## Greedy Algorithm for Interval Scheduling

Lemma It is safe to schedule the job $j$ with the earliest finish time: There is an optimum solution where the job $j$ with the earliest finish time is scheduled.

## Proof.

- Take an arbitrary optimum solution $S$
- If it contains $j$, done
- Otherwise, replace the first job in $S$ with $j$ to obtain another optimum schedule $S^{\prime}$.
$S$ :

$\square$
$j$ :

$S^{\prime}$ : $\square$



## Greedy Algorithm for Interval Scheduling

Lemma It is safe to schedule the job $j$ with the earliest finish time: There is an optimum solution where the job $j$ with the earliest finish time is scheduled.

- What is the remaining task after we decided to schedule $j$ ?
- Is it another instance of interval scheduling problem?



## Greedy Algorithm for Interval Scheduling

Lemma It is safe to schedule the job $j$ with the earliest finish time: There is an optimum solution where the job $j$ with the earliest finish time is scheduled.

- What is the remaining task after we decided to schedule $j$ ?
- Is it another instance of interval scheduling problem? Yes!



## Greedy Algorithm for Interval Scheduling

Lemma It is safe to schedule the job $j$ with the earliest finish time: There is an optimum solution where the job $j$ with the earliest finish time is scheduled.

- What is the remaining task after we decided to schedule $j$ ?
- Is it another instance of interval scheduling problem? Yes!



## Greedy Algorithm for Interval Scheduling

Lemma It is safe to schedule the job $j$ with the earliest finish time: There is an optimum solution where the job $j$ with the earliest finish time is scheduled.

- What is the remaining task after we decided to schedule $j$ ?
- Is it another instance of interval scheduling problem? Yes!



## Greedy Algorithm for Interval Scheduling

Schedule $(s, f, n)$
1: $A \leftarrow\{1,2, \cdots, n\}, S \leftarrow \emptyset$
2: while $A \neq \emptyset$ do
3: $\quad j \leftarrow \arg \min _{j^{\prime} \in A} f_{j^{\prime}}$
4: $\quad S \leftarrow S \cup\{j\} ; A \leftarrow\left\{j^{\prime} \in A: s_{j^{\prime}} \geq f_{j}\right\}$
5: return $S$

## Greedy Algorithm for Interval Scheduling

Schedule( $s, f, n$ )
1: $A \leftarrow\{1,2, \cdots, n\}, S \leftarrow \emptyset$
2: while $A \neq \emptyset$ do
3: $\quad j \leftarrow \arg \min _{j^{\prime} \in A} f_{j^{\prime}}$
4: $\quad S \leftarrow S \cup\{j\} ; A \leftarrow\left\{j^{\prime} \in A: s_{j^{\prime}} \geq f_{j}\right\}$
5: return $S$


## Greedy Algorithm for Interval Scheduling

Schedule( $s, f, n$ )
1: $A \leftarrow\{1,2, \cdots, n\}, S \leftarrow \emptyset$
2: while $A \neq \emptyset$ do
3: $\quad j \leftarrow \arg \min _{j^{\prime} \in A} f_{j^{\prime}}$
4: $\quad S \leftarrow S \cup\{j\} ; A \leftarrow\left\{j^{\prime} \in A: s_{j^{\prime}} \geq f_{j}\right\}$
5: return $S$


## Greedy Algorithm for Interval Scheduling

Schedule( $s, f, n$ )
1: $A \leftarrow\{1,2, \cdots, n\}, S \leftarrow \emptyset$
2: while $A \neq \emptyset$ do
3: $\quad j \leftarrow \arg \min _{j^{\prime} \in A} f_{j^{\prime}}$
4: $\quad S \leftarrow S \cup\{j\} ; A \leftarrow\left\{j^{\prime} \in A: s_{j^{\prime}} \geq f_{j}\right\}$
5: return $S$


## Greedy Algorithm for Interval Scheduling

## Schedule( $s, f, n$ )

$$
\text { 1: } A \leftarrow\{1,2, \cdots, n\}, S \leftarrow \emptyset
$$

## 2: while $A \neq \emptyset$ do

3: $\quad j \leftarrow \arg \min _{j^{\prime} \in A} f_{j^{\prime}}$
4: $\quad S \leftarrow S \cup\{j\} ; A \leftarrow\left\{j^{\prime} \in A: s_{j^{\prime}} \geq f_{j}\right\}$
5: return $S$


## Greedy Algorithm for Interval Scheduling

## Schedule( $s, f, n$ )

$$
\text { 1: } A \leftarrow\{1,2, \cdots, n\}, S \leftarrow \emptyset
$$

## 2: while $A \neq \emptyset$ do

3: $\quad j \leftarrow \arg \min _{j^{\prime} \in A} f_{j^{\prime}}$
4: $\quad S \leftarrow S \cup\{j\} ; A \leftarrow\left\{j^{\prime} \in A: s_{j^{\prime}} \geq f_{j}\right\}$
5: return $S$


## Greedy Algorithm for Interval Scheduling

## Schedule $(s, f, n)$

1: $A \leftarrow\{1,2, \cdots, n\}, S \leftarrow \emptyset$
2: while $A \neq \emptyset$ do
3: $\quad j \leftarrow \arg \min _{j^{\prime} \in A} f_{j^{\prime}}$
4: $\quad S \leftarrow S \cup\{j\} ; A \leftarrow\left\{j^{\prime} \in A: s_{j^{\prime}} \geq f_{j}\right\}$

## 5: return $S$

Running time of algorithm?

## Greedy Algorithm for Interval Scheduling

## Schedule $(s, f, n)$

1: $A \leftarrow\{1,2, \cdots, n\}, S \leftarrow \emptyset$
2: while $A \neq \emptyset$ do
3: $\quad j \leftarrow \arg \min _{j^{\prime} \in A} f_{j^{\prime}}$
4: $\quad S \leftarrow S \cup\{j\} ; A \leftarrow\left\{j^{\prime} \in A: s_{j^{\prime}} \geq f_{j}\right\}$

## 5: return $S$

Running time of algorithm?

- Naive implementation: $O\left(n^{2}\right)$ time


## Greedy Algorithm for Interval Scheduling

## Schedule( $s, f, n$ )

1: $A \leftarrow\{1,2, \cdots, n\}, S \leftarrow \emptyset$
2: while $A \neq \emptyset$ do
3: $\quad j \leftarrow \arg \min _{j^{\prime} \in A} f_{j^{\prime}}$
4: $\quad S \leftarrow S \cup\{j\} ; A \leftarrow\left\{j^{\prime} \in A: s_{j^{\prime}} \geq f_{j}\right\}$

## 5: return $S$

Running time of algorithm?

- Naive implementation: $O\left(n^{2}\right)$ time
- Clever implementation: $O(n \lg n)$ time


## Clever Implementation of Greedy Algorithm

Schedule ( $s, f, n$ )
1: sort jobs according to $f$ values
2: $t \leftarrow 0, S \leftarrow \emptyset$
3: for every $j \in[n]$ according to non-decreasing order of $f_{j}$ do
4: $\quad$ if $s_{j} \geq t$ then
5: $\quad S \leftarrow S \cup\{j\}$
6:

$$
t \leftarrow f_{j}
$$

7: return $S$


## Clever Implementation of Greedy Algorithm

Schedule ( $s, f, n$ )
1: sort jobs according to $f$ values
2: $t \leftarrow 0, S \leftarrow \emptyset$
3: for every $j \in[n]$ according to non-decreasing order of $f_{j}$ do
4: $\quad$ if $s_{j} \geq t$ then
5: $\quad S \leftarrow S \cup\{j\}$
6:

$$
t \leftarrow f_{j}
$$

7: return $S$


## Clever Implementation of Greedy Algorithm

Schedule ( $s, f, n$ )
1: sort jobs according to $f$ values
2: $t \leftarrow 0, S \leftarrow \emptyset$
3: for every $j \in[n]$ according to non-decreasing order of $f_{j}$ do
4: $\quad$ if $s_{j} \geq t$ then
5: $\quad S \leftarrow S \cup\{j\}$
6:

$$
t \leftarrow f_{j}
$$

7: return $S$


## Clever Implementation of Greedy Algorithm

Schedule ( $s, f, n$ )
1: sort jobs according to $f$ values
2: $t \leftarrow 0, S \leftarrow \emptyset$
3: for every $j \in[n]$ according to non-decreasing order of $f_{j}$ do
4: $\quad$ if $s_{j} \geq t$ then
5:
6: $\quad t \leftarrow f_{j}$
7: return $S$


## Clever Implementation of Greedy Algorithm

Schedule ( $s, f, n$ )
1: sort jobs according to $f$ values
2: $t \leftarrow 0, S \leftarrow \emptyset$
3: for every $j \in[n]$ according to non-decreasing order of $f_{j}$ do
4: $\quad$ if $s_{j} \geq t$ then
5:
6: $\quad t \leftarrow f_{j}$
7: return $S$


## Clever Implementation of Greedy Algorithm

Schedule ( $s, f, n$ )
1: sort jobs according to $f$ values
2: $t \leftarrow 0, S \leftarrow \emptyset$
3: for every $j \in[n]$ according to non-decreasing order of $f_{j}$ do
4: $\quad$ if $s_{j} \geq t$ then
5:
6: $\quad t \leftarrow f_{j}$
7: return $S$


## Clever Implementation of Greedy Algorithm

Schedule ( $s, f, n$ )
1: sort jobs according to $f$ values
2: $t \leftarrow 0, S \leftarrow \emptyset$
3: for every $j \in[n]$ according to non-decreasing order of $f_{j}$ do
4: $\quad$ if $s_{j} \geq t$ then
5:
6: $\quad t \leftarrow f_{j}$
7: return $S$


## Clever Implementation of Greedy Algorithm

Schedule ( $s, f, n$ )
1: sort jobs according to $f$ values
2: $t \leftarrow 0, S \leftarrow \emptyset$
3: for every $j \in[n]$ according to non-decreasing order of $f_{j}$ do
4: $\quad$ if $s_{j} \geq t$ then
5:
6: $\quad t \leftarrow f_{j}$
7: return $S$


## Clever Implementation of Greedy Algorithm

Schedule ( $s, f, n$ )
1: sort jobs according to $f$ values
2: $t \leftarrow 0, S \leftarrow \emptyset$
3: for every $j \in[n]$ according to non-decreasing order of $f_{j}$ do
4: $\quad$ if $s_{j} \geq t$ then
5: $\quad S \leftarrow S \cup\{j\}$
6: $\quad t \leftarrow f_{j}$
7: return $S$


## Clever Implementation of Greedy Algorithm

Schedule ( $s, f, n$ )
1: sort jobs according to $f$ values
2: $t \leftarrow 0, S \leftarrow \emptyset$
3: for every $j \in[n]$ according to non-decreasing order of $f_{j}$ do
4: $\quad$ if $s_{j} \geq t$ then
5: $\quad S \leftarrow S \cup\{j\}$
6: $\quad t \leftarrow f_{j}$
7: return $S$


## Clever Implementation of Greedy Algorithm

Schedule ( $s, f, n$ )
1: sort jobs according to $f$ values
2: $t \leftarrow 0, S \leftarrow \emptyset$
3: for every $j \in[n]$ according to non-decreasing order of $f_{j}$ do
4: $\quad$ if $s_{j} \geq t$ then
5: $\quad S \leftarrow S \cup\{j\}$
6: $\quad t \leftarrow f_{j}$
7: return $S$


## Clever Implementation of Greedy Algorithm

Schedule ( $s, f, n$ )
1: sort jobs according to $f$ values
2: $t \leftarrow 0, S \leftarrow \emptyset$
3: for every $j \in[n]$ according to non-decreasing order of $f_{j}$ do
4: $\quad$ if $s_{j} \geq t$ then
5: $\quad S \leftarrow S \cup\{j\}$
6: $\quad t \leftarrow f_{j}$
7: return $S$


## Clever Implementation of Greedy Algorithm

Schedule ( $s, f, n$ )
1: sort jobs according to $f$ values
2: $t \leftarrow 0, S \leftarrow \emptyset$
3: for every $j \in[n]$ according to non-decreasing order of $f_{j}$ do
4: $\quad$ if $s_{j} \geq t$ then
5: $\quad S \leftarrow S \cup\{j\}$
6: $\quad t \leftarrow f_{j}$
7: return $S$


## Clever Implementation of Greedy Algorithm

Schedule ( $s, f, n$ )
1: sort jobs according to $f$ values
2: $t \leftarrow 0, S \leftarrow \emptyset$
3: for every $j \in[n]$ according to non-decreasing order of $f_{j}$ do
4: $\quad$ if $s_{j} \geq t$ then
5: $\quad S \leftarrow S \cup\{j\}$
6: $\quad t \leftarrow f_{j}$
7: return $S$


## Clever Implementation of Greedy Algorithm

Schedule ( $s, f, n$ )
1: sort jobs according to $f$ values
2: $t \leftarrow 0, S \leftarrow \emptyset$
3: for every $j \in[n]$ according to non-decreasing order of $f_{j}$ do
4: $\quad$ if $s_{j} \geq t$ then
5: $\quad S \leftarrow S \cup\{j\}$
6: $\quad t \leftarrow f_{j}$
7: return $S$


## Clever Implementation of Greedy Algorithm

Schedule ( $s, f, n$ )
1: sort jobs according to $f$ values
2: $t \leftarrow 0, S \leftarrow \emptyset$
3: for every $j \in[n]$ according to non-decreasing order of $f_{j}$ do
4: $\quad$ if $s_{j} \geq t$ then
5: $\quad S \leftarrow S \cup\{j\}$
6: $\quad t \leftarrow f_{j}$
7: return $S$


## Clever Implementation of Greedy Algorithm

Schedule ( $s, f, n$ )
1: sort jobs according to $f$ values
2: $t \leftarrow 0, S \leftarrow \emptyset$
3: for every $j \in[n]$ according to non-decreasing order of $f_{j}$ do
4: $\quad$ if $s_{j} \geq t$ then
5: $\quad S \leftarrow S \cup\{j\}$
6: $\quad t \leftarrow f_{j}$
7: return $S$


## Clever Implementation of Greedy Algorithm

Schedule ( $s, f, n$ )
1: sort jobs according to $f$ values
2: $t \leftarrow 0, S \leftarrow \emptyset$
3: for every $j \in[n]$ according to non-decreasing order of $f_{j}$ do
4: $\quad$ if $s_{j} \geq t$ then
5: $\quad S \leftarrow S \cup\{j\}$
6: $\quad t \leftarrow f_{j}$
7: return $S$


## Outline

(1) Toy Example: Box Packing
(2) Interval Scheduling

- Interval Partitioning
(3) Offline Caching
- Heap: Concrete Data Structure for Priority Queue

4 Data Compression and Huffman Code
(5) Summary
(6) Exercise Problems

## Interval Partitioning

Input: $n$ jobs, job $i$ with start time $s_{i}$ and finish time $f_{i}$
$i$ and $j$ are compatible if $\left[s_{i}, f_{i}\right)$ and $\left[s_{j}, f_{j}\right)$ are disjoint
Output: A minimum number of machines to schedule all jobs so that all jobs on a single machine are compatible.


## Interval Partitioning

Input: $n$ jobs, job $i$ with start time $s_{i}$ and finish time $f_{i}$
$i$ and $j$ are compatible if $\left[s_{i}, f_{i}\right)$ and $\left[s_{j}, f_{j}\right)$ are disjoint
Output: A minimum number of machines to schedule all jobs so that all jobs on a single machine are compatible.


## Interval Partitioning

Input: $n$ jobs, job $i$ with start time $s_{i}$ and finish time $f_{i}$
$i$ and $j$ are compatible if $\left[s_{i}, f_{i}\right)$ and $\left[s_{j}, f_{j}\right)$ are disjoint
Output: A minimum number of machines to schedule all jobs so that all jobs on a single machine are compatible.


## Interval Partitioning

Input: $n$ jobs, job $i$ with start time $s_{i}$ and finish time $f_{i}$
$i$ and $j$ are compatible if $\left[s_{i}, f_{i}\right)$ and $\left[s_{j}, f_{j}\right)$ are disjoint
Output: A minimum number of machines to schedule all jobs so that all jobs on a single machine are compatible.


## Interval Partitioning

Input: $n$ jobs, job $i$ with start time $s_{i}$ and finish time $f_{i}$
$i$ and $j$ are compatible if $\left[s_{i}, f_{i}\right)$ and $\left[s_{j}, f_{j}\right)$ are disjoint
Output: A minimum number of machines to schedule all jobs so that all jobs on a single machine are compatible.


## Interval Partitioning

Input: $n$ jobs, job $i$ with start time $s_{i}$ and finish time $f_{i}$
$i$ and $j$ are compatible if $\left[s_{i}, f_{i}\right)$ and $\left[s_{j}, f_{j}\right)$ are disjoint
Output: A minimum number of machines to schedule all jobs so that all jobs on a single machine are compatible.


## Interval Partitioning

Input: $n$ jobs, job $i$ with start time $s_{i}$ and finish time $f_{i}$
$i$ and $j$ are compatible if $\left[s_{i}, f_{i}\right)$ and $\left[s_{j}, f_{j}\right)$ are disjoint
Output: A minimum number of machines to schedule all jobs so that all jobs on a single machine are compatible.


## Interval Partitioning

Input: $n$ jobs, job $i$ with start time $s_{i}$ and finish time $f_{i}$
$i$ and $j$ are compatible if $\left[s_{i}, f_{i}\right)$ and $\left[s_{j}, f_{j}\right)$ are disjoint
Output: A minimum number of machines to schedule all jobs so that all jobs on a single machine are compatible.


## Interval Partitioning

Input: $n$ jobs, job $i$ with start time $s_{i}$ and finish time $f_{i}$
$i$ and $j$ are compatible if $\left[s_{i}, f_{i}\right)$ and $\left[s_{j}, f_{j}\right)$ are disjoint
Output: A minimum number of machines to schedule all jobs so that all jobs on a single machine are compatible.


## Greedy Algorithm for Interval Partitioning

Lemma It is safe to schedule the job $j$ with the earliest starting time to a feasible machine: There exists an optimum solution where job $j$ with the earliest starting time is scheduled first on a machine that is compatible with all jobs in that machine if applicable; otherwise, it can be scheduled by opening a new machine.

## Proof.

## Greedy Algorithm for Interval Partitioning

Lemma It is safe to schedule the job $j$ with the earliest starting time to a feasible machine: There exists an optimum solution where job $j$ with the earliest starting time is scheduled first on a machine that is compatible with all jobs in that machine if applicable; otherwise, it can be scheduled by opening a new machine.

## Proof.

- Take an arbitrary optimum solution $S$


## Greedy Algorithm for Interval Partitioning

Lemma It is safe to schedule the job $j$ with the earliest starting time to a feasible machine: There exists an optimum solution where job $j$ with the earliest starting time is scheduled first on a machine that is compatible with all jobs in that machine if applicable; otherwise, it can be scheduled by opening a new machine.

## Proof.

- Take an arbitrary optimum solution $S$
- If it schedules $j$ to the chosen feasible machine $i$, done


## Greedy Algorithm for Interval Partitioning

Lemma It is safe to schedule the job $j$ with the earliest starting time to a feasible machine: There exists an optimum solution where job $j$ with the earliest starting time is scheduled first on a machine that is compatible with all jobs in that machine if applicable; otherwise, it can be scheduled by opening a new machine.

## Proof.

- Take an arbitrary optimum solution $S$
- If it schedules $j$ to the chosen feasible machine $i$, done


## Greedy Algorithm for Interval Partitioning

Lemma It is safe to schedule the job $j$ with the earliest starting time to a feasible machine: There exists an optimum solution where job $j$ with the earliest starting time is scheduled first on a machine that is compatible with all jobs in that machine if applicable; otherwise, it can be scheduled by opening a new machine.

## Proof.

- Take an arbitrary optimum solution $S$
- If it schedules $j$ to the chosen feasible machine $i$, done
- Otherwise, replace all the jobs scheduled to the machine $i$ in $S$ with $j$ and its subsequent jobs to obtain another optimum schedule $S^{\prime}$.


## Greedy Algorithm for Interval Partitioning

- What is the remaining task after we decided to schedule $j$ ?
- Is it another instance of interval partitioning problem?



## Greedy Algorithm for Interval Partitioning

- What is the remaining task after we decided to schedule $j$ ?
- Is it another instance of interval partitioning problem? Yes!



## Greedy Algorithm for Interval Partitioning

- What is the remaining task after we decided to schedule $j$ ?
- Is it another instance of interval partitioning problem? Yes!



## Greedy Algorithm for Interval Partitioning

## Partition $(s, f, n)$

1: $A \leftarrow\{1,2, \cdots, n\}, S \leftarrow\{1\}, t_{1}=0$
2: while $A \neq \emptyset$ do
3: $\quad j \leftarrow \arg \min _{j^{\prime} \in A} s_{j^{\prime}}, S_{j} \leftarrow\left\{i^{\prime}\right\}_{i^{\prime} \in S, t_{i^{\prime}} \leq s_{j}}$
4: If $S_{j} \neq \emptyset$, then schedule $j$ to a machine $i \in S_{j}$ and $t_{i}=f_{j}$
5: $\quad$ Otherwise, schedule $j$ to machine $|S|+1, S \leftarrow S \cup\{|S|+1\}$ and $t_{|S|}=f_{j}$
6: return $S$

## Greedy Algorithm for Interval Partitioning



## Greedy Algorithm for Interval Partitioning



## Greedy Algorithm for Interval Partitioning



## Greedy Algorithm for Interval Partitioning



## Greedy Algorithm for Interval Partitioning



## Greedy Algorithm for Interval Partitioning



## Greedy Algorithm for Interval Partitioning



## Greedy Algorithm for Interval Partitioning



## Greedy Algorithm for Interval Partitioning



## Greedy Algorithm for Interval Partitioning



## Greedy Algorithm for Interval Partitioning



## Greedy Algorithm for Interval Partitioning



## Greedy Algorithm for Interval Partitioning



## Greedy Algorithm for Interval Partitioning



## Greedy Algorithm for Interval Partitioning



## Greedy Algorithm for Interval Partitioning

Def. The depth of a set of jobs is the maximum number of overlapping jobs at any point within the given set.

## Greedy Algorithm for Interval Partitioning

Def. The depth of a set of jobs is the maximum number of overlapping jobs at any point within the given set.

Obs. The number of machines $\geq$ the depth of the jobs.

## Greedy Algorithm for Interval Partitioning

Def. The depth of a set of jobs is the maximum number of overlapping jobs at any point within the given set.

Obs. The number of machines $\geq$ the depth of the jobs.
Obs. Greedy algorithm never schedules two incompatible jobs in the same machine.

## Why "Greedy algorithm" is optimal?

Theorem Greedy algorithm is optimal.

## Proof.

- Let $d$ be the number of machines that greedy algorithm used.

Why "Greedy algorithm" is optimal?
Theorem Greedy algorithm is optimal.

## Proof.

- Let $d$ be the number of machines that greedy algorithm used.
- $d$-th machine is opened because the greedy algorithm need to schedule a job, wlog, say job $j$, such that job $j$ is incompatible with all the last scheduled jobs in the $d-1$ other machines. In other words, these $d-1$ job each ends after $s_{j}$.

Why "Greedy algorithm" is optimal?

## Theorem Greedy algorithm is optimal.

## Proof.

- Let $d$ be the number of machines that greedy algorithm used.
- $d$-th machine is opened because the greedy algorithm need to schedule a job, wlog, say job $j$, such that job $j$ is incompatible with all the last scheduled jobs in the $d-1$ other machines. In other words, these $d-1$ job each ends after $s_{j}$.
- Observation: all these $d-1$ jobs starts earlier than $s_{j}$ because we schedule the jobs in order of starting time. Thus, we have $d$ jobs overlapping at time $s_{j}+\epsilon$. The jobs depth $\geq d$.

Why "Greedy algorithm" is optimal?
Theorem Greedy algorithm is optimal.

## Proof.

- Let $d$ be the number of machines that greedy algorithm used.
- $d$-th machine is opened because the greedy algorithm need to schedule a job, wlog, say job $j$, such that job $j$ is incompatible with all the last scheduled jobs in the $d-1$ other machines. In other words, these $d-1$ job each ends after $s_{j}$.
- Observation: all these $d-1$ jobs starts earlier than $s_{j}$ because we schedule the jobs in order of starting time. Thus, we have $d$ jobs overlapping at time $s_{j}+\epsilon$. The jobs depth $\geq d$.
- By the Observation in the previous slide, an optimal solution $\geq d$. Thus the greedy algorithm is optimal.


## Greedy Algorithm for Interval Partitioning

## Partition $(s, f, n)$

$$
\text { 1: } A \leftarrow\{1,2, \cdots, n\}, S \leftarrow\{1\}, t_{1}=0
$$

2: while $A \neq \emptyset$ do
3: $\left.\quad j \leftarrow \arg \min _{j^{\prime} \in A} s_{j^{\prime}}, S_{j} \leftarrow\left\{i^{\prime}\right\}\right\}_{i^{\prime} \in S, t_{i^{\prime}} \leq s_{j}}$
4: If $S_{j} \neq \emptyset$, then schedule $j$ to a machine $i \in S_{j}$ and $t_{i}=f_{j}$
5: $\quad$ Otherwise, schedule $j$ to machine $|S|+1, S \leftarrow S \cup\{|S|+1\}$ and $t_{|S|}=f_{j}$
6: return $S$
Running time of algorithm?

## Greedy Algorithm for Interval Partitioning

## Partition $(s, f, n)$

$$
\text { 1: } A \leftarrow\{1,2, \cdots, n\}, S \leftarrow\{1\}, t_{1}=0
$$

2: while $A \neq \emptyset$ do
3: $\left.\quad j \leftarrow \arg \min _{j^{\prime} \in A} s_{j^{\prime}}, S_{j} \leftarrow\left\{i^{\prime}\right\}\right\}_{i^{\prime} \in S, t_{i^{\prime}} \leq s_{j}}$
4: $\quad$ If $S_{j} \neq \emptyset$, then schedule $j$ to a machine $i \in S_{j}$ and $t_{i}=f_{j}$
5: $\quad$ Otherwise, schedule $j$ to machine $|S|+1, S \leftarrow S \cup\{|S|+1\}$ and $t_{|S|}=f_{j}$
6: return $S$
Running time of algorithm?

- Naive implementation: $O\left(n^{2}\right)$ time


## Greedy Algorithm for Interval Partitioning

## Partition $(s, f, n)$

$$
\text { 1: } A \leftarrow\{1,2, \cdots, n\}, S \leftarrow\{1\}, t_{1}=0
$$

2: while $A \neq \emptyset$ do
3: $\left.\quad j \leftarrow \arg \min _{j^{\prime} \in A} s_{j^{\prime}}, S_{j} \leftarrow\left\{i^{\prime}\right\}\right\}_{i^{\prime} \in S, t_{i^{\prime}} \leq s_{j}}$
4: $\quad$ If $S_{j} \neq \emptyset$, then schedule $j$ to a machine $i \in S_{j}$ and $t_{i}=f_{j}$
5: $\quad$ Otherwise, schedule $j$ to machine $|S|+1, S \leftarrow S \cup\{|S|+1\}$ and $t_{|S|}=f_{j}$
6: return $S$
Running time of algorithm?

- Naive implementation: $O\left(n^{2}\right)$ time
- Clever implementation: $O(n \lg n)$ time with Priority Queue.


## Outline

## (1) Toy Example: Box Packing

(2) Interval Scheduling

- Interval Partitioning
(3) Offline Caching
- Heap: Concrete Data Structure for Priority Queue

4 Data Compression and Huffman Code
(5) Summary
(6) Exercise Problems

## Offline Caching

- Cache that can store $k$ pages
- Sequence of page requests


## Offline Caching

- Cache that can store $k$ pages
cache


## Offline Caching

- Cache that can store $k$ pages $\square$
cache
$\square$
- Sequence of page requests
- Cache miss happens if requested page not in cache. We need bring the page into cache, and evict some existing page if necessary.

$\square$


## Offline Caching

- Cache that can store $k$ pages
- Sequence of page requests
- Cache miss happens if

1
$\square$
5
4
2

## Offline Caching

- Cache that can store $k$ pages
- Sequence of page requests
- Cache miss happens if requested page not in cache. We need bring the page into cache, and evict some existing page if necessary.
$\square$
cache

$\square$
$\square$


## Offline Caching

- Cache that can store $k$ pages
- Sequence of page requests
- Cache miss happens if requested page not in cache. We need bring the page into cache, and evict some existing page if necessary.
cache
$\square$
$\square$
$\square$
$\square$
$\square$


$\square$
3

1
$\square$

## Offline Caching

- Cache that can store $k$ pages
- Sequence of page requests
- Cache miss happens if requested page not in cache. We need bring the page into cache, and evict some existing page if necessary.



## Offline Caching

- Cache that can store $k$ pages
- Sequence of page requests
- Cache miss happens if requested page not in cache. We need bring the page into cache, and evict some existing page if necessary.
page
sequence
$\square$5
cache
$x$
$x$
$\square$
$\square$

$\square$
$\square$
$\square$
$\square$
$\square$


## Offline Caching

- Cache that can store $k$ pages
- Sequence of page requests
- Cache miss happens if requested page not in cache. We need bring the page into cache, and evict some existing page if necessary.
page
sequence
$\square$5
cache

$\times 1$

$\times 11$ 5 4


## Offline Caching

- Cache that can store $k$ pages
- Sequence of page requests
- Cache miss happens if requested page not in cache. We need bring the page into cache, and evict some existing page if necessary.
page
$\square$5
cache $x$$x$

$\square$
$\square$
$\square$
$\square$

$\times 1$ 54
$\square$4
F


## Offline Caching

- Cache that can store $k$ pages
- Sequence of page requests
- Cache miss happens if requested page not in cache. We need bring the page into cache, and evict some existing
page
$\square$ 1 5 page if necessary.

cache


## Offline Caching

- Cache that can store $k$ pages
- Sequence of page requests
- Cache miss happens if requested page not in cache. We need bring the page into cache, and evict some existing page if necessary.5
$\square$
$\square$
cache
$\square$

$\square$
$\square$
$x$
$\square$

$\square$$\times 1$
$\square$
$\square$4


## Offline Caching

- Cache that can store $k$ pages
- Sequence of page requests
- Cache miss happens if requested page not in cache. We need bring the page into cache, and evict some existing page if necessary.5
$\square$
$\square$
cache
x $\square$
1
2 5


## Offline Caching

- Cache that can store $k$ pages
- Sequence of page requests
- Cache miss happens if requested page not in cache. We need bring the page into cache, and evict some existing page if necessary.5
$\square$
cache
$\square$

$\square$
$\times 1$ $\square$
$\square$
$\square$

$\square$$\times 1$
$\square$
$\square$
$\square$4
$x$

$\square$
2 ..... 5

## Offline Caching

- Cache that can store $k$ pages
- Sequence of page requests
- Cache miss happens if requested page not in cache. We need bring the page into cache, and evict some existing page if necessary.
$\square$5
cache
$\square$ 2 3


## Offline Caching

- Cache that can store $k$ pages
- Sequence of page requests
- Cache miss happens if requested page not in cache. We need bring the page into cache, and evict some existing page if necessary.
- Cache hit happens if requested page already in cache.
cache
page sequence
$\square$5
$\square$

$\square$

$\times 1$

$\square$



## $x$

$\square$| 2 | 3 |
| :--- | :--- |

## Offline Caching

- Cache that can store $k$ pages
- Sequence of page requests
- Cache miss happens if requested page not in cache. We need bring the page into cache, and evict some existing page if necessary.
- Cache hit happens if requested page already in cache.

cache
$\square$

$\square$
$\times 1$ $\square$
$\square$
$\square$
$\square$
$\square$
$\times 1$ $\square$4
$\square$
112
$\times 123$



## Offline Caching

- Cache that can store $k$ pages
- Sequence of page requests
- Cache miss happens if requested page not in cache. We need bring the page into cache, and evict some existing page if necessary.
- Cache hit happens if requested page already in cache.

$\square$3
cache


## Offline Caching

- Cache that can store $k$ pages
- Sequence of page requests
- Cache miss happens if requested page not in cache. We need bring the page into cache, and evict some existing page if necessary.
- Cache hit happens if requested page already in cache.

cache
$\square$

$\square$

$\square$
$\square$
$\square$

$\times 1$
4

x 1 25
1 ..... 2 ..... 3
1 2 ..... 3
1 ..... 2 ..... 3


## Offline Caching

- Cache that can store $k$ pages
- Sequence of page requests
- Cache miss happens if requested page not in cache. We need bring the page into cache, and evict some existing page if necessary.
- Cache hit happens if requested page already in cache.


3
2
1
cache
$\square$

$\square$
 misses $=6$

## Offline Caching

- Cache that can store $k$ pages
- Sequence of page requests
- Cache miss happens if requested page not in cache. We need bring the page into cache, and evict some existing page if necessary.
- Cache hit happens if requested page already in cache.
- Goal: minimize the number of cache misses.
cache


2

5

3
2
1
$\square$

$\square$

misses $=6$

## A Better Solution for Example



## Offline Caching Problem

Input: $k$ : the size of cache $n$ : number of pages

$$
\text { We use }[n] \text { for }\{1,2,3, \cdots, n\} .
$$

$\rho_{1}, \rho_{2}, \rho_{3}, \cdots, \rho_{T} \in[n]$ : sequence of requests
Output: $i_{1}, i_{2}, i_{3}, \cdots, i_{T} \in\{$ hit, empty $\} \cup[n]$ : indices of pages to evict ("hit" means evicting no page, "empty" means evicting empty page)

## Offline Caching Problem

Input: $k$ : the size of cache
$n$ : number of pages
We use $[n]$ for $\{1,2,3, \cdots, n\}$. $\rho_{1}, \rho_{2}, \rho_{3}, \cdots, \rho_{T} \in[n]$ : sequence of requests
Output: $i_{1}, i_{2}, i_{3}, \cdots, i_{T} \in\{$ hit, empty $\} \cup[n]$ : indices of pages to evict ("hit" means evicting no page, "empty" means evicting empty page)

- Offline Caching: we know the whole sequence ahead of time.
- Online Caching: we have to make decisions on the fly, before seeing future requests.


## Offline Caching Problem

Input: $k$ : the size of cache
$n$ : number of pages
We use $[n]$ for $\{1,2,3, \cdots, n\}$.
$\rho_{1}, \rho_{2}, \rho_{3}, \cdots, \rho_{T} \in[n]$ : sequence of requests
Output: $i_{1}, i_{2}, i_{3}, \cdots, i_{T} \in\{$ hit, empty $\} \cup[n]$ : indices of pages to evict ("hit" means evicting no page, "empty" means evicting empty page)

- Offline Caching: we know the whole sequence ahead of time.
- Online Caching: we have to make decisions on the fly, before seeing future requests.

Q: Which one is more realistic?

## Offline Caching Problem

Input: $k$ : the size of cache
$n$ : number of pages

$$
\text { We use }[n] \text { for }\{1,2,3, \cdots, n\} .
$$

$\rho_{1}, \rho_{2}, \rho_{3}, \cdots, \rho_{T} \in[n]$ : sequence of requests
Output: $i_{1}, i_{2}, i_{3}, \cdots, i_{T} \in\{$ hit, empty $\} \cup[n]$ : indices of pages to evict ("hit" means evicting no page, "empty" means evicting empty page)

- Offline Caching: we know the whole sequence ahead of time.
- Online Caching: we have to make decisions on the fly, before seeing future requests.

Q: Which one is more realistic?

A: Online caching

- Offline Caching: we know the whole sequence ahead of time.
- Online Caching: we have to make decisions on the fly, before seeing future requests.

Q: Which one is more realistic?

A: Online caching

Q: Why do we study the offline caching problem?

- Offline Caching: we know the whole sequence ahead of time.
- Online Caching: we have to make decisions on the fly, before seeing future requests.

Q: Which one is more realistic?

A: Online caching

Q: Why do we study the offline caching problem?

A: Use the offline solution as a benchmark to measure the "competitive ratio" of online algorithms

## Offline Caching: Potential Greedy Algorithms

- FIFO(First-In-First-Out): Evict the first-in page in cache


## Offline Caching: Potential Greedy Algorithms

- FIFO(First-In-First-Out): Evict the first-in page in cache
- LRU(Least-Recently-Used): Evict page whose most recent access was earliest


## Offline Caching: Potential Greedy Algorithms

- FIFO(First-In-First-Out): Evict the first-in page in cache
- LRU(Least-Recently-Used): Evict page whose most recent access was earliest
- LFU(Least-Frequently-Used): Evict page that was least frequently requested


## Offline Caching: Potential Greedy Algorithms

- FIFO(First-In-First-Out): Evict the first-in page in cache
- LRU(Least-Recently-Used): Evict page whose most recent access was earliest
- LFU(Least-Frequently-Used): Evict page that was least frequently requested
- LIFO (Last In First Out): Evict the last-in page in cache


## Offline Caching: Potential Greedy Algorithms

- FIFO(First-In-First-Out): Evict the first-in page in cache
- LRU(Least-Recently-Used): Evict page whose most recent access was earliest
- LFU(Least-Frequently-Used): Evict page that was least frequently requested
- LIFO (Last In First Out): Evict the last-in page in cache
- All the above algorithms are not optimum!
- Indeed all the algorithms are "online", i.e, the decisions can be made without knowing future requests. Online algorithms can not be optimum.


## FIFO is not optimum



## FIFO is not optimum



## FIFO is not optimum

## FIFO

requests


## 2

$\square$


## FIFO is not optimum



## FIFO is not optimum

## FIFO

requests

$\square$


## FIFO is not optimum

## FIFO

requests

$\square$
$\square$ 1

## FIFO is not optimum

## FIFO

requests

$\square$
$\square$ 1

## FIFO is not optimum

## FIFO

requests


## FIFO is not optimum

## FIFO

requests


1

## FIFO is not optimum

## FIFO

requests


## FIFO is not optimum

## FIFO

requests


$$
\begin{array}{l|llll}
\hline 1 & \mathbf{x} & 1 & \square & \square \\
\hline 2 & \mathbf{x} & \boxed{1} & \boxed{2} & \square \\
\hline 3 & \mathbf{x} & \boxed{1} & \boxed{ } & 2 \\
\hline & 3 \\
\hline 4 & \mathbf{x} & \boxed{4} & \boxed{2} & \boxed{3} \\
\hline 1 & \mathbf{x} & 4 & 4 & 1 \\
\hline & 3
\end{array}
$$

## FIFO is not optimum

## FIFO


requests

$$
\begin{aligned}
& 1 \\
& 2 \\
& 3 \\
& x \\
& x \\
& \text { misses }=5
\end{aligned}
$$

## FIFO is not optimum

| requests | FIFO |  |  |  | Furthest-in-Future |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  |
| 1 | $x$ | 1 |  |  | $x$ | 1 |  |  |
| 2 | $x$ | 1 | 2 |  | $x$ | 1 | 2 |  |
| 3 | $x$ | 1 | 2 | 3 | $x$ | 1 | 2 | 3 |
| 4 | $x$ | 4 | 2 | 3 | x | 1 | 4 | 3 |
| 1 | $x$ | 4 | 1 | 3 | $\checkmark$ | 1 | 4 | 3 |
|  |  |  | ses |  |  |  | ses |  |

## Optimum Offline Caching

## Furthest-in-Future (FF)

- Algorithm: every time, evict the page that is not requested until furthest in the future, if we need to evict one.
- The algorithm is not an online algorithm, since the decision at a step depends on the request sequence in the future.

