

CSE 431/531: Algorithm Analysis and Design (Fall 2023)

NP-Completeness

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NP-Completeness Theory

- The topics we discussed so far are **positive results**: how to design efficient algorithms for solving a given problem.
- NP-Completeness provides **negative results**: some problems can **not** be solved efficiently.

Q: Why do we study negative results?

NP-Completeness Theory

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Q: Why do we study negative results?

- A given problem X cannot be solved in polynomial time.
- Without knowing it, you will have to keep trying to find polynomial time algorithm for solving X . All our efforts are doomed!

Efficient = Polynomial Time

- Polynomial time: $O(n^k)$ for any constant $k > 0$
- Example: $O(n)$, $O(n^2)$, $O(n^{2.5} \log n)$, $O(n^{100})$
- Not polynomial time: $O(2^n)$, $O(n^{\log n})$

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Reason for Efficient = Polynomial Time

- For natural problems, if there is an $O(n^k)$ -time algorithm, then k is small, say 4
- A good cut separating problems: for most natural problems, either we have a polynomial time algorithm, or the best algorithm runs in time $\Omega(2^{n^c})$ for some c
- Do not need to worry about the computational model

Outline

- 1 Some Hard Problems
- 2 P, NP and Co-NP
- 3 Polynomial Time Reductions and NP-Completeness
- 4 NP-Complete Problems
- 5 Dealing with NP-Hard Problems
- 6 Summary

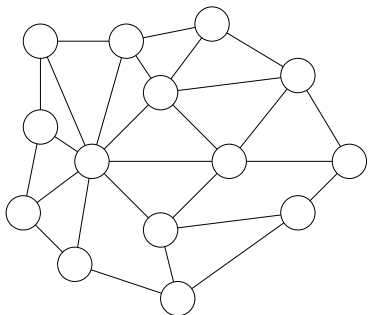
Example: Hamiltonian Cycle Problem

Def. Let G be an undirected graph. A **Hamiltonian Cycle (HC)** of G is a cycle C in G that **passes each vertex of G exactly once**.

Hamiltonian Cycle (HC) Problem

Input: graph $G = (V, E)$

Output: whether G contains a Hamiltonian cycle



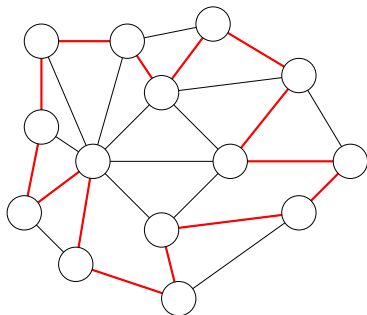
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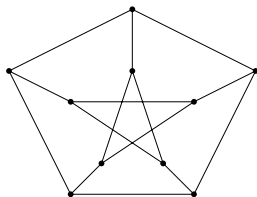
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Example: Hamiltonian Cycle Problem



- The graph is called the **Petersen Graph**. It has no HC.

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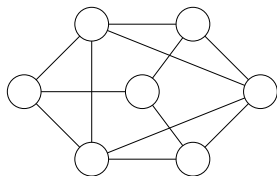
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- Running time: $O(n!m) = 2^{O(n \lg n)}$
- Better algorithm: $2^{O(n)}$
- Far away from polynomial time
- HC is **NP-hard**: it is **unlikely** that it can be solved in polynomial time.

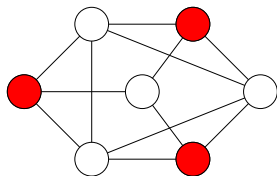
Maximum Independent Set Problem

Def. An **independent set** of $G = (V, E)$ is a subset $I \subseteq V$ such that no two vertices in I are adjacent in G .



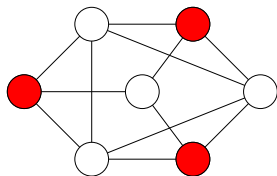
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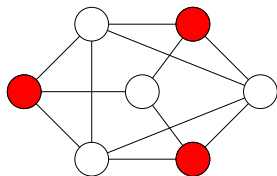
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- Maximum Independent Set is NP-hard

Formula Satisfiability

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Input: boolean formula with n variables, with \vee, \wedge, \neg operators.

Output: whether the boolean formula is satisfiable

- Example: $\neg((\neg x_1 \wedge x_2) \vee (\neg x_1 \wedge \neg x_3) \vee x_1 \vee (\neg x_2 \wedge x_3))$ is not satisfiable
- Trivial algorithm: enumerate all possible assignments, and check if each assignment satisfies the formula. The algorithm runs in exponential time.

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- Formula Satisfiability is NP-hard