## Box Packing

Input: $n$ boxes of capacities $c_{1}, c_{2}, \cdots, c_{n}$
$m$ items of sizes $s_{1}, s_{2}, \cdots, s_{m}$
Can put at most 1 item in a box
Item $j$ can be put into box $i$ if $s_{j} \leq c_{i}$
Output: A way to put as many items as possible in the boxes.

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## Example:

- Box capacities: 60, $40,25,15,12$
- Item sizes: $45,42,20,19,16$
- Can put 3 items in boxes: $45 \rightarrow 60,20 \rightarrow 40,19 \rightarrow 25$


## Greedy Algorithm

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Designing a Reasonable Strategy for Box Packing

- Q: Take box 1 . Which item should we put in box 1 ?


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Designing a Reasonable Strategy for Box Packing

- Q: Take box 1 . Which item should we put in box 1 ?
- A: The item of the largest size that can be put into the box.


## Analysis of Greedy Algorithm

- Safety: Prove that the reasonable strategy is "safe"
- Self-reduce: Show that the remaining task after applying the strategy is to solve a (many) smaller instance(s) of the same problem


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- formal proof via exchanging argument:

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- $s_{j^{\prime}} \leq s_{j}$, and swapping gives another solution $S^{\prime}$
- $S^{\prime}$ is also an optimum solution. In $S^{\prime}, j$ is put into Box 1 .
- Notice that the exchanging operation is only for the sake of analysis; it is not a part of the algorithm.
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## Analysis of Greedy Algorithm

- Safety: Prove that the reasonable strategy is "safe"
- Self-reduce: Show that the remaining task after applying the strategy is to solve a (many) smaller instance(s) of the same problem
- Trivial: we decided to put Item $j$ into Box 1 , and the remaining instance is obtained by removing Item $j$ and Box 1 .


## Generic Greedy Algorithm

1: while the instance is non-trivial do
2: make the choice using the greedy strategy
3: reduce the instance

## Greedy Algorithm for Box Packing

1: $T \leftarrow\{1,2,3, \cdots, m\}$
2: for $i \leftarrow 1$ to $n$ do
3: if some item in $T$ can be put into box $i$ then
4: $\quad j \leftarrow$ the largest item in $T$ that can be put into box $i$
5: $\quad \operatorname{print}($ "put item $j$ in box $i$ ")
6: $\quad T \leftarrow T \backslash\{j\}$

Why "Safety" + "Self-reduce" $\Longrightarrow$ Optimality?

- Let $\mathrm{BP}(B, T)$ denote a box-packing instance.
- $\phi(1,2, \ldots, m) \mapsto\{1,2, \ldots, n$, NULL $\}$ denote packing strategy. e.g., $\phi(2)=3$ means item 2 is put into box 3 .
- $\operatorname{val}(\phi):=$ the number of items packed by $\phi$.
- $\phi_{g}$ : the packing strategy obtained by greedy algorithm.


## Proof.

- Base case: When $|B|=1$ or $|T|=1$.
- Inductive case: (Hypothesis) Assume Greedy alg solves $\mathrm{BP}\left(B^{\prime}, T^{\prime}\right)$ optimally for $\left|B^{\prime}\right|=n-1$ and $\left|T^{\prime}\right|=m-1$.


## Why "Safety" + "Self-reduce" $\Longrightarrow$ Optimality?

## Proof.

- (Induction) Wlog, let $\pi$ be the optimal solution matches our greedy sol on $\mathrm{BP}(B, T)$, saying $\pi(j)=1$.
- By self-reduce: $\mathrm{BP}(B \backslash\{1\}, T \backslash\{j\})$ is a smaller BP instance.
- $\pi$ and $\phi_{g}$ onto $\mathrm{BP}(B \backslash\{1\}, T \backslash\{j\})$, denoted as $\pi^{\prime}$ and $\phi_{g}^{\prime}$.
- By Inductive hypothesis, $\phi_{g}^{\prime}$ is the optimal sol for $\mathrm{BP}(B \backslash\{1\}, T \backslash\{j\})$.
- $\operatorname{val}(\pi) \geq \operatorname{val}\left(\phi_{g}\right)=1+\operatorname{val}\left(\phi_{g}^{\prime}\right) \geq 1+\operatorname{val}\left(\pi^{\prime}\right)=\operatorname{val}(\pi)$.


## Running time

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- With sorted item-sizes and box-capacities, running time is $O(\max \{n, m\})$.


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## Generic Greedy Algorithm

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Lemma Generic algorithm is correct if and only if the greedy strategy is safe.

- Greedy strategy is safe: we will not miss the optimum solution
- Greedy stretegy is not safe: we will miss the optimum solution for some instance, since the choices we made are irrevocable.


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Def. A strategy is "safe" if there is always an optimum solution that is "consistent" with the decision made according to the strategy.

## Exchange argument: Proof of Safety of a Strategy

- let $S$ be an arbitrary optimum solution.
- if $S$ is consistent with the greedy choice, done.
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## Outline

## (1) Toy Example: Box Packing

(2) Interval Scheduling
(3) Offline Caching

- Heap: Concrete Data Structure for Priority Queue

4 Data Compression and Huffman Code
(5) Summary

## Interval Scheduling

Input: $n$ jobs, job $i$ with start time $s_{i}$ and finish time $f_{i}$ $i$ and $j$ are compatible if $\left[s_{i}, f_{i}\right.$ ) and $\left[s_{j}, f_{j}\right)$ are disjoint Output: A maximum-size subset of mutually compatible jobs


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Schedule $(s, f, n)$
1: $A \leftarrow\{1,2, \cdots, n\}, S \leftarrow \emptyset$
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- Naive implementation: $O\left(n^{2}\right)$ time


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