

Suppose we have a "Target Specification" T of a language: T defined by prose, sets, or machine, etc.

Suppose we have a CFG G that is trying to "model" T .

G is sound for the spec if $L(G) \subseteq T$. G has no false positives

G is comprehensive for it if $T \subseteq L(G)$. "no false negatives"

These concepts were first formalized in logic where G is generalized to a "Formal System F " — kind of like a grammar where a string is generated by 2 others not just 1.

$T =$ the set of true statements Sound means $L(F) \subseteq T$,
 $L(F) =$ the set of theorems of F . i.e. "every theorem proved is true".

Comprehensiveness would mean $T \subseteq L(F)$, i.e. that F could prove every true statement (over a particular logical "alphabet").

But, Kurt Gödel proved that no ^{sound and} executable formal system can be comprehensive for $T = \{ \text{true arithmetic statements} \}$.
 i.e. for any sound and effective F over the "alphabet of arithmetic"

$L(F) \subsetneq T$. Gödel's Incompleteness Theorem
 Uncomprehensiveness

We will think of the concepts most with CFGs^② and apply them even when T is given by another grammar.

If we change an original grammar G into G_2 , then

• the change is sound if $L(G_2) \subseteq L(G)$.

• But of course we want $L(G_2) = L(G)$: comprehensiveness too.

Defⁿ: A CFG G_2 is in Chomsky Normal Form (ChNF)

if every rule $A \rightarrow \vec{X}$ either has $\vec{X} \in \Sigma$ or **$X \in V.V$** .

ie has the form $A \rightarrow c$ or $A \rightarrow BC$, B, C possibly $= A$.

Our text enables "ChNF" grammars to generate ϵ by the special exception that we can add an extra start symbol S_0 and rules $S_0 \rightarrow \epsilon$ | ... all right hand sides $\neq S$.

Defⁿ: A variable $A \in V$ is nullable if $A \Rightarrow^* \epsilon$.

Note $\epsilon \in L(G) \Leftrightarrow S$ is nullable.

Theorem: Given any CFG G , we can build a CFG G_4 in ChNF

s.t. $L(G_4) = L(G) \setminus \{\epsilon\}$ if we regard ChNF strictly
 $= L(G)$ if $\epsilon \in L(G)$ and we allow the "So" fudging above.

Step 1 will build G_1 s.t. $L(G_1) = L(G) \setminus \{\epsilon\}$ and G_2 has no nullable variables.

Algorithm and Proof of Step 1: Given $G = (V, \Sigma, R, S)$

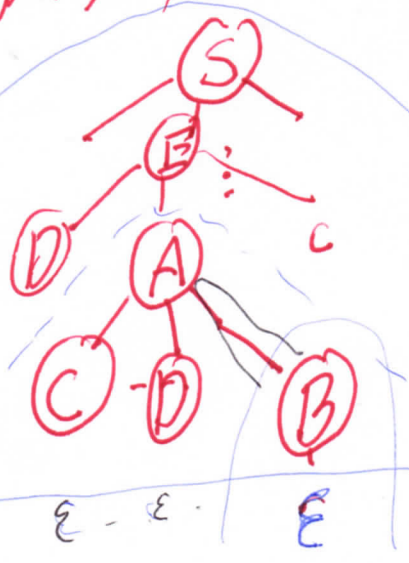
(3)

- i. First identify the subset NULLABLE $\subseteq V$ of nullable variables.
 - ii. For every rule $A \rightarrow \bar{X}$ where \bar{X} has nullable variables, add the rules $A \rightarrow \bar{X}'$ for all combinations of deleting one or more occurrences of the nullable variables in \bar{X} .
 - iii. Delete all ϵ -rules $B \rightarrow \epsilon$, incl. any new ones.
- We will show this comprehensive except for ϵ itself.*

Sound.

Proof of Substep (iii): Let any $y \neq \epsilon$ in $L(G)$ be given. Then we can take some parse tree T for y in the original G .

G has rule $A \rightarrow CDB$ say
 G_1 also has $A \rightarrow CD$



"Pinching out" any subtree of T that yields ϵ inside y leaves a valid parse tree in the new G_1 .

That $y \neq \epsilon$ means we don't pinch out all of T .

$y = \dots$
 If $A \Rightarrow^* \epsilon$ inside T , then G_1 has the rule $B \rightarrow DC$ as well as $B \rightarrow DAC$. $\therefore y \in L(G_1)$ So OK. \square

Algorithm for telling which vars are NULLABLE. (4)

1. Initialize $NULL = \{ A \in V : A \rightarrow \epsilon \text{ is a rule} \}$.

2. bool changed = true

3. while (changed) {

changed = false;

for (each rule $A \rightarrow \vec{X}$ in R) {

if ($\vec{X} \in (NULL)^*$ and $A \notin NULL$) {

$NULL = NULL \cup \{A\}$

changed = true;

}

}

}

Halts within $|V|$ iterations because each iteration either enlarges $NULL$ or leaves the flag changed as false.

Target: $NULL = NULLABLE$

Sound because if we add A to $NULL$, then $A \Rightarrow X \in NULL^*$

Comprehensive \rightarrow "think about it"

4. Output final $NULL$.

Two Examples.

$G = S \rightarrow AB \mid cA \mid \epsilon$

$A \rightarrow SS \mid Sa$

$B \rightarrow AS \mid c$

with $A \rightarrow \epsilon \rightarrow NULL = \{S, A\}$

$B \rightarrow AS$ puts B into $NULL$ at the first iteration

$S \rightarrow \epsilon \mid (S) \mid SS$ NULLABLE = $\{S\}$

$G_1 = S \rightarrow () \mid (S) \mid SS$

G_1 generates all nonempty balanced $()$ strings.

$NULL = \{SS\}$.

$A \rightarrow SS$ puts A into $NULL$

$B \rightarrow AS$ puts B into $NULL$.