# Automatic Categorization of Query Results SIGMOD '04

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- Exploratory queries are increasingly becoming a common phenomenon in database systems.
  - e.g. search for a book on a given subject on Amazon.com
- These queries return too-many results, but only a small fraction is relevant
  - the user ends up examining all or most of the result tuples to find the interesting ones.
- Can happen when the user is unsure about what is relevant
  - e.g.user shopping for a home is often unsure of the exact neighborhood, price range . . .

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## COMMON APPROACHES TO AVOID

#### INFORMATION-OVERLOAD

from the IR scenario

- Ranking
- Categorization

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#### CATEGORIZATION IN DATABASE SYSTEMS

- Category structures are decided in advance.
- Categories of a result tuple is decided in advance.
  - Examples: Amazon, Walmart, e-Bay . . .
- Problem: Susceptibility to skew defeats the purpose of categorization
   User still experiences information-overload.

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## AUTOMATIC CATEGORIZATION OF QUERY RESULTS based on query results

- Previous categorization techniques were query *independent* the category structure were decided *apriori*.
- Solution: Generate the category structure based on the *contents* of tuples in the *answerset*
- Ensure "even" distribution of guery results across the category

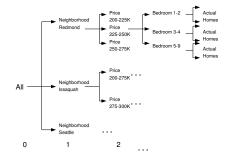
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## AUTOMATIC CATEGORIZATION OF QUERY RESULTS EXAMPLE:



Example of hierarchical categorization

### TABLE OF CONTENTS

- Categorization basics
- Exploration Model simulating a "typical" user
- Cost estimation probabilistic
- Estimating probabilities using workload
- Heuristics
- Categorization algorithm
- Experimental evaluation

SPACE OF CATEGORIZATION

- A hierarchical categorization of *R* is a recursive partitioning of the tuples in *R* defined inductively as follows:
  - Base Case: Given a ALL node containing all tuples in R, partition R using a single attribute.
  - Inductive Step: Given a node C at level 1 1, partition (level 1) set of tuples tset (C) using a single attribute for all nodes in for all nodes at level 1 1 iff C contains more than a "certain" number of tuples.
- Associated with each category C is:
  - tset (C): Set of tuples contained in a category C.
  - label(**C**):
    - For categorical attribute A is of the form  $A \in B$  where  $B \subset dom_R(A)$
    - For numeric attribute A is of the form  $a_1 \le A \le B_2$  where  $a_1, a_2 \in dom_B(A)$ .

## CATEGORIZATION MODEL EXPLORATION MODEL

To generate a particular instance of hierarchical categorization: At each level 1:

- Determine the categorizing attribute A for level 1
- Determine the partition of domain of values of A for tset (C)

**Objective:** Choose the attribute-partition combination at each level such that the resulting instance  $T_{opt}$  has least possible information overload on the user.

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EXPLORATION MODEL: SCENARIOS

#### Common exploration scenarios:

- ALL User explores the result set R until she finds every tuple t∈ R relevant to her.
- ONE User explores the result set R until she finds one (or few) tuple(s)

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EXPLORATION MODEL: ALL

Model of exploration of node C in ALL scenario:

#### Algorithm 1 Explore C

- 1: if C is a non-leaf node then
- 2: Choose one of the following:
- 3: (1) Examine all tuples in tset (C) {Option SHOWTUPLES}
- 4: (2) {Option SHOWCAT}
- 5: **for** i = 1;  $i \le n$ ; i + + **do**
- 6: Examine the label of ith subcategory
- 7: Choose one of the following
- 8: (2.1) Explore  $C_i$
- 9: (2.2) Ignore  $C_i$
- 10: end for
- 11: **else**
- 12: Examine all tuples in tset (C)
- 13: end if

EXPLORATION MODEL: ALL

Model of exploration of node C in  ${\tt ONE}$  scenario:

```
Algorithm 2 Explore C
```

17: end if

```
1. if C is a non-leaf node then
      Choose one of the following:
      (1) Examine tuples in tset (C) till the first relevant tuple found
 3.
      {Option SHOWTUPLES}
      (2){Option SHOWCAT}
 4:
      for (i = 1; i < n; i + +) do
 5.
        Examine the label of ith subcategory
 6.
        Choose one of the following
 7:
 8:
        (2.1) Explore Ci
        (2.2) Ignore C<sub>i</sub>
 9:
        if choice = Explore then
10.
          break
11.
        end if
12:
      end for
13:
14:
15: else
      Examine tuples in tset (C) till the first relevant tuple found
16:
```

- Define cost as the total number of items, both tuples and category labels, examined by the user.
- Minimizing the cost also minimizes the information-overload a user encounters.
- The choices for a given user for a given query is not known apriori
  - but the aggregate-knowledge of previous user behavior is known!
- Use the previous knowledge to estimate the cost for the average case.

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- Re-define cost as the total number of items, on average, both tuples and category labels, examined by the user
- The user choices in either exploration model are non-deterministic and not equally likely.
- This uncertainty and preference is captured by the following two probabilities
  - Exploration Probability P(C): Probability that the user explores category C, using either SHOWCAT or SHOWTUPLES.
  - SHOWTUPLES Probability P<sub>w</sub>(C): Probability that the user goes for the option SHOWTUPLES, given that she explores C.
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## COST MODEL COST : ALL

- For the ALL scenario.
  - For a given node a user chooses to explore, she user can either:
    - execute SHOWTUPLES with cost :  $P_w(C) \times |tset(C)|$
    - execute a SHOWCAT with cost:  $(1 P_w(C)) \times [|C_t| + \sum_{i=1}^{|C_t|} P(C_i) \times Cost_{All}(C_i)]$

$$\textit{Cost}_{\textit{All}}(\textit{C}) = \textit{P}_{\textit{w}}(\textit{C}) imes |\textit{tset}(\textit{C})| + (1 - \textit{P}_{\textit{w}}(\textit{C})) imes [|\textit{C}_{\textit{t}}| + \sum_{i=1}^{|\textit{C}_{\textit{t}}|} \textit{P}(\textit{C}_{\textit{i}}) imes \textit{Cost}_{\textit{All}}(\textit{C})]$$

where C<sub>t</sub> is the set of sub-categories of C

# COST MODEL COST :ONE

- For the ONE scenario,
  - For a given node a user chooses to explore, she user can either:
    - execute SHOWTUPLES with cost :  $P_w(C) \times frac(C) \times |tset(C)|$
    - examine some(i) category labels until the relevant label is found and then explore that category further.
    - The probability that  $C_i$  is the first category explored  $(\prod_{j=1}^{i-1} (1 P(C_j)) \times P(C_i)$
    - The cost of exploring  $C_i = |C_t| + Cost_{All}(C_i)$
  - $Cost_{One}(C) = P_w(C) \times frac(C) \times |tset(C)| + (1 P_w(C)) \times \sum_i i = 1|C_t|P(C_i) + (1 P(C_i)) \times P(C_i) \times [|C_t| + Cost_{All}(C_i)])$
  - where  $C_t$  is the set of sub-categories of C and, frac(C) is the fraction of tuples the user needs to examine before finding the first relevant tuple

### USING WORKLOAD TO ESTIMATE PROBABILITIES

- P(C) and  $P_w(C)$  are needed for the  $Cost_{One}(T)$  and  $Cost_{All}(T)$
- Use aggregate knowledge of previous user behavior
- Specifically, infer user behavior from the queries executed previously by users of a given application - DBMS query Log

#### Intuition:

- W<sub>i</sub>: Workload Query
- $C_A$ : The categorizing attribute of C.
- N: total number queries in query log
- If  $W_i$  has a selection condition on  $C_A$ , then user is interested in a few categories of A.
- $\frac{N_{Attr}(C_A)}{N}$ : the probability that the user executes SHOWCAT
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# USING WORKLOAD TO ESTIMATE PROBABILITIES COMPUTING EXPLORATION PROBABILITY

# P(C), probability that the user explores a category C, either by SHOWCAT or SHOWTUPLES

- = P(User explores C | User examines the label of C)
- = P(User explores C) ÷ P(User examines the label of C)
- $\blacksquare \ \ \, = P(\text{User explores C}) \div P(\text{User explores parent(C}) \ \text{and User examines the label of parent(C)})$
- = P(User explores C) ÷ (P(User explores parent(C)) × P(User chooses SHOWCAT for parent(C) | User explores parent(C)))

#### Now

- P(User chooses SHOWCAT for parent(C) | User explores parent(C)) =  $N_{Attr}(parent(C_A)) \div N$
- P(User explores C) ÷ P(User explores parent(C)) = P(User interested in label of C
- P(User interested in label of C) =  $\frac{N_{overlap}(C)}{N_{overlap}(C)}$
- P(C) = P(User interested in label of C)  $(\frac{N_{Attr}(parent(C))}{N})$

$$P(C) = \frac{N_{overlap}(C)}{N_{Attr}(parent(C)_A}$$

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$$P(C) = \frac{N_{overlap}(C)}{N_{Attr}(parent(C)_A)}$$

### Naive Algorithm:

- Enumerate all possible category trees and the Cost<sub>All</sub>(T) for each Tree T.
- Choose the tree T<sub>opt</sub> with the minimum cost

Exponential, in  $|A| \times |C_A|!$  Apply heuristics to

- Eliminate "uninteresting" attributes.
- For every remaining attribute, obtain a "good" partitioning instead of enumerate all possible partitioning
- Level-wise partitioning at each step choose the attribute and its partitioning that has the least cost.

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REDUCING CHOICES OF CATEGORIZING ATTRIBUTES

- Presence of a selection condition on an attribute reflects user's interest in that attribute.
- Eliminate an attribute if it occurs infrequently in the workload queries i.e.  $\frac{N_{Attr}(C_A)}{N} \leq X_{threshold}$ ,

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PARTITIONING FOR CATEGORICAL ATTRIBUTES

For a query Q that contains a selection condition of the form: "A in  $v_1, v_2, ...., v_k$ ":

- $v_1, v_2, ...., v_k$  are potential categories
- Consider only single-value partitioning
- For single-value partitioning, only the presentation order (for categories) matters.
- Cost<sub>All</sub>(T) is not affected by the order.
- So, minimize for only Cost<sub>One</sub>(T)

#### THEOREM

 $Cost_{One}(T)$  is minimum when the categories are presented to the user in increasing order of  $\frac{1}{P(C_i)} + Cost_{One}(C_i)$ 

Heuristic:  $Cost_{One}(C_i)$  as a constant (drop it) The categories are presented in decreasing order of  $N_{overlap}(C_i)$ , or  $occ(v_i)$ 

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PARTITIONING FOR NUMERIC ATTRIBUTES

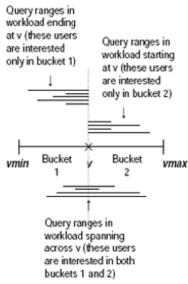
- Let  $V_{min}$  and  $V_{max}$  be the minimum and maximum values that the tuples in R can take in attribute A.
- Consider a point v ( $V_{min} < v < V_{max}$ ):
  - If a significant number of query ranges in the workload begin or end at v, it is a good point to split as the workload suggests that most users would be interested in just one bucket,
  - If none of them begin or end at v, hence v is not a good point to split, if we partition the range into m-buckets then (m-1) points should be selected where queries begin or end splitpoints.
- the other factor is the number of tuples in each bucket.
- Define a goodness score, as SUM(start<sub>v</sub>, end<sub>v</sub>), where
  - *start*<sub>V</sub> is the number of query ranges in the workload starting at
  - ullet end<sub>v</sub> is the number of query ranges in the workload ending at v
- Precomute the goodness score for all potential split-points.



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- Define a *goodness* score, as *SUM*(*start*<sub>v</sub>, *end*<sub>v</sub>), where
  - ullet start $_{v}$  is the number of query ranges in the workload starting at v
  - $end_v$  is the number of query ranges in the workload ending at v
- Precomute the *goodness* score for all potential split-points.

PARTITIONING FOR NUMERIC ATTRIBUTES



	Splitpoint v	start <sub>v</sub>	end <sub>v</sub>	SUM (start, ,end,)
	1000	0	0	0
	2000	10	40	50
	3000	0	0	0
	4000	0	0	0
	5000	40	90	130
	6000	0	0	0
	7000	0	0	0
	8000	80	20	100
	9000	0	0	0
	10000	30		





MULTILEVEL CATEGORIZATION

### Greedy Algorithm:

- For multilevel categorization, for each level I, determine the categorizing attribute A and for each category C in level (I-1), partition the domain of values of A in tset(C) such that the information overload is minimized.
- The algorithm creates the categories level by level all categories at level (I-1) are created and added to tree T before any category at level I. S denote the set of categories at level (I-1) with more than M tuples.
- For each such candidate attribute A, we partition each category C in S using the partitioning for Categorical Attributes and Numerical attributes.
- Compute the cost of the attribute-partitioning combination for each candidate attribute A and select the attribute A with the minimum cost. For each category C in S, we add the partitions of C based on A to T.
- This Completes the node creation at level I.



MULTILEVEL CATEGORIZATION

#### Algorithm CategorizeResults(R)

#### begin

end

Create a root ("ALL") node (level = 0) and add to T

I = 1; // set current level to 1

while there exists at least one category at level l-l with |sset(C)| > M $S \leftarrow \{C \mid C \text{ is a category at level } (l-1) \text{ and } |sset(C)| > M\}$ 

for each attribute A retained and not used so far

for each auribute A retained and not used

**if** A is a categorical attribute

 $SCL \leftarrow$  list of single value categories in desc order of  $occ(v_i)$ for each category C in S

Tree(C,A)←Tree with C as root and each non-empty cat C'∈SCL in same order as children of C

else // A is a numeric attribute

SPL $\leftarrow$ list of potential splitpoints sorted by goodness score for each category C in  ${\mathcal S}$ 

Select (m-1) top necessary splitpoints from SPL

Tree  $(C,A)\leftarrow$ Tree with C as root with corr. buckets in ascending order of values as children of C

 $COST_A \leftarrow \sum_{C \in S} P(C)*Cost_{All}(Tree(C,A))$ 

Select  $\alpha = \operatorname{argmin}_A \operatorname{COST}_A$  as categorizing attribute for level Ifor each category C in S

Add partitioning Tree( $C,\alpha$ )obtained using attribute  $\alpha$  to T I=I+1; //finished creating nodes at this level, go to next level

### **EXPERIMENTAL EVALUATION**

### Empirical studies to:

- Evaluate the accuracy of the the cost-model
- Comparision of the cost-based categorization model and compare it "other" models

#### Dataset

- A single ListProperty table, with about 1.7m tuples
- Attributes include Location, price, year-built, square-footage . . .
- Workload : Over 176,000 query strings representing searches on the "MSN House and Home" web-site.
- Comparision Models
  - No Cost Categorization attribute and partitioning selected arbitrarily.
  - Attr-Cost Attribute selection is cost-based but partitioning is arbitrary.

# EXPERIMENTAL EVALUATION RESULTS

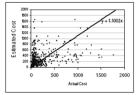


Figure 7: Correlation between actual cost and estimated cost

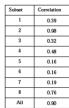


Table 1: Pearson's Correlation between estimated cost and actual cost

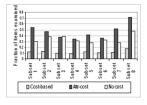


Figure 8: Cost of various techniques

# EXPERIMENTAL EVALUATION CONCLUSION

- Accurate Categorization model
- Better Categorization Algorithm