## extract_min()

1: $r e t \leftarrow A[1]$
2: $A[1] \leftarrow A[s]$
3: $p[A[1]] \leftarrow 1$
4: $s \leftarrow s-1$
5: if $s \geq 1$ then
6: heapify_down(1)
7: return ret
decrease_key $(v$, key_val 1: $k e y[v] \leftarrow$ key_value 2: heapify-up $(p[v])$
heapify-down $(i)$
1: while $2 i \leq s$ do
2: $\quad$ if $2 i=s$ or $\operatorname{key}[A[2 i]] \leq \operatorname{key}[A[2 i+1]]$ then
3: $\quad j \leftarrow 2 i$
4: else

$$
j \leftarrow 2 i+1
$$

if $\operatorname{key}[A[j]]<\operatorname{key}[A[i]]$ then swap $A[i]$ and $A[j]$ $p[A[i]] \leftarrow i, p[A[j]] \leftarrow j$ $i \leftarrow j$
else break

- Running time of heapify_up and heapify_down: $O(\lg n)$
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- Running time of insert, exact_min and decrease_key: $O(\lg n)$
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- Running time of insert, exact_min and decrease_key: $O(\lg n)$

| data structures | insert | extract_min | decrease_key |
| :---: | :---: | :---: | :---: |
| array | $O(1)$ | $O(n)$ | $O(1)$ |
| sorted array | $O(n)$ | $O(1)$ | $O(n)$ |
| heap | $O(\lg n)$ | $O(\lg n)$ | $O(\lg n)$ |

## Two Definitions Needed to Prove that the Procedures Maintain

Def. We say that $H$ is almost a heap except that key $[A[i]]$ is too small if we can increase $k e y[A[i]]$ to make $H$ a heap.

Def. We say that $H$ is almost a heap except that key[A[i]] is too big if we can decrease key $[A[i]]$ to make $H$ a heap.

## Outline

(1) Toy Example: Box Packing
(2) Interval Scheduling

- Interval Partitioning
(3) Offline Caching
- Heap: Concrete Data Structure for Priority Queue

4 Data Compression and Huffman Code
(5) Summary
(6) Exercise Problems

## Encoding Letters Using Bits

- 8 letters $a, b, c, d, e, f, g, h$ in a language
- need to encode a message using bits
- idea: use 3 bits per letter

| $a$ | $b$ | $c$ | $d$ | $e$ | $f$ | $g$ | $h$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 000 | 001 | 010 | 011 | 100 | 101 | 110 | 111 |

$$
\text { deacfg } \rightarrow 011100000010101110
$$

Q: Can we have a better encoding scheme?

- Seems unlikely: must use 3 bits per letter

Q: What if some letters appear more frequently than the others?

Q: If some letters appear more frequently than the others, can we have a better encoding scheme?

A: Using variable-length encoding scheme might be more efficient.
Idea

- using fewer bits for letters that are more frequently used, and more bits for letters that are less frequently used.

Q: What is the issue with the following encoding scheme?

- $\quad a: 0$
b: 1
c: 00

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A: Can not guarantee a unique decoding. For example, 00 can be decoded to $a a$ or $c$.

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## Solution

Use prefix codes to guarantee a unique decoding.

## Prefix Codes

Def. A prefix code for a set $S$ of letters is a function $\gamma: S \rightarrow\{0,1\}^{*}$ such that for two distinct $x, y \in S, \gamma(x)$ is not a prefix of $\gamma(y)$.

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| $a$ | $b$ | $c$ | $d$ |
| :---: | :---: | :---: | :---: |
| 001 | 0000 | 0001 | 100 |
| $e$ | $f$ | $g$ | $h$ |
| 11 | 1010 | 1011 | 01 |



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- 0001/001100000001011110100001001
- C


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- 0001/001/100000001011110100001001
- ca


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| 001 | 0000 | 0001 | 100 |
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- cad


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- 0001/001/100/0000/01011110100001001
- cadb


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| :---: | :---: | :---: | :---: |
| 001 | 0000 | 0001 | 100 |
| $e$ | $f$ | $g$ | $h$ |
| 11 | 1010 | 1011 | 01 |



- 0001/001/100/0000/01/011110100001001
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## Best Prefix Codes

Input: frequencies of letters in a message
Output: prefix coding scheme with the shortest encoding for the message

## example

| letters | $a$ | $b$ | $c$ | $d$ | $e$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| frequencies | 18 | 3 | 4 | 6 | 10 |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |


scheme 1

scheme 2

scheme 3

## example

| letters | $a$ | $b$ | $c$ | $d$ | $e$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| frequencies | 18 | 3 | 4 | 6 | 10 |  |
| scheme 1 length | 2 | 3 | 3 | 2 | 2 | total $=89$ |
| scheme 2 length | 1 | 3 | 3 | 3 | 3 | total $=87$ |
| scheme 3 length | 1 | 4 | 4 | 3 | 2 | total $=84$ |


scheme 1
scheme 2
scheme 3

- Example Input: $(a: 18, b: 3, c: 4, d: 6, e: 10)$
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A: We can choose two letters and make them brothers in the tree.

