

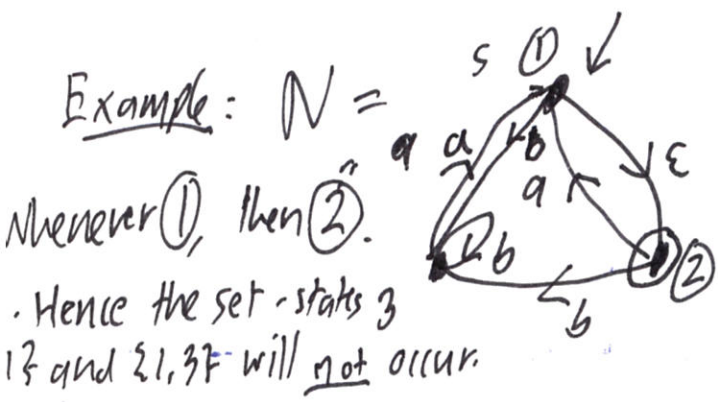
Converting an NFA  $N = (Q, \Sigma, \delta, s, F)$  into an Equivalent DFA  $M = (Q', \Sigma, \Delta, S, F')$  :  $Q' \subseteq P(Q)$   
 $L(M) = L(N)$   $F'$  to be defined.

Economize by making  $|Q'| \ll 2^{|Q|}$  if possible, by Breadth-First Search (BFS)

Main Idea: Upon reading a prefix  $w$  of the input  $x \in \Sigma^*$ :

The Set-State  $P$  that  $M$  is in  $\equiv$  the set of states  $p \in Q$  such that  $N$  can process  $w$  from  $s$  to  $p$ .

$\therefore$  The starting Set-State  $S$  of  $M$  should equal  $\equiv$  the set of states  $p$  s.t.  $N$  can process  $\epsilon$  from  $s$  to  $p$ .  
 An "Induction Invariant".



By default,  $N$  can process  $\epsilon$  from ① to ①, i.e.  $s$  to  $s$ . But,  $N$  can also process  $\epsilon$  from ① to ②.  
 $\therefore S = \{1, 2\}$  And  $S \in F'$ .

Inductive Rule

for any set-state  $\emptyset \subseteq Q$  and  $w \in \Sigma^*$ :

$$\Delta(P, c) = \{r \in Q : \text{for some } p \in P, N \text{ can process } c \text{ from } p \text{ to } r\} \equiv R$$

Think  $w = v.c \Rightarrow \bigcup_{p \in P} \{r \in Q : N \text{ can process } c \text{ from } p \text{ to } r\}$ .

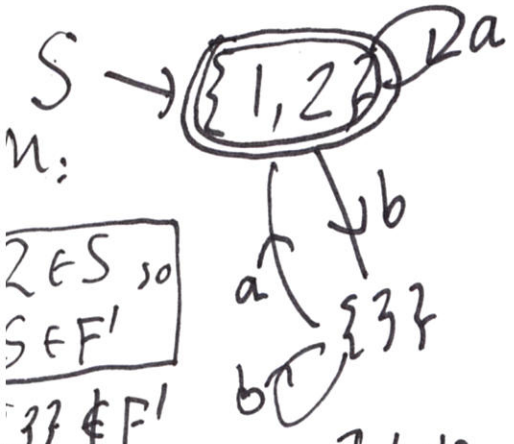
$\therefore F'$  should  ~~$\{r \in Q : N \text{ can process } w \text{ from } s \text{ to } r\}$~~   $= \{R \subseteq Q : \text{some } q \in F \text{ belongs to } R\}$   $\boxtimes$

Final Shortcut: Define  $\underline{\delta}(p, c) = \{q: N \text{ can process } c \text{ from } p \text{ to } q \text{ using trailing } \epsilon \text{ only.}\} \quad (2)$

$\therefore$  If we initialize  $S$  correctly, then we will get

$$\Delta(P, c) = \bigcup_{p \in P} \underline{\delta}(p, c). \quad (\text{Different from text})$$

$$\begin{aligned} \underline{\delta}(1, a) &= \emptyset & \underline{\delta}(2, a) &= \{1, 2\} \text{ by trailing } \epsilon & \underline{\delta}(3, a) &= \{1, 2\} \\ \underline{\delta}(1, b) &= \{3\} & \underline{\delta}(2, b) &= \{3\} & \underline{\delta}(3, b) &= \{3\}. \end{aligned}$$



$$\begin{aligned} \Delta(S, a) &= \underline{\delta}(1, a) \cup \underline{\delta}(2, a) = \emptyset \cup \{1, 2\} = \{1, 2\} \\ \Delta(S, b) &= \underline{\delta}(1, b) \cup \underline{\delta}(2, b) = \{3\} \cup \{3\} = \{3\} \\ \Delta(\{3\}, a) &= \underline{\delta}(3, a) = \{1, 2\} \text{ again.} \\ \Delta(\{3\}, b) &= \underline{\delta}(3, b) = \{3\} \text{ No more new states, so done!} \end{aligned}$$

$= \{ \{1, 2\} \}$  only!  
Intuition:  $L(M) = \{x \in \Sigma^* : x \text{ ends in an } a \text{ or } x = \epsilon\}$   
 $= \epsilon \cup (a+b)^*a$ .

Def<sup>n</sup>: A generalized NFA (GNFA) differs from an NFA by allowing instructions  $(p, R, q)$  where  $R$  is any regular expression. A

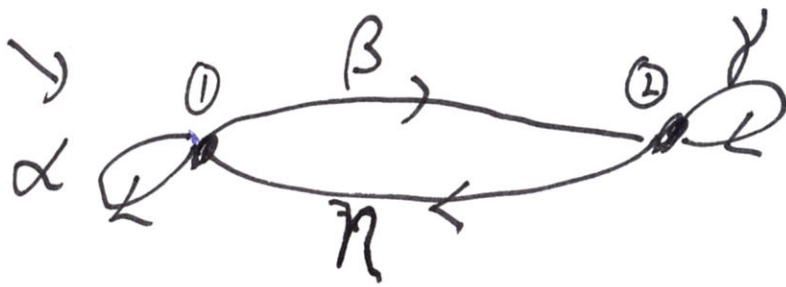
computation by a GNFA  $G = (Q, \Sigma, \delta, s, F)$ ,  $\delta \subseteq Q \times \text{Regexps}(\Sigma) \times Q$  is a sequence of instructions  $(q_0, R_1, u_1, q_1) (q_1, R_2, u_2, q_2), \dots, (q_{m-1}, R_m, u_m, q_m)$

where for each  $i$ ,  $1 \leq i \leq m$ ,  $u_i$  matches  $R_i$  ( $u_i \in L(R_i)$ ) and  $w = u_1 \cdot u_2 \dots u_m$  is the string processed, and  $(q_{i-1}, R_i, q_i) \in \delta$ .

Then we say  $G$  can process  $w$  from state  $q_0$  to state  $q_m$ .  
 If  $p = q_0$  and  $r = q_m$ , let  $L_{pr}$  stand for the set of all such  $w \in \Sigma^*$ .  
 $L(G) = \bigcup_{p \in F} L_{sf} = \{x : G \text{ can process } x \text{ from } s \text{ to an accepting state.}\}$



# The General Two-state GNFA.



$\alpha$   
 $\beta$   
 $\gamma$   
 $\eta$  eta

Now use ③ for regular expressions

Claim:  $L_{11} = (\alpha \cup \beta\gamma^*\eta)^*$

one go-round from ① back to ②

$L'_{11} = \alpha \cup \beta\gamma^*\eta$

$L_{11} = (L'_{11})^*$

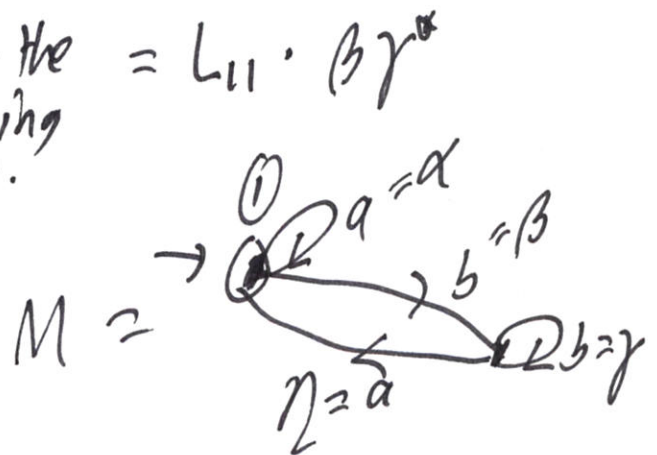
$L_{12} = (\alpha \cup \beta\gamma^*\eta)^* \beta\gamma^*$

also =  $\alpha\beta(\gamma + \eta\alpha^*\beta)$

first time to ②

all ways to come back to ②.

last time down the track w/o coming back to start.



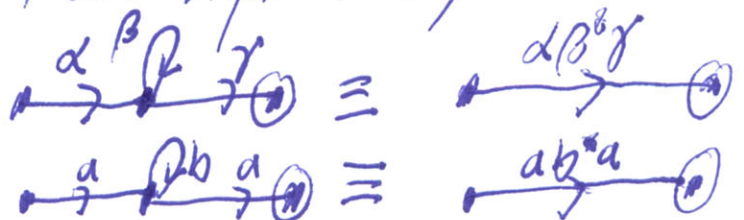
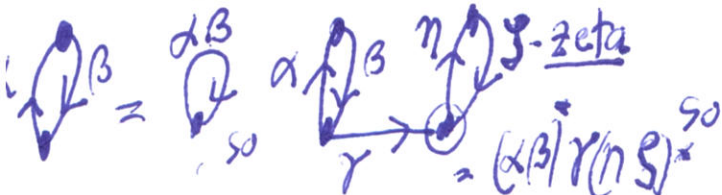
$L(M) = L_{11} = (a \cup b b^* a)^*$

$\stackrel{?}{=} (a+b)^* a \cup \epsilon$  Yes, but... how?

## Extra Note:

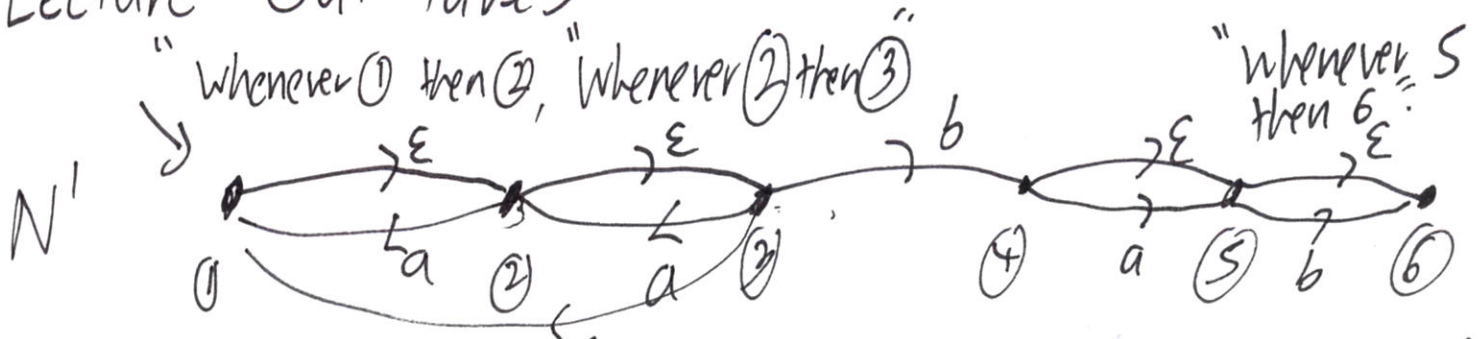
Note that in this NFA  $\rightarrow$  DFA conversion we did not get a dead state. Not every DFA has or needs one.

More "sight reading" examples:



# Lecture Out-Takes

(4)



The "Epsilon Closure"  
 $E(\{1\})$  equals  $\{1, 2, 3\}$ .

$N'$  can process "b" <sup>from 1</sup> to any of  $\{4, 5, 6\}$ .

because  $N'$  can process  $\epsilon$  from 1 to any of  $\{2, 3\}$ .

$(3, b, 4) \in \delta$  but  $\Delta(\{3\}, b) =$  the epsilon closure  $E(\{4\}) = \{4, 5, 6\}$ .

$$\Delta(S, b) = \Delta(\{1, 2, 3\}, b) = \{4, 5, 6\}$$

$$\Delta(S, a) = \Delta(\{1, 2, 3\}, a) = \{1, 2, 3\} \quad \Delta(\{4\}, a) = \{5, 6\} \text{ but not } 4.$$

$$\underline{\delta}(2, b) = \emptyset \quad \underline{\delta}(3, b) = \{4, 5, 6\} \text{ using trailing } \epsilon\text{'s.}$$

Also  $\underline{\delta}(1, b) = \emptyset$  discipline.

OK since we start with  $S = \{1, 2, 3\}$  and

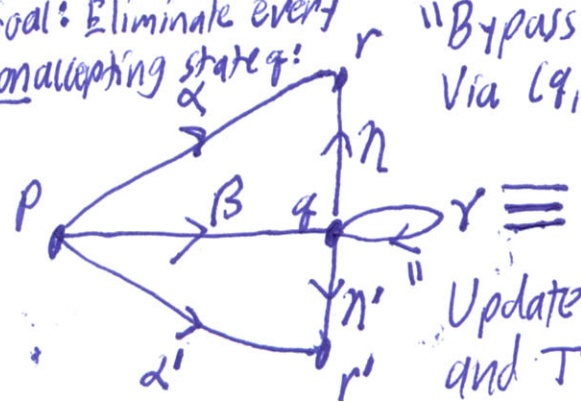
$$\underline{\delta}(S, b) = \underline{\delta}(1, b) \cup \underline{\delta}(2, b) \cup \underline{\delta}(3, b)$$

$$= \bigcup_{p \in S} \underline{\delta}(p, b) = \emptyset \cup \emptyset \cup \{4, 5, 6\} = \{4, 5, 6\} \text{ like we want.}$$

## Preview of Tue 2/23 Lecture:

Goal: Eliminate every non-accepting state  $q$ :

"Bypass  $(p, q)$  via  $(q, r), (q, r)'$ "



Update  $T(p, r)$  and  $T(p, r)'$

When all arcs into  $q$  are bypassed, then we can delete state  $q$ . Since  $q \notin F$ , no ability to process is altered.