6n^2 + 3 = 5n^3 + \Theta(n^2)$$

means “the LHS is equal to  $5n^3$  plus some function which is  $\Theta(n^2)$ .”

$$o(n^6) + O(n^5) = o(n^6)$$

means “for any  $f(n) = o(n^6)$ ,  $g(n) = O(n^5)$ , the function  $h(n) = f(n) + g(n)$  is equal to some function which is  $o(n^6)$ .”

Be very careful!!

$$O(n^5) + \Omega(n^2) \stackrel{?}{=} \Omega(n^2)$$

$$O(n^5) + \Omega(n^2) \stackrel{?}{=} O(n^5)$$

# Tight and not tight

$n \log n = O(n^2)$  is **not tight**

$n^2 = O(n^2)$  is **tight**

# When comparing functions asymptotically

- Determine the dominating term
- Use **intuition** first
- Transform intuition into rigorous proof.