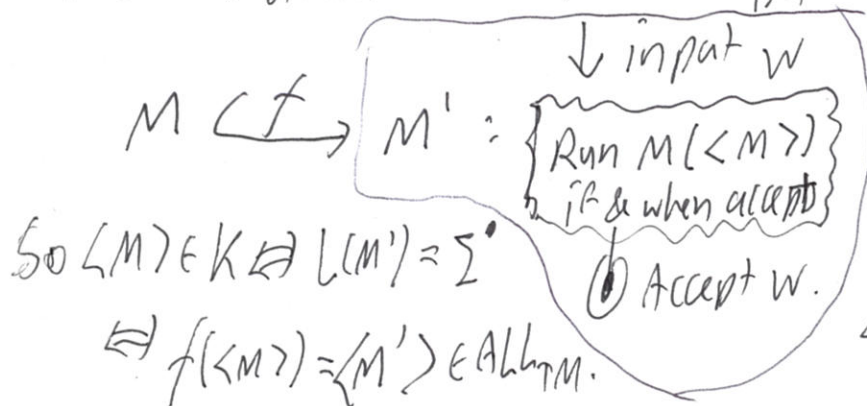


[shaved "Collatz 3n+1 Conjecture" Turing Machine.]

We believe the language is  $(001)^*$  but don't even know whether it's decidable! We can add  $\epsilon$  to make the language equal  $(001)^*$ . Then if we could decide ALL<sub>TM</sub> for this 8-state machine, we could solve the conjecture.

But Theorem: ALL<sub>TM</sub> is not even recognizable

Note: Earlier we showed  $A_{TM} \leq_m ALL_{TM}$ . Here is  $K_{TM} \leq_m ALL_{TM}$



"All or Nothing Switch":

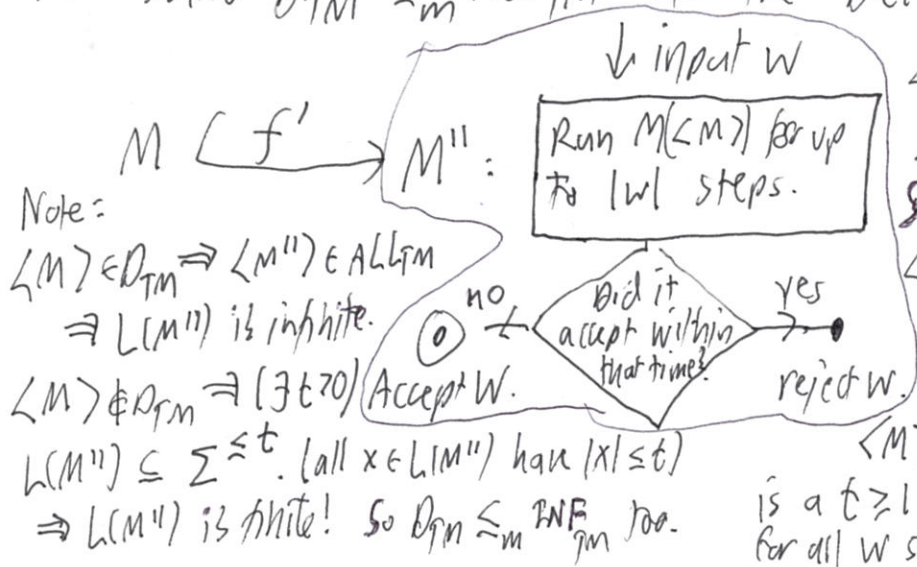
$\langle M \rangle \in K \Rightarrow M$  accepts  $\langle M \rangle$

$\Rightarrow \forall w$   $M'$  accepts  $w \Rightarrow L(M') = \Sigma^*$

$\langle M \rangle \notin K \Rightarrow M$  does not acc  $\langle M \rangle$

$\Rightarrow (\forall w) M'$  does not acc  $w \Rightarrow L(M') = \emptyset$

Now show  $D_{TM} \leq_m ALL_{TM}$  via the "Delay Switch". To reduce we need:



$\langle M \rangle \in D_{TM} \Leftrightarrow M$  does not accept  $\langle M \rangle$

$\Leftrightarrow \langle M'' \rangle \in ALL_{TM} \Leftrightarrow L(M'') = \Sigma^*$

So:

$\langle M \rangle \in D_{TM} \Rightarrow (\forall w) M$  does not accept  $\langle M \rangle$  in  $|w|$  steps (or at all,

$\Rightarrow (\forall w) M''$  accepts  $w \Rightarrow \langle M'' \rangle \in ALL_{TM}$

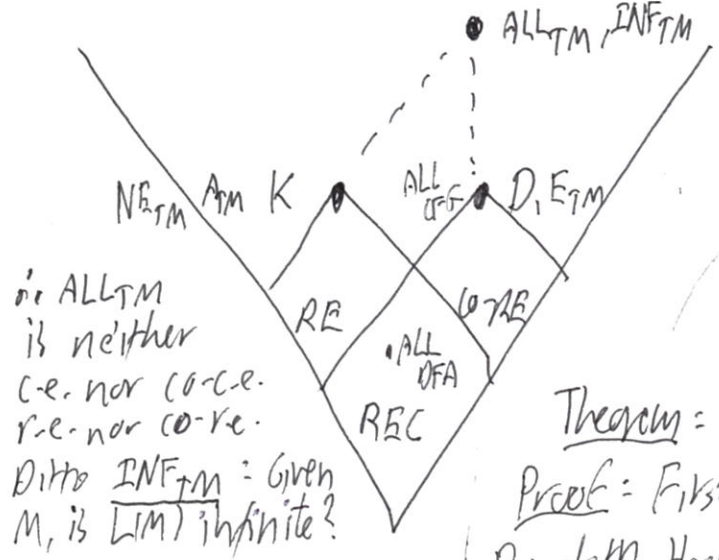
$\langle M \rangle \notin D_{TM} \Rightarrow M$  does accept  $\langle M \rangle$   $\Rightarrow$  there is a  $t \geq 1$  s.t.  $M$  accepts  $\langle M \rangle$  in  $t$  steps  $\Rightarrow$  for all  $w$  s.t.  $|w| \geq t$ ,  $M''(w)$  rejects  $\Rightarrow L(M'') \neq \Sigma^*$ .

Defn: A language  $B$  is hard for a class  $\mathcal{C}$  of languages under  $\leq_m$  if:  
 For all  $A \in \mathcal{C}$ ,  $A \leq_m B$ .

$B$  is complete for  $\mathcal{C}$  (under  $\leq_m$ ) if also  $B \in \mathcal{C}$ .

Theorem:  $A_{TM}$  is complete for  $RE$  under  $\leq_m$ .

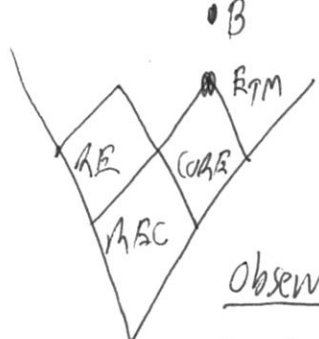
Proof: First,  $A_{TM} \in RE$ . Let any  $A \in RE$  be given. By defn there is a det<sup>c</sup> TM  $M$  such that  $A = L(M)$ .  $M$  is fixed, so the function  $f(x) = \langle M, x \rangle$  is computable. And  $x \in A \iff M$  accepts  $x \iff \langle M, x \rangle \in A_{TM} \iff f(x) \in A_{TM} \cap R$ . So  $A \leq_m A_{TM}$ , and since  $A \in RE$  is arbitrary,  $A_{TM}$  is complete.



ie ALL<sub>TM</sub> is neither c.e. nor co-c.e. r.e. nor co-r.e. Ditto INF<sub>TM</sub>: Given  $M$ , is  $L(M)$  infinite?

Also  $A_{TM} \leq_m K$  since taking  $g \equiv$  All or Nothing Switch from  $A_{TM}$  last then

$\langle M, x \rangle \in A_{TM} \iff M$  accepts  $x$ . So  $A_{TM}$  reduces back to  $K_{TM}$ , and since  $K_{TM} \in RE$  and  $\leq_m$  is transitive,  $K$  too is RE-complete. Ditto  $NE_{TM}$ ...



Since  $E_{TM}$  is not even recognizable, then if  $E_{TM} \leq_m B$ , the  $B$  is not recognizable either, let alone decidable.

observe:  $\langle M \rangle \in E_{TM} \iff L(M) = \emptyset \iff M$  has no accepting computations  $\iff$  the language  $V_M = \text{def } \{ \langle I_0, \dots, I_t \rangle : \text{this is a valid accepting comp}^n \} = \emptyset$ .  $O(N)$

Now for any fixed  $M$ ,  $V_M$  is decidable — in fact, decidable in linear time

The length  $N = | \langle I_0(x), I_1, \dots, I_t \rangle | \leq (t+1) \cdot \max \text{ length of any ID } I_i \leq \text{some constant} \cdot \max(n, t)$ .

Added Note: For this last lecture, this shows that the IDs can fit into a  $(t+1) \times (t+1)$  grid, i.e. tableau

because  $|I_0(x)| \geq n+1$  In  $t$  steps, ID can grow by at most  $t$  chars.

Moreover, the decider just needs to check  $(\forall j: 1 \leq j \leq t) I_{j-1} \stackrel{?}{\sim}_M I_j$  and  $I_0 = SX$  for some  $X$  and  $I_j = \text{succ } I_{j-1}$  by "good housekeeping" for  $M$ .

It can do this without using any tape besides the input chain of IDs.

Hence the decider can be a Linear Bounded Automaton (LBA).

$\therefore E_{TM} \leq_m E_{LBA}$  via the conversion from  $M$  to an LBA for  $V_M$ .

The simplest LBA I know emulates having 2 tape heads that check  $I_0 \stackrel{?}{\sim}_M I_1$ ,  $I_1 \stackrel{?}{\sim}_M I_2$ ,  $I_2 \stackrel{?}{\sim}_M I_3$ ,  $I_3 \stackrel{?}{\sim}_M I_4 \dots I_{t-1} \stackrel{?}{\sim}_M I_t$  in tag-team fashion. Hence emptiness  $E_{T_{mac}}$  for this type "Tmac" of machines is undecidable. What other machines or tag-teams can check computations? ~~OR complements~~

One DPDA cannot: Text shows how we can help it by writing every odd ID in reverse:  $I_0 \# I_1^R \# I_2 \# I_3^R \# I_4 \dots I_t$  <sup>(A?)</sup>

And NPDA can do no better, since  $E_{NPDA} \equiv E_{CFG}$  is decidable!

A DPDA can push on  $I_0$ , pop-compare on  $I_1$ , but then has nothing left on the stack by which to test  $I_1 \stackrel{?}{\sim}_M I_2$ .

However,  $V_M$  does equal  $L(P_1) \cap L(P_2)$  for two DPDAs that "tag-team" checking the odd and even pairs of IDs.

Moreover, an NPDA can recognize when  $I_0 \dots I_t$  is invalid by guessing which pair fails.  
 Thus these two problems ① PNST: CFGs  $G_1$  and  $G_2$   
 are both undecidable:  $E_{TM}$  reduces to both of them. QUES: Is  $L(G_1) \cap L(G_2) = \emptyset$ ? ② ALL CFG!  $L(G) = \Sigma^*$   
 $L(N) = \Sigma^*$   
 $L(M) = \emptyset$ .

Nevertheless, ALL<sub>DFA</sub> is decidable, indeed in time

(4)

$O(|M|^2) = O(|Q|^2)$  ignoring log factors, by Breadth-First Search  
FYI  $\tilde{O}(t(n)) \equiv O(t(n) \log t(n))$  Added: The log factors come from labels on states by binary integers. In CS331 sometimes you ignore such labels on graph nodes, treating each node visit as unit time. Or write  $\tilde{O}(|Q|^2)$  with a tilde

Defn: A language  $L$  belongs to the class  $P$  of problems decidable in deterministic polynomial time if there is a polynomial  $p(n)$  and a det<sup>c</sup> TM  $M$  st. for all  $x \in \Sigma^*$ ,

$M$  has an accepting comp<sup>n</sup> of length  $\leq p(|x|)$  and  $L = L(M)$ .

Defn:  $L \in NP$  if we allow a nondet<sup>c</sup> TM  $N$  in place of  $M$ .  
 $x \in L \Leftrightarrow N$  has <sup>some</sup> an acc comp<sup>n</sup> of length  $\leq p(|x|)$ .

$P$ -computable fns  $f$  are defined similarly, and  $A \leq_m^P B$  iff there is an  $f$  computable in poly time st.  $\forall x: x \in A \Leftrightarrow f(x) \in B$

Defn:  $B$  is NP hard  $\equiv$  for all  $A \in NP$ ,  $A \leq_m^P B$ .

and  $B$  is NP-complete  $\equiv B \in NP$  and  $B$  is NP-hard.

ALL<sub>NFA</sub> is NP-hard. (Added). The reason converting the given NFA  $N$  into an equivalent DFA  $M$  is no good for  $P$  is that it runs in  $\sim 2^{|Q|}$  time in worst case. The easier complementary problem, "Is there some string  $x$  of length  $\leq |Q|$  that  $N$  fails to accept?" does belong to NP (your HW) and is in fact NP-complete, as Thursday will finish by showing.

Footnotes: The "Sipser Naming Scheme" extends to other kinds of Question subscripted by other Types of Machine or Formal Object ("Tmac")  
 Let "T" stand for a Tmac, could be TM or FA or regexp etc. or a "tandem" or "complement" of a Tmac(s), etc.

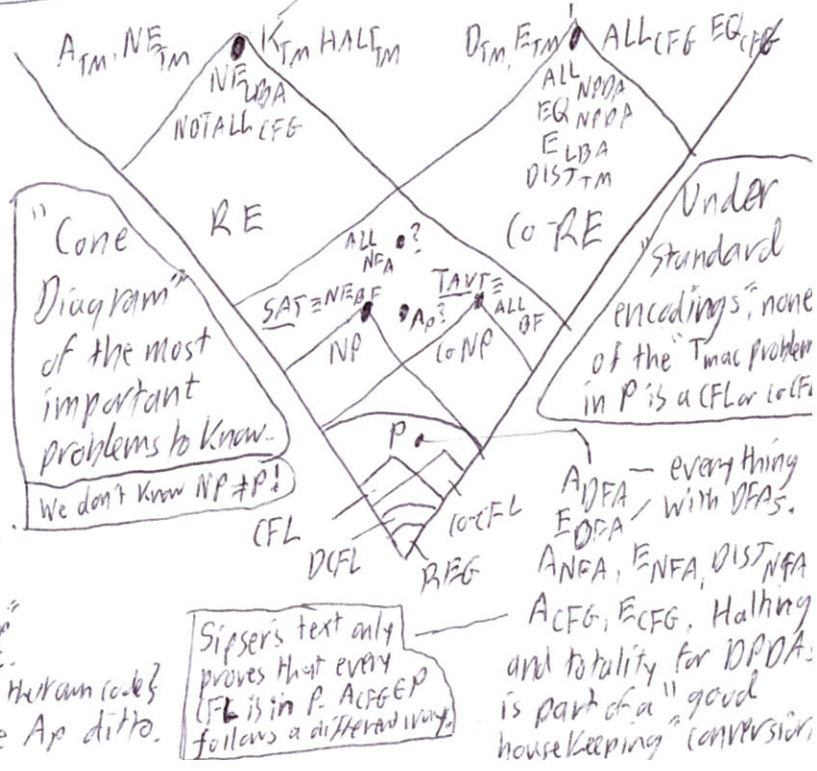
- $A_{Tmac}$ : Given T and an  $x \in \Sigma^*$ , is  $x \in L(T)$ ?
- $E_{Tmac}$ : Given a T, is  $L(T) = \emptyset$ ?
- $ALL_{Tmac}$ : Given a T, is  $L(T) = \Sigma^*$ ?
- $EQ_{Tmac}$ : Given  $T_1$  and  $T_2$ , is  $L(T_1) = L(T_2)$ ?
- $HALT_{Tmac}$ : Given T and x, does T run on x halt?
- $PT_{Tmac}$  aka  $TOT_{Tmac}$ : Given a T, is T total, i.e.  $\forall x T(x) \downarrow$ ?
- $DIST_{Tmac}$ : Given  $T_1$  and  $T_2$ , is  $L(T_1) \cap L(T_2) = \emptyset$ ?

just for ALL CFG in §5.1  
 $ALL_{Tmac}$  is not named generally into  
 It is the restriction of  $EQ_{Tmac}(T_1, T_2)$   
 where  $T_2$  is a fixed argument such that  $L(T_2) \neq \emptyset$

PT for "Program Termination" or TOT for "total". Much as  $HALT_{TM} \equiv_m A_{TM}$ , also  $TOT_{TM} \equiv_m ALL_{TM}$

Q \ Tmac	DFA	NFA Regexp ①	DPDA	NPDA CFG	DLBA NLBA	Prime TMs	DTM NTM	Boolean Formulas $\phi$
A	Dec, in P	Dec, in P	Dec, in P	Dec, in P	Dec, NPH	Dec, $\#P$ ⑦	RE-complete	Is $\phi(x) = \text{True}$ ? Dec. in P
E	Dec, in P	Dec, in P	Dec, in P	Dec, in P	CREC	CREC	CREC	Is $\forall x \phi(x) = \text{False}$ ? Co-NP
ALL	Dec, in P	Dec, NP-hard	Dec, in P ③ ⑥	CREC	CREC	CREC	Neither re nor core. ④	$\phi = \text{tautology}$ ? Co-NP ⑤
EQ	Dec, in P	Dec, NP-hard	Dec, NPH	CREC	CREC	CREC	Neither-Nor	Is $\phi_1 \leftrightarrow \phi_2$ ? Co-NP
HALT	Always	Always	Dec, in P	Dec, in P	Dec, NPH	Always	RE-complete	n.a. $\{ALL_{TM}, EQ_{TM}, PT_{TM}, INF_{TM}\}$
PT	Always	Always	Dec, in P	Dec, in P	Dec, NPH	Always	Neither-Nor	n.a.
DIST	Dec, in P	Dec, in P	UNDEC CREC ②	CREC	CREC	CREC	CREC	$\equiv E(\phi_1, \phi_2)$ Co-NP

- ① Formally, regular expressions disallow integer powers like  $(0 \cup 1)^{37}$ . If they are allowed, the complexity gets worse.
- ② CREC is short for "Co-RE complete, i.e.  $\equiv_m D_{TM}$ ."
- ③ NPH is short for NP-hard
- ④  $ALL_{TM} \equiv_m EQ_{TM} \equiv_m PT_{TM}$  all complete for a class called  $\Pi_2$
- ⑤ Co-NP means complete for co-NP - i.e., the complements  $NE_{BF}$  etc. are all NP-complete.
- $NE_{BF} \equiv \{ \phi : (\exists x) \phi(x) = \text{true} \} \equiv \text{SAT}$  which is NPH
- ⑥  $EQ_{DPDA}$  was only proved decidable a decade ago
- It is a crazy hard problem - just FYI - "off the map" but in REC.
- ⑦ The diagonal language  $D_p = \{ P\text{-machines that don't accept their own code} \}$  is decidable but not in P. Try proving this yourself! Hence  $A_p$  ditto.



Sipser's text only proves that every CFL is in P. ALL CREG follows a different way.

every thing with DFAs.  
 $A_{DFA}, E_{DFA}, ANFA, ENFA, DIST_{NFA}$   
 $ACFG, ECFG$ , Halting and totality for DPDA: is part of a "good housekeeping" conversion.