

# Knowledge Representation and Reasoning Logics for Artificial Intelligence

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## 2.3.1 Clause Form Syntax

### part 1

#### Atomic Propositions:

- Any letter of the alphabet
- Any letter with a numeric subscript
- Any alphanumeric string.

#### Literals:

If  $P$  is an atomic proposition,  $P$  and  $\neg P$  are literals.

$P$  is called a **positive literal**

$\neg P$  is called a **negative literal**.

## 2.3.1 Clause Form Syntax

### part 2

**Clauses:** If  $L_1, \dots, L_n$  are literals  
then the set  $\{L_1, \dots, L_n\}$  is a clause.

**Sets of Clauses:** If  $C_1, \dots, C_n$  are clauses  
then the set  $\{C_1, \dots, C_n\}$  is a set of clauses.

## 2.3.2 Clause Form Semantics

### Atomic Propositions

**Intensional:**  $[P]$  is some proposition in the domain.

**Extensional:**  $\llbracket P \rrbracket$  is either True or False.

## 2.3.2 Clause Form Semantics

### Literals

**Positive Literals:** The meaning of  $P$  as a literal is the same as it is as an atomic proposition.

**Negative Literals:**

**Intensional:**

$[\neg P]$  means that it is not the case that  $[P]$ .

**Extensional:**  $[[\neg P]]$  is True if  $[[P]]$  is False;  
Otherwise, it is False.

## 2.3.2 Clause Form Semantics

### Clauses

#### **Intensional:**

$[\{L_1, \dots, L_n\}] = [L_1] \text{ and/or } \dots \text{ and/or } [L_n]$ .

#### **Extensional:**

$\llbracket \{L_1, \dots, L_n\} \rrbracket$  is True

if at least one of  $\llbracket L_1 \rrbracket, \dots, \llbracket L_n \rrbracket$  is True;

Otherwise, it is False.



## 2.3.2 Clause Form Semantics

### Sets of Clauses

#### **Intensional:**

$$[\{C_1, \dots, C_n\}] = [C_1] \text{ and } \dots \text{ and } [C_n].$$

#### **Extensional:**

$\llbracket \{C_1, \dots, C_n\} \rrbracket$  is True if  $\llbracket C_1 \rrbracket$  and  $\dots$  and  $\llbracket C_n \rrbracket$  are all True;  
Otherwise, it is False.

# Clause Form Proof Theory: Resolution

**Notion of Proof:** None!

**Notion of Derivation:** A set of clauses constitutes a derivation.

**Assumptions:** The derivation is initialized with a set of assumption clauses  $AC_1, \dots, AC_n$ .

**Rule of Inference:** A clause may be added to a set of clauses if justified by **resolution**.

**Derived Clause:** If clause  $CQ$  has been added to a set of clauses initialized with the set of assumption clauses  $AC_1, \dots, AC_n$  by one or more applications of resolution, then  $AC_1, \dots, AC_n \vdash CQ$ .

# Resolution

$$\frac{\{P, L_1, \dots, L_n\}, \{\neg P, L_{n+1}, \dots, L_m\}}{\{L_1, \dots, L_n, L_{n+1}, \dots, L_m\}}$$

Resolution is sound, but not complete!

# Example Derivation

1.  $\{\neg TomIsTheDriver, \neg TomIsThePassenger\}$  *Assumption*
2.  $\{TomIsThePassenger, BettyIsThePassenger\}$  *Assumption*
3.  $\{TomIsTheDriver\}$  *Assumption*
4.  $\{\neg TomIsThePassenger\}$  *R,1,3*
5.  $\{BettyIsThePassenger\}$  *R,2,4*

# Example of Incompleteness

$$\{P\} \models \{P, Q\}$$

but

Resolution does not apply to  $\{\{P\}\}$ .

# Resolution Refutation

- Notice that  $\{\{P\}, \{\neg P\}\}$  is contradictory.
- Notice that resolution applies to  $\{P\}$  and  $\{\neg P\}$  producing  $\{\}$ , the **empty clause**.
- If a set of clauses is contradictory, repeated application of resolution is **guaranteed** to produce  $\{\}$ .

# Implications

- Set of clauses  $\{P_1, \dots, P_n, Q_1, \dots, Q_m\}$  is contradictory.
- means  $(P_1 \wedge \dots \wedge P_n \wedge Q_1 \wedge \dots \wedge Q_m)$  is False in all models.
- means whenever  $(P_1 \wedge \dots \wedge P_n)$  is True,  $(Q_1 \wedge \dots \wedge Q_m)$  is False.
- means whenever  $(P_1 \wedge \dots \wedge P_n)$  is True  $\neg(Q_1 \wedge \dots \wedge Q_m)$  is True.
- means  $P_1, \dots, P_n \models \neg(Q_1 \wedge \dots \wedge Q_m)$ .

# Negation and Clauses

- $\neg\{L_1, \dots, L_n\} = \{\{\neg L_1\}, \dots, \{\neg L_n\}\}$ .

- $\neg L = \begin{cases} \neg A & \text{if } L = A \\ A & \text{if } L = \neg A \end{cases}$



# Resolution Refutation

To decide if  $C_1, \dots, C_n \models CQ$ :

1. Let  $S = \{C_1, \dots, C_n\} \cup \neg CQ$
2. Repeatedly apply resolution to clauses in  $S$ .  
(Determine if  $\{C_1, \dots, C_n\} \cup \neg CQ \vdash \{\}$ )
3. If generate  $\{\}$ ,  $C_1, \dots, C_n \models CQ$ .  
(If  $\{C_1, \dots, C_n\} \cup \neg CQ \vdash \{\}$  then  $C_1, \dots, C_n \models CQ$ )
4. If reach point where no new clause can be generated,  
but  $\{\}$  has not appeared,  $C_1, \dots, C_n \not\models CQ$ .  
(If  $\{C_1, \dots, C_n\} \cup \neg CQ \not\vdash \{\}$  then  $C_1, \dots, C_n \not\models CQ$ )

# Example 1

To decide if  $\{P\} \models \{P, Q\}$

$$S = \{\{P\}, \{\neg P\}, \{\neg Q\}\}$$

1.  $\{P\}$      *Assumption*
2.  $\{\neg P\}$    *From query clause*
3.  $\{\}$         *R, 1, 2*

## Example 2

To decide if

$$\begin{array}{l} \{\neg TomIsTheDriver, \neg TomIsThePassenger\}, \\ \{TomIsThePassenger, BettyIsThePassenger\}, \\ \{TomIsTheDriver\} \end{array} \models \{BettyIsThePassenger\}$$

1.  $\{\neg TomIsTheDriver, \neg TomIsThePassenger\}$       *Assumption*
2.  $\{TomIsThePassenger, BettyIsThePassenger\}$       *Assumption*
3.  $\{TomIsTheDriver\}$       *Assumption*
4.  $\{\neg BettyIsThePassenger\}$       *From query clause*
5.  $\{TomIsThePassenger\}$       *R, 2, 4*
6.  $\{\neg TomIsTheDriver\}$       *R, 1, 5*
7.  $\{\}$       *R, 3, 6*

# Resolution Efficiency Rules

**Tautology Elimination:** If clause  $C$  contains literals  $L$  and  $\neg L$ , delete  $C$  from the set of clauses.

**Pure-Literal Elimination:** If clause  $C$  contains a literal  $A$  ( $\neg A$ ) and no clause contains a literal  $\neg A$  ( $A$ ), delete  $C$  from the set of clauses.

**Subsumption Elimination:** If the set of clauses contains clauses  $C_1$  and  $C_2$  such that  $C_1 \subseteq C_2$ , delete  $C_2$  from the set of clauses.

These rules delete unhelpful clauses.

# Resolution Strategies

**Unit Preference:** Resolve shorter clauses before longer clauses.

**Set of Support:** One clause in each pair being resolved must descend from the query.

**Many others**

These are heuristics for finding  $\{\}$  faster.

## Example 1 Using prover

```
prover(6): (prove '(P) '(P or Q))
```

```
1 (P) Assumption
2 ((~ P)) From Query
3 ((~ Q)) From Query
4 nil R,2,1,{}
QED
```

## Example 2 Using prover

```
prover(5): (prove '(((~ TomIsTheDriver) or (~ TomIsThePassenger))
              (TomIsThePassenger or BettyIsThePassenger)
              TomIsTheDriver)
              'BettyIsThePassenger)
```

```
1 (TomIsTheDriver) Assumption
```

```
2 ((~ TomIsTheDriver) (~ TomIsThePassenger)) Assumption
```

```
3 (TomIsThePassenger BettyIsThePassenger) Assumption
```

```
4 ((~ BettyIsThePassenger)) From Query
```

```
5 (TomIsThePassenger) R,4,3,{} 
```

```
Deleting 3 (TomIsThePassenger BettyIsThePassenger)
```

```
because it's subsumed by 5 (TomIsThePassenger)
```

```
6 ((~ TomIsTheDriver)) R,5,2,{} 
```

```
Deleting 2 ((~ TomIsTheDriver) (~ TomIsThePassenger))
```

```
because it's subsumed by 6 ((~ TomIsTheDriver))
```

```
7 nil R,6,1,{} 
```

QED

# Example 1 Using SNARK

```
snark-user(29): (assert 'P)
nil
snark-user(30): (prove '(or P Q))
(Refutation
 (Row 1
  P
  assertion)
 (Row 2
  false
  (rewrite ~conclusion 1))
)
:proof-found
```



# Properties of Resolution Refutation

Resolution Refutation is sound, complete, and a decision procedure for Clause Form Propositional Logic.

It remains so when Tautology Elimination, Pure-Literal Elimination, Subsumption and the Unit-Preference Strategy are included.

It remains so when Set of Support is used as long as the assumptions are not contradictory.

# Translating Standard Wfps into Clause Form

Every set of clauses,

$$\{\{L_{1,1}, \dots, L_{1,n_1}\}, \dots, \{L_{m,1}, \dots, L_{m,n_m}\}\}$$

has the same semantics as the standard wfp

$$((L_{1,1} \vee \dots \vee L_{1,n_1}) \wedge \dots \wedge (L_{m,1} \vee \dots \vee L_{m,n_m}))$$

That is, there is a translation from any set of clauses into a well-formed proposition of standard propositional logic.

Question: Is there a translation from any well-formed proposition of standard propositional logic into a set of clauses?

Answer: Yes!

# Translating Standard Wfps into Clause Form Conjunctive Normal Form (CNF)

A standard wfp is in **CNF** if it is a conjunction of disjunctions of literals.

$$((L_{1,1} \vee \cdots \vee L_{1,n_1}) \wedge \cdots \wedge (L_{m,1} \vee \cdots \vee L_{m,n_m}))$$

Translation technique:

1. Turn any arbitrary wfp into CNF.
2. Translate the CNF wfp into a set of clauses.

**Translating Standard Wfps  
into Clause Form  
Useful Meta-Theorem:  
The Subformula Property**

If  $A$  is (an occurrence of) a subformula of  $B$ ,

and  $\models A \Leftrightarrow C$ ,

then  $\models B \Leftrightarrow B\{C/A\}$

# Translating Standard Wfps into Clause Form Step 1

Eliminate occurrences of  $\Leftrightarrow$  using

$$\models (A \Leftrightarrow B) \Leftrightarrow ((A \Rightarrow B) \wedge (B \Rightarrow A))$$

From: (*LivingThing*  $\Leftrightarrow$  (*Animal*  $\vee$  *Vegetable*))

To:

((*LivingThing*  $\Rightarrow$  (*Animal*  $\vee$  *Vegetable*))  
 $\wedge$ ((*Animal*  $\vee$  *Vegetable*)  $\Rightarrow$  *LivingThing*))

## Translation Step 2

Eliminate occurrences of  $\Rightarrow$  using

$$\models (A \Rightarrow B) \Leftrightarrow (\neg A \vee B)$$

From:

$$\begin{aligned} & ((\textit{LivingThing} \Rightarrow (\textit{Animal} \vee \textit{Vegetable}))) \\ & \wedge ((\textit{Animal} \vee \textit{Vegetable}) \Rightarrow \textit{LivingThing}) \end{aligned}$$

To:

$$\begin{aligned} & ((\neg \textit{LivingThing} \vee (\textit{Animal} \vee \textit{Vegetable}))) \\ & \wedge (\neg(\textit{Animal} \vee \textit{Vegetable}) \vee \textit{LivingThing}) \end{aligned}$$

## Translation Step 3

Translate to *miniscope* form using

$$\models \neg(A \wedge B) \Leftrightarrow (\neg A \vee \neg B)$$

$$\models \neg(A \vee B) \Leftrightarrow (\neg A \wedge \neg B)$$

$$\models \neg(\neg A) \Leftrightarrow A$$

From:

$$\begin{aligned} & ((\neg LivingThing \vee (Animal \vee Vegetable)) \\ & \wedge (\neg(Animal \vee Vegetable) \vee LivingThing)) \end{aligned}$$

To:

$$\begin{aligned} & ((\neg LivingThing \vee (Animal \vee Vegetable)) \\ & \wedge ((\neg Animal \wedge \neg Vegetable) \vee LivingThing)) \end{aligned}$$

## Translation Step 4

CNF: Translate into Conjunctive Normal Form, using

$$\models (A \vee (B \wedge C)) \Leftrightarrow ((A \vee B) \wedge (A \vee C))$$

From:

$$\begin{aligned} & ((\neg LivingThing \vee (Animal \vee Vegetable)) \\ & \wedge ((\neg Animal \wedge \neg Vegetable) \vee LivingThing)) \end{aligned}$$

To:

$$\begin{aligned} & ((\neg LivingThing \vee (Animal \vee Vegetable)) \\ & \wedge ((\neg Animal \vee LivingThing) \wedge (\neg Vegetable \vee LivingThing))) \end{aligned}$$



## Translation Step 5

Discard extra parentheses using the associativity of  $\wedge$  and  $\vee$ .

From:

$$\begin{aligned} & ((\neg LivingThing \vee (Animal \vee Vegetable)) \\ & \wedge ((\neg Animal \vee LivingThing) \wedge (\neg Vegetable \vee LivingThing))) \end{aligned}$$

To:

$$\begin{aligned} & ((\neg LivingThing \vee Animal \vee Vegetable) \\ & \wedge (\neg Animal \vee LivingThing) \\ & \wedge (\neg Vegetable \vee LivingThing)) \end{aligned}$$

## Translation Step 6

Turn each disjunction into a clause,  
and the conjunction into a set of clauses.

From:

$$\begin{aligned} & ((\neg LivingThing \vee Animal \vee Vegetable) \\ & \wedge (\neg Animal \vee LivingThing) \\ & \wedge (\neg Vegetable \vee LivingThing)) \end{aligned}$$

To:

$$\begin{aligned} & ((\neg LivingThing \quad Animal \quad Vegetable) \\ & (\neg Animal \quad LivingThing) \\ & (\neg Vegetable \quad LivingThing)) \end{aligned}$$

# Use of Translation

$$A_1, \dots, A_n \models_{Standard} B$$

iff

The translation of  $A_1 \wedge \dots \wedge A_n \wedge \neg B$  into a set of clauses is contradictory.

# Connections

**Modus Ponens**

$$\frac{A, A \Rightarrow B}{B}$$

**Resolution**

$$\frac{\{A\}, \{\neg A, B\}}{\{B\}}$$

**Modus Tollens**

$$\frac{A \Rightarrow B, \neg B}{\neg A}$$

**Resolution**

$$\frac{\{\neg A, B\}, \{\neg B\}}{\{\neg A\}}$$

**Disjunctive Syllogism**

$$\frac{A \vee B, \neg A}{B}$$

**Resolution**

$$\frac{\{A, B\}, \{\neg A\}}{\{B\}}$$

**Chaining**

$$\frac{A \Rightarrow B, B \Rightarrow C}{A \Rightarrow C}$$

**Resolution**

$$\frac{\{\neg A, B\}, \{\neg B, C\}}{\{\neg A, C\}}$$

# More Connections

**Clause**

$\{\neg A_1, \dots, \neg A_n, C_1, \dots, C_m\}$

**Rule**

$(A_1 \wedge \dots \wedge A_n) \Rightarrow (C_1 \vee \dots \vee C_m)$

**Horn Clause**

$\{\neg A_1, \dots, \neg A_n, C\}$

**Rule**

$(A_1 \wedge \dots \wedge A_n) \Rightarrow C$

**Prolog Clause**

$C :- A_1, \dots, A_n$

**Set of Support**

**Back-chaining**

## prover Example

```
prover(57): (prove '((LivingThing <=> (Animal or Vegetable))
                  (LivingThing & (~ Animal)))
                  'Vegetable)
1 (LivingThing) Assumption
2 ((~ Animal)) Assumption
3 ((~ Animal) LivingThing) Assumption
4 ((~ Vegetable) LivingThing) Assumption
5 ((~ LivingThing) Animal Vegetable) Assumption
6 ((~ Vegetable)) From Query
Deleting 3 ((~ Animal) LivingThing)
because it's subsumed by 1 (LivingThing)
Deleting 4 ((~ Vegetable) LivingThing)
because it's subsumed by 1 (LivingThing)
```

## prover Example, continued

```
1 (LivingThing) Assumption
2 ((~ Animal)) Assumption
5 ((~ LivingThing) Animal Vegetable) Assumption
6 ((~ Vegetable)) From Query

7 ((~ LivingThing) Animal) R,6,5,{}
Deleting 5 ((~ LivingThing) Animal Vegetable)
because it's subsumed by 7 ((~ LivingThing) Animal)
8 (Animal) R,7,1,{}
9 ((~ LivingThing)) R,7,2,{}
10 nil R,9,1,{}
QED
```