$\begin{aligned} O\text{-Notation For a function } g(n), \\ O(g(n)) &= \big\{ \text{function } f : \exists c > 0, n_0 > 0 \text{ such that} \\ f(n) &\leq cg(n), \forall n \geq n_0 \big\}. \end{aligned}$ 

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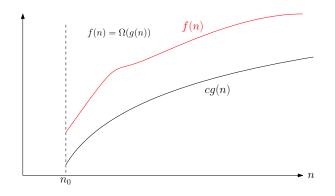
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• In other words,  $f(n) \in \Omega(g(n))$  if  $f(n) \ge cg(n)$  for some c and large enough n.

## $\Omega$ -Notation: Asymptotic Lower Bound

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## $\Omega\text{-Notation}$ : Asymptotic Lower Bound

- Again, we use "=" instead of  $\in$ .
  - $4n^2 = \Omega(n-10)$
  - $3n^2 n + 10 = \Omega(n^2 20)$

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Asymptotic Notations  $O \mid \Omega \mid \Theta$   
Comparison Relations  $\leq \geq$ 

# $\Omega\text{-Notation}$ : Asymptotic Lower Bound

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**Theorem**  $f(n) = O(g(n)) \Leftrightarrow g(n) = \Omega(f(n)).$ 

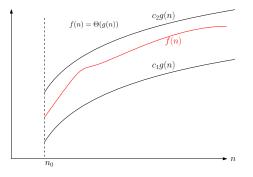
$$\begin{split} \Theta\text{-Notation For a function } g(n), \\ \Theta(g(n)) &= \big\{ \text{function } f: \exists c_2 \geq c_1 > 0, n_0 > 0 \text{ such that} \\ c_1g(n) \leq f(n) \leq c_2g(n), \forall n \geq n_0 \big\}. \end{split}$$

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Asymptotic Notations	O	$\Omega$	Θ
Comparison Relations	$\leq$	$\geq$	=

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Asymptotic Notations	O	$\Omega$	Θ
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**Theorem**  $f(n) = \Theta(g(n))$  if and only if f(n) = O(g(n)) and  $f(n) = \Omega(g(n))$ .

## Recall: $O, \Omega, \Theta$ -Notation: Asymptotic Bounds

 $\begin{aligned} O\text{-Notation For a function } g(n), \\ O(g(n)) &= \big\{ \text{function } f : \exists c > 0, n_0 > 0 \text{ such that} \\ f(n) &\leq cg(n), \forall n \geq n_0 \big\}. \end{aligned}$ 

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	Asymptotic Notations	0	$\Omega$	Θ
_	Comparison Relations	$\leq$	$\geq$	=

Asymptotic NotationsO $\Omega$  $\Theta$ Comparison Relations $\leq$  $\geq$ =

Trivial Facts on Comparison Relations

- $\bullet \ a \leq b \ \Leftrightarrow \ b \geq a$
- $\bullet \ a=b \ \Leftrightarrow \ a\leq b \text{ and } a\geq b$
- $a \leq b$  or  $a \geq b$

Asymptotic NotationsO $\Omega$  $\Theta$ Comparison Relations $\leq$  $\geq$ =

### Trivial Facts on Comparison Relations

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### **Correct Analogies**

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$$f(n) = O(g(n)) \Leftrightarrow g(n) = \Omega(f(n))$$

 $\bullet \ f(n) = \Theta(g(n)) \ \Leftrightarrow \ f(n) = O(g(n)) \ \text{and} \ f(n) = \Omega(g(n))$ 

Asymptotic NotationsO $\Omega$  $\Theta$ Comparison Relations $\leq$  $\geq$ =

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## Incorrect Analogy

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$$f(n) = O(g(n))$$
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 or  $f(n) = \Omega(g(n))$ 

$$f(n) = n^2$$
  
 $g(n) = egin{cases} 1 & ext{if } n ext{ is odd} \ n^3 & ext{if } n ext{ is even} \end{cases}$ 

- ignoring lower order terms:  $3n^2 10n 5 \rightarrow 3n^2$
- ignoring leading constant:  $3n^2 \rightarrow n^2$

- ignoring lower order terms:  $3n^2-10n-5\rightarrow 3n^2$
- $\bullet$  ignoring leading constant:  $3n^2 \rightarrow n^2$
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- $3n^2 10n 5 = O(5n^2 6n + 5)$  is correct, though weird
- $3n^2 10n 5 = O(n^2)$  is the most natural since  $n^2$  is the simplest term we can have inside  $O(\cdot)$ .

- $n^2 + 2n = O(n^3)$  is correct.
- The following sentence is correct: the running time of the insertion sort algorithm is  ${\cal O}(n^4)$ .
- We say: the running time of the insertion sort algorithm is  ${\cal O}(n^2)$  and the bound is tight.

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- We say: the running time of the insertion sort algorithm is  ${\cal O}(n^2)$  and the bound is tight.
- We do not use  $\Omega$  and  $\Theta$  very often when we upper bound running times.

f	g	O	Ω	Θ
$n^3 - 100n$	$5n^{2} + 3n$			
3n - 50	$n^{2} - 7n$			
$n^2 - 100n$	$5n^2 + 30n$			
$\log_2 n$	$\log_{10} n$			
$\log^{10} n$	$n^{0.1}$			
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For each pair of functions f,g in the following table, indicate whether f is  $O,\Omega$  or  $\Theta$  of g.

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We often use  $\log n$  for  $\log_2 n$ . But for  $O(\log n)$ , the base is not important.

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$n^{0.1}$	Yes	No	No
$2^{n/2}$			
$n^{\sin n}$			
		$\begin{array}{c c} g & 0 \\ \hline 5n^2 + 3n & No \\ \hline n^2 - 7n & Yes \\ \hline 5n^2 + 30n & Yes \\ \hline \log_{10} n & Yes \\ \hline n^{0.1} & Yes \\ \hline 2^{n/2} & \end{array}$	$3$ $3$ $3$ $5n^2 + 3n$ No         Yes $n^2 - 7n$ Yes         No $5n^2 + 30n$ Yes         Yes $100_{10}n$ Yes         Yes $n^{0.1}$ Yes         No $2^{n/2}$ Image: Constraint of the second s

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#### Exercise

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# Asymptotic NotationsO $\Omega$ $\Theta$ oComparison Relations $\leq$ $\geq$ =<

# 

### Outline

### Syllabus

#### 2 Introduction

- What is an Algorithm?
- Example: Insertion Sort
- Analysis of Insertion Sort

#### 3 Asymptotic Notations



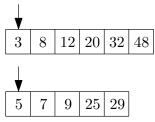
Computing the sum of  $\boldsymbol{n}$  numbers

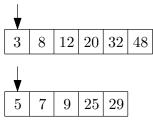
 $\mathsf{sum}(A,n)$ 

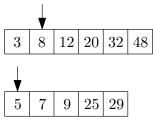
- 1:  $S \leftarrow 0$
- 2: for  $i \leftarrow 1$  to n
- 3:  $S \leftarrow S + A[i]$
- 4: return S

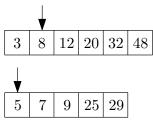
3	8	12	20	32	48
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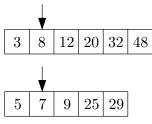
5 7	9	25	29
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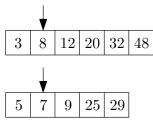


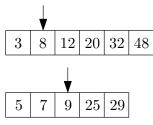


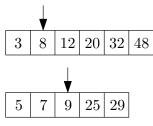


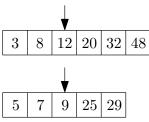


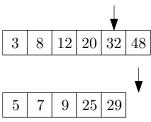












3 5	7 8	9	12	20	25	29
-----	-----	---	----	----	----	----

3 5 7 8	9 12	20 25	29 32	48
---------	------	-------	-------	----

 $\operatorname{merge}(B, C, n_1, n_2) \setminus B$  and C are sorted, with length  $n_1$  and  $n_2$ 1:  $A \leftarrow []; i \leftarrow 1; j \leftarrow 1$ 2: while  $i < n_1$  and  $j < n_2$  do if B[i] < C[j] then 3: append B[i] to A;  $i \leftarrow i+1$ 4: else 5: append C[j] to A;  $j \leftarrow j+1$ 6: 7: if  $i < n_1$  then append  $B[i..n_1]$  to A 8: if  $j < n_2$  then append  $C[j..n_2]$  to A 9: return A

$merge(B,C,n_1,n_2) \qquad igwedge A$ and $C$ are sorted, with
length $n_1$ and $n_2$
1: $A \leftarrow []; i \leftarrow 1; j \leftarrow 1$
2: while $i \leq n_1$ and $j \leq n_2$ do
3: if $B[i] \leq C[j]$ then
4: append $B[i]$ to $A$ ; $i \leftarrow i+1$
5: <b>else</b>
6: append $C[j]$ to $A; j \leftarrow j+1$
7: if $i \leq n_1$ then append $B[in_1]$ to $A$
8: if $j \leq n_2$ then append $C[jn_2]$ to $A$
9: return A

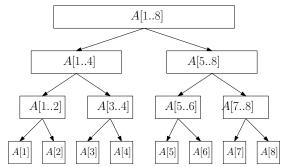
Running time = O(n) where  $n = n_1 + n_2$ .

#### merge-sort(A, n)

- 1: if n = 1 then
- 2: return A
- 3:  $B \leftarrow \text{merge-sort}\left(A\left[1..\lfloor n/2\rfloor\right], \lfloor n/2\rfloor\right)$ 4:  $C \leftarrow \text{merge-sort}\left(A\left[\lfloor n/2\rfloor + 1..n\right], n \lfloor n/2\rfloor\right)$
- 5: **return** merge(B, C, |n/2|, n |n/2|)

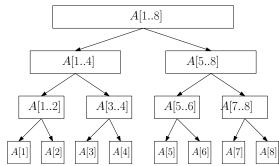
### $O(n \log n)$ Running Time

• Merge-Sort



### $O(n\log n)$ Running Time

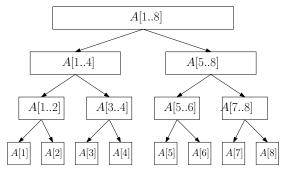
• Merge-Sort



• Each level takes running time O(n)

### $O(n\log n)$ Running Time

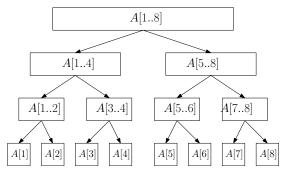
• Merge-Sort



- Each level takes running time O(n)
- There are  $O(\log n)$  levels

### $O(n\log n)$ Running Time

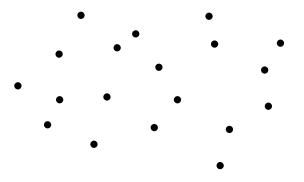
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- Each level takes running time O(n)
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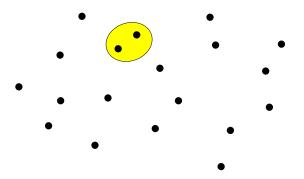
#### **Closest** Pair

**Input:** *n* points in plane:  $(x_1, y_1), (x_2, y_2), \dots, (x_n, y_n)$ **Output:** the pair of points that are closest



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#### closest-pair(x, y, n)

1: 
$$bestd \leftarrow \infty$$
  
2: for  $i \leftarrow 1$  to  $n - 1$  do  
3: for  $j \leftarrow i + 1$  to  $n$  do  
4:  $d \leftarrow \sqrt{(x[i] - x[j])^2 + (y[i] - y[j])^2}$   
5: if  $d < bestd$  then  
6:  $besti \leftarrow i, bestj \leftarrow j, bestd \leftarrow d$   
7: return  $(besti, bestj)$ 

#### **Closest** Pair

**Input:** *n* points in plane:  $(x_1, y_1), (x_2, y_2), \dots, (x_n, y_n)$ **Output:** the pair of points that are closest

#### closest-pair(x, y, n)

1: 
$$bestd \leftarrow \infty$$
  
2: for  $i \leftarrow 1$  to  $n - 1$  do  
3: for  $j \leftarrow i + 1$  to  $n$  do  
4:  $d \leftarrow \sqrt{(x[i] - x[j])^2 + (y[i] - y[j])^2}$   
5: if  $d < bestd$  then  
6:  $besti \leftarrow i, bestj \leftarrow j, bestd \leftarrow d$   
7: return  $(besti, bestj)$ 

Closest pair can be solved in  $O(n \log n)$  time!

## $O(n^3)$ (Cubic) Running Time

Multiply two matrices of size  $n\times n$ 

#### matrix-multiplication (A, B, n)

- 1:  $C \leftarrow \text{matrix of size } n \times n$ , with all entries being 0
- 2: for  $i \leftarrow 1$  to n do
- 3: for  $j \leftarrow 1$  to n do
- 4: for  $k \leftarrow 1$  to n do
- 5:  $C[i,k] \leftarrow C[i,k] + A[i,j] \times B[j,k]$

6: **return** *C*