

## Properties of Encoding Tree

- Rooted binary tree
- Left edges labelled 0 and right edges labelled 1
- A leaf corresponds to a code for some letter
- If coding scheme is not wasteful: a non-leaf has exactly two children

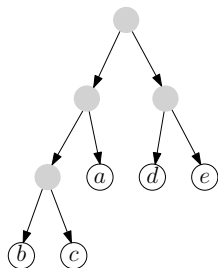
## Best Prefix Codes

**Input:** frequencies of letters in a message

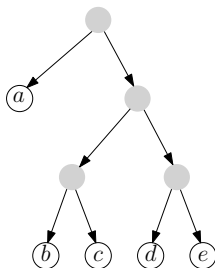
**Output:** prefix coding scheme with the shortest encoding for the message

## example

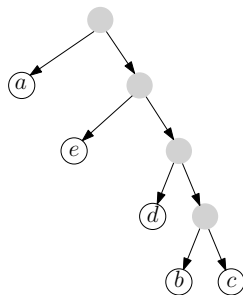
letters	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>	<i>e</i>
frequencies	18	3	4	6	10



scheme 1



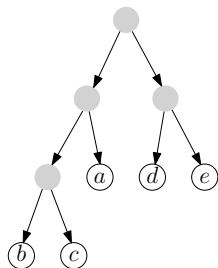
scheme 2



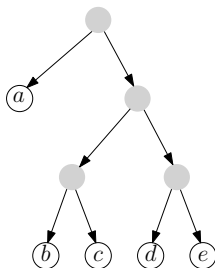
scheme 3

## example

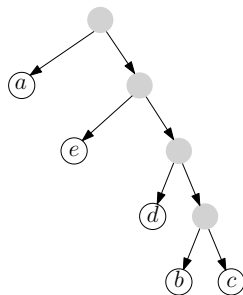
letters	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>	<i>e</i>	
frequencies	18	3	4	6	10	
scheme 1 length	2	3	3	2	2	total = 89
scheme 2 length	1	3	3	3	3	total = 87
scheme 3 length	1	4	4	3	2	total = 84



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scheme 2



scheme 3

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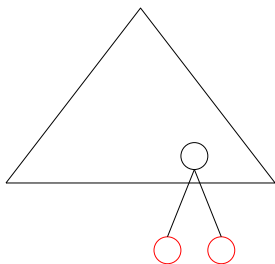
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**A:** We can choose two letters and make them brothers in the tree.

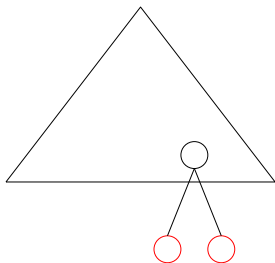
# Which Two Letters Can Be Safely Put Together As Brothers?

- Focus on the “structure” of the optimum encoding tree



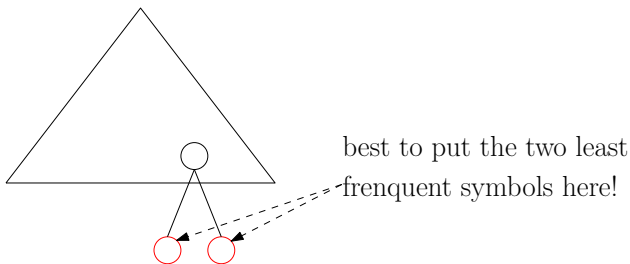
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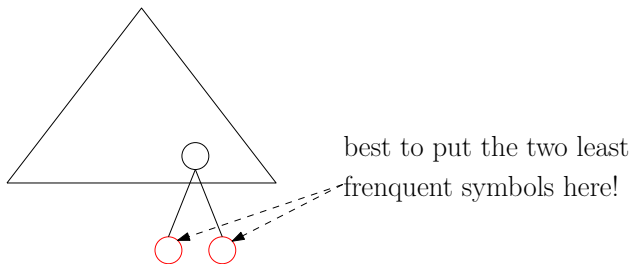
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**Lemma** It is safe to make the two least frequent letters brothers.

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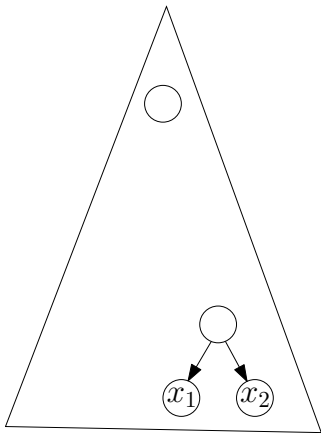
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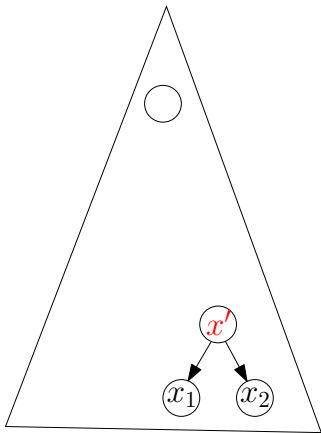
**A:** Yes, though it is not immediate to see why.

- $f_x$ : the frequency of the letter  $x$  in the support.
- $x_1$  and  $x_2$ : the two letters we decided to put together.
- $d_x$  the depth of letter  $x$  in our output encoding tree.



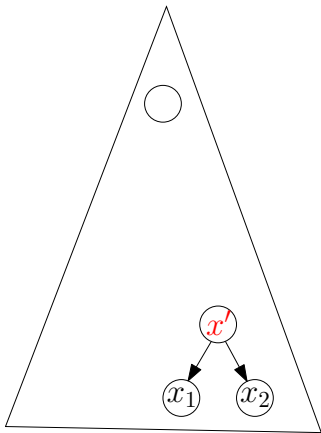
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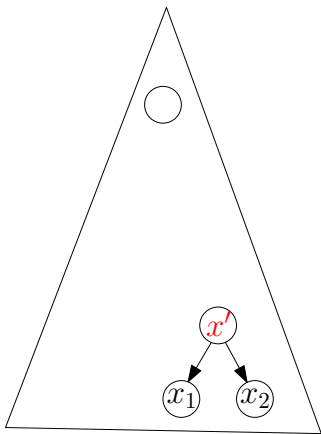
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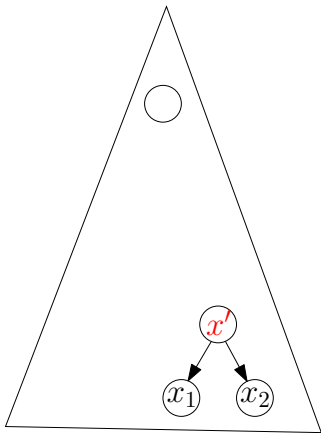
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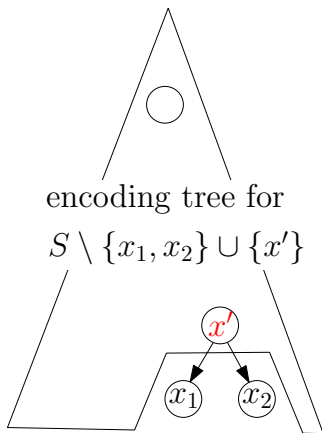
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In order to minimize

$$\sum_{x \in S} f_x d_x,$$

we need to minimize

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subject to that  $d$  is the depth function for an encoding tree of  $S \setminus \{x_1, x_2\}$ .

- This is exactly the best prefix codes problem, with letters  $S \setminus \{x_1, x_2\} \cup \{x'\}$  and frequency vector  $f$ !

# Example

$A$  27

$B$  15

$C$  11

$D$  9

$E$  8

$F$  5

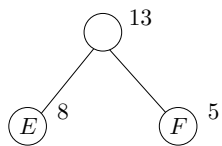
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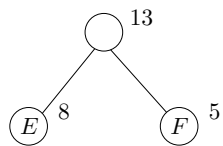
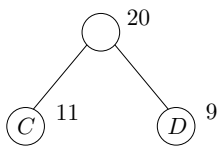
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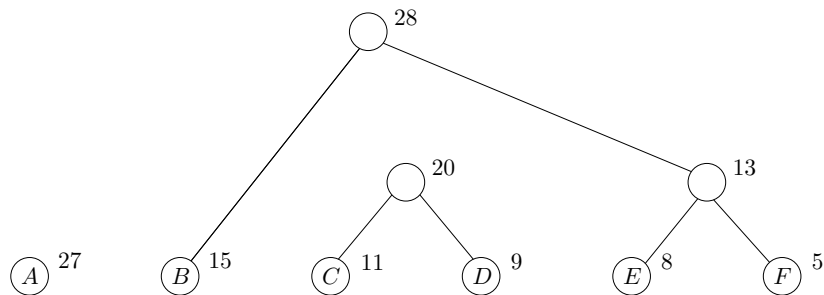
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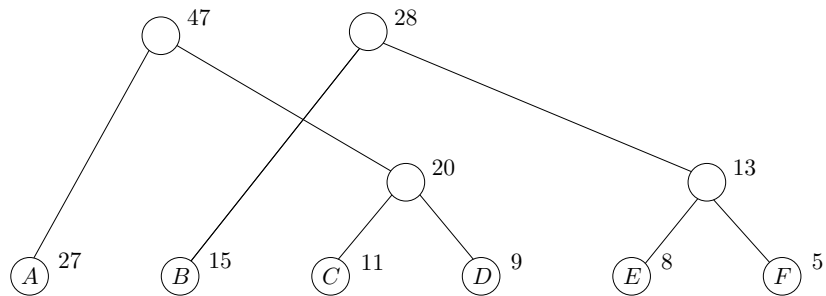
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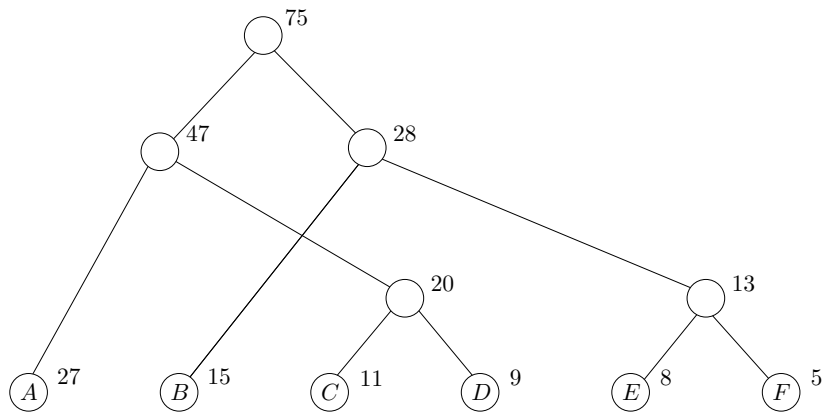
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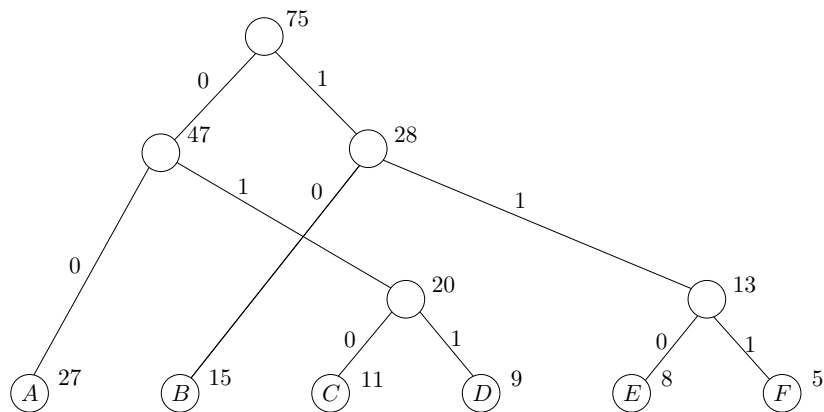
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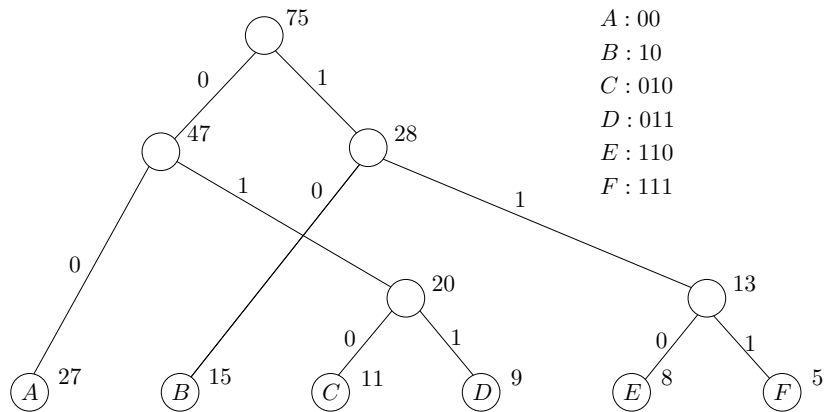


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## Huffman( $S, f$ )

- 1: **while**  $|S| > 1$  **do**
- 2:     let  $x_1, x_2$  be the two letters with the smallest  $f$  values
- 3:     introduce a new letter  $x'$  and let  $f_{x'} = f_{x_1} + f_{x_2}$
- 4:     let  $x_1$  and  $x_2$  be the two children of  $x'$
- 5:      $S \leftarrow S \setminus \{x_1, x_2\} \cup \{x'\}$
- 6: **return** the tree constructed

## Huffman( $S, f$ )

- 1:  $Q \leftarrow \text{build-priority-queue}(S)$
- 2: **while**  $Q.\text{size} > 1$  **do**
- 3:      $x_1 \leftarrow Q.\text{extract-min}()$
- 4:      $x_2 \leftarrow Q.\text{extract-min}()$
- 5:     introduce a new letter  $x'$  and let  $f_{x'} = f_{x_1} + f_{x_2}$
- 6:     let  $x_1$  and  $x_2$  be the two children of  $x'$
- 7:      $Q.\text{insert}(x', f_{x'})$
- 8: **return** the tree constructed

# Outline

- 1 Toy Example: Box Packing
- 2 Interval Scheduling
  - Interval Partitioning
- 3 Offline Caching
  - Heap: Concrete Data Structure for Priority Queue
- 4 Data Compression and Huffman Code
- 5 Summary
- 6 Exercise Problems

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  - Huffman codes: move the two least frequent letters to the deepest leaves.

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- Offline caching: trivial
- Huffman codes: merge two letters into one

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# Exercise: Fractional Knapsack Problem

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**Input:** A knapsack of bounded capacity  $W$ ;  
 $n$  items, each of weight  $\{w_1, w_2, \dots, w_n\}$  and each item also has a value  $\{v_1, v_2, \dots, v_n\}$ .

**Output:** Select a set of fractions  $\{p_1, p_2, \dots, p_n\}$  ( $0 \leq p_i \leq 1$ ) for all items to maximize the total value  $p_1v_1 + p_2v_2 + \dots + p_nv_n$  while  $\sum_{i \in [n]} w_i p_i \leq W$ .



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- Example: Given are a knapsack with capacity  $W = 20$  and 5 items with the following weights and values:

	1	2	3	4	5
weight	10	6	5	8	12
value	15	10	10	10	10

# Exercise: Scheduling Problem with Min Weighted Completion Time

## Scheduling Problem

**Input:** Given are  $n$  jobs each  $i \in [n]$  has a weight (or the importance)  $w_i$  and the length (or the time required)  $l_j$ . We define the completion time  $c_j$  of job  $j$  to be the sum of the lengths of jobs in the ordering up to and including  $l_j$ .

**Output:** An ordering of jobs that minimizes the weighted sum of completion times  $\sum_{i \in [n]} w_i c_i$ .

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	1	2	3	4	5
weight	2	6	5	4	2
length	5	4	10	8	3