

CSE 50th Anniversary Celebration at UB

The Classification Program of Counting Problems

Jin-Yi Cai
(University of Wisconsin-Madison)

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Turing



Entscheidungsproblem

The rigorous foundation of Computability Theory was established in the 1930s, ...

Turing, A. M. (1937), "On Computable Numbers, with an Application to the Entscheidungsproblem", Proceedings of the London Mathematical Society, (Ser. 2, Vol. 42)

Answering a question of [Hilbert](#)

Hilbert



Gödel



Church



Von Neumann



Computable Yet Not Efficiently Computable

Given N , how fast can one factor it?

$$N = 577207212969718332037857911728272431?$$

Computable Yet Not Efficiently Computable

N' = 13756295877065550723286378713930120642244218835580062
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Counting Problems

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#VertexCover

#VertexColoring

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Valiant introduced the class #P.

Toda's theorem: $PH \subseteq P^{\#P}$.

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Sum-of-Product computations.

The Classification Program

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For example we want to give a classification theorem for **all** counting constraint satisfaction problems ($\#CSP$).

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Message passing algorithms.

Dichotomy Theorems

For a broad class of counting problems expressible as **sum-of-product** computations with **arbitrary** complex-valued constraint functions, we want to classify every problem within the class to be either solvable in polynomial time, or $\#P$ -hard.

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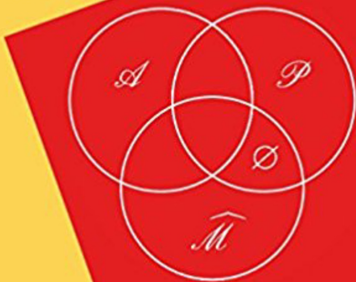
Kasteleyn's algorithm, and the power of **Valiant's** holographic algorithms.

An overview of the Classification Program.

COMPLEXITY DICHOTOMIES FOR COUNTING PROBLEMS

VOLUME 1: BOOLEAN DOMAIN

JIN-YI CAI
XI CHEN



Three Frameworks for Counting Problems

The following three frameworks are in increasing order of strength.

- Graph Homomorphisms
- Counting Constraint Satisfaction Problems (#CSP)
- Holant Problems

In each framework, there has been remarkable progress in the **classification program**.

Graph Homomorphisms

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Let

$$H = \begin{bmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \end{bmatrix}$$

be a Triangle.

A graph homomorphism from G to H , is a mapping ξ from $V(G)$ to $V(H)$ such that

$$(u, v) \in E(G) \implies (\xi(u), \xi(v)) \in E(H).$$

i.e., ξ is a THREE-COLORING of G .

Partition Function of Graph Homomorphism

Fix a matrix $A = (A_{i,j}) \in \mathbb{C}^{q \times q}$.

Think of it as defining a binary edge function on an input graph $G = (V, E)$.

Consider all vertex assignments $\xi : V \rightarrow [q] = \{1, 2, \dots, q\}$.

For each $(u, v) \in E$, an assignment ξ gives an evaluation

$\prod_{(u,v) \in E} A_{\xi(u), \xi(v)}$. Then the partition function of **Graph Homomorphism** is

$$Z_A(G) = \sum_{\xi: V \rightarrow [q]} \prod_{(u,v) \in E} A_{\xi(u), \xi(v)}.$$

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Graph Vertex Coloring

Take binary DISEQUALITY function on each edge.

More Examples

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$$A = \begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix}$$

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Let

$$A = \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$$

then $Z_A(G)$ is equivalent to counting the number of induced subgraphs of G with an even number of edges.

Dichotomy Theorems for Graph Homomorphism

- Dyer, Greenhill: $\{0, 1\}$ -valued;
- Bulatov, Grohe: Non-negative valued;
- Goldberg, Grohe, Jerrum, Thurley : real-valued;
- C., Pinyan Lu, Xi Chen: complex-valued.

Theorem

There is a complexity dichotomy for $Z_A(\cdot)$:

For any symmetric complex valued matrix $A \in \mathbb{C}^{q \times q}$, the problem of computing $Z_A(G)$, for any input G , is either in P or $\#P$ -hard.

The dichotomy criterion is explicit: Given A , whether $Z_A(\cdot)$ is in P or $\#P$ -hard can be decided in polynomial time in the size of A .

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SIAM J. Comput. 42(3):924-1029 (2013) [C., Xi Chen, Pinyan Lu] (106 pages)

Counting Constraint Satisfaction Problems (#CSP)

Let $\mathcal{F} = \{f_1, \dots, f_h\}$ be a finite set of constraint functions:

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For any assignment $\mathbf{x} = (x_1, \dots, x_n) \in [q]^n$, let $\mathbf{F}(\mathbf{x})$ be the **product** of the constraint function evaluations.

- Given an input instance, compute the **partition function**:

$$\sum_{\mathbf{x} \in [q]^n} \mathbf{F}(\mathbf{x})$$

Dichotomy Theorem for #CSP

- Creignou, Hermann: Boolean domain and $\{0, 1\}$ -valued;
- Dyer, Goldberg, Jerrum: Boolean domain and non-negative valued;
- C., Pinyan Lu, Mingji Xia: Boolean domain and complex-valued;
- Bulatov: General domain and $\{0, 1\}$ -valued;
- Dyer, Richerby: General domain, $\{0, 1\}$ -valued and decidable;
- C., Xi Chen, Pinyan Lu: General domain and Non-negative valued;
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Theorem

For **any** domain $[q]$ and **any** finite set \mathcal{F} of complex-valued constraint functions, $\#CSP(\mathcal{F})$ is either solvable in polynomial time (if \mathcal{F} satisfies some tractability conditions), or $\#P$ -hard (if \mathcal{F} fails these conditions).

J. ACM 64(3): 19:1-19:39 (2017) [C., Xi Chen]

Holant Problems

A **signature grid** $\Omega = (G, \mathcal{F}, \pi)$ is a tuple, where $G = (V, E)$ is a graph, π labels each $v \in V$ with a function $f_v \in \mathcal{F}$, and $f_v : [q]^{\deg(v)} \rightarrow \mathbb{C}$.

$$\text{Holant}_{\Omega} = \sum_{\sigma: E \rightarrow [q]} \prod_{v \in V} f_v(\sigma|_{E(v)}).$$

where

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Very natural . . . , MATCHING, EDGE COLORING, . . . ,
#CSP is a special case.

Dichotomy Theorem for Holant Problems

- Sangxia Huang, Pinyan Lu: Symmetric real-valued functions on Boolean domain;
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- C., Pinyan Lu, Mingji Xia: Domain 3, and a single ternary symmetric constraint.
- C., Heng Guo, Tyson Williams: EDGE COLORING, . . . , Siegel's Theorem, Galois Theory, . . . ,

Matching as Holant

$$\text{Holant}_{\Omega} = \sum_{\sigma: E \rightarrow \{0,1\}} \prod_{v \in V} f_v(\sigma|_{E(v)}).$$

The problem of counting **Perfect Matchings** on G corresponds to attaching the **Exact-One** function at every vertex of G .

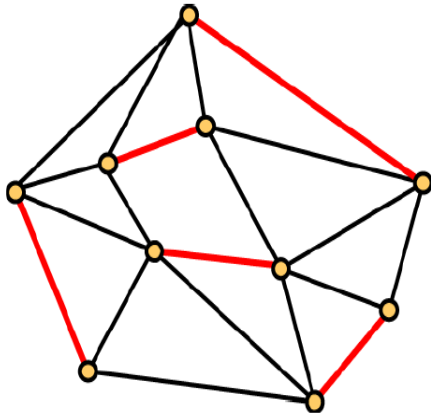
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The problem of counting all **Matchings** on G is to attach the **At-Most-One** function at every vertex of G .

Perfect Matching



Counting Problems with Planar Restriction

If we restrict the input to be planar graphs, new tractable classes arise.

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Valiant introduced holographic algorithms which extended the reach of FKT.

Sample Problems Solved by Holographic Algorithms

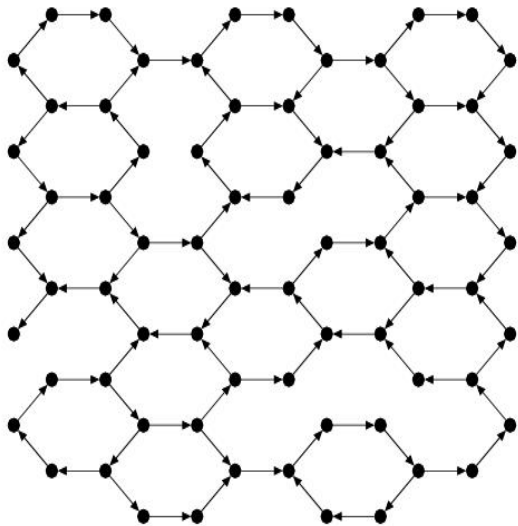
#PL-3-NAE-ICE

Input: A planar graph $G = (V, E)$ of maximum degree 3.

Output: The number of orientations such that no node has all edges directed towards it or all edges directed away from it.

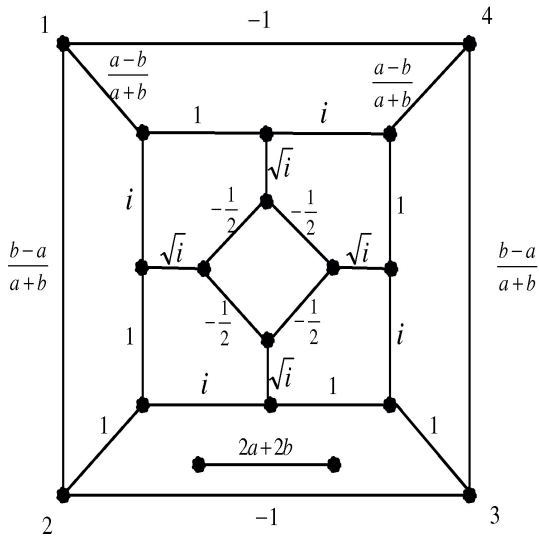
Ising problems are motivated by statistical physics.

Remarkable contributions by [Ising](#), [Onsager](#), [Fisher](#), [Temperley](#), [Kasteleyn](#), [C.N.Yang](#), [T.D.Lee](#), [Baxter](#), [Lieb](#), [Wilson](#) etc.



What is the ultimate reach of Valiant's
holographic algorithms?

A Matchgate



Classification Theorem

Theorem

For any finite set \mathcal{F} of constraint functions over Boolean variables, each taking *complex values* and *not necessarily symmetric*, $\#\text{CSP}(\mathcal{F})$ belongs to *exactly one* of the three categories according to \mathcal{F} :

- 1 It is P -time solvable;
- 2 It is P -time solvable over planar graphs but $\#P$ -hard over general graphs;
- 3 It is $\#P$ -hard over planar graphs.

Moreover, category (2) consists precisely of those problems that are holographically reducible to the FKT algorithm.

Extended abstract in STOC 2017 [C., Zhiguo Fu].

Full paper at

<https://arxiv.org/abs/1603.07046>.

94 pages.

A Universality Claim

The theorem says:

*Holographic algorithms with matchgates are **universal** for **all** counting problems in $\#CSP$ on Boolean variables that are $\#P$ -hard in general but solvable in P over planar structures.*

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Thus, that this **universality** holds for planar $\#CSP$ is **not** self-evident.

Even without knowing that it is false for planar Holant problems, such a sweeping claim should invite skepticism.

A (Very) Rough Overview of Proof

Much of the proof is carried out in the Holant framework.

Dual perspective:

$$\text{PI-}\#\text{CSP}(\mathcal{F}) \equiv_T \text{PI-Holant}(\widehat{\mathcal{E}}\widehat{Q}, \widehat{\mathcal{F}}),$$

where $\widehat{\mathcal{C}}$ denotes a holographic transformation by $H_2 = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$.

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We want to show that either $\mathcal{F} \subseteq \mathcal{A}$, or $\mathcal{F} \subseteq \mathcal{P}$, or $\mathcal{F} \subseteq \widehat{\mathcal{M}}$, in which case $\text{PI-}\#\text{CSP}(\mathcal{F})$ is in P, or else $\text{PI-}\#\text{CSP}(\mathcal{F})$ is $\#\text{P-hard}$.

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In the $\text{PI-Holant}(\widehat{\mathcal{E}Q}, \widehat{\mathcal{F}})$ setting, the tractability condition is expressed as $\widehat{\mathcal{F}} \subseteq \widehat{\mathcal{A}}$, or $\widehat{\mathcal{F}} \subseteq \widehat{\mathcal{P}}$, or $\widehat{\mathcal{F}} \subseteq \mathcal{M}$.

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$\widehat{\mathcal{P}}$ is more difficult to reason about than \mathcal{P} , while \mathcal{M} is easier than $\widehat{\mathcal{M}}$ to handle.

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One necessary condition for \mathcal{M} is the Parity Condition.

Case (1): $\widehat{\mathcal{F}}$ does not satisfy the Parity Condition.

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A (Very) Rough Overview of Proof

We have $\widehat{\mathcal{A}} = \mathcal{A}$.

$$\text{Pl-}\#\text{CSP}(\mathcal{F}) \equiv_T \text{Pl-Holant}(\widehat{\mathcal{E}\mathcal{Q}}, \widehat{\mathcal{F}}).$$

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Case (2): $\widehat{\mathcal{F}}$ satisfies the Parity Condition.

A lucky situation (Proposition 7.12 in paper): If $\widehat{\mathcal{F}}$ satisfies the Parity Condition, then

$$\mathcal{F} \cap \mathcal{P} \subseteq \mathcal{A}.$$

So in this case we do not need to explicitly consider $\widehat{\mathcal{F}} \subseteq \widehat{\mathcal{P}}$.

Some Non-trivial Tractable Problems

Taxicab number 1729, anyone?

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Problem: PI-CRAZYPELL

Let f be the constraint function on 4 variables:

$$M(f) = \begin{bmatrix} 669669112435114949 & -598015350142588611 & 598015350142588607 & -669669112435114945 \\ 533639108484318913 & -476540387460305851 & 476540387460305855 & -533639108484318909 \\ -533639108484318909 & 476540387460305855 & -476540387460305851 & 533639108484318913 \\ -669669112435114945 & 598015350142588607 & -598015350142588611 & 669669112435114949 \end{bmatrix}$$

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Problem: PI-CRAZYPELL

Let f be the constraint function on 4 variables:

$$M(f) = \begin{bmatrix} 669669112435114949 & -598015350142588611 & 598015350142588607 & -669669112435114945 \\ 533639108484318913 & -476540387460305851 & 476540387460305855 & -533639108484318909 \\ -533639108484318909 & 476540387460305855 & -476540387460305851 & 533639108484318913 \\ -669669112435114945 & 598015350142588607 & -598015350142588611 & 669669112435114949 \end{bmatrix}$$

Input : A planar instance of $\#CSP(f)$.

Output : $\sum_{\sigma:E \rightarrow \{0,1\}} \prod_{v \in V} f_v(\sigma|_{E(v)})$.

CrazyPell

Let $\hat{f} = H_2^{\otimes 4} f$, then \hat{f} has the signature matrix

$$4 \begin{bmatrix} 1 & 0 & 0 & 1 \\ 0 & 64376241658269698 & 3638760317128320 & 0 \\ 0 & 569465989630582080 & 32188120829134849 & 0 \\ -1 & 0 & 0 & 1 \end{bmatrix}.$$

One can verify that $\hat{f} \in \mathcal{M}$. Thus $f \in \hat{\mathcal{M}}$ and $\text{PI-}\#\text{CSP}(f)$ is tractable.

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$$(32188120829134849, 1819380158564160)$$

is the smallest integer solution to the Pell's equation $x^2 - 313y^2 = 1$.

COMPLEXITY DICHOTOMIES FOR COUNTING PROBLEMS

VOLUME 1: BOOLEAN DOMAIN

JIN-YI CAI
XI CHEN

