

CSE 431/531: Algorithm Analysis and Design (Spring 2019)

## Introduction and Syllabus

Lecturer: Shi Li

*Department of Computer Science and Engineering  
University at Buffalo*

- 1 Syllabus
- 2 Introduction
  - What is an Algorithm?
  - Example: Insertion Sort
  - Analysis of Insertion Sort
- 3 Asymptotic Notations
- 4 Common Running times

- Course Webpage (contains schedule, policies, homeworks and slides):  
<http://www.cse.buffalo.edu/~shil/courses/CSE531/>
- Please sign up course on Piazza via link on course webpage  
- announcements, polls, asking/answering questions

- Time and location:
  - MoWeFr, 9:00-9:50am
  - Alumni 97
- Instructor:
  - Shi Li, shil@buffalo.edu
  - Office hours: TBD via poll
- TA
  - Alexander Stachnik, ajstachn@buffalo.edu
  - Office hours: TBD via poll

You **should** already know:

- Mathematical Tools
  - Mathematical inductions
  - Probabilities and random variables
- Basic data Structures
  - Stacks, queues, linked lists
- Some Programming Experience
  - C, C++, Java or Python

# You Will Learn

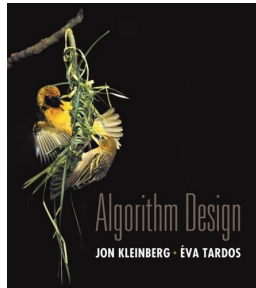
- Classic algorithms for classic problems
  - Sorting
  - Shortest paths
  - Minimum spanning tree
- How to analyze algorithms
  - Correctness
  - Running time (efficiency)
  - Space requirement
- Meta techniques to design algorithms
  - Greedy algorithms
  - Divide and conquer
  - Dynamic programming
  - Linear Programming
- NP-completeness

# Tentative Schedule (42 Lectures)

Introduction	3 lectures
Basic Graph Algorithms	3 lectures
Greedy Algorithms	6 lectures, 1 recitation
Divide and Conquer	6 lectures, 1 recitation
Dynamic Programming	6 lectures, 1 recitation
	1 recitation for homeworks
<b>Mid-Term Exam</b>	<b>Apr 7, 2019, Mon</b>
NP-Completeness	6 lectures, 1 recitation
Linear Programming	4 lectures
	2 recitations for homeworks
Final review, Q&A	
<b>Final Exam</b>	<b>May 15 2019, Wed, 8:00am-11:00am</b>

Textbook (Highly Recommended):

- Algorithm Design, 1st Edition, by  
*Jon Kleinberg and Eva Tardos*



Other Reference Books

- Introduction to Algorithms, Third Edition, *Thomas Cormen, Charles Leiserson, Ronald Rivest, Clifford Stein*



# Reading Before Classes

- Highly recommended: read the correspondent sections from the textbook (or reference book) before classes
- Slides and example problems for recitations will be posted online before class

- 40% for homeworks
  - 5 homeworks, 3 of which have programming tasks
- 60% for mid-term + final exams, score for two exams is
$$\max\{M \times 20\% + F \times 40\%, M \times 30\% + F \times 30\%\}$$
$$M, F \in [0, 100]$$

# For Homeworks, You Are Allowed to

- Use course materials (textbook, reference books, lecture notes, etc)
- Post questions on Piazza
- Ask me or TAs for hints
- Collaborate with classmates
  - Think about each problem for enough time before discussions
  - **Must write down solutions on your own, in your own words**
  - Write down names of students you collaborated with

# For Homeworks, You Are **Not** Allowed to

- Use external resources
  - Can't Google or ask questions online for solutions
  - Can't read posted solutions from other algorithm course webpages
- Copy solutions from other students

# For Programming Problems

- Need to implement the algorithms by yourself
- Can not copy codes from others or the Internet
- We use Moss  
(<https://theory.stanford.edu/~aiken/moss/>) to detect similarity of programs

# Late Policy

- You have 1 “late credit”, using it allows you to turn in a homework late for three days
- With no special reasons, no other late submissions will be accepted

- Mid-Term and Final Exam will be closed-book
- Per Departmental Policy on Academia Integrity Violations, penalty for AI violation is:
  - “F” for the course
  - may lose financial support
  - case will be recorded in department and university databases

Questions?

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- 2 Introduction
  - What is an Algorithm?
  - Example: Insertion Sort
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# What is an Algorithm?

- Donald Knuth: An algorithm is a finite, definite effective procedure, with some input and some output.
- Computational problem: specifies the input/output relationship.
- An algorithm **solves** a computational problem if it produces the correct output for any given input.

# Examples

## Greatest Common Divisor

**Input:** two integers  $a, b > 0$

**Output:** the greatest common divisor of  $a$  and  $b$

## Example:

- Input: 210, 270
- Output: 30
  
- Algorithm: Euclidean algorithm
- $\text{gcd}(270, 210) = \text{gcd}(210, 270 \bmod 210) = \text{gcd}(210, 60)$
- $(270, 210) \rightarrow (210, 60) \rightarrow (60, 30) \rightarrow (30, 0)$

# Examples

## Sorting

**Input:** sequence of  $n$  numbers  $(a_1, a_2, \dots, a_n)$

**Output:** a permutation  $(a'_1, a'_2, \dots, a'_n)$  of the input sequence such that  $a'_1 \leq a'_2 \leq \dots \leq a'_n$

## Example:

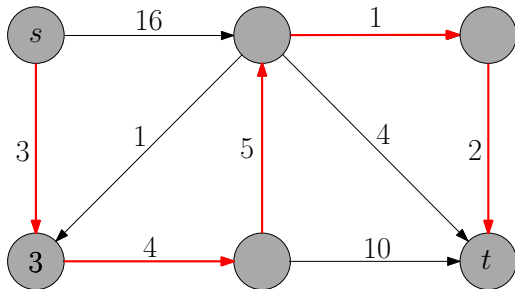
- Input: 53, 12, 35, 21, 59, 15
- Output: 12, 15, 21, 35, 53, 59
- Algorithms: insertion sort, merge sort, quicksort, ...

# Examples

## Shortest Path

**Input:** directed graph  $G = (V, E)$ ,  $s, t \in V$

**Output:** a shortest path from  $s$  to  $t$  in  $G$



- Algorithm: Dijkstra's algorithm

# Algorithm = Computer Program?

- Algorithm: “abstract”, can be specified using computer program, English, pseudo-codes or flow charts.
- Computer program: “concrete”, implementation of algorithm, associated with a particular programming language

Pseudo-Code:

**Euclidean**( $a, b$ )

- 1 while  $b > 0$
- 2      $(a, b) \leftarrow (b, a \bmod b)$
- 3 return  $a$

C++ program:

- int Euclidean(int a, int b){
- int c;
- while (b > 0){
- c = b;
- b = a % b;
- a = c;
- }
- return a;
- }

# Theoretical Analysis of Algorithms

- Main focus: correctness, running time (efficiency)
- Sometimes: memory usage
- Not covered in the course: engineering side
  - extensibility
  - modularity
  - object-oriented model
  - user-friendliness (e.g, GUI)
  - ...
- Why is it important to study the running time (efficiency) of an algorithm?
  - 1 feasible vs. infeasible
  - 2 efficient algorithms: less engineering tricks needed, can use languages aiming for easy programming (e.g, python)
  - 3 fundamental
  - 4 it is fun!



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  - **Example: Insertion Sort**
  - Analysis of Insertion Sort
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## Sorting Problem

**Input:** sequence of  $n$  numbers  $(a_1, a_2, \dots, a_n)$

**Output:** a permutation  $(a'_1, a'_2, \dots, a'_n)$  of the input sequence such that  $a'_1 \leq a'_2 \leq \dots \leq a'_n$

## Example:

- Input: 53, 12, 35, 21, 59, 15
- Output: 12, 15, 21, 35, 53, 59

# Insertion-Sort

- At the end of  $j$ -th iteration, the first  $j$  numbers are sorted.

iteration 1: 53, 12, 35, 21, 59, 15

iteration 2: 12, 53, 35, 21, 59, 15

iteration 3: 12, 35, 53, 21, 59, 15

iteration 4: 12, 21, 35, 53, 59, 15

iteration 5: 12, 21, 35, 53, 59, 15

iteration 6: 12, 15, 21, 35, 53, 59

## Example:

- Input: 53, 12, 35, 21, 59, 15
- Output: 12, 15, 21, 35, 53, 59

## insertion-sort( $A, n$ )

- 1 for  $j \leftarrow 2$  to  $n$
- 2      $key \leftarrow A[j]$
- 3      $i \leftarrow j - 1$
- 4     while  $i > 0$  and  $A[i] > key$
- 5          $A[i + 1] \leftarrow A[i]$
- 6          $i \leftarrow i - 1$
- 7      $A[i + 1] \leftarrow key$

- $j = 6$
- $key = 15$

12   15   21   35   53   59  
  ↑  
   $i$

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# Analysis of Insertion Sort

- Correctness
- Running time

# Correctness of Insertion Sort

- Invariant: after iteration  $j$  of outer loop,  $A[1..j]$  is the sorted array for the original  $A[1..j]$ .

after  $j = 1$  : 53, 12, 35, 21, 59, 15

after  $j = 2$  : 12, 53, 35, 21, 59, 15

after  $j = 3$  : 12, 35, 53, 21, 59, 15

after  $j = 4$  : 12, 21, 35, 53, 59, 15

after  $j = 5$  : 12, 21, 35, 53, 59, 15

after  $j = 6$  : 12, 15, 21, 35, 53, 59

# Analyze Running Time of Insertion Sort

- Q: Size of input?
- A: Running time as function of size
- possible definition of size : # integers, total length of integers, # vertices in graph, # edges in graph
- Q: Which input?
- A: Worst-case analysis:
  - Worst running time over all input instances of a given size
- Q: How fast is the computer?
- Q: Programming language?
- A: Important idea: **asymptotic analysis**
  - Focus on growth of running-time as a function, not any particular value.



# Asymptotic Analysis: $O$ -notation

- Ignoring lower order terms
- Ignoring leading constant
  
- $3n^3 + 2n^2 - 18n + 1028 \Rightarrow 3n^3 \Rightarrow n^3$
- $3n^3 + 2n^2 - 18n + 1028 = O(n^3)$
  
- $2^{n/3+100} + 100n^{100} \Rightarrow 2^{n/3+100} \Rightarrow 2^{n/3}$
- $2^{n/3+100} + 100n^{100} = O(2^{n/3})$

# Asymptotic Analysis: $O$ -notation

- Ignoring lower order terms
- Ignoring leading constant

$O$ -notation allows us to

- ignore architecture of computer
- ignore programming language

# Asymptotic Analysis of Insertion Sort

## insertion-sort( $A, n$ )

- 1 for  $j \leftarrow 2$  to  $n$
- 2      $key \leftarrow A[j]$
- 3      $i \leftarrow j - 1$
- 4     while  $i > 0$  and  $A[i] > key$
- 5          $A[i + 1] \leftarrow A[i]$
- 6          $i \leftarrow i - 1$
- 7      $A[i + 1] \leftarrow key$

- Worst-case running time for iteration  $j$  in the outer loop?  
Answer:  $O(j)$
- Total running time =  $\sum_{j=2}^n O(j) = O(n^2)$  (informal)

# Computation Model

- Random-Access Machine (RAM) model: read  $A[j]$  takes  $O(1)$  time.
- Basic operations take  $O(1)$  time: addition, subtraction, multiplication, etc.
- Each integer (word) has  $c \log n$  bits,  $c \geq 1$  large enough
- Precision of real numbers?  
Try to avoid using real numbers in this course.
- Can we do better than insertion sort asymptotically?
- Yes: merge sort, quicksort, heap sort, ...

- Remember to sign up for Piazza.

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# Asymptotically Positive Functions

**Def.**  $f : \mathbb{N} \rightarrow \mathbb{R}$  is an **asymptotically positive function** if:

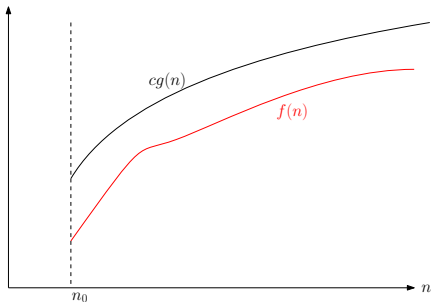
- $\exists n_0 > 0$  such that  $\forall n > n_0$  we have  $f(n) > 0$
- In other words,  $f(n)$  is positive for large enough  $n$ .
- $n^2 - n - 30$       **Yes**
- $2^n - n^{20}$       **Yes**
- $100n - n^2/10 + 50?$       **No**
- We only consider asymptotically positive functions.

# $O$ -Notation: Asymptotic Upper Bound

**$O$ -Notation** For a function  $g(n)$ ,

$$O(g(n)) = \left\{ \text{function } f : \exists c > 0, n_0 > 0 \text{ such that} \right. \\ \left. f(n) \leq cg(n), \forall n \geq n_0 \right\}.$$

- In other words,  $f(n) \in O(g(n))$  if  $f(n) \leq cg(n)$  for some  $c$  and large enough  $n$ .





# O-Notation: Asymptotic Upper Bound

**O-Notation** For a function  $g(n)$ ,

$$O(g(n)) = \{ \text{function } f : \exists c > 0, n_0 > 0 \text{ such that} \\ f(n) \leq cg(n), \forall n \geq n_0 \}.$$

- In other words,  $f(n) \in O(g(n))$  if  $f(n) \leq cg(n)$  for some  $c$  and large enough  $n$ .
- $3n^2 + 2n \in O(n^2 - 10n)$

**Proof.**

Let  $c = 4$  and  $n_0 = 50$ , for every  $n > n_0 = 50$ , we have,

$$\begin{aligned} 3n^2 + 2n - c(n^2 - 10n) &= 3n^2 + 2n - 4(n^2 - 10n) \\ &= -n^2 + 40n \leq 0. \end{aligned}$$

$$3n^2 + 2n \leq c(n^2 - 10n)$$

□

**$O$ -Notation** For a function  $g(n)$ ,

$$O(g(n)) = \{ \text{function } f : \exists c > 0, n_0 > 0 \text{ such that} \\ f(n) \leq cg(n), \forall n \geq n_0 \}.$$

- In other words,  $f(n) \in O(g(n))$  if  $f(n) \leq cg(n)$  for some  $c$  and large enough  $n$ .
- $3n^2 + 2n \in O(n^2 - 10n)$
- $3n^2 + 2n \in O(n^3 - 5n^2)$
- $n^{100} \in O(2^n)$
- $n^3 \notin O(10n^2)$

Asymptotic Notations	$O$	$\Omega$	$\Theta$
Comparison Relations	$\leq$		

# Conventions

- We use " $f(n) = O(g(n))$ " to denote " $f(n) \in O(g(n))$ "
- $3n^2 + 2n = O(n^3 - 10n)$
- $3n^2 + 2n = O(n^2 + 5n)$
- $3n^2 + 2n = O(n^2)$

"=" is asymmetric! Following statements are wrong:

- $O(n^3 - 10n) = 3n^2 + 2n$
- $O(n^2 + 5n) = 3n^2 + 2n$
- $O(n^2) = 3n^2 + 2n$

# $\Omega$ -Notation: Asymptotic Lower Bound

**$O$ -Notation** For a function  $g(n)$ ,

$$O(g(n)) = \{ \text{function } f : \exists c > 0, n_0 > 0 \text{ such that} \\ f(n) \leq cg(n), \forall n \geq n_0 \}.$$

**$\Omega$ -Notation** For a function  $g(n)$ ,

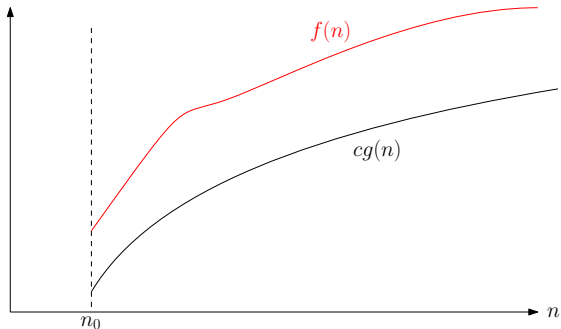
$$\Omega(g(n)) = \{ \text{function } f : \exists c > 0, n_0 > 0 \text{ such that} \\ f(n) \geq cg(n), \forall n \geq n_0 \}.$$

- In other words,  $f(n) \in \Omega(g(n))$  if  $f(n) \geq cg(n)$  for some  $c$  and large enough  $n$ .

# $\Omega$ -Notation: Asymptotic Lower Bound

**$\Omega$ -Notation** For a function  $g(n)$ ,

$$\Omega(g(n)) = \{ \text{function } f : \exists c > 0, n_0 > 0 \text{ such that} \\ f(n) \geq cg(n), \forall n \geq n_0 \}.$$



# $\Omega$ -Notation: Asymptotic Lower Bound

- Again, we use “=” instead of  $\in$ .
  - $4n^2 = \Omega(n - 10)$
  - $3n^2 - n + 10 = \Omega(n^2 - 20)$

Asymptotic Notations	$O$	$\Omega$	$\Theta$
Comparison Relations	$\leq$	$\geq$	

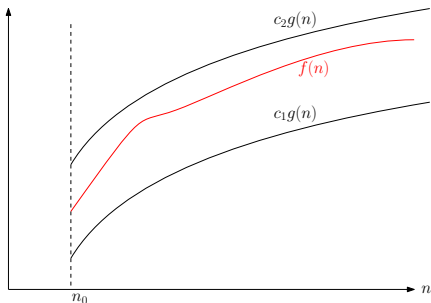
**Theorem**  $f(n) = O(g(n)) \Leftrightarrow g(n) = \Omega(f(n))$ .

# $\Theta$ -Notation: Asymptotic Tight Bound

**$\Theta$ -Notation** For a function  $g(n)$ ,

$$\Theta(g(n)) = \left\{ \text{function } f : \exists c_2 \geq c_1 > 0, n_0 > 0 \text{ such that} \right. \\ \left. c_1 g(n) \leq f(n) \leq c_2 g(n), \forall n \geq n_0 \right\}.$$

- $f(n) = \Theta(g(n))$ , then for large enough  $n$ , we have “ $f(n) \approx g(n)$ ”.



# $\Theta$ -Notation: Asymptotic Tight Bound

**$\Theta$ -Notation** For a function  $g(n)$ ,

$$\Theta(g(n)) = \left\{ \text{function } f : \exists c_2 \geq c_1 > 0, n_0 > 0 \text{ such that} \right. \\ \left. c_1 g(n) \leq f(n) \leq c_2 g(n), \forall n \geq n_0 \right\}.$$

- $3n^2 + 2n = \Theta(n^2 - 20n)$
- $2^{n/3+100} = \Theta(2^{n/3})$

Asymptotic Notations	$O$	$\Omega$	$\Theta$
Comparison Relations	$\leq$	$\geq$	$=$

**Theorem**  $f(n) = \Theta(g(n))$  if and only if  
 $f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$ .



## Exercise

For each pair of functions  $f, g$  in the following table, indicate whether  $f$  is  $O, \Omega$  or  $\Theta$  of  $g$ .

$f$	$g$	$O$	$\Omega$	$\Theta$
$n^3 - 100n$	$5n^2 + 3n$	No	Yes	No
$3n - 50$	$n^2 - 7n$	Yes	No	No
$n^2 - 100n$	$5n^2 + 30n$	Yes	Yes	Yes
$\lg^{10} n$	$n^{0.1}$	Yes	No	No
$2^n$	$2^{n/2}$	No	Yes	No
$\sqrt{n}$	$n^{\sin n}$	No	No	No

Asymptotic Notations	$O$	$\Omega$	$\Theta$
Comparison Relations	$\leq$	$\geq$	$=$

### Trivial Facts on Comparison Relations

- $f \leq g \Leftrightarrow g \geq f$
- $f = g \Leftrightarrow f \leq g$  and  $f \geq g$
- $f \leq g$  or  $f \geq g$

### Correct Analogies

- $f(n) = O(g(n)) \Leftrightarrow g(n) = \Omega(f(n))$
- $f(n) = \Theta(g(n)) \Leftrightarrow f(n) = O(g(n))$  and  $f(n) = \Omega(g(n))$

### Incorrect Analogy

- $f(n) = O(g(n))$  or  $g(n) = O(f(n))$

## Incorrect Analogy

- $f(n) = O(g(n))$  or  $g(n) = O(f(n))$

$$f(n) = n^2$$

$$g(n) = \begin{cases} 1 & \text{if } n \text{ is odd} \\ 2^n & \text{if } n \text{ is even} \end{cases}$$

## Recall: informal way to define $O$ -notation

- ignoring lower order terms:  $3n^2 - 10n - 5 \rightarrow 3n^2$
- ignoring leading constant:  $3n^2 \rightarrow n^2$
- $3n^2 - 10n - 5 = O(n^2)$
- Indeed,  $3n^2 - 10n - 5 = \Omega(n^2)$ ,  $3n^2 - 10n - 5 = \Theta(n^2)$
- **theoretically, nothing tells us to ignore lower order terms and leading constant.**
- $3n^2 - 10n - 5 = O(5n^2 - 6n + 5)$  is correct, though weird
- $3n^2 - 10n - 5 = O(n^2)$  is simpler.

## Notice that $O$ denotes asymptotic upper bound

- $n^2 + 2n = O(n^3)$  is correct.
- The following sentence is correct: the running time of the insertion sort algorithm is  $O(n^4)$ .
- We do not use  $\Omega$  and  $\Theta$  very often when we talk about running times.
- We say: the running time of the insertion sort algorithm is  $O(n^2)$  and **the bound is tight**.

# $o$ and $\omega$ -Notations

**$o$ -Notation** For a function  $g(n)$ ,

$$o(g(n)) = \{ \text{function } f : \forall c > 0, \exists n_0 > 0 \text{ such that} \\ f(n) \leq cg(n), \forall n \geq n_0 \}.$$

**$\omega$ -Notation** For a function  $g(n)$ ,

$$\omega(g(n)) = \{ \text{function } f : \forall c > 0, \exists n_0 > 0 \text{ such that} \\ f(n) \geq cg(n), \forall n \geq n_0 \}.$$

Example:

- $3n^2 + 5n + 10 = o(n^3)$ , but  $3n^2 + 5n + 10 \neq o(n^2)$ .
- $3n^2 + 5n + 10 = \omega(n)$ , but  $3n^2 + 5n + 10 \neq \omega(n^2)$ .

Asymptotic Notations	$O$	$\Omega$	$\Theta$	$o$	$\omega$
Comparison Relations	$\leq$	$\geq$	$=$	$<$	$>$

Questions?

# Outline

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# $O(n)$ (Linear) Running Time

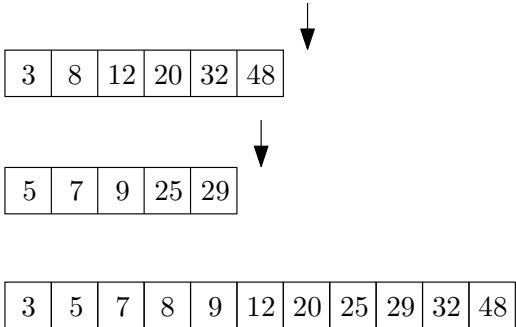
Computing the sum of  $n$  numbers

$\text{sum}(A, n)$

- 1  $S \leftarrow 0$
- 2 for  $i \leftarrow 1$  to  $n$
- 3      $S \leftarrow S + A[i]$
- 4 return  $S$

# $O(n)$ (Linear) Running Time

- Merge two sorted arrays



3	8	12	20	32	48
---	---	----	----	----	----

5	7	9	25	29
---	---	---	----	----

3	5	7	8	9	12	20	25	29	32	48
---	---	---	---	---	----	----	----	----	----	----

# $O(n)$ (Linear) Running Time

$\text{merge}(B, C, n_1, n_2)$      $\backslash \backslash$   $B$  and  $C$  are sorted, with length  $n_1$  and  $n_2$

- 1  $A \leftarrow []; i \leftarrow 1; j \leftarrow 1$
- 2 while  $i \leq n_1$  and  $j \leq n_2$
- 3    if  $(B[i] \leq C[j])$  then
- 4        append  $B[i]$  to  $A; i \leftarrow i + 1$
- 5    else
- 6        append  $C[j]$  to  $A; j \leftarrow j + 1$
- 7 if  $i \leq n_1$  then append  $B[i..n_1]$  to  $A$
- 8 if  $j \leq n_2$  then append  $C[j..n_2]$  to  $A$
- 9 return  $A$

Running time =  $O(n)$  where  $n = n_1 + n_2$ .

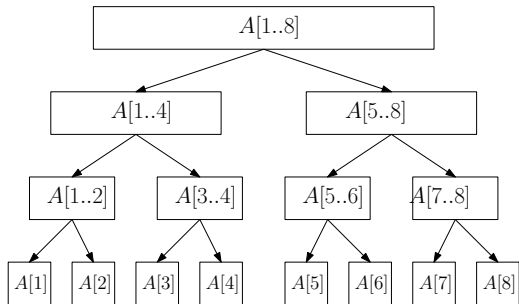
# $O(n \lg n)$ Running Time

merge-sort( $A, n$ )

- 1 if  $n = 1$  then
- 2     return  $A$
- 3 else
- 4      $B \leftarrow \text{merge-sort}(A[1..\lfloor n/2 \rfloor], \lfloor n/2 \rfloor)$
- 5      $C \leftarrow \text{merge-sort}(A[\lfloor n/2 \rfloor + 1..n], n - \lfloor n/2 \rfloor)$
- 6 return merge( $B, C, \lfloor n/2 \rfloor, n - \lfloor n/2 \rfloor$ )

# $O(n \lg n)$ Running Time

- Merge-Sort



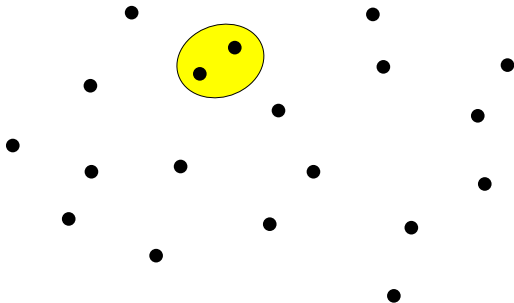
- Each level takes running time  $O(n)$
- There are  $O(\lg n)$  levels
- Running time =  $O(n \lg n)$

# $O(n^2)$ (Quadratic) Running Time

## Closest Pair

**Input:**  $n$  points in plane:  $(x_1, y_1), (x_2, y_2), \dots, (x_n, y_n)$

**Output:** the pair of points that are closest



# $O(n^2)$ (Quadratic) Running Time

## Closest Pair

**Input:**  $n$  points in plane:  $(x_1, y_1), (x_2, y_2), \dots, (x_n, y_n)$

**Output:** the pair of points that are closest

## closest-pair( $x, y, n$ )

- 1  $bestd \leftarrow \infty$
- 2 for  $i \leftarrow 1$  to  $n - 1$
- 3     for  $j \leftarrow i + 1$  to  $n$
- 4          $d \leftarrow \sqrt{(x[i] - x[j])^2 + (y[i] - y[j])^2}$
- 5         if  $d < bestd$  then
- 6              $besti \leftarrow i, bestj \leftarrow j, bestd \leftarrow d$
- 7 return  $(besti, bestj)$

Closest pair can be solved in  $O(n \lg n)$  time!

# $O(n^3)$ (Cubic) Running Time

Multiply two matrices of size  $n \times n$

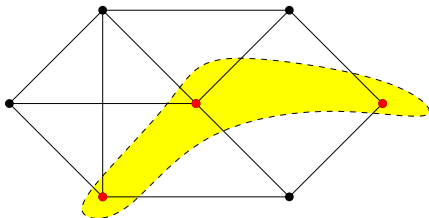
matrix-multiplication( $A, B, n$ )

- 1  $C \leftarrow$  matrix of size  $n \times n$ , with all entries being 0
- 2 for  $i \leftarrow 1$  to  $n$
- 3     for  $j \leftarrow 1$  to  $n$
- 4         for  $k \leftarrow 1$  to  $n$
- 5              $C[i, k] \leftarrow C[i, k] + A[i, j] \times B[j, k]$
- 6 return  $C$



# $O(n^k)$ Running Time for Integer $k \geq 4$

**Def.** An **independent set** of a graph  $G = (V, E)$  is a subset  $S \subseteq V$  of vertices such that for every  $u, v \in S$ , we have  $(u, v) \notin E$ .



Independent set of size  $k$

**Input:** graph  $G = (V, E)$

**Output:** whether there is an independent set of size  $k$

# $O(n^k)$ Running Time for Integer $k \geq 4$

## Independent Set of Size $k$

**Input:** graph  $G = (V, E)$

**Output:** whether there is an independent set of size  $k$

## independent-set( $G = (V, E)$ )

- 1 for every set  $S \subseteq V$  of size  $k$
- 2      $b \leftarrow \text{true}$
- 3     for every  $u, v \in S$
- 4         if  $(u, v) \in E$  then  $b \leftarrow \text{false}$
- 5     if  $b$  return true
- 6 return false

Running time =  $O\left(\frac{n^k}{k!} \times k^2\right) = O(n^k)$  (assume  $k$  is a constant)

# Beyond Polynomial Time: $O(2^n)$

## Maximum Independent Set Problem

**Input:** graph  $G = (V, E)$

**Output:** the maximum independent set of  $G$

### max-independent-set( $G = (V, E)$ )

- 1  $R \leftarrow \emptyset$
- 2 for every set  $S \subseteq V$
- 3      $b \leftarrow \text{true}$
- 4     for every  $u, v \in S$
- 5         if  $(u, v) \in E$  then  $b \leftarrow \text{false}$
- 6     if  $b$  and  $|S| > |R|$  then  $R \leftarrow S$
- 7 return  $R$

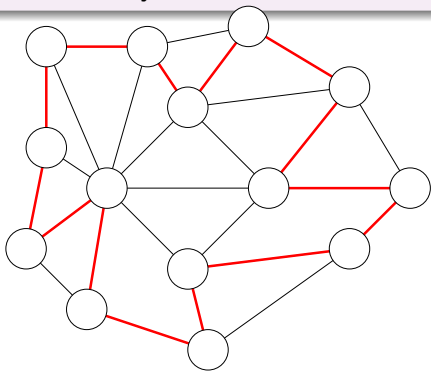
Running time =  $O(2^n n^2)$ .

# Beyond Polynomial Time: $O(n!)$

## Hamiltonian Cycle Problem

**Input:** a graph with  $n$  vertices

**Output:** a cycle that visits each node exactly once,  
or say no such cycle exists



# Beyond Polynomial Time: $n!$

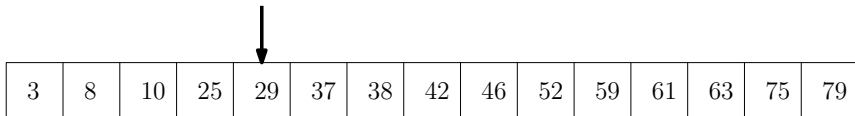
## Hamiltonian( $G = (V, E)$ )

- 1 for every permutation  $(p_1, p_2, \dots, p_n)$  of  $V$
- 2      $b \leftarrow \text{true}$
- 3     for  $i \leftarrow 1$  to  $n - 1$
- 4         if  $(p_i, p_{i+1}) \notin E$  then  $b \leftarrow \text{false}$
- 5     if  $(p_n, p_1) \notin E$  then  $b \leftarrow \text{false}$
- 6     if  $b$  then return  $(p_1, p_2, \dots, p_n)$
- 7 return "No Hamiltonian Cycle"

Running time =  $O(n! \times n)$

# $O(\lg n)$ (Logarithmic) Running Time

- Binary search
  - Input: sorted array  $A$  of size  $n$ , an integer  $t$ ;
  - Output: whether  $t$  appears in  $A$ .
- E.g, search 35 in the following array:



3	8	10	25	29	37	38	42	46	52	59	61	63	75	79
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# $O(\lg n)$ (Logarithmic) Running Time

## Binary search

- Input: sorted array  $A$  of size  $n$ , an integer  $t$ ;
- Output: whether  $t$  appears in  $A$ .

### binary-search( $A, n, t$ )

- 1  $i \leftarrow 1, j \leftarrow n$
- 2 while  $i \leq j$  do
- 3      $k \leftarrow \lfloor (i + j)/2 \rfloor$
- 4     if  $A[k] = t$  return true
- 5     if  $A[k] < t$  then  $j \leftarrow k - 1$  else  $i \leftarrow k + 1$
- 6 return false

Running time =  $O(\lg n)$

# Compare the Orders

- Sort the functions from smallest to largest asymptotically  
 $n^{\sqrt{n}}$ ,  $\lg n$ ,  $n$ ,  $n^2$ ,  $n \lg n$ ,  $n!$ ,  $2^n$ ,  $e^n$ ,  $\lg(n!)$ ,  $n^n$
- $f < g$  stands for  $f = o(g)$ ,  $f = g$  stands for  $f = \Theta(g)$ !
- $\lg n < n^{\sqrt{n}}$
- $\lg n < n < n^{\sqrt{n}}$
- $\lg n < n < n^2 < n^{\sqrt{n}}$
- $\lg n < n < n \lg n < n^2 < n^{\sqrt{n}}$
- $\lg n < n < n \lg n < n^2 < n^{\sqrt{n}} < n!$
- $\lg n < n < n \lg n < n^2 < n^{\sqrt{n}} < 2^n < n!$
- $\lg n < n < n \lg n = \lg(n!) < n^2 < n^{\sqrt{n}} < 2^n < e^n < n!$
- $\lg n < n < n \lg n = \lg(n!) < n^2 < n^{\sqrt{n}} < 2^n < e^n < n! < n^n$



When we talk about upper bounds:

- Logarithmic time:  $O(\lg n)$
- Linear time:  $O(n)$
- Quadratic time  $O(n^2)$
- Cubic time  $O(n^3)$
- Polynomial time:  $O(n^k)$  for some constant  $k$
- Exponential time:  $O(c^n)$  for some  $c > 1$
- Sub-linear time:  $o(n)$
- Sub-quadratic time:  $o(n^2)$

## Goal of Algorithm Design

- Design algorithms to minimize the order of the running time.
- Using asymptotic analysis allows us to ignore the leading constants and lower order terms
- Makes our life much easier! (E.g., the leading constant depends on the implementation, compiler and computer architecture of computer.)

**Q:** Does ignoring the leading constant cause any issues?

- e.g, how can we compare an algorithm with running time  $0.1n^2$  with an algorithm with running time  $1000n$ ?

**A:**

- Sometimes yes
- However, when  $n$  is big enough,  $1000n < 0.1n^2$
- For “natural” algorithms, constants are not so big!
- So, for reasonable  $n$ , algorithm with lower order running time beats algorithm with higher order running time.