# Outline

# Syllabus

#### 2 Introduction

- What is an Algorithm?
- Example: Insertion Sort
- Analysis of Insertion Sort
- 3 Asymptotic Notations
- 4 Common Running times

### Sorting Problem

**Input:** sequence of *n* numbers  $(a_1, a_2, \cdots, a_n)$ 

**Output:** a permutation  $(a_1', a_2', \cdots, a_n')$  of the input sequence such that  $a_1' \le a_2' \le \cdots \le a_n'$ 

#### Example:

- Input: 53, 12, 35, 21, 59, 15
- Output: 12, 15, 21, 35, 53, 59

• At the end of j-th iteration, the first j numbers are sorted.

iteration 1: 53, 12, 35, 21, 59, 15 iteration 2: 12, 53, 35, 21, 59, 15 iteration 3: 12, 35, 53, 21, 59, 15 iteration 4: 12, 21, 35, 53, 59, 15 iteration 5: 12, 21, 35, 53, 59, 15 iteration 6: 12, 15, 21, 35, 53, 59

- Input: 53, 12, 35, 21, 59, 15
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- Correctness
- Running time

• Invariant: after iteration j of outer loop, A[1..j] is the sorted array for the original A[1..j].

after j = 1 : 53, 12, 35, 21, 59, 15after j = 2 : 12, 53, 35, 21, 59, 15after j = 3 : 12, 35, 53, 21, 59, 15after j = 4 : 12, 21, 35, 53, 59, 15after j = 5 : 12, 21, 35, 53, 59, 15after j = 6 : 12, 15, 21, 35, 53, 59

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#### • Q2: Which input?

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- Q2: Which input?
  - For the insertion sort algorithm: if input array is already sorted in ascending order, then algorithm runs much faster than when it is sorted in descending order.
- A2: Worst-case analysis:
  - $\bullet\,$  Running time for size n= worst running time over all possible arrays of length n

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#### Important idea: asymptotic analysis

• Focus on growth of running-time as a function, not any particular value.

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  - program 1 requires 10 instructions, or  $10^{-8}$  seconds
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  - they only change by a constant in the running time, which will be hidden by the  $O(\cdot)$  notation

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- For Algorithm 1: if we increase n by a factor of 2, running time increases by a factor of 4
- For Algorithm 2: if we increase n by a factor of 2, running time increases by a factor of 2

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- Worst-case running time for iteration j of the outer loop? Answer: O(j)
- Total running time =  $\sum_{j=2}^n O(j) = O(\sum_{j=2}^n j)$  =  $O(\frac{n(n+1)}{2} 1) = O(n^2)$

# Computation Model

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- What is the precision of real numbers? Most of the time, we only consider integers.
- Can we do better than insertion sort asymptotically?
- Yes: merge sort, quicksort and heap sort take  $O(n \log n)$  time

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## Asymptotically Positive Functions

#### **Def.** $f : \mathbb{N} \to \mathbb{R}$ is an asymptotically positive function if: • $\exists n_0 > 0$ such that $\forall n > n_0$ we have f(n) > 0

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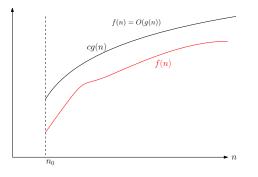
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- We only consider asymptotically positive functions.

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### Proof.

Let 
$$c = 4$$
 and  $n_0 = 50$ , for every  $n > n_0 = 50$ , we have,  
 $3n^2 + 2n - c(n^2 - 10n) = 3n^2 + 2n - 4(n^2 - 10n)$   
 $= -n^2 + 42n \le 0.$   
 $3n^2 + 2n \le c(n^2 - 10n)$ 

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Asymptotic Notations	O	$\Omega$	Θ
Comparison Relations	$\leq$		

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- "=" is asymmetric! Following equalities are wrong:
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- $O(n^2 + 5n) = 3n^2 + 2n$
- $O(n^2) = 3n^2 + 2n$
- Analogy: Mike is a student. A student is Mike.

 $\begin{aligned} O\text{-Notation For a function } g(n), \\ O(g(n)) &= \big\{ \text{function } f : \exists c > 0, n_0 > 0 \text{ such that} \\ f(n) &\leq cg(n), \forall n \geq n_0 \big\}. \end{aligned}$ 

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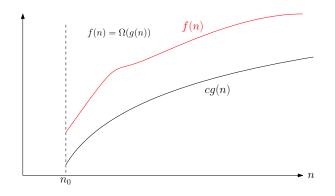
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• In other words,  $f(n) \in \Omega(g(n))$  if  $f(n) \ge cg(n)$  for some c and large enough n.

#### $\Omega$ -Notation: Asymptotic Lower Bound

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## $\Omega\text{-Notation}$ : Asymptotic Lower Bound

- Again, we use "=" instead of  $\in$ .
  - $4n^2 = \Omega(n-10)$
  - $3n^2 n + 10 = \Omega(n^2 20)$

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**Theorem**  $f(n) = O(g(n)) \Leftrightarrow g(n) = \Omega(f(n)).$ 

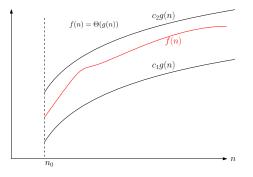
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Asymptotic Notations	O	Ω	Θ
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Asymptotic Notations	O	Ω	Θ
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**Theorem**  $f(n) = \Theta(g(n))$  if and only if f(n) = O(g(n)) and  $f(n) = \Omega(g(n))$ .