

Recall: O , Ω , Θ -Notation: Asymptotic Bounds

O -Notation For a function $g(n)$,

$$O(g(n)) = \{ \text{function } f : \exists c > 0, n_0 > 0 \text{ such that} \\ f(n) \leq cg(n), \forall n \geq n_0 \}.$$

Ω -Notation For a function $g(n)$,

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Θ -Notation For a function $g(n)$,

$$\Theta(g(n)) = \{ \text{function } f : \exists c_2 \geq c_1 > 0, n_0 > 0 \text{ such that} \\ c_1g(n) \leq f(n) \leq c_2g(n), \forall n \geq n_0 \}.$$

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Comparison Relations	\leq	\geq	$=$

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$$f(n) = n^2$$

$$g(n) = \begin{cases} 1 & \text{if } n \text{ is odd} \\ n^3 & \text{if } n \text{ is even} \end{cases}$$

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- $3n^2 - 10n - 5 = O(5n^2 - 6n + 5)$ is correct, though weird
- $3n^2 - 10n - 5 = O(n^2)$ is the most natural since n^2 is the simplest term we can have inside $O(\cdot)$.

Notice that O denotes asymptotic **upper** bound

- $n^2 + 2n = O(n^3)$ is correct.
- The following sentence is correct: the running time of the insertion sort algorithm is $O(n^4)$.
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- We say: the running time of the insertion sort algorithm is $O(n^2)$ and **the bound is tight**.
- We do not use Ω and Θ very often when we upper bound running times.

Exercise

For each pair of functions f, g in the following table, indicate whether f is O, Ω or Θ of g .

f	g	O	Ω	Θ
$n^3 - 100n$	$5n^2 + 3n$			
$3n - 50$	$n^2 - 7n$			
$n^2 - 100n$	$5n^2 + 30n$			
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Questions?

Outline

- 1 Syllabus
- 2 Introduction
 - What is an Algorithm?
 - Example: Insertion Sort
 - Analysis of Insertion Sort
- 3 Asymptotic Notations
- 4 Common Running times

$O(n)$ (Linear) Running Time

Computing the sum of n numbers

sum(A, n)

- 1: $S \leftarrow 0$
- 2: for $i \leftarrow 1$ to n
- 3: $S \leftarrow S + A[i]$
- 4: return S

$O(n)$ (Linear) Running Time

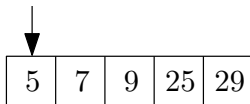
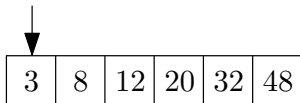
- Merge two sorted arrays

3	8	12	20	32	48
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5	7	9	25	29
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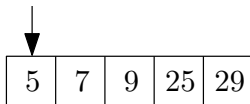
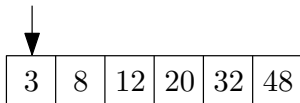
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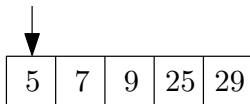
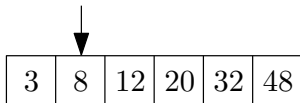
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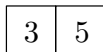
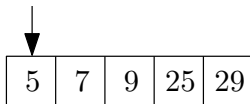
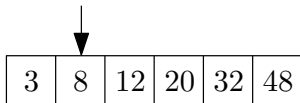
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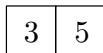
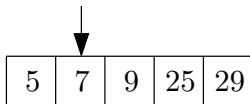
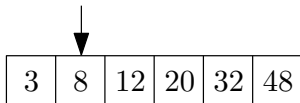
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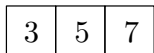
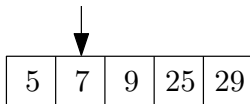
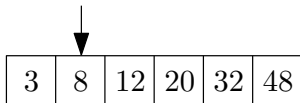
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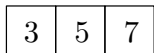
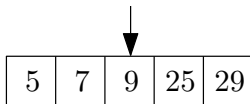
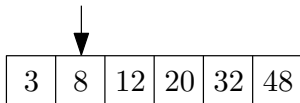
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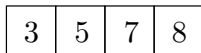
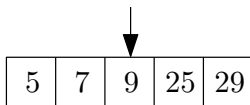
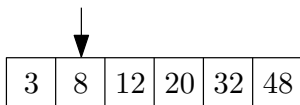
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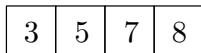
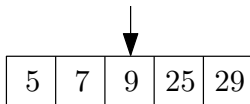
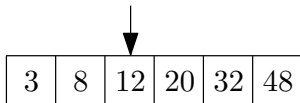
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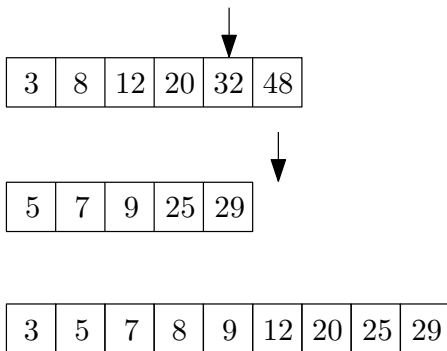
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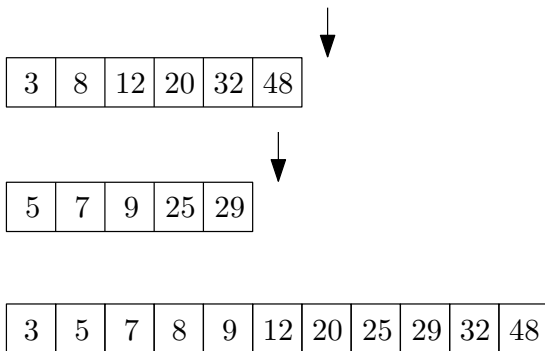
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$O(n)$ (Linear) Running Time

$\text{merge}(B, C, n_1, n_2)$ $\backslash \backslash$ B and C are sorted, with
length n_1 and n_2

```
1:  $A \leftarrow []$ ;  $i \leftarrow 1$ ;  $j \leftarrow 1$ 
2: while  $i \leq n_1$  and  $j \leq n_2$  do
3:   if  $B[i] \leq C[j]$  then
4:     append  $B[i]$  to  $A$ ;  $i \leftarrow i + 1$ 
5:   else
6:     append  $C[j]$  to  $A$ ;  $j \leftarrow j + 1$ 
7: if  $i \leq n_1$  then append  $B[i..n_1]$  to  $A$ 
8: if  $j \leq n_2$  then append  $C[j..n_2]$  to  $A$ 
9: return  $A$ 
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Running time = $O(n)$ where $n = n_1 + n_2$.

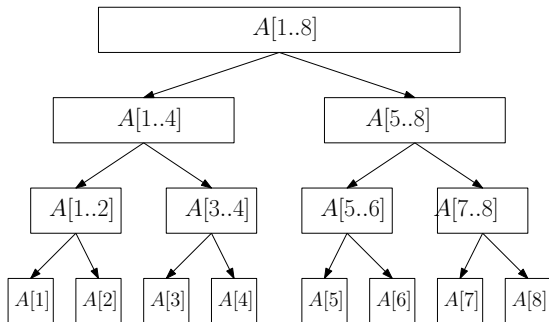
$O(n \log n)$ Running Time

merge-sort(A, n)

- 1: **if** $n = 1$ **then**
- 2: **return** A
- 3: $B \leftarrow$ merge-sort($A[1..\lfloor n/2 \rfloor], \lfloor n/2 \rfloor$)
- 4: $C \leftarrow$ merge-sort($A[\lfloor n/2 \rfloor + 1..n], n - \lfloor n/2 \rfloor$)
- 5: **return** merge($B, C, \lfloor n/2 \rfloor, n - \lfloor n/2 \rfloor$)

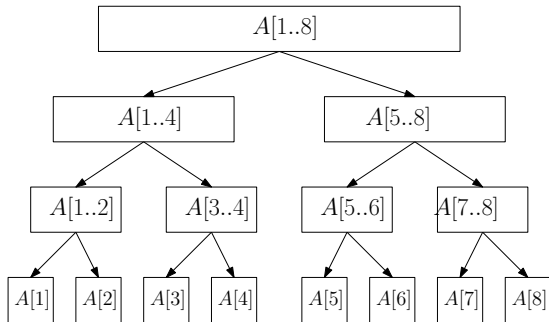
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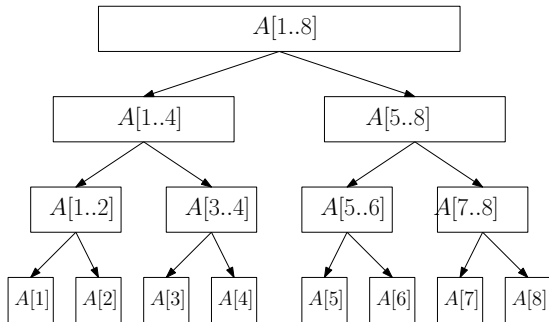
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$O(n \log n)$ Running Time

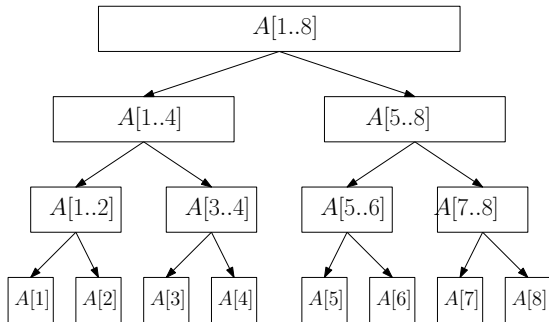
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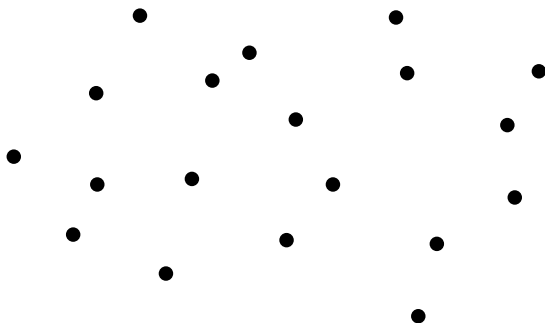
- Each level takes running time $O(n)$
- There are $O(\log n)$ levels
- Running time = $O(n \log n)$

$O(n^2)$ (Quadratic) Running Time

Closest Pair

Input: n points in plane: $(x_1, y_1), (x_2, y_2), \dots, (x_n, y_n)$

Output: the pair of points that are closest

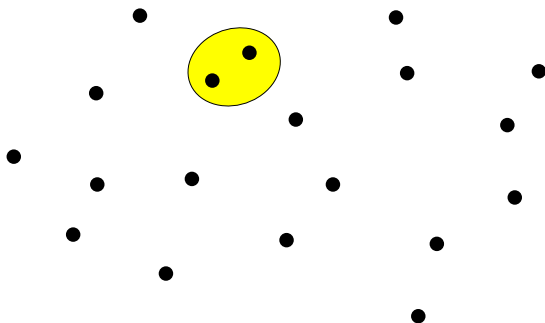


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closest-pair(x, y, n)

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1:  $bestd \leftarrow \infty$ 
2: for  $i \leftarrow 1$  to  $n - 1$  do
3:   for  $j \leftarrow i + 1$  to  $n$  do
4:      $d \leftarrow \sqrt{(x[i] - x[j])^2 + (y[i] - y[j])^2}$ 
5:     if  $d < bestd$  then
6:        $besti \leftarrow i, bestj \leftarrow j, bestd \leftarrow d$ 
7: return  $(besti, bestj)$ 
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Closest pair can be solved in $O(n \log n)$ time!

$O(n^3)$ (Cubic) Running Time

Multiply two matrices of size $n \times n$

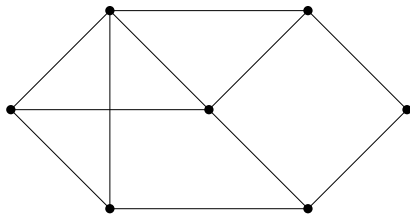
matrix-multiplication(A, B, n)

- 1: $C \leftarrow$ matrix of size $n \times n$, with all entries being 0
- 2: **for** $i \leftarrow 1$ to n **do**
- 3: **for** $j \leftarrow 1$ to n **do**
- 4: **for** $k \leftarrow 1$ to n **do**
- 5: $C[i, k] \leftarrow C[i, k] + A[i, j] \times B[j, k]$
- 6: **return** C

Def. An **independent set** of a graph $G = (V, E)$ is a subset $S \subseteq V$ of vertices such that for every $u, v \in S$, we have $(u, v) \notin E$.

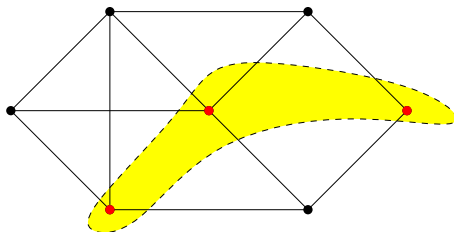
Beyond Polynomial Time: 2^n

Def. An **independent set** of a graph $G = (V, E)$ is a subset $S \subseteq V$ of vertices such that for every $u, v \in S$, we have $(u, v) \notin E$.



Beyond Polynomial Time: 2^n

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Beyond Polynomial Time: 2^n

Maximum Independent Set Problem

Input: graph $G = (V, E)$

Output: the maximum independent set of G

max-independent-set($G = (V, E)$)

```
1:  $R \leftarrow \emptyset$ 
2: for every set  $S \subseteq V$  do
3:    $b \leftarrow \text{true}$ 
4:   for every  $u, v \in S$  do
5:     if  $(u, v) \in E$  then  $b \leftarrow \text{false}$ 
6:   if  $b$  and  $|S| > |R|$  then  $R \leftarrow S$ 
7: return  $R$ 
```

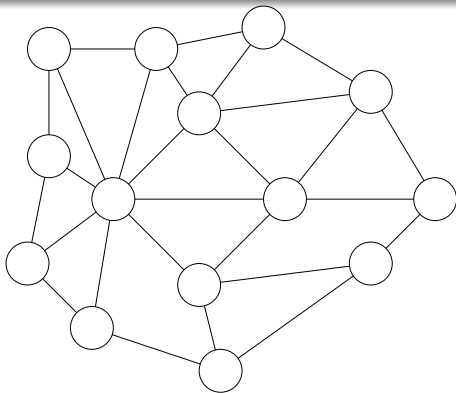
Running time = $O(2^n n^2)$.

Beyond Polynomial Time: $n!$

Hamiltonian Cycle Problem

Input: a graph with n vertices

Output: a cycle that visits each node exactly once,
or say no such cycle exists

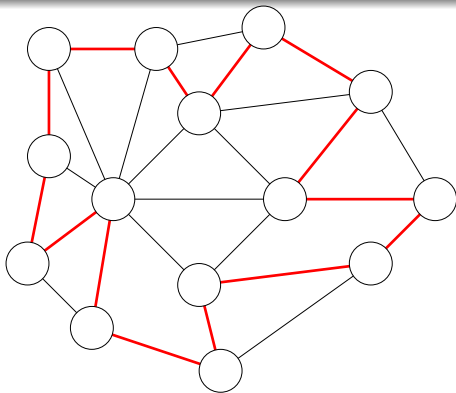


Beyond Polynomial Time: $n!$

Hamiltonian Cycle Problem

Input: a graph with n vertices

Output: a cycle that visits each node exactly once,
or say no such cycle exists



Beyond Polynomial Time: $n!$

Hamiltonian($G = (V, E)$)

```
1: for every permutation  $(p_1, p_2, \dots, p_n)$  of  $V$  do  
2:    $b \leftarrow \text{true}$   
3:   for  $i \leftarrow 1$  to  $n - 1$  do  
4:     if  $(p_i, p_{i+1}) \notin E$  then  $b \leftarrow \text{false}$   
5:   if  $(p_n, p_1) \notin E$  then  $b \leftarrow \text{false}$   
6:   if  $b$  then return  $(p_1, p_2, \dots, p_n)$   
7: return "No Hamiltonian Cycle"
```

Running time = $O(n! \times n)$

$O(\log n)$ (Logarithmic) Running Time

$O(\log n)$ (Logarithmic) Running Time

- Binary search
 - Input: sorted array A of size n , an integer t ;
 - Output: whether t appears in A .

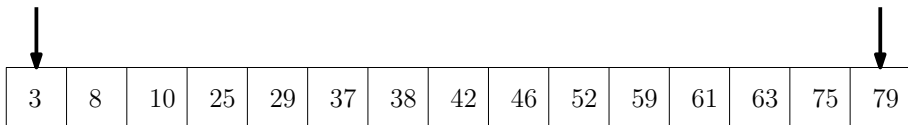
$O(\log n)$ (Logarithmic) Running Time

- Binary search
 - Input: sorted array A of size n , an integer t ;
 - Output: whether t appears in A .
- E.g, search 35 in the following array:

3	8	10	25	29	37	38	42	46	52	59	61	63	75	79
---	---	----	----	----	----	----	----	----	----	----	----	----	----	----

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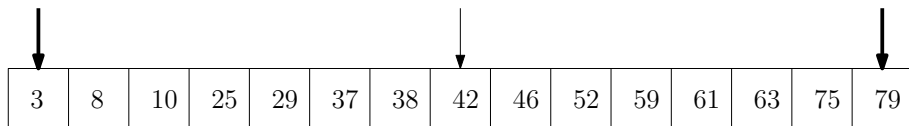


A horizontal array of 15 cells, each containing a number. The numbers are 3, 8, 10, 25, 29, 37, 38, 42, 46, 52, 59, 61, 63, 75, and 79. Two black arrows point downwards from above the array to the first cell (containing 3) and the last cell (containing 79).

3	8	10	25	29	37	38	42	46	52	59	61	63	75	79
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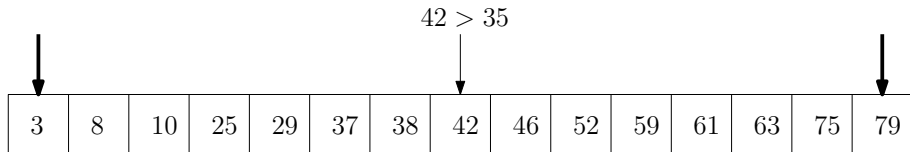


A horizontal array of 15 cells, each containing a number. Three black arrows point downwards to the first, the eighth, and the last cells of the array.

3	8	10	25	29	37	38	42	46	52	59	61	63	75	79
---	---	----	----	----	----	----	----	----	----	----	----	----	----	----

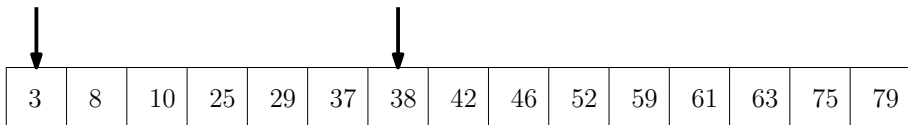
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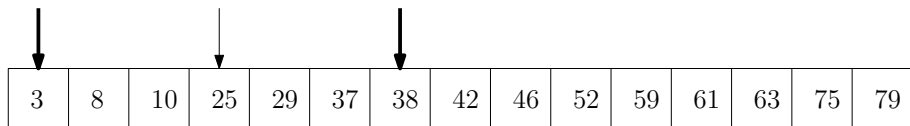


A horizontal array of 14 cells, each containing a number. The numbers are 3, 8, 10, 25, 29, 37, 38, 42, 46, 52, 59, 61, 63, 75, 79. Two black arrows point downwards to the first cell (containing 3) and the seventh cell (containing 38).

3	8	10	25	29	37	38	42	46	52	59	61	63	75	79
---	---	----	----	----	----	----	----	----	----	----	----	----	----	----

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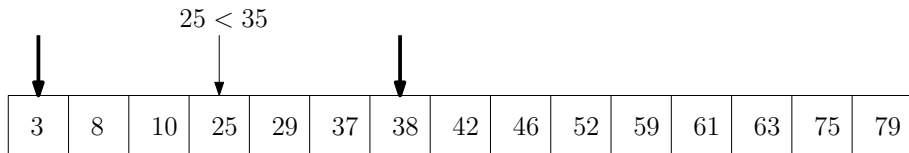


A horizontal array of 14 cells containing the numbers 3, 8, 10, 25, 29, 37, 38, 42, 46, 52, 59, 61, 63, 75, 79. Three arrows point downwards to the first, third, and seventh cells.

3	8	10	25	29	37	38	42	46	52	59	61	63	75	79
---	---	----	----	----	----	----	----	----	----	----	----	----	----	----

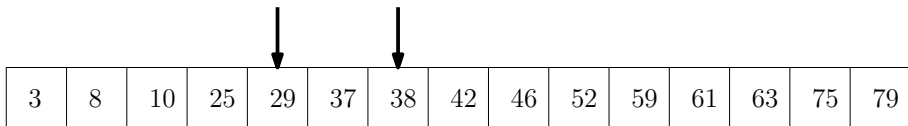
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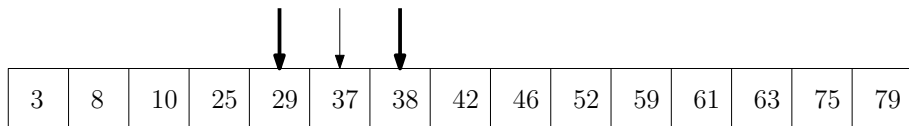


A horizontal array of 14 cells, each containing a number. The numbers are 3, 8, 10, 25, 29, 37, 38, 42, 46, 52, 59, 61, 63, 75, 79. Two black arrows point downwards from above the array to the cells containing 29 and 38.

3	8	10	25	29	37	38	42	46	52	59	61	63	75	79
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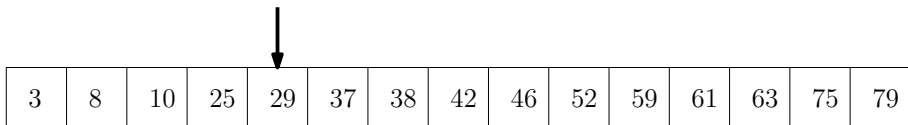
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- E.g, search 35 in the following array:

$37 > 35$

3	8	10	25	29	37	38	42	46	52	59	61	63	75	79
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Binary search

- Input: sorted array A of size n , an integer t ;
- Output: whether t appears in A .

binary-search(A, n, t)

```
1:  $i \leftarrow 1, j \leftarrow n$ 
2: while  $i \leq j$  do
3:    $k \leftarrow \lfloor (i + j)/2 \rfloor$ 
4:   if  $A[k] = t$  return true
5:   if  $t < A[k]$  then  $j \leftarrow k - 1$  else  $i \leftarrow k + 1$ 
6: return false
```


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6: return false
```

Running time = $O(\log n)$

Comparing the Orders

- Sort the functions from smallest to largest asymptotically
 $\log n$, $n \log n$, n , $n!$, n^2 , 2^n , e^n , n^n
- $\log n = O(n)$