# CSE 431/531: Algorithm Analysis and Design (Spring 2020) Divide-and-Conquer

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### Outline

- Divide-and-Conquer
- Counting Inversions
- Quicksort and Selection
  - Quicksort
  - Lower Bound for Comparison-Based Sorting Algorithms
  - Selection Problem
- 4 Polynomial Multiplication
- Other Classic Algorithms using Divide-and-Conquer
- Solving Recurrences
- Computing *n*-th Fibonacci Number

### Greedy Algorithm

- mainly for combinatorial optimization problems
- trivial algorithm runs in exponential time
- greedy algorithm gives an efficient algorithm
- main focus of analysis: correctness of algorithm

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#### Divide-and-Conquer

- not necessarily for combinatorial optimization problems
- trivial algorithm already runs in polynomial time
- divide-and-conquer gives a more efficient algorithm
- main focus of analysis: running time

### Divide-and-Conquer

- Divide: Divide instance into many smaller instances
- Conquer: Solve each of smaller instances recursively and separately
- **Combine**: Combine solutions to small instances to obtain a solution for the original big instance

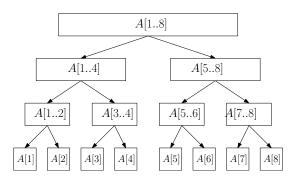
### merge-sort(A, n)

- $\bullet$  if n=1 then
- else
- $\qquad \qquad C \leftarrow \mathsf{merge\text{-}sort}\Big(A\big[\lfloor n/2 \rfloor + 1..n\big], \lceil n/2 \rceil \Big)$
- return merge $(B, C, \lfloor n/2 \rfloor, \lceil n/2 \rceil)$

### $\mathsf{merge}\text{-}\mathsf{sort}(A,n)$

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- return merge $(B, C, \lfloor n/2 \rfloor, \lceil n/2 \rceil)$ 
  - Divide: trivial
  - Conquer: 4, 5
  - Combine: 6

### Running Time for Merge-Sort



- Each level takes running time O(n)
- There are  $O(\lg n)$  levels
- Running time =  $O(n \lg n)$
- Better than insertion sort

• T(n) = running time for sorting n numbers,then

$$T(n) = \begin{cases} O(1) & \text{if } n = 1\\ T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + O(n) & \text{if } n \ge 2 \end{cases}$$

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• With some tolerance of informality:

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- Solving this recurrence, we have  $T(n) = O(n \lg n)$  (we shall show how later)

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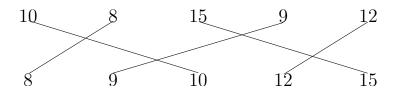
8 9 10 12 15

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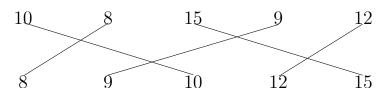


#### **Counting Inversions**

**Input:** an sequence A of n numbers

**Output:** number of inversions in A

#### Example:



• 4 inversions (for convenience, using numbers, not indices): (10,8), (10,9), (15,9), (15,12)

# Naive Algorithm for Counting Inversions

### count-inversions(A, n)

- $0 c \leftarrow 0$
- ② for every  $i \leftarrow 1$  to n-1
- of for every  $j \leftarrow i + 1$  to n
- if A[i] > A[j] then  $c \leftarrow c + 1$
- lacktriangle return c

### Divide-and-Conquer

$$A: \qquad B \qquad C$$

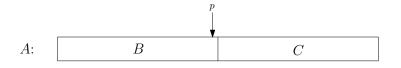
- $p = \lfloor n/2 \rfloor, B = A[1..p], C = A[p+1..n]$
- #invs(A) = #invs(B) + #invs(C) + m  $m = |\{(i, j) : B[i] > C[j]\}|$

**Q:** How fast can we compute m, via trivial algorithm?

### **A:** $O(n^2)$

• Can not improve the  $O(n^2)$  time for counting inversions.

### Divide-and-Conquer



• 
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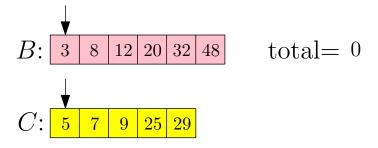
$$\# \mathsf{invs}(A) = \# \mathsf{invs}(B) + \# \mathsf{invs}(C) + m$$
 
$$m = \left| \left\{ (i,j) : B[i] > C[j] \right\} \right|$$

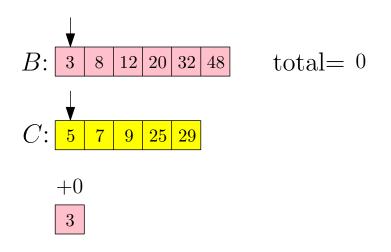
**Lemma** If both B and C are sorted, then we can compute m in O(n) time!

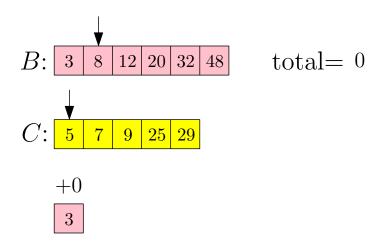
$$B: \ \boxed{3} \ \boxed{8} \ \boxed{12} \ \boxed{20} \ \boxed{32} \ \boxed{48}$$

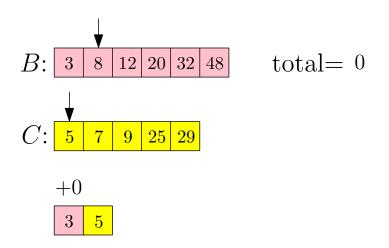
$$total = 0$$

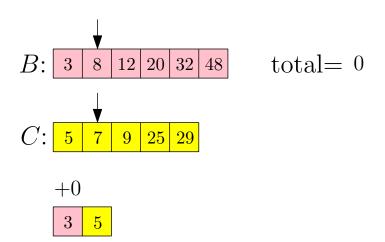
$$C$$
: 5 7 9 25 29

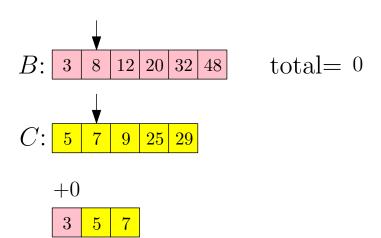


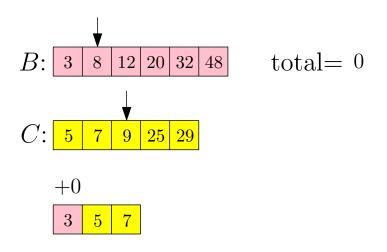






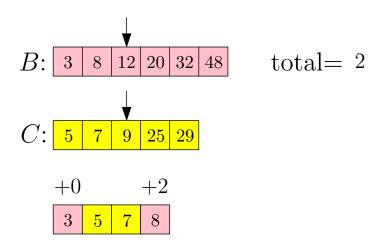


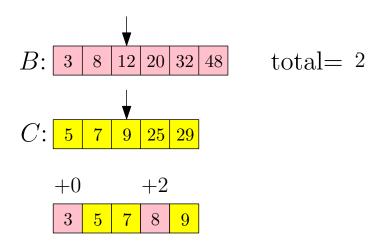


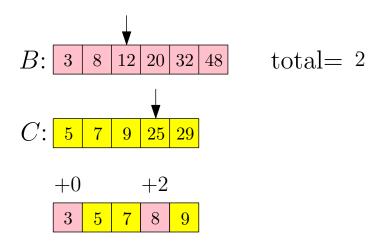


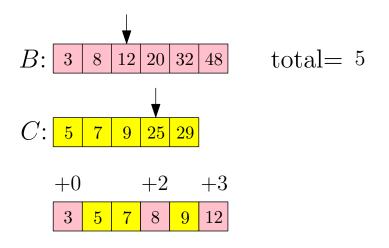
$$B: \boxed{3} \ 8 \ 12 \ 20 \ 32 \ 48$$
 total= 2

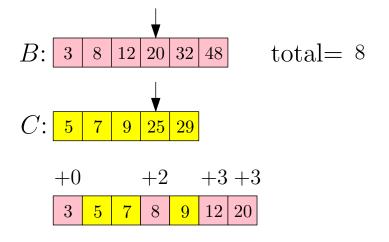
 $C: \boxed{5} \ 7 \ 9 \ 25 \ 29$ 
 $+0 \ +2$ 
 $\boxed{3} \ 5 \ 7 \ 8$ 











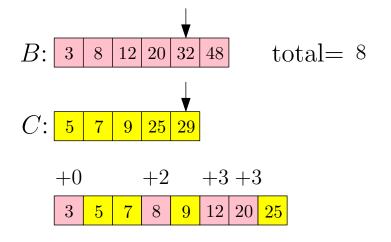
$$B: 3 \ 8 \ 12 \ 20 \ 32 \ 48$$
 total= 8

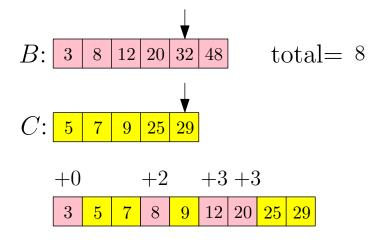
 $C: 5 \ 7 \ 9 \ 25 \ 29$ 
 $+0 \ +2 \ +3 \ +3$ 
 $3 \ 5 \ 7 \ 8 \ 9 \ 12 \ 20$ 

$$B: \boxed{3} \ \ 8 \ \ 12 \ \ 20 \ \ 32 \ \ 48$$
 total= 8
$$C: \boxed{5} \ \ 7 \ \ 9 \ \ 25 \ \ 29$$

$$+0 \qquad +2 \qquad +3 +3$$

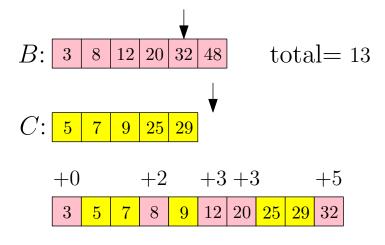
$$\boxed{3} \ \ 5 \ \ 7 \ \ 8 \ \ 9 \ \ 12 \ \ 20 \ \ 25$$

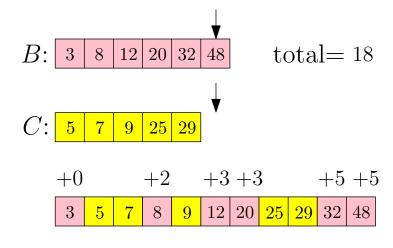


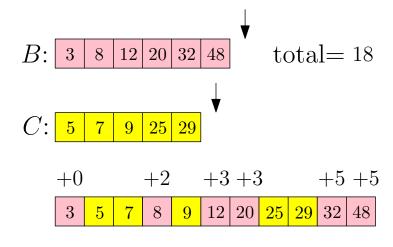


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ullet Procedure that merges B and C and counts inversions between B and C at the same time

```
merge-and-count(B, C, n_1, n_2)
 \bigcirc count \leftarrow 0:
 \bigcirc A \leftarrow []; i \leftarrow 1; j \leftarrow 1
 \bullet while i < n_1 or j < n_2
        if i > n_2 or (i < n_1 \text{ and } B[i] < C[j]) then
           append B[i] to A; i \leftarrow i+1
 5
           count \leftarrow count + (i-1)
 6
        else
```

append C[i] to A;  $i \leftarrow i+1$ 

return (A, count)

#### Sort and Count Inversions in A

 A procedure that returns the sorted array of A and counts the number of inversions in A:

#### sort-and-count(A, n)

- $\bullet$  if n=1 then
- 2 return (A,0)
- else
- $(B, m_1) \leftarrow \mathsf{sort}\text{-}\mathsf{and}\text{-}\mathsf{count}\Big(A\big[1..\lfloor n/2\rfloor\big], \lfloor n/2\rfloor\Big)$
- $(C, m_2) \leftarrow \mathsf{sort}\text{-}\mathsf{and}\text{-}\mathsf{count}\Big(A\big[\lfloor n/2\rfloor + 1..n\big], \lceil n/2\rceil\Big)$
- $(A, m_3) \leftarrow \mathsf{merge-and-count}(B, C, \lfloor n/2 \rfloor, \lceil n/2 \rceil)$
- return  $(A, m_1 + m_2 + m_3)$

#### Sort and Count Inversions in A

 A procedure that returns the sorted array of A and counts the number of inversions in A:

```
sort-and-count(A, n)

    Divide: trivial

 \bullet if n=1 then
                                                        • Conquer: 4, 5
      return (A,0)

    Combine: 6. 7

 else
         (B, m_1) \leftarrow \text{sort-and-count} \Big( A \big[ 1.. \lfloor n/2 \rfloor \big], \lfloor n/2 \rfloor \Big)
         (C, m_2) \leftarrow \text{sort-and-count} \left( A[\lfloor n/2 \rfloor + 1..n], \lceil n/2 \rceil \right)
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- Running time =  $O(n \lg n)$

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# Quicksort vs Merge-Sort

	Merge Sort	Quicksort
Divide	Trivial	Separate small and big numbers
Conquer	Recurse	Recurse
Combine	Merge 2 sorted arrays	Trivial

29	82	75	64	38	45	94	69	25	76	15	92	37	17	85	
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20	1 89	75	64	38	1 45	9/1	69	25	L 76	1 15	92	37	17	1 25
20	02	10	UT	90	10	JI	0.5	20	10	10	52	01	11	00
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			ı									ı		
29	38	45	25	15	37	17	64	82	75	94	92	69	76	85

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#### Quicksort

#### quicksort(A, n)

- if n < 1 then return A
- **③**  $A_L$  ← elements in A that are less than x
- $\bullet$   $A_R \leftarrow$  elements in A that are greater than x
- **⑤**  $B_L \leftarrow \mathsf{quicksort}(A_L, A_L.\mathsf{size})$
- **⑤**  $B_R$  ← quicksort( $A_R$ ,  $A_R$ .size)
- 0  $t \leftarrow$  number of times x appear A
- $\bullet$  return the array obtained by concatenating  $B_L$ , the array containing t copies of x, and  $B_R$

\\ Divide

\\ Conquer

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**Assumption** We can choose median of an array of size n in O(n) time.

**Q:** How to remove this assumption?

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#### A:

- There is an algorithm to find median in O(n) time, using divide-and-conquer (we shall not talk about it; it is complicated and not practical)
- Choose a pivot randomly and pretend it is the median (it is practical)

# Quicksort Using A Random Pivot

containing t copies of x, and  $B_R$ 

```
quicksort(A, n)
• if n < 1 then return A
2 x \leftarrow a random element of A (x is called a pivot)
\bullet A_L \leftarrow elements in A that are less than x
                                                                  \\ Divide
\bullet A_R \leftarrow elements in A that are greater than x
                                                                  \\ Divide
\bullet B_L \leftarrow \mathsf{quicksort}(A_L, A_L.\mathsf{size})
                                                                \\ Conquer
1 B_R ← quicksort(A_R, A_R.size)
                                                                \backslash \backslash Conquer
 \bullet return the array obtained by concatenating B_L, the array
```

**Assumption** There is a procedure to produce a random real number in  $\left[0,1\right]$ .

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**A:** No! The execution of a computer programs is deterministic!

- In practice: use pseudo-random-generator, a deterministic algorithm returning numbers that "look like" random
- In theory: assume they can.

# Quicksort Using A Random Pivot

 $\bullet$   $B_L \leftarrow \mathsf{quicksort}(A_L, A_L.\mathsf{size})$ 

```
\begin{array}{l} \operatorname{\mathsf{quicksort}}(A,n) \\ \bullet \quad \text{if } n \leq 1 \text{ then return } A \\ \bullet \quad x \leftarrow \text{a random element of } A \text{ } (x \text{ is called a pivot}) \\ \bullet \quad A_L \leftarrow \text{elements in } A \text{ that are less than } x \\ \bullet \quad A_R \leftarrow \text{elements in } A \text{ that are greater than } x \\ \end{array} \quad \backslash \backslash \text{ Divide}
```

- **o**  $B_R$  ← quicksort( $A_R$ ,  $A_R$ .size) **o** t ← number of times x appear A
- return the array obtained by concatenating  $B_L$ , the array containing t copies of x, and  $B_R$

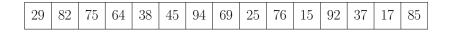
**Lemma** The expected running time of the algorithm is  $O(n \lg n)$ .

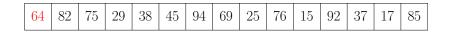
\\ Conquer

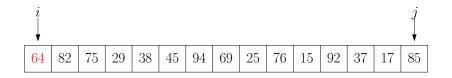
 $\backslash \backslash$  Conquer

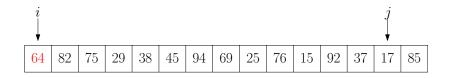
# Quicksort Can Be Implemented as an "In-Place" Sorting Algorithm

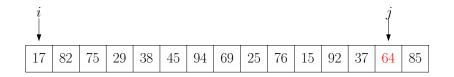
• In-Place Sorting Algorithm: an algorithm that only uses "small" extra space.

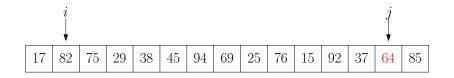


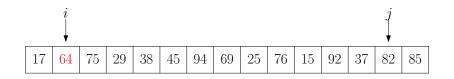


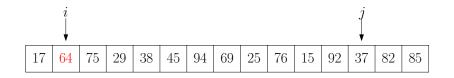


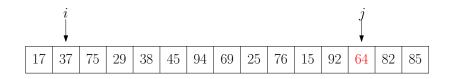


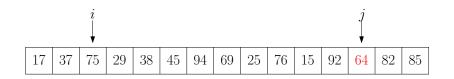


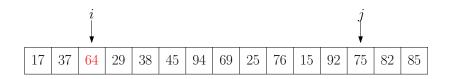


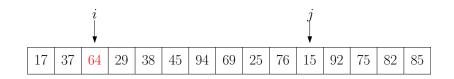


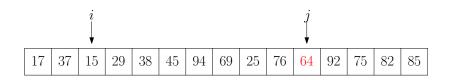


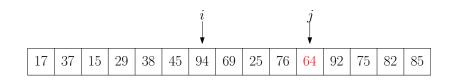


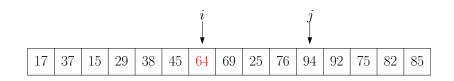


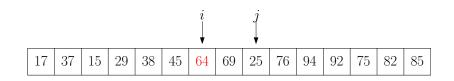


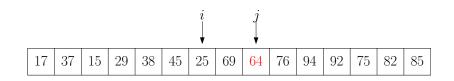


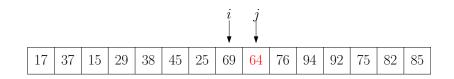


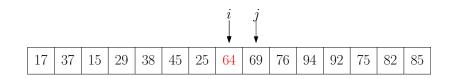


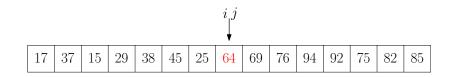




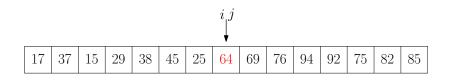








• In-Place Sorting Algorithm: an algorithm that only uses "small" extra space.



 $\bullet$  To partition the array into two parts, we only need  ${\cal O}(1)$  extra space.

#### $\mathsf{partition}(A,\ell,r)$

- $\bullet \hspace{0.5cm} p \leftarrow \mathsf{random} \hspace{0.1cm} \mathsf{integer} \hspace{0.1cm} \mathsf{between} \hspace{0.1cm} \ell \hspace{0.1cm} \mathsf{and} \hspace{0.1cm} r, \hspace{0.1cm} \mathsf{swap} \hspace{0.1cm} A[p] \hspace{0.1cm} \mathsf{and} \hspace{0.1cm} A[\ell]$
- $i \leftarrow \ell, j \leftarrow r$
- while true do
- while i < j and A[i] < A[j] do  $j \leftarrow j 1$
- if i = j then break
- swap A[i] and A[j];  $i \leftarrow i+1$
- while i < j and A[i] < A[j] do  $i \leftarrow i + 1$
- **3 if** i = j **then** break
- $\bullet$  swap A[i] and A[j];  $j \leftarrow j-1$
- ldot return i

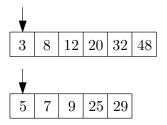
### In-Place Implementation of Quick-Sort

#### $quicksort(A, \ell, r)$

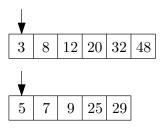
- if  $\ell > r$  then return
- $2 m \leftarrow \mathsf{patition}(A, \ell, r)$
- 3 quicksort $(A, \ell, m-1)$
- $\bigcirc$  quicksort(A, m+1, r)
  - To sort an array A of size n, call quicksort(A, 1, n).

**Note:** We pass the array A by reference, instead of by copying.



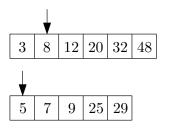


• To merge two arrays, we need a third array with size equaling the total size of two arrays

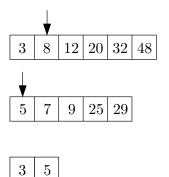


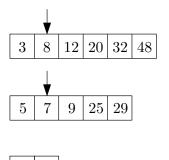
3

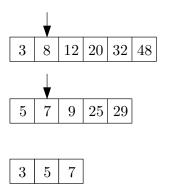
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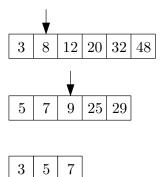


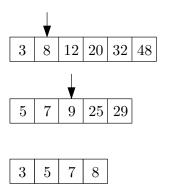
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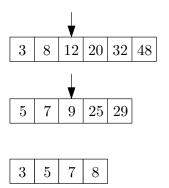


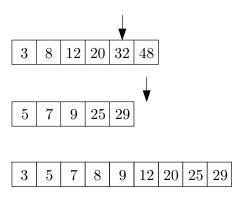


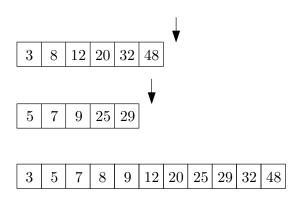












### Outline

- Divide-and-Conquer
- Counting Inversions
- Quicksort and Selection
  - Quicksort
  - Lower Bound for Comparison-Based Sorting Algorithms
  - Selection Problem
- Polynomial Multiplication
- Other Classic Algorithms using Divide-and-Conquer
- Solving Recurrences
- 7 Computing *n*-th Fibonacci Number

**Q:** Can we do better than  $O(n \log n)$  for sorting?

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### Comparison-Based Sorting Algorithms

- To sort, we are only allowed to compare two elements
- We can not use "internal structures" of the elements

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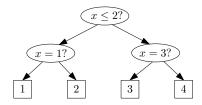
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**Input:** a set A of n numbers, and  $1 \le i \le n$ 

**Output:** the i-th smallest number in A

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- Our goal: O(n) running time

## Recall: Quicksort with Median Finder

### quicksort(A, n)

- if n < 1 then return A
- $x \leftarrow$  lower median of A
- **③**  $A_L$  ← elements in A that are less than x
- $\bullet$   $A_R \leftarrow$  elements in A that are greater than x
- **⑤**  $B_L \leftarrow \mathsf{quicksort}(A_L, A_L.\mathsf{size})$
- **⑤**  $B_R$  ← quicksort( $A_R$ ,  $A_R$ .size)
- 0  $t \leftarrow$  number of times x appear A
- return the array obtained by concatenating  $B_L$ , the array containing t copies of x, and  $B_R$

\\ Divide

\\ Conquer

 $\setminus \setminus$  Conquer

# Selection Algorithm with Median Finder

 $oldsymbol{ ilde{9}}$  else return x

```
selection(A, n, i)
\bullet if n=1 then return A
  x \leftarrow  lower median of A 
\bullet A_L \leftarrow elements in A that are less than x
                                                                     Divide
\bullet A_R \leftarrow elements in A that are greater than x
                                                                  \\ Divide
\bullet if i < A_L.size then
       return selection(A_L, A_L.size, i)
                                                                • elseif i > n - A_R size then
       return selection(A_R, A_R.size, i - (n - A_R.size)) \\ Conquer
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# Selection Algorithm with Median Finder

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\mathsf{selection}(A, n, i)
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- elseif  $i > n A_R$ .size then
- $\qquad \text{return selection}(A_R,A_R.\mathsf{size},i-(n-A_R.\mathsf{size})) \qquad \backslash \backslash \ \mathsf{Conquer}$
- ullet else return x
  - Recurrence for selection: T(n) = T(n/2) + O(n)

\\ Divide

# Selection Algorithm with Median Finder

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- return selection $(A_R, A_R. \text{size}, i (n A_R. \text{size}))$  \\ Conquer
- $oldsymbol{\circ}$  else return x
  - Recurrence for selection: T(n) = T(n/2) + O(n)
  - Solving recurrence: T(n) = O(n)

\\ Divide

\\ Divide

\\ Conquer

## Randomized Selection Algorithm

 $oldsymbol{0}$  else return x

```
selection(A, n, i)
\bullet if n=1 then return A
2 x \leftarrow \text{random element of } A \text{ (called pivot)}
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• expected running time = O(n)

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Output: product of two polynomials

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$$+ 4x^{5} - 6x^{4} + 12x^{3} - 10x^{2}$$

$$- 10x^{4} + 15x^{3} - 30x^{2} + 25x$$

$$+ 8x^{3} - 12x^{2} + 24x - 20$$

$$= 6x^{6} - 5x^{5} + 2x^{4} + 20x^{3} - 52x^{2} + 49x - 20$$

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$$= 6x^{6} - 5x^{5} + 2x^{4} + 20x^{3} - 52x^{2} + 49x - 20$$

- Input: (4, -5, 2, 3), (-5, 6, -3, 2)
- Output: (-20, 49, -52, 20, 2, -5, 6)

## Naïve Algorithm

### ${\it polynomial-multiplication}(A,B,n)$

- **1** let C[k] = 0 for every  $k = 0, 1, 2, \dots, 2n 2$
- ② for  $i \leftarrow 0$  to n-1
- $C[i+j] \leftarrow C[i+j] + A[i] \times B[j]$
- lacktriangle return C

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- $\odot$  return C

Running time:  $O(n^2)$ 

## Divide-and-Conquer for Polynomial Multiplication

$$p(x) = 3x^{3} + 2x^{2} - 5x + 4 = (3x + 2)x^{2} + (-5x + 4)$$
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- p(x): degree of n-1 (assume n is even)
- $p(x) = p_H(x)x^{n/2} + p_L(x)$ ,
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$$pq = (p_H x^{n/2} + p_L) (q_H x^{n/2} + q_L)$$
  
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$$\begin{split} \mathsf{multiply}(p,q) &= \mathsf{multiply}(p_H,q_H) \times x^n \\ &+ \left( \mathsf{multiply}(p_H,q_L) + \mathsf{multiply}(p_L,q_H) \right) \times x^{n/2} \\ &+ \mathsf{multiply}(p_L,q_L) \end{split}$$

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• 
$$p_H q_L + p_L q_H = (p_H + p_L)(q_H + q_L) - p_H q_H - p_L q_L$$

```
r_H = \mathsf{multiply}(p_H, q_H)

r_L = \mathsf{multiply}(p_L, q_L)
```

$$\begin{split} r_H &= \mathsf{multiply}(p_H, q_H) \\ r_L &= \mathsf{multiply}(p_L, q_L) \\ \mathsf{multiply}(p, q) &= r_H \times x^n \\ &\quad + \left( \mathsf{multiply}(p_H + p_L, q_H + q_L) - r_H - r_L \right) \times x^{n/2} \\ &\quad + r_L \end{split}$$

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- Solving Recurrence: T(n) = 3T(n/2) + O(n)
- $T(n) = O(n^{\lg_2 3}) = O(n^{1.585})$

## **Assumption** n is a power of 2. Arrays are 0-indexed.

#### $\mathsf{multiply}(A,B,n)$

- $\bullet \ \, \text{if} \,\, n=1 \,\, \text{then return} \,\, (A[0]B[0])$
- $A_L \leftarrow A[0 .. n/2 1], A_H \leftarrow A[n/2 .. n 1]$
- ③  $B_L \leftarrow B[0 .. n/2 1], B_H \leftarrow B[n/2 .. n 1]$ ④  $C_L \leftarrow \mathsf{multiply}(A_L, B_L, n/2)$
- $C_H \leftarrow \mathsf{multiply}(A_H, B_H, n/2)$

- for  $i \leftarrow 0$  to n-2 do
    $C[i] \leftarrow C[i] + C_L[i]$
- $C[i] \land C[i] + C_L[i]$   $C[i+n] \leftarrow C[i+n] + C_H[i]$
- $C[i + n/2] \leftarrow C[i + n/2] + C_M[i] C_L[i] C_H[i]$ 
  - return C

#### Outline

- Divide-and-Conquer
- Counting Inversions
- Quicksort and Selection
  - Quicksort
  - Lower Bound for Comparison-Based Sorting Algorithms
  - Selection Problem
- 4 Polynomial Multiplication
- 5 Other Classic Algorithms using Divide-and-Conquer
- 6 Solving Recurrences
- Computing *n*-th Fibonacci Number

- Closest pair
- Convex hull
- Matrix multiplication
- FFT(Fast Fourier Transform): polynomial multiplication in  $O(n \lg n)$  time

#### Closest Pair

**Input:** n points in plane:  $(x_1, y_1), (x_2, y_2), \cdots, (x_n, y_n)$ 

Output: the pair of points that are closest

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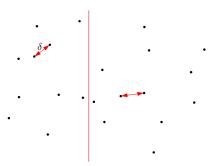
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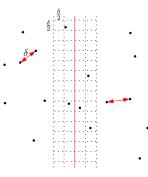
• Trivial algorithm:  $O(n^2)$  running time

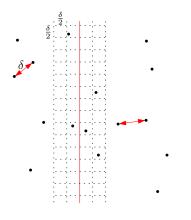
• Divide: Divide the points into two halves via a vertical line

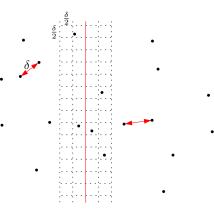
- Divide: Divide the points into two halves via a vertical line
- Conquer: Solve two sub-instances recursively



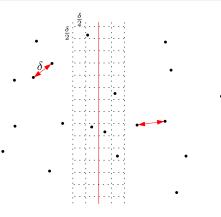
- Divide: Divide the points into two halves via a vertical line
- Conquer: Solve two sub-instances recursively
- Combine: Check if there is a closer pair between left-half and right-half



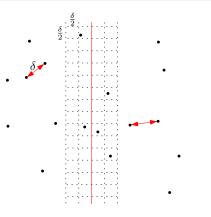




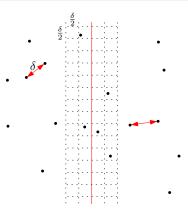
• Each box contains at most one pair



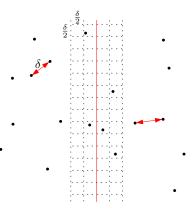
- Each box contains at most one pair
- ullet For each point, only need to consider O(1) boxes nearby



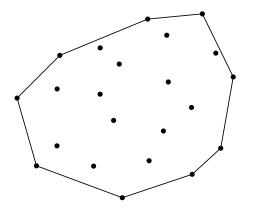
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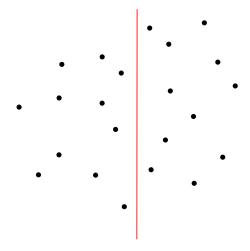


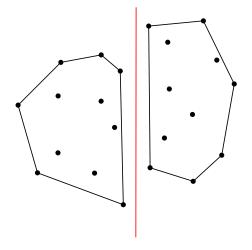
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- Recurrence: T(n) = 2T(n/2) + O(n)

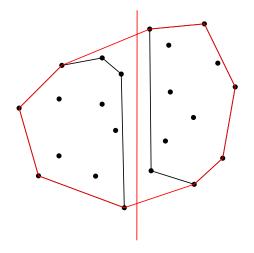


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- Running time:  $O(n \lg n)$









## Strassen's Algorithm for Matrix Multiplication

#### Matrix Multiplication

**Input:** two  $n \times n$  matrices A and B

**Output:** C = AB

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#### Naive Algorithm: matrix-multiplication (A, B, n)

- for  $i \leftarrow 1$  to n
- of for  $j \leftarrow 1$  to n
- $C[i,j] \leftarrow 0$
- for  $k \leftarrow 1$  to n
- $C[i,j] \leftarrow C[i,j] + A[i,k] \times B[k,j]$
- $\odot$  return C

## Strassen's Algorithm for Matrix Multiplication

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- running time =  $O(n^3)$

# Try to Use Divide-and-Conquer

$$A = \begin{array}{|c|c|} \hline n/2 & & n/2 \\ \hline A_{11} & A_{12} \\ \hline A_{21} & A_{22} \\ \hline \end{array} n/2 \qquad B = \begin{array}{|c|c|} \hline B_{11} & B_{12} \\ \hline B_{21} & B_{22} \\ \hline \end{array} n/2$$

• 
$$C = \begin{pmatrix} A_{11}B_{11} + A_{12}B_{21} & A_{11}B_{12} + A_{12}B_{22} \\ A_{21}B_{11} + A_{22}B_{21} & A_{21}B_{12} + A_{22}B_{22} \end{pmatrix}$$

• matrix\_multiplication(A,B) recursively calls matrix\_multiplication $(A_{11},B_{11})$ , matrix\_multiplication $(A_{12},B_{21})$ , . . .

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• 
$$C = \begin{pmatrix} A_{11}B_{11} + A_{12}B_{21} & A_{11}B_{12} + A_{12}B_{22} \\ A_{21}B_{11} + A_{22}B_{21} & A_{21}B_{12} + A_{22}B_{22} \end{pmatrix}$$

- matrix\_multiplication(A,B) recursively calls matrix\_multiplication $(A_{11},B_{11})$ , matrix\_multiplication $(A_{12},B_{21})$ , . . .
- Recurrence for running time:  $T(n) = 8T(n/2) + O(n^2)$
- $T(n) = O(n^3)$

# Strassen's Algorithm

- $T(n) = 8T(n/2) + O(n^2)$
- Strassen's Algorithm: improve the number of multiplications from 8 to 7!
- New recurrence:  $T(n) = 7T(n/2) + O(n^2)$

# Strassen's Algorithm

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- Strassen's Algorithm: improve the number of multiplications from 8 to 7!
- New recurrence:  $T(n) = 7T(n/2) + O(n^2)$
- Solving Recurrence  $T(n) = O(n^{\log_2 7}) = O(n^{2.808})$

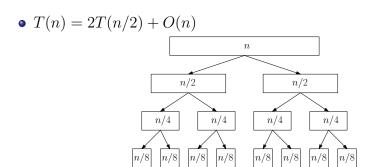
# Outline

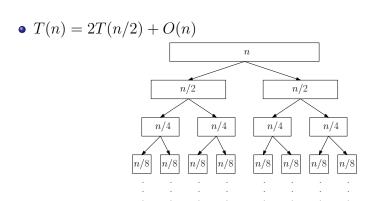
- Divide-and-Conquer
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# Methods for Solving Recurrences

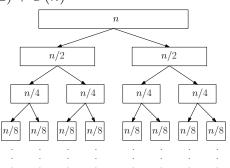
- The recursion-tree method
- The master theorem

• 
$$T(n) = 2T(n/2) + O(n)$$

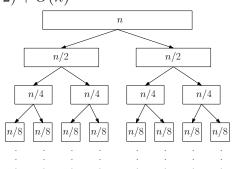




 $\bullet \ \ {\rm Each \ level \ takes \ running \ time} \ O(n)$ 



- ullet Each level takes running time O(n)
- There are  $O(\lg n)$  levels

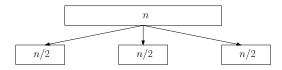


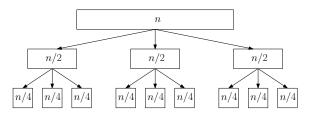
- $\bullet \ \ {\sf Each \ level \ takes \ running \ time} \ O(n)$
- There are  $O(\lg n)$  levels
- Running time =  $O(n \lg n)$

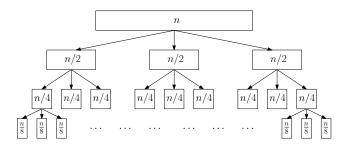
• 
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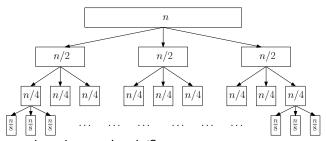
n





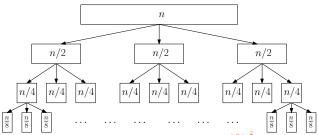


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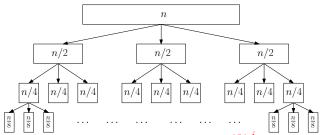


ullet Total running time at level i?

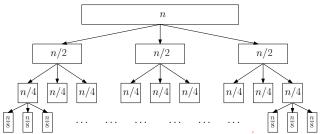
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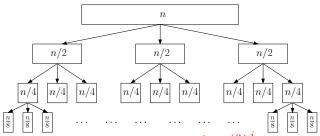
• Total running time at level i?  $\frac{n}{2^i} \times 3^i = \left(\frac{3}{2}\right)^i n^i$ 



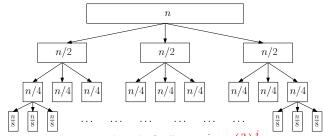
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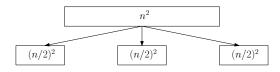
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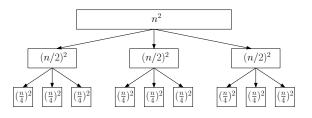
$$\sum_{i=0}^{\lg_2 n} \left(\frac{3}{2}\right)^i n = O\left(n\left(\frac{3}{2}\right)^{\lg_2 n}\right) = O(3^{\lg_2 n}) = O(n^{\lg_2 3}).$$

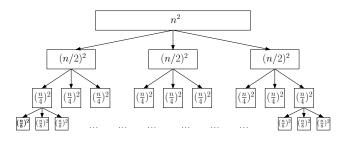
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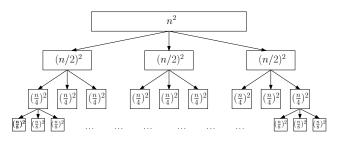
 $n^2$ 





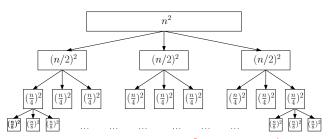


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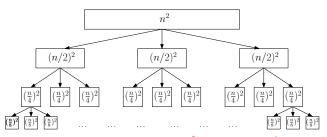


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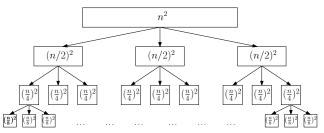
•  $T(n) = 3T(n/2) + O(n^2)$ 



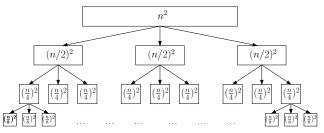
 $\bullet$  Total running time at level  $i?~\left(\frac{n}{2^i}\right)^2\times 3^i=\left(\frac{3}{4}\right)^in^2$ 



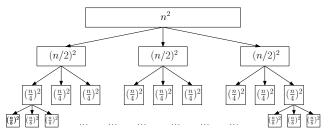
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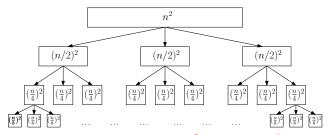


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$$\sum_{i=0}^{\lg_2 n} \left(\frac{3}{4}\right)^i n^2 =$$



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$$\sum_{i=0}^{\lg_2 n} \left(\frac{3}{4}\right)^i n^2 = O(n^2).$$

## Master Theorem

Recurrences	a	b	c	time
T(n) = 2T(n/2) + O(n)				$O(n \lg n)$
T(n) = 3T(n/2) + O(n)				$O(n^{\lg_2 3})$
$T(n) = 3T(n/2) + O(n^2)$				$O(n^2)$

**Theorem**  $T(n) = aT(n/b) + O(n^c)$ , where  $a \ge 1, b > 1, c \ge 0$  are constants. Then,

## Master Theorem

Recurrences	a	b	c	time
T(n) = 2T(n/2) + O(n)	2	2	1	$O(n \lg n)$
T(n) = 3T(n/2) + O(n)				$O(n^{\lg_2 3})$
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**Theorem**  $T(n) = aT(n/b) + O(n^c)$ , where  $a \ge 1, b > 1, c \ge 0$  are constants. Then,

Recurrences	a	b	c	time
T(n) = 2T(n/2) + O(n)	2	2	1	$O(n \lg n)$
T(n) = 3T(n/2) + O(n)	3	2	1	$O(n^{\lg_2 3})$
$T(n) = 3T(n/2) + O(n^2)$				$O(n^2)$

Recurrences	a	b	c	time
T(n) = 2T(n/2) + O(n)	2	2	1	$O(n \lg n)$
T(n) = 3T(n/2) + O(n)	3	2	1	$O(n^{\lg_2 3})$
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Recurrences	a	b	c	time
T(n) = 2T(n/2) + O(n)	2	2	1	$O(n \lg n)$
T(n) = 3T(n/2) + O(n)	3	2	1	$O(n^{\lg_2 3})$
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$$T(n) = \begin{cases} & \text{if } c < \lg_b a \\ & \text{if } c = \lg_b a \\ & \text{if } c > \lg_b a \end{cases}$$

Recurrences	a	b	c	time
T(n) = 2T(n/2) + O(n)	2	2	1	$O(n \lg n)$
T(n) = 3T(n/2) + O(n)	3	2	1	$O(n^{\lg_2 3})$
$T(n) = 3T(n/2) + O(n^2)$	3	2	2	$O(n^2)$

$$T(n) = \begin{cases} ?? & \text{if } c < \lg_b a \\ & \text{if } c = \lg_b a \\ & \text{if } c > \lg_b a \end{cases}$$

Recurrences	a	b	c	time
T(n) = 2T(n/2) + O(n)	2	2	1	$O(n \lg n)$
T(n) = 3T(n/2) + O(n)	3	2	1	$O(n^{\lg_2 3})$
$T(n) = 3T(n/2) + O(n^2)$	3	2	2	$O(n^2)$

$$T(n) = \begin{cases} O(n^{\lg_b a}) & \text{if } c < \lg_b a \\ & \text{if } c = \lg_b a \\ & \text{if } c > \lg_b a \end{cases}$$

Recurrences	a	b	c	time
T(n) = 2T(n/2) + O(n)	2	2	1	$O(n \lg n)$
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Recurrences	a	b	c	time
T(n) = 2T(n/2) + O(n)	2	2	1	$O(n \lg n)$
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$T(n) = 3T(n/2) + O(n^2)$	3	2	2	$O(n^2)$

$$T(n) = \begin{cases} O(n^{\lg_b a}) & \text{if } c < \lg_b a \\ \ref{eq:constraint} & \text{if } c = \lg_b a \\ O(n^c) & \text{if } c > \lg_b a \end{cases}$$

Recurrences	a	b	c	time
T(n) = 2T(n/2) + O(n)	2	2	1	$O(n \lg n)$
T(n) = 3T(n/2) + O(n)	3	2	1	$O(n^{\lg_2 3})$
$T(n) = 3T(n/2) + O(n^2)$	3	2	2	$O(n^2)$

$$T(n) = \begin{cases} O(n^{\lg_b a}) & \text{if } c < \lg_b a \\ O(n^c \lg n) & \text{if } c = \lg_b a \\ O(n^c) & \text{if } c > \lg_b a \end{cases}$$

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• Ex:  $T(n) = 4T(n/2) + O(n^2)$ . Which Case?

$$T(n) = \begin{cases} O(n^{\lg_b a}) & \text{if } c < \lg_b a \\ O(n^c \lg n) & \text{if } c = \lg_b a \\ O(n^c) & \text{if } c > \lg_b a \end{cases}$$

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. Case 2.  $T(n) = O(n^2 \lg n)$ 

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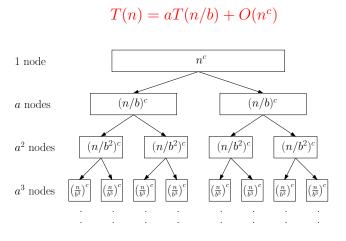
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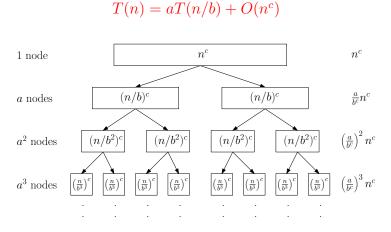
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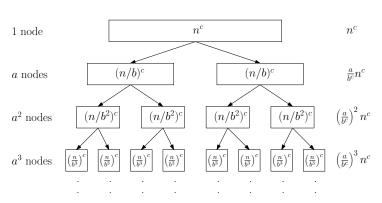
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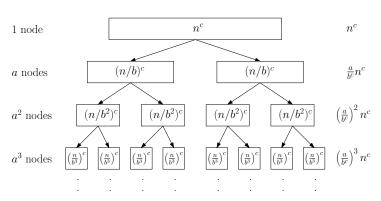


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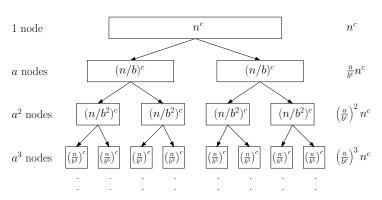
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- $c > \lg_b a$ : top-level dominates:  $O(n^c)$

#### Outline

- Divide-and-Conquer
- Counting Inversions
- Quicksort and Selection
  - Quicksort
  - Lower Bound for Comparison-Based Sorting Algorithms
  - Selection Problem
- Polynomial Multiplication
- Other Classic Algorithms using Divide-and-Conquer
- Solving Recurrences
- **7** Computing *n*-th Fibonacci Number

#### Fibonacci Numbers

- $F_0 = 0, F_1 = 1$
- $F_n = F_{n-1} + F_{n-2}, \forall n \ge 2$
- $\bullet \ \, \text{Fibonacci sequence:} \ \, 0,1,1,2,3,5,8,13,21,34,55,89,\cdots$

#### n-th Fibonacci Number

**Input:** integer n > 0

Output:  $F_n$ 

#### Fib(n)

- $\bullet$  if n=0 return  $\bullet$
- return  $\mathsf{Fib}(n-1) + \mathsf{Fib}(n-2)$

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#### A: Exponential

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- ullet Running time is at least  $\Omega(F_n)$
- $F_n$  is exponential in n

# Computing $F_n$ : Reasonable Algorithm

#### Fib(n)

- ②  $F[1] \leftarrow 1$
- $\bullet$  for  $i \leftarrow 2$  to n do
- $F[i] \leftarrow F[i-1] + F[i-2]$
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  - Dynamic Programming
  - Running time = O(n)

## Computing $F_n$ : Even Better Algorithm

$$\begin{pmatrix} F_{n} \\ F_{n-1} \end{pmatrix} = \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} F_{n-1} \\ F_{n-2} \end{pmatrix}$$
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- $R \leftarrow \mathsf{power}(\lfloor n/2 \rfloor)$   $R \leftarrow R \times R$
- if n is odd then  $R \leftarrow R \times \begin{pmatrix} 1 & 1 \\ 1 & 0 \end{pmatrix}$
- lacktriangle return R

- if n = 0 then return 0
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- 3 return M[1][1]
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#### Fixing the Problem

To compute  $F_n$ , we need  $O(\lg n)$  basic arithmetic operations on integers

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- Conquer: Solve each of smaller instances recursively and separately
- **Combine**: Combine solutions to small instances to obtain a solution for the original big instance

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- Conquer: Solve each of smaller instances recursively and separately
- **Combine**: Combine solutions to small instances to obtain a solution for the original big instance
- Write down recurrence for running time
- Solve recurrence using master theorem

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- Matrix Multiplication:  $T(n) = 7T(n/2) + O(n^2) \Rightarrow T(n) = O(n^{\lg_2 7})$
- Usually, designing better algorithm for "combine" step is key to improve running time