# CSE 431/531: Algorithm Analysis and Design (Spring 2024) Greedy Algorithms

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# Goals of algorithm design

- Design efficient algorithms to solve problems
- Design more efficient algorithms to solve problems

# Common Paradigms for Algorithm Design

- Greedy Algorithms: shortest path problem
- Divide and Conquer: merge-sort, binary search
- Dynamic Programming: shortest path problem, Fibonacci number

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- They often run in polynomial time due to their simplicity: easy to come up with, easy to analyze running time.
- ullet Hard to see correctness. Mostly, it is not correct. E.g.  $\min f(x)$

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**Def.** A strategy is safe: there is always an optimum solution that agrees with the decision made according to the strategy.

# Outline

- 1 Toy Example: Box Packing
- Interval SchedulingInterval Partitioning
- Offline Caching
  - Heap: Concrete Data Structure for Priority Queue
- 4 Data Compression and Huffman Code
- **5** Summary
- 6 Exercise Problems

# **Box Packing**

**Input:** n boxes of capacities  $c_1, c_2, \cdots, c_n$ 

m items of sizes  $s_1, s_2, \cdots, s_m$ 

Can put at most 1 item in a box

Item j can be put into box i if  $s_j \leq c_i$ 

**Output:** A way to put as many items as possible in the boxes.

#### Example:

• Box capacities: 60, 40, 25, 17, 12

• Item sizes: 45, 41, 20, 19, 16

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- A: The item of the largest size that can be put into the box.

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- formal proof via exchanging argument:

#### Proof.

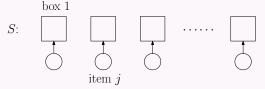
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- Let j =largest item that box 1 can hold.
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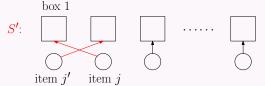
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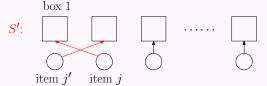
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- Otherwise, assume this is what happens in *S*:



- $s_{j'} \leq s_j$ , and swapping gives another solution S'
- S' is also an optimum solution. In S', j is put into Box 1.

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- Trivial: we decided to put Item j into Box 1, and the remaining instance is obtained by removing Item j and Box 1.

- 1: while the instance is non-trivial do
- make the choice using the greedy strategy
- 3: reduce the instance

- 1:  $T \leftarrow \{1, 2, 3, \cdots, m\}$
- 2: **for**  $i \leftarrow 1$  to n **do**
- 3: **if** some item in T can be put into box i **then**
- 4:  $j \leftarrow$  the largest item in T that can be put into box i
- 5: print("put item j in box i")
- 6:  $T \leftarrow T \setminus \{j\}$

Why "Safety" + "Self-reduce"  $\Longrightarrow$  Optimality?

- Let BP(B,T) denote a box-packing instance.
- $\phi(1,2,...,m)\mapsto\{1,2,...,n,\text{NULL}\}$  denote packing strategy. e.g.,  $\phi(2)=3$  means item 2 is put into box 3.
- $val(\phi) :=$  the number of items packed by  $\phi$ .
- ullet  $\phi_g$ : the packing strategy obtained by greedy algorithm.

#### Proof.

- Base case: When |B| = 1 or |T| = 1.
- Inductive case: (Hypothesis) Assume Greedy alg solves BP(B',T') optimally for |B'|=n-1 and |T'|=m-1.



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#### Proof.

- (Induction) Wlog, let  $\pi$  be the optimal solution matches our greedy sol on BP(B,T), saying  $\pi(j)=1$ .
- By self-reduce:  $BP(B \setminus \{1\}, T \setminus \{j\})$  is a smaller BP instance.
- $\pi$  and  $\phi_g$  onto  $\mathrm{BP}(B\setminus\{1\},T\setminus\{j\})$ , denoted as  $\pi'$  and  $\phi'_g$ .
- By Inductive hypothesis,  $\phi_g'$  is the optimal sol for  $BP(B \setminus \{1\}, T \setminus \{j\})$ .
- $\operatorname{val}(\pi) \ge \operatorname{val}(\phi_q) = 1 + \operatorname{val}(\phi_q') \ge 1 + \operatorname{val}(\pi') = \operatorname{val}(\pi)$ .



## Running time

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- With sorted item-sizes and box-capacities, running time is  $O(\max\{n, m\})$ .

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- Greedy strategy is safe: we will not miss the optimum solution
- Greedy stretegy is not safe: we will miss the optimum solution for some instance, since the choices we made are irrevocable.

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# Exchange argument: Proof of Safety of a Strategy

- ullet let S be an arbitrary optimum solution.
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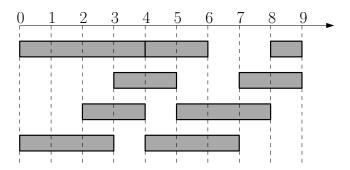
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Output: A maximum-size subset of mutually compatible jobs

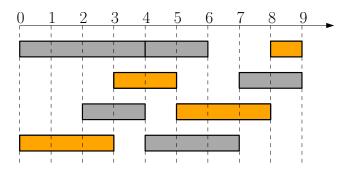


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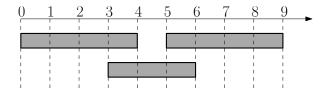


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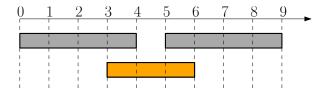
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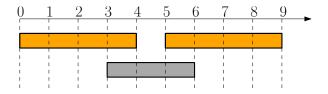
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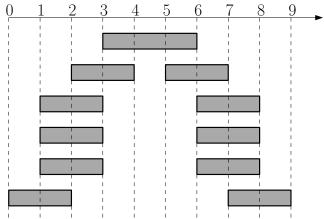


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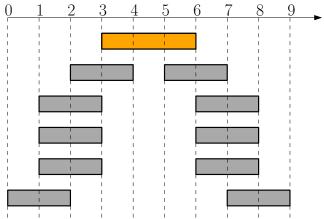
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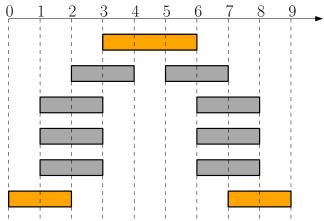
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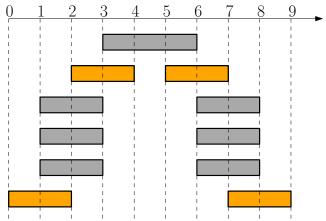
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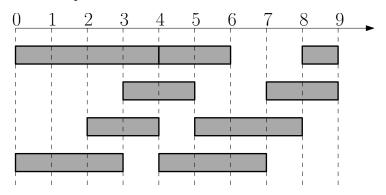


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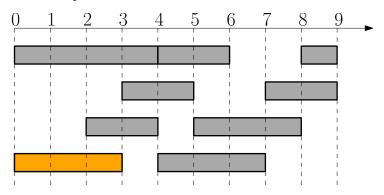
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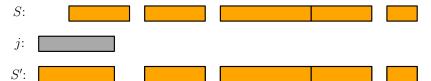
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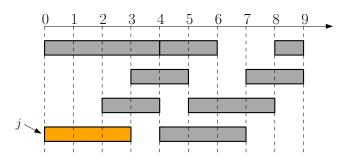
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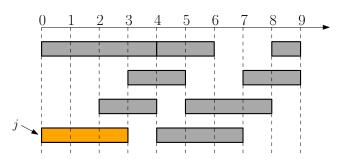
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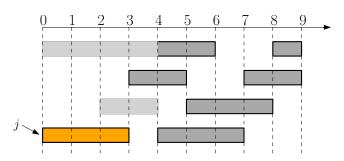
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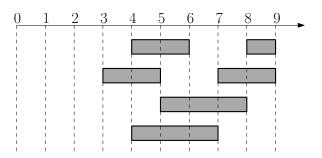
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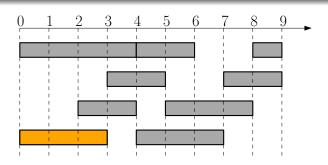


#### $\mathsf{Schedule}(s, f, n)$

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- 2: while  $A \neq \emptyset$  do
- 3:  $j \leftarrow \arg\min_{j' \in A} f_{j'}$
- 4:  $S \leftarrow S \cup \{j\}; A \leftarrow \{j' \in A : s_{j'} \geq f_j\}$
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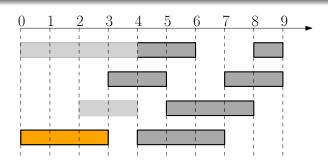
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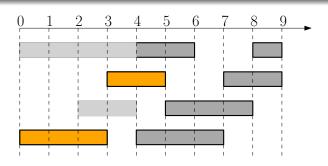
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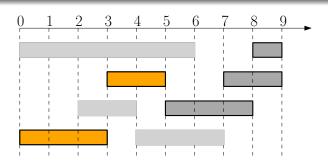
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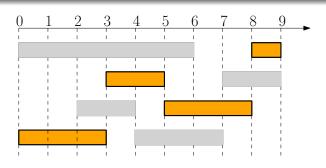
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- 1:  $A \leftarrow \{1, 2, \cdots, n\}, S \leftarrow \emptyset$
- 2: while  $A \neq \emptyset$  do
- 3:  $j \leftarrow \arg\min_{j' \in A} f_{j'}$
- 4:  $S \leftarrow S \cup \{j\}; A \leftarrow \{j' \in A : s_{j'} \geq f_j\}$
- 5: return S



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#### Running time of algorithm?

- Naive implementation:  $O(n^2)$  time
- Clever implementation:  $O(n \lg n)$  time