

CSE 431/531: Algorithm Analysis and Design (Spring 2021)

Dynamic Programming

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Paradigms for Designing Algorithms

Greedy algorithm

- Make a greedy choice
- Prove that the greedy choice is safe
- Reduce the problem to a sub-problem and solve it iteratively
- Usually for optimization problems

Divide-and-conquer

- Break a problem into many **independent** sub-problems
- Solve each sub-problem separately
- Combine solutions for sub-problems to form a solution for the original one
- Usually used to design more efficient algorithms

Paradigms for Designing Algorithms

Dynamic Programming

- Break up a problem into many **overlapping** sub-problems
- Build solutions for larger and larger sub-problems
- Use a **table** to store solutions for sub-problems for reuse

Recall: Computing the n -th Fibonacci Number

- $F_0 = 0, F_1 = 1$
- $F_n = F_{n-1} + F_{n-2}, \forall n \geq 2$
- Fibonacci sequence: 0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, ...

Fib(n)

```
1:  $F[0] \leftarrow 0$ 
2:  $F[1] \leftarrow 1$ 
3: for  $i \leftarrow 2$  to  $n$  do
4:    $F[i] \leftarrow F[i - 1] + F[i - 2]$ 
5: return  $F[n]$ 
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- Store each $F[i]$ for future use.

Outline

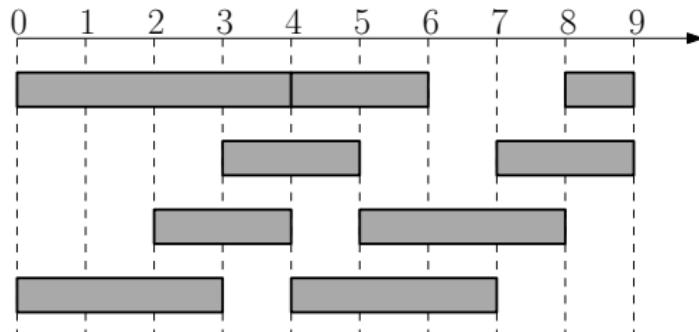
- 1 Weighted Interval Scheduling
- 2 Subset Sum Problem
- 3 Knapsack Problem
- 4 Longest Common Subsequence
 - Longest Common Subsequence in Linear Space
- 5 Shortest Paths in Directed Acyclic Graphs
- 6 Matrix Chain Multiplication
- 7 Optimum Binary Search Tree
- 8 Summary

Recall: Interval Scheduling

Input: n jobs, job i with start time s_i and finish time f_i

i and j are compatible if $[s_i, f_i)$ and $[s_j, f_j)$ are disjoint

Output: a maximum-size subset of mutually compatible jobs

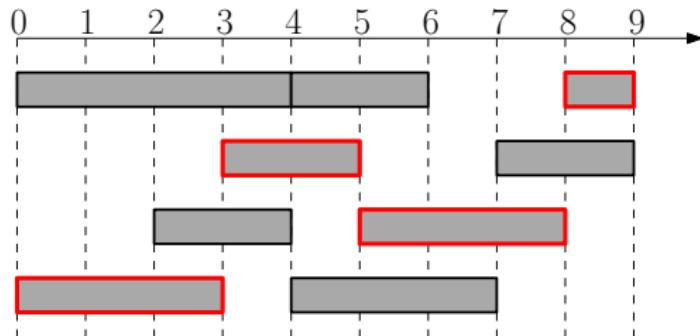


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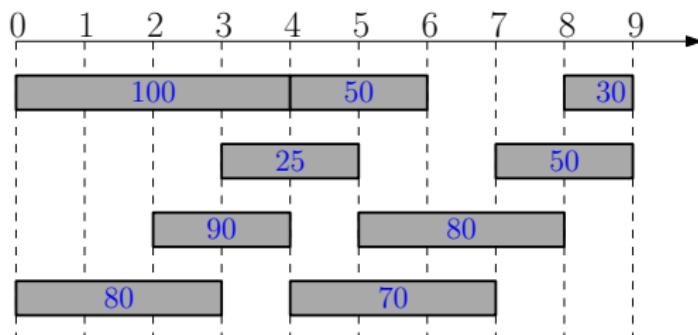


Weighted Interval Scheduling

- Input:** n jobs, job i with start time s_i and finish time f_i
each job has a weight (or value) $v_i > 0$
 i and j are compatible if $[s_i, f_i)$ and $[s_j, f_j)$ are disjoint
- Output:** a maximum-weight subset of mutually compatible jobs

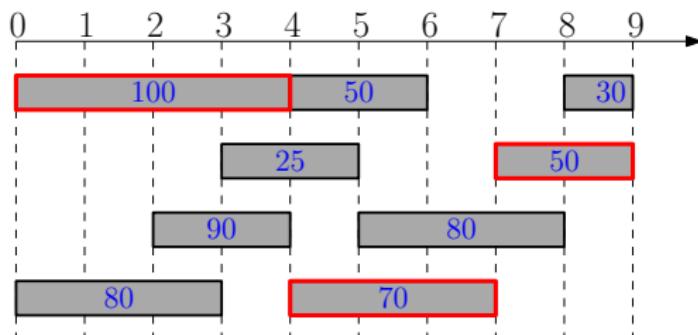
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Optimum value = 220

Hard to Design a Greedy Algorithm

Q: Which job is safe to schedule?

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- Job with the earliest finish time?

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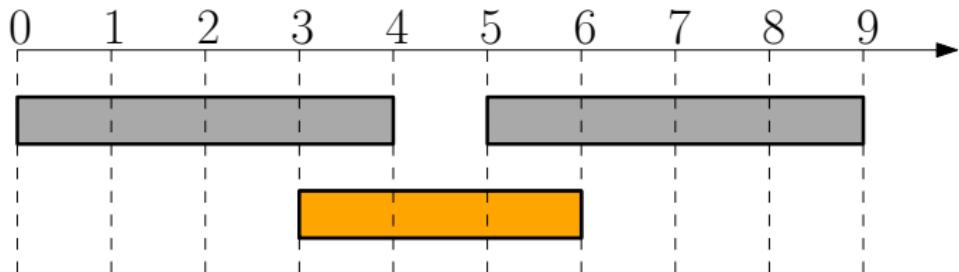
No, when weights are equal, this is the shortest job

Hard to Design a Greedy Algorithm

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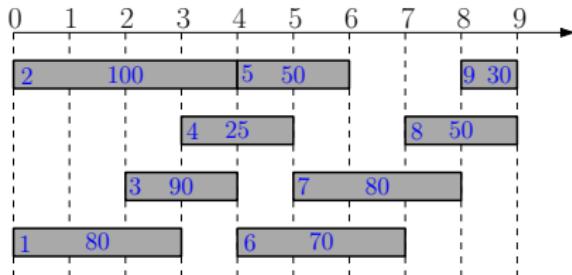
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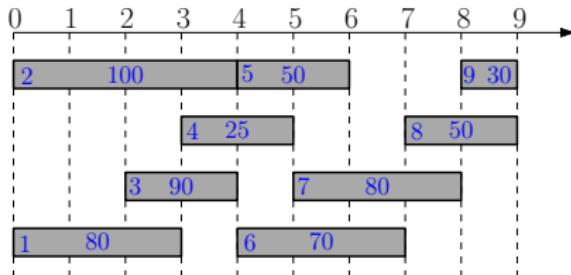
Designing a Dynamic Programming Algorithm

Designing a Dynamic Programming Algorithm



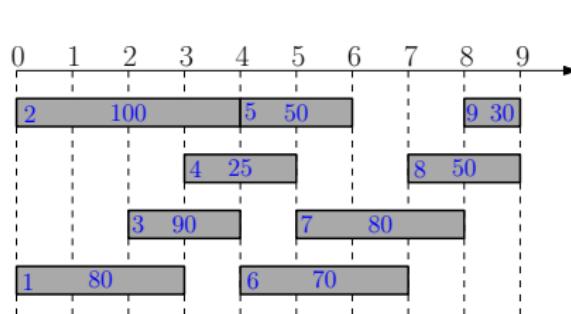
- Sort jobs according to non-decreasing order of finish times

Designing a Dynamic Programming Algorithm



- Sort jobs according to non-decreasing order of finish times
- $opt[i]$: optimal value for instance only containing jobs $\{1, 2, \dots, i\}$

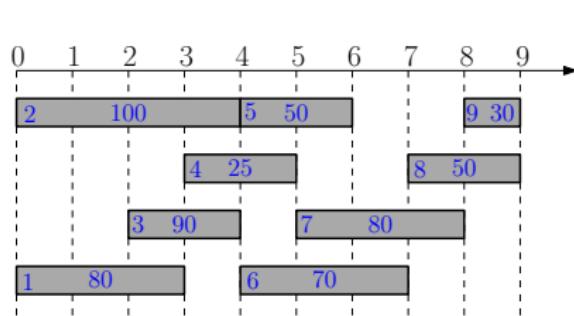
Designing a Dynamic Programming Algorithm



i	$opt[i]$
0	
1	
2	
3	
4	
5	
6	
7	
8	
9	

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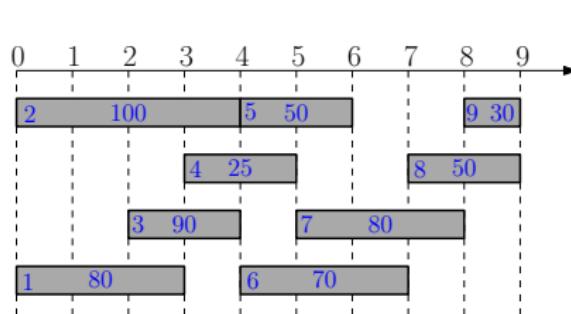
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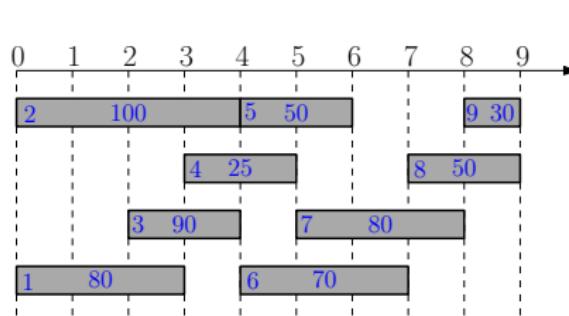
Designing a Dynamic Programming Algorithm



i	$opt[i]$
0	0
1	80
2	
3	
4	
5	
6	
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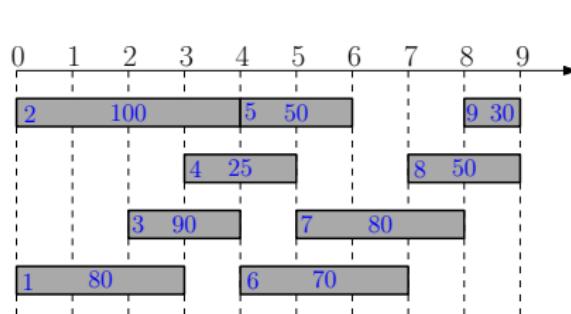
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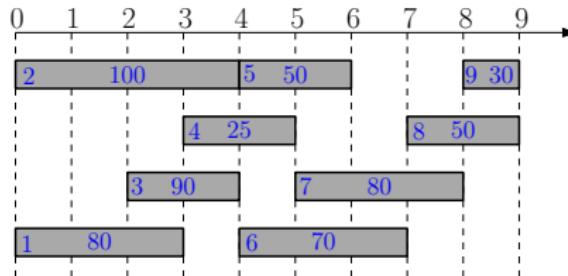
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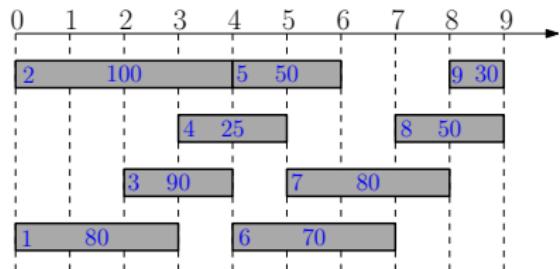
Designing a Dynamic Programming Algorithm



i	$opt[i]$
0	0
1	80
2	100
3	100
4	105
5	150
6	170
7	185
8	220
9	220

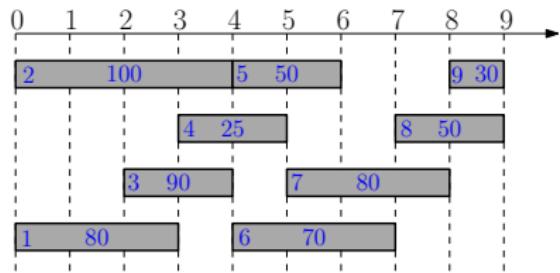
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Designing a Dynamic Programming Algorithm



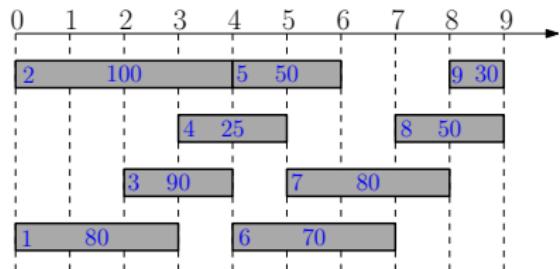
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Designing a Dynamic Programming Algorithm



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- assume we have computed $opt[0], opt[1], \dots, opt[i - 1]$

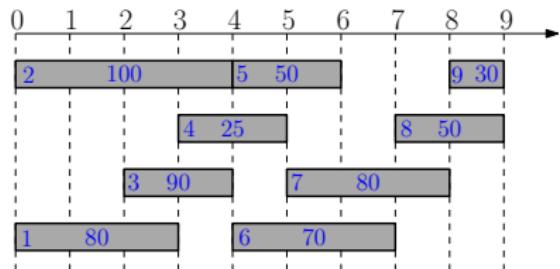
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Q: The value of optimal solution that **does not contain i ?**

Designing a Dynamic Programming Algorithm

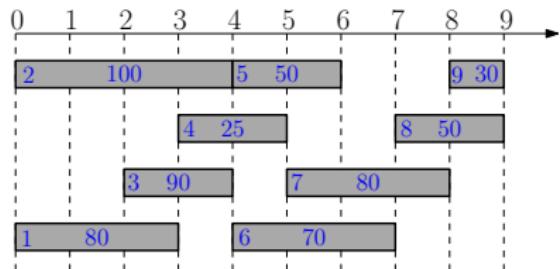


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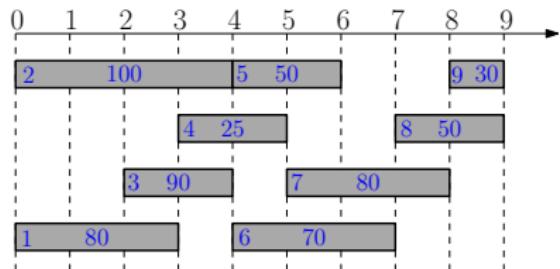
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Designing a Dynamic Programming Algorithm



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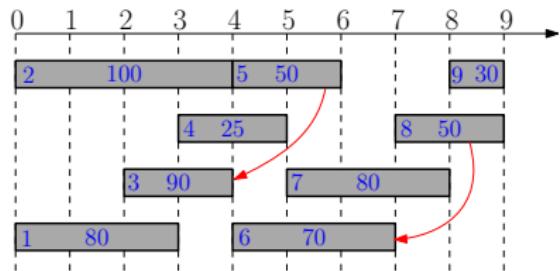
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Q: The value of optimal solution that **contains** job i ?

A: $v_i + opt[p_i]$, $p_i = \text{the largest } j \text{ such that } f_j \leq s_i$

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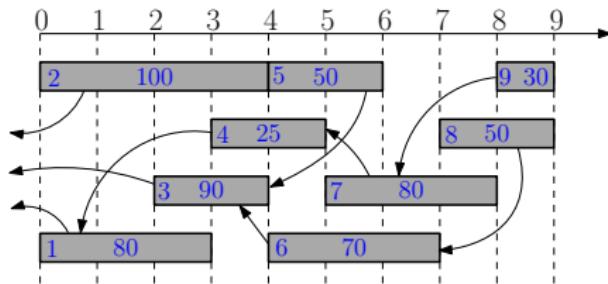
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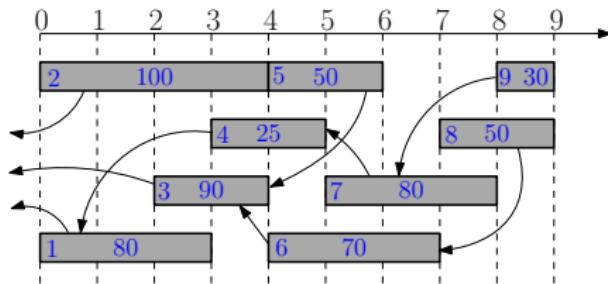


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Designing a Dynamic Programming Algorithm

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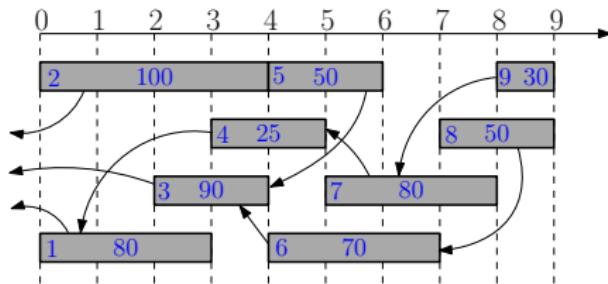


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Designing a Dynamic Programming Algorithm

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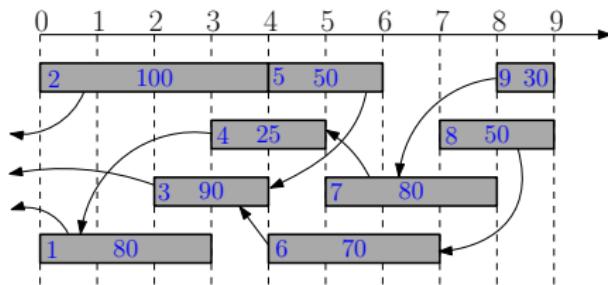


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Designing a Dynamic Programming Algorithm

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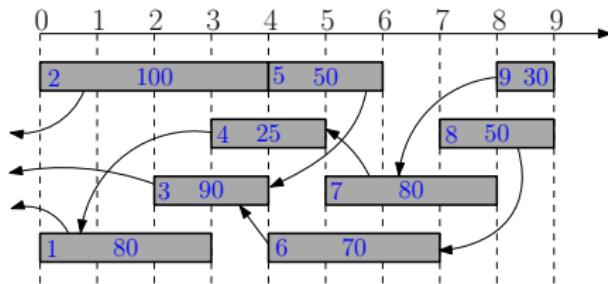


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Designing a Dynamic Programming Algorithm

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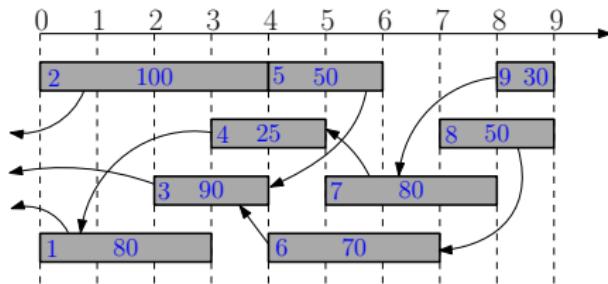


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Designing a Dynamic Programming Algorithm

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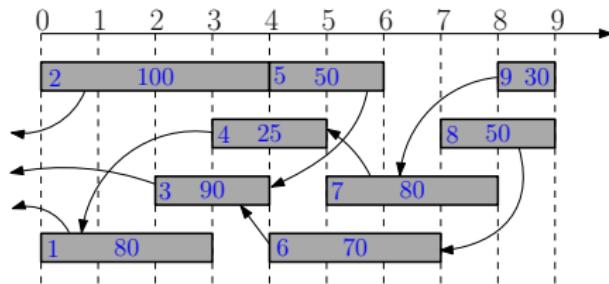


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Designing a Dynamic Programming Algorithm

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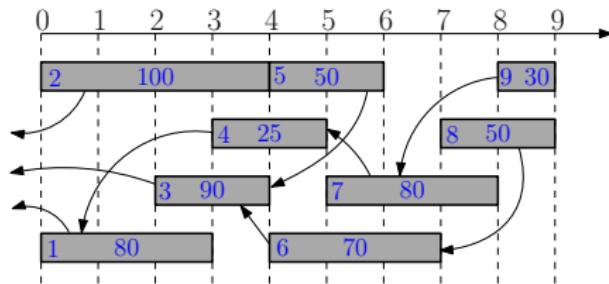


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Designing a Dynamic Programming Algorithm

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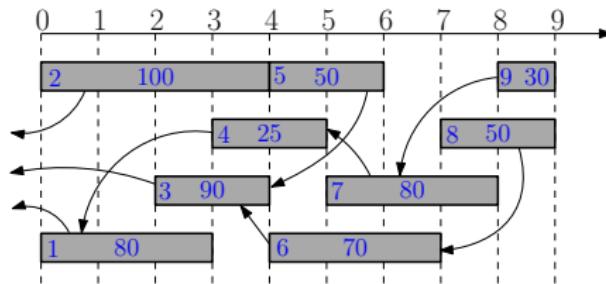


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Designing a Dynamic Programming Algorithm

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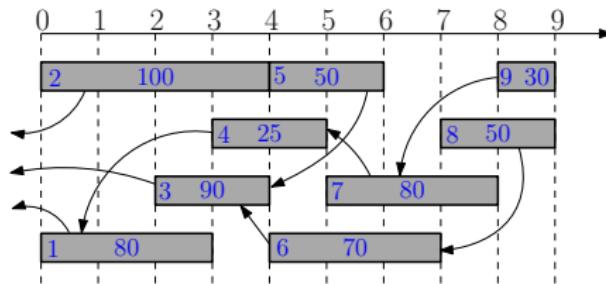


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- $opt[5] = \max\{opt[4], 50 + opt[3]\}$

Designing a Dynamic Programming Algorithm

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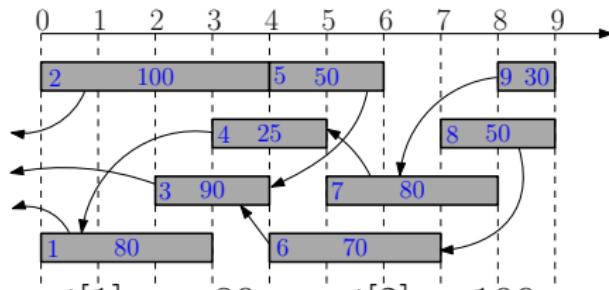


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Designing a Dynamic Programming Algorithm

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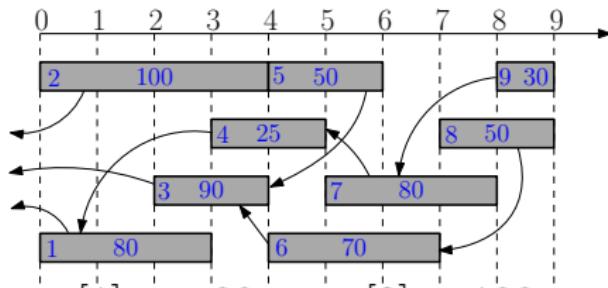


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Designing a Dynamic Programming Algorithm

Recursion for $opt[i]$:

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- $opt[0] = 0, opt[1] = 80, opt[2] = 100$
- $opt[3] = 100, opt[4] = 105, opt[5] = 150$
- $opt[6] = \max\{opt[5], 70 + opt[3]\} = 170$
- $opt[7] = \max\{opt[6], 80 + opt[4]\} = 185$
- $opt[8] = \max\{opt[7], 50 + opt[6]\} = 220$
- $opt[9] = \max\{opt[8], 30 + opt[7]\} = 220$

Dynamic Programming

- 1: sort jobs by non-decreasing order of finishing times
- 2: compute p_1, p_2, \dots, p_n
- 3: $opt[0] \leftarrow 0$
- 4: **for** $i \leftarrow 1$ to n **do**
- 5: $opt[i] \leftarrow \max\{opt[i - 1], v_i + opt[p_i]\}$

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- Running time sorting: $O(n \lg n)$
- Running time for computing p : $O(n \lg n)$ via binary search
- Running time for computing $opt[n]$: $O(n)$

How Can We Recover the Optimum Schedule?

```
1: sort jobs by non-decreasing order of  
   finishing times  
2: compute  $p_1, p_2, \dots, p_n$   
3:  $opt[0] \leftarrow 0$   
4: for  $i \leftarrow 1$  to  $n$  do  
5:   if  $opt[i - 1] \geq v_i + opt[p_i]$  then  
6:      $opt[i] \leftarrow opt[i - 1]$   
7:  
8:   else  
9:      $opt[i] \leftarrow v_i + opt[p_i]$   
10:
```

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2: compute  $p_1, p_2, \dots, p_n$   
3:  $opt[0] \leftarrow 0$   
4: for  $i \leftarrow 1$  to  $n$  do  
5:   if  $opt[i - 1] \geq v_i + opt[p_i]$  then  
6:      $opt[i] \leftarrow opt[i - 1]$   
7:      $b[i] \leftarrow N$   
8:   else  
9:      $opt[i] \leftarrow v_i + opt[p_i]$   
10:     $b[i] \leftarrow Y$ 
```

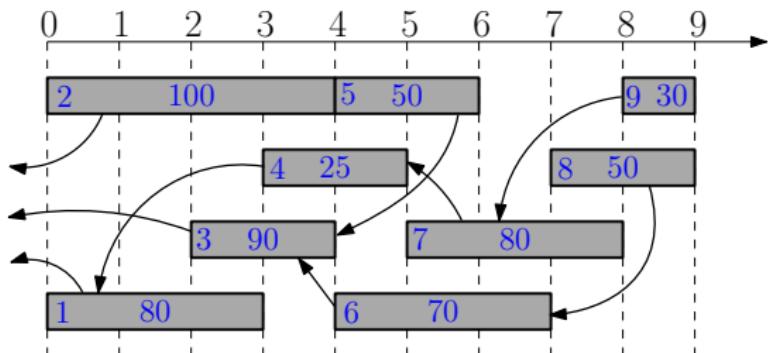
How Can We Recover the Optimum Schedule?

```
1: sort jobs by non-decreasing order of  
   finishing times  
2: compute  $p_1, p_2, \dots, p_n$   
3:  $opt[0] \leftarrow 0$   
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5:   if  $opt[i - 1] \geq v_i + opt[p_i]$  then  
6:      $opt[i] \leftarrow opt[i - 1]$   
7:      $b[i] \leftarrow N$   
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9:      $opt[i] \leftarrow v_i + opt[p_i]$   
10:     $b[i] \leftarrow Y$ 
```

```
1:  $i \leftarrow n, S \leftarrow \emptyset$   
2: while  $i \neq 0$  do  
3:   if  $b[i] = N$  then  
4:      $i \leftarrow i - 1$   
5:   else  
6:      $S \leftarrow S \cup \{i\}$   
7:      $i \leftarrow p_i$   
8: return  $S$ 
```

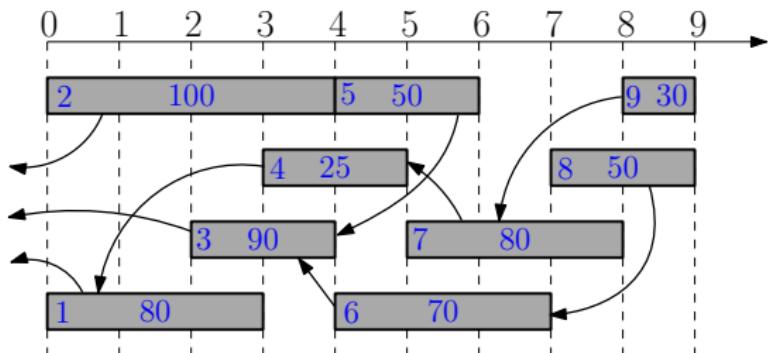
Recovering Optimum Schedule: Example

i	$opt[i]$	$b[i]$
0	0	\perp
1	80	
2	100	
3	100	
4	105	
5	150	
6	170	
7	185	
8	220	
9	220	



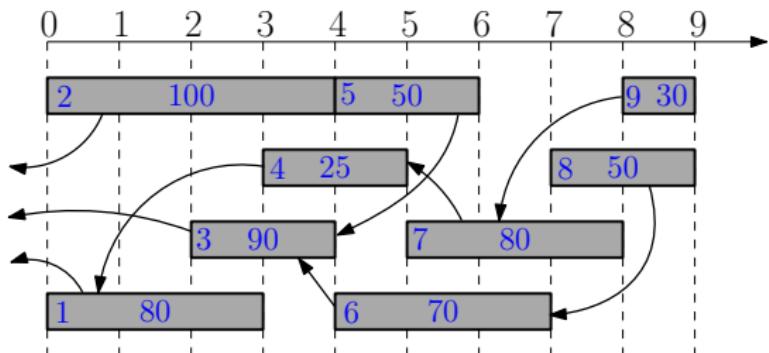
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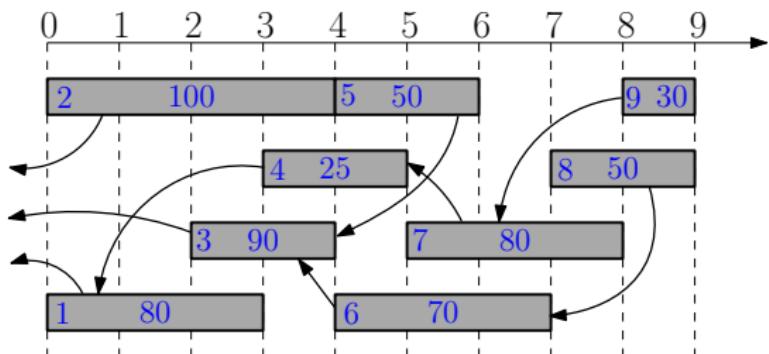
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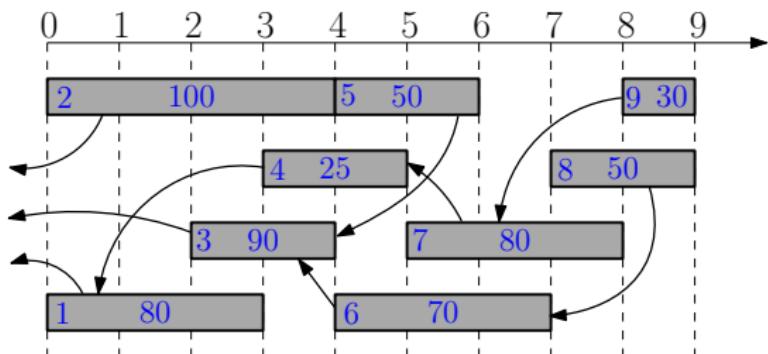
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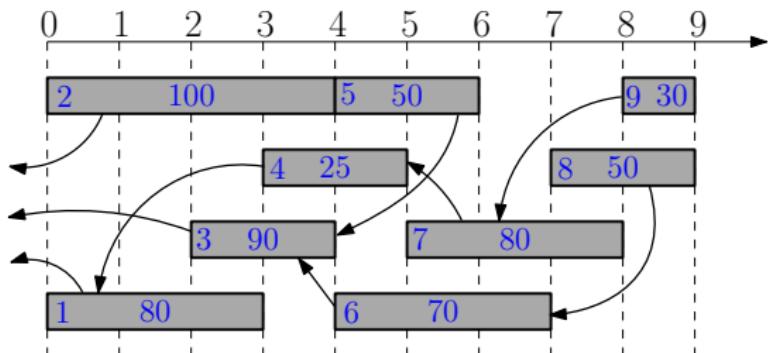
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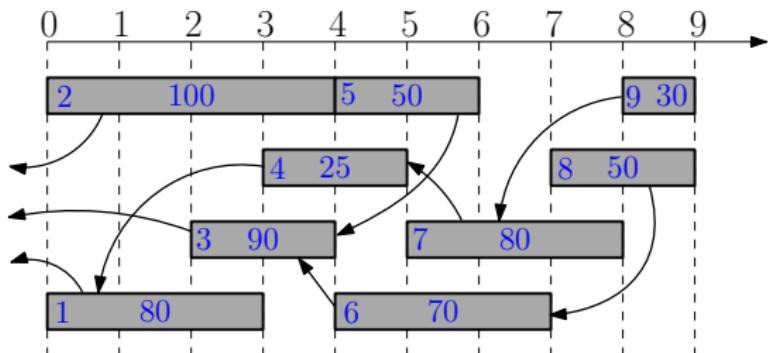
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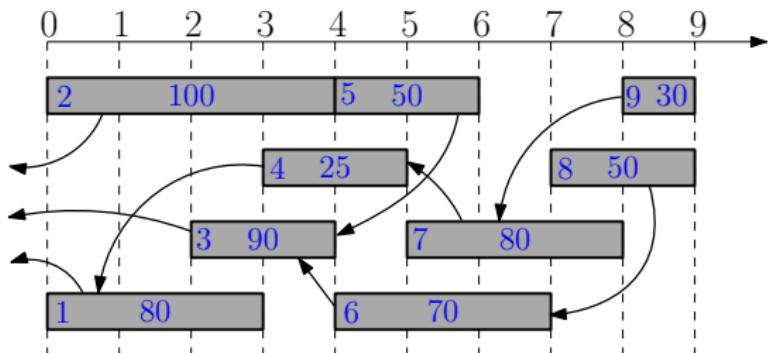
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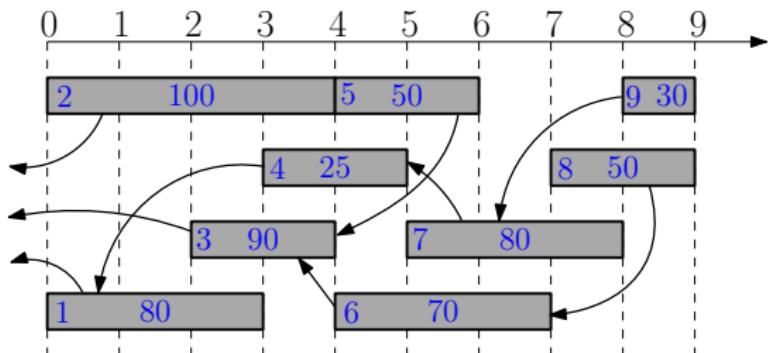
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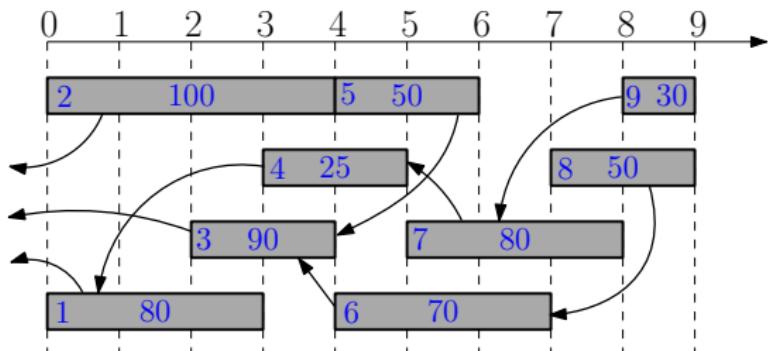
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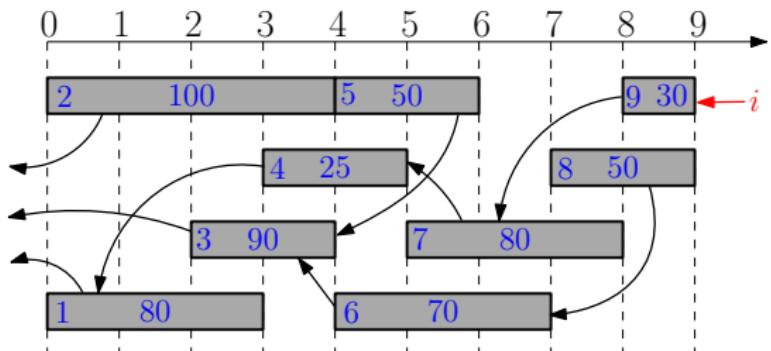
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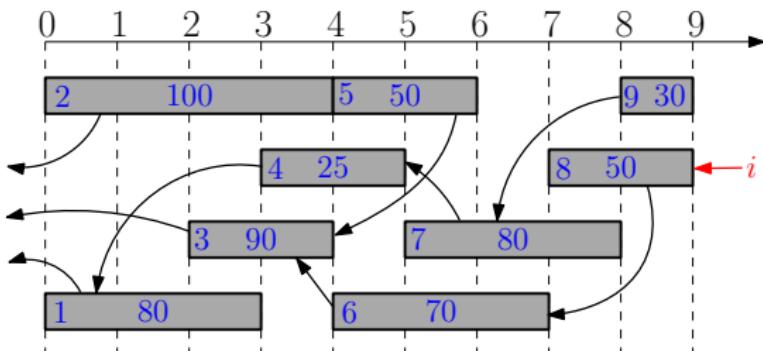
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8	220	Y
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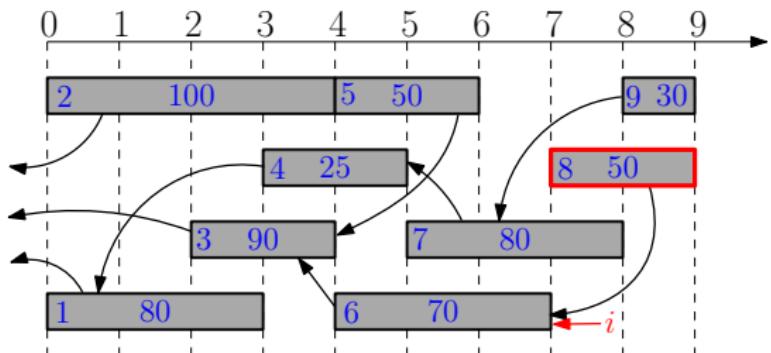
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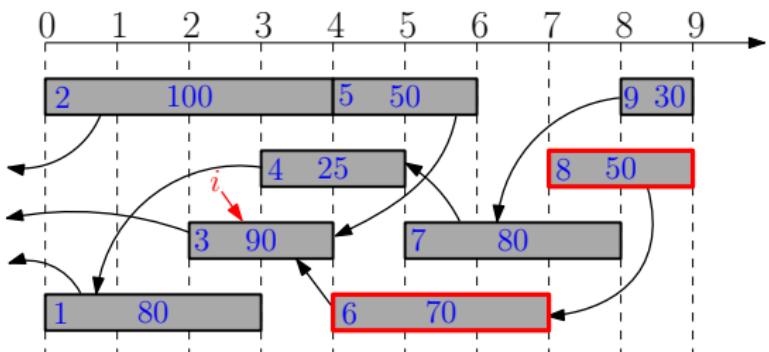
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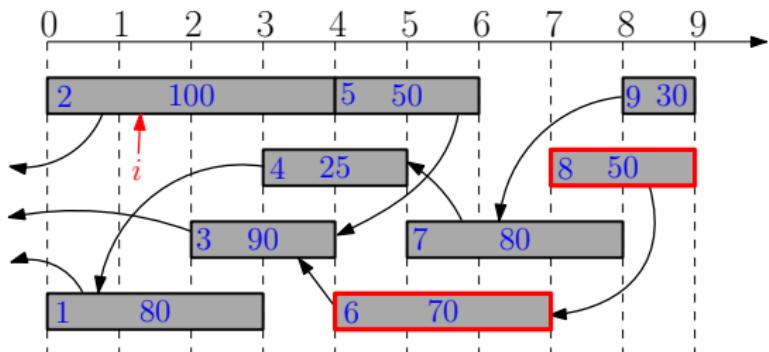
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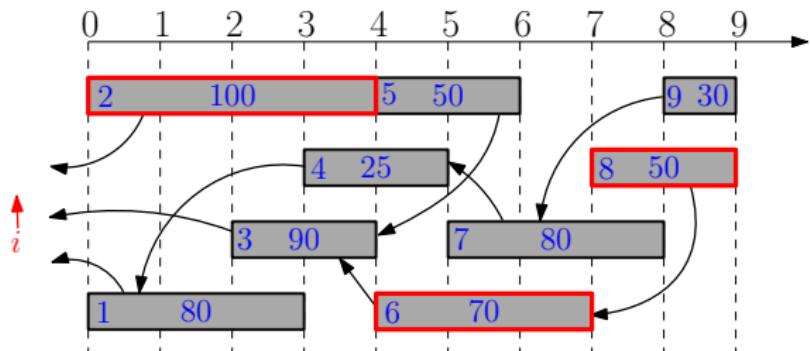
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8	220	Y
9	220	N



Dynamic Programming

- Break up a problem into many **overlapping** sub-problems
- Build solutions for larger and larger sub-problems
- Use a **table** to store solutions for sub-problems for reuse

Outline

- 1 Weighted Interval Scheduling
- 2 **Subset Sum Problem**
- 3 Knapsack Problem
- 4 Longest Common Subsequence
 - Longest Common Subsequence in Linear Space
- 5 Shortest Paths in Directed Acyclic Graphs
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Subset Sum Problem

Input: an integer bound $W > 0$

a set of n items, each with an integer weight $w_i > 0$

Output: a subset S of items that

$$\text{maximizes } \sum_{i \in S} w_i \quad \text{s.t. } \sum_{i \in S} w_i \leq W.$$

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- Motivation: you have budget W , and want to buy a subset of items, so as to spend as much money as possible.

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Example:

- $W = 35, n = 5, w = (14, 9, 17, 10, 13)$

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- Motivation: you have budget W , and want to buy a subset of items, so as to spend as much money as possible.

Example:

- $W = 35, n = 5, w = (14, 9, 17, 10, 13)$
- Optimum: $S = \{1, 2, 4\}$ and $14 + 9 + 10 = 33$

Greedy Algorithms for Subset Sum

Candidate Algorithm:

- Sort according to non-increasing order of weights
- Select items in the order as long as the total weight remains below W

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Design a Dynamic Programming Algorithm

- Consider the instance: $i, W', (w_1, w_2, \dots, w_i)$;
- $opt[i, W']$: the optimum value of the instance

Q: The value of the optimum solution that **does not contain i ?**

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- $opt[i, W']$: the optimum value of the instance

Q: The value of the optimum solution that **does not contain** i ?

A: $opt[i - 1, W']$

Q: The value of the optimum solution that **contains** i ?

A: $opt[i - 1, W' - w_i] + w_i$

Dynamic Programming

- Consider the instance: $i, W', (w_1, w_2, \dots, w_i)$;
- $opt[i, W']$: the optimum value of the instance

$$opt[i, W'] = \begin{cases} & i = 0 \\ & i > 0, w_i > W' \\ & i > 0, w_i \leq W' \end{cases}$$

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Dynamic Programming

```
1: for  $W' \leftarrow 0$  to  $W$  do
2:    $opt[0, W'] \leftarrow 0$ 
3: for  $i \leftarrow 1$  to  $n$  do
4:   for  $W' \leftarrow 0$  to  $W$  do
5:      $opt[i, W'] \leftarrow opt[i - 1, W']$ 
6:     if  $w_i \leq W'$  and  $opt[i - 1, W' - w_i] + w_i \geq opt[i, W']$ 
    then
7:        $opt[i, W'] \leftarrow opt[i - 1, W' - w_i] + w_i$ 
8: return  $opt[n, W]$ 
```

Recover the Optimum Set

```
1: for  $W' \leftarrow 0$  to  $W$  do
2:    $opt[0, W'] \leftarrow 0$ 
3: for  $i \leftarrow 1$  to  $n$  do
4:   for  $W' \leftarrow 0$  to  $W$  do
5:      $opt[i, W'] \leftarrow opt[i - 1, W']$ 
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then
8:        $opt[i, W'] \leftarrow opt[i - 1, W' - w_i] + w_i$ 
9:        $b[i, W'] \leftarrow Y$ 
10: return  $opt[n, W]$ 
```

Recover the Optimum Set

```
1:  $i \leftarrow n, W' \leftarrow W, S \leftarrow \emptyset$ 
2: while  $i > 0$  do
3:   if  $b[i, W'] = Y$  then
4:      $W' \leftarrow W' - w_i$ 
5:      $S \leftarrow S \cup \{i\}$ 
6:    $i \leftarrow i - 1$ 
7: return  $S$ 
```

Running Time of Algorithm

```
1: for  $W' \leftarrow 0$  to  $W$  do
2:    $opt[0, W'] \leftarrow 0$ 
3: for  $i \leftarrow 1$  to  $n$  do
4:   for  $W' \leftarrow 0$  to  $W$  do
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```

- Running time is $O(nW)$
- Running time is **pseudo-polynomial** because it depends on value of the input integers.

Avoiding Unnecessary Computation and Memory Using Memoized Algorithm and Hash Map

compute-opt(i, W')

```
1: if  $opt[i, W'] \neq \perp$  then return  $opt[i, W']$ 
2: if  $i = 0$  then  $r \leftarrow 0$ 
3: else
4:    $r \leftarrow \text{compute-opt}(i - 1, W')$ 
5:   if  $w_i \leq W'$  then
6:      $r' \leftarrow \text{compute-opt}(i - 1, W' - w_i) + w_i$ 
7:     if  $r' > r$  then  $r \leftarrow r'$ 
8:    $opt[i, W'] \leftarrow r$ 
9: return  $r$ 
```

- Use hash map for opt

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Knapsack Problem

Input: an integer bound $W > 0$

a set of n items, each with an integer weight $w_i > 0$

a value $v_i > 0$ for each item i

Output: a subset S of items that

$$\text{maximizes } \sum_{i \in S} v_i \quad \text{s.t. } \sum_{i \in S} w_i \leq W.$$

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Output: a subset S of items that

$$\text{maximizes } \sum_{i \in S} v_i \quad \text{s.t. } \sum_{i \in S} w_i \leq W.$$

- Motivation: you have budget W , and want to buy a subset of items of maximum total value

DP for Knapsack Problem

- $opt[i, W']$: the optimum value when budget is W' and items are $\{1, 2, 3, \dots, i\}$.
- If $i = 0$, $opt[i, W'] = 0$ for every $W' = 0, 1, 2, \dots, W$.

$$opt[i, W'] = \begin{cases} & i = 0 \\ & i > 0, w_i > W' \\ & i > 0, w_i \leq W' \end{cases}$$

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Exercise: Items with 3 Parameters

Input: integer bounds $W > 0$, $Z > 0$,

a set of n items, each with an integer weight $w_i > 0$

a size $z_i > 0$ for each item i

a value $v_i > 0$ for each item i

Output: a subset S of items that

$$\text{maximizes } \sum_{i \in S} v_i \quad \text{s.t.}$$

$$\sum_{i \in S} w_i \leq W \text{ and } \sum_{i \in S} z_i \leq Z$$

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Subsequence

- $A = bacdca$
- $C = adca$

Subsequence

- $A = b\textcolor{red}{ac}dca$
- $C = adca$
- C is a subsequence of A

Subsequence

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Def. Given two sequences $A[1 .. n]$ and $C[1 .. t]$ of letters, C is called a **subsequence** of A if there exists integers $1 \leq i_1 < i_2 < i_3 < \dots < i_t \leq n$ such that $A[i_j] = C[j]$ for every $j = 1, 2, 3, \dots, t$.

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- C is a subsequence of A

Def. Given two sequences $A[1 .. n]$ and $C[1 .. t]$ of letters, C is called a **subsequence** of A if there exists integers

$1 \leq i_1 < i_2 < i_3 < \dots < i_t \leq n$ such that $A[i_j] = C[j]$ for every $j = 1, 2, 3, \dots, t$.

- Exercise: how to check if sequence C is a subsequence of A ?

Longest Common Subsequence

Input: $A[1 \dots n]$ and $B[1 \dots m]$

Output: the longest common subsequence of A and B

Example:

- $A = 'bacdca'$
- $B = 'adbcda'$

Longest Common Subsequence

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- $A = 'bacdca'$
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- $\text{LCS}(A, B) = 'adca'$

Longest Common Subsequence

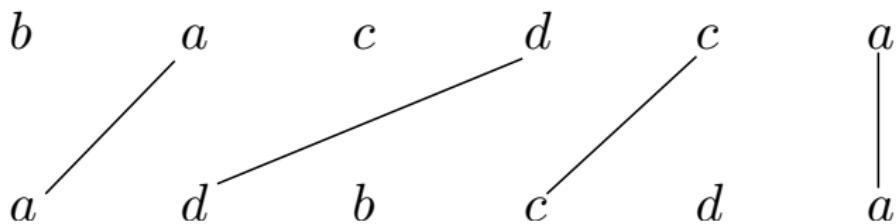
Input: $A[1 \dots n]$ and $B[1 \dots m]$

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Example:

- $A = 'bacdca'$
- $B = 'adbcda'$
- $\text{LCS}(A, B) = 'adca'$
- Applications: edit distance (diff), similarity of DNAs

Matching View of LCS



- Goal of LCS: find a maximum-size non-crossing matching between letters in A and letters in B .

Reduce to Subproblems

- $A = 'bacdca'$
- $B = 'adbcda'$

Reduce to Subproblems

- $A = 'bacdc'a'$
- $B = 'adbcd'a'$

Reduce to Subproblems

- $A = 'bacdc'$
- $B = 'adbcd'$

Reduce to Subproblems

- $A = 'bacdc'$
- $B = 'adbcd'$
- either the last letter of A is not matched:
- or the last letter of B is not matched:

Reduce to Subproblems

- $A = 'bacdc'$
- $B = 'adbcd'$
- either the last letter of A is not matched:
 - need to compute $\text{LCS}('bacd', 'adbcd')$
- or the last letter of B is not matched:

Reduce to Subproblems

- $A = 'bacdc'$
- $B = 'adbcd'$
- either the last letter of A is not matched:
 - need to compute $\text{LCS}('bacd', 'adbcd')$
- or the last letter of B is not matched:
 - need to compute $\text{LCS}('bacdc', 'adbc')$

Dynamic Programming for LCS

- $opt[i, j]$, $0 \leq i \leq n, 0 \leq j \leq m$: length of longest common sub-sequence of $A[1 .. i]$ and $B[1 .. j]$.

Dynamic Programming for LCS

- $opt[i, j]$, $0 \leq i \leq n, 0 \leq j \leq m$: length of longest common sub-sequence of $A[1 .. i]$ and $B[1 .. j]$.
- if $i = 0$ or $j = 0$, then $opt[i, j] = 0$.

Dynamic Programming for LCS

- $opt[i, j]$, $0 \leq i \leq n, 0 \leq j \leq m$: length of longest common sub-sequence of $A[1 .. i]$ and $B[1 .. j]$.
- if $i = 0$ or $j = 0$, then $opt[i, j] = 0$.
- if $i > 0, j > 0$, then

$$opt[i, j] = \begin{cases} & \text{if } A[i] = B[j] \\ & \text{if } A[i] \neq B[j] \end{cases}$$

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$$opt[i, j] = \begin{cases} opt[i - 1, j - 1] + 1 & \text{if } A[i] = B[j] \\ & \text{if } A[i] \neq B[j] \end{cases}$$

Dynamic Programming for LCS

- $opt[i, j]$, $0 \leq i \leq n, 0 \leq j \leq m$: length of longest common sub-sequence of $A[1 .. i]$ and $B[1 .. j]$.
- if $i = 0$ or $j = 0$, then $opt[i, j] = 0$.
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$$opt[i, j] = \begin{cases} opt[i - 1, j - 1] + 1 & \text{if } A[i] = B[j] \\ \max \begin{cases} opt[i - 1, j] \\ opt[i, j - 1] \end{cases} & \text{if } A[i] \neq B[j] \end{cases}$$

Dynamic Programming for LCS

```
1: for  $j \leftarrow 0$  to  $m$  do
2:    $opt[0, j] \leftarrow 0$ 
3: for  $i \leftarrow 1$  to  $n$  do
4:    $opt[i, 0] \leftarrow 0$ 
5:   for  $j \leftarrow 1$  to  $m$  do
6:     if  $A[i] = B[j]$  then
7:        $opt[i, j] \leftarrow opt[i - 1, j - 1] + 1$ 
8:     else if  $opt[i, j - 1] \geq opt[i - 1, j]$  then
9:        $opt[i, j] \leftarrow opt[i, j - 1]$ 
10:    else
11:       $opt[i, j] \leftarrow opt[i - 1, j]$ 
```

Dynamic Programming for LCS

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6:     if  $A[i] = B[j]$  then
7:        $opt[i, j] \leftarrow opt[i - 1, j - 1] + 1$ ,  $\pi[i, j] \leftarrow \nwarrow$ 
8:     else if  $opt[i, j - 1] \geq opt[i - 1, j]$  then
9:        $opt[i, j] \leftarrow opt[i, j - 1]$ ,  $\pi[i, j] \leftarrow \leftarrow$ 
10:    else
11:       $opt[i, j] \leftarrow opt[i - 1, j]$ ,  $\pi[i, j] \leftarrow \uparrow$ 
```

Example

	1	2	3	4	5	6
A	b	a	c	d	c	a
B	a	d	b	c	d	a

	0	1	2	3	4	5	6
0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥						
2	0 ⊥						
3	0 ⊥						
4	0 ⊥						
5	0 ⊥						
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0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←					
2	0 ⊥						
3	0 ⊥						
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0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←	0 ←				
2	0 ⊥						
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1	0 ⊥	0 ←	0 ←	1 ↙			
2	0 ⊥						
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1	0 ⊥	0 ←	0 ←	1 ↙	1 ←		
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1	0 ⊥	0 ←	0 ←	1 ↙	1 ←	1 ←	
2	0 ⊥						
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1	0 ⊥	0 ←	0 ←	1 ↙	1 ←	1 ←	1 ←
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1	0 ⊥	0 ←	0 ←	1 ↙	1 ←	1 ←	1 ←
2	0 ⊥	1 ↙					
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1	0 ⊥	0 ←	0 ←	1 ↙	1 ←	1 ←	1 ←
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1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
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1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
2	0 ⊥	1 ↖	1 ←	1 ←	1 ←	1 ←	2 ↖
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1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
2	0 ⊥	1 ↖	1 ←	1 ←	1 ←	1 ←	2 ↖
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1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
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1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
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1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
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1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
2	0 ⊥	1 ↖	1 ←	1 ←	1 ←	1 ←	2 ↖
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1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
2	0 ⊥	1 ↖	1 ←	1 ←	1 ←	1 ←	2 ↖
3	0 ⊥	1 ↑	1 ←	1 ←	2 ↖	2 ←	2 ←
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0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
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1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
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1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
2	0 ⊥	1 ↖	1 ←	1 ←	1 ←	1 ←	2 ↖
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0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
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1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
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	0	1	2	3	4	5	6
0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
2	0 ⊥	1 ↖	1 ←	1 ←	1 ←	1 ←	2 ↖
3	0 ⊥	1 ↑	1 ←	1 ←	2 ↖	2 ←	2 ←
4	0 ⊥	1 ↑	2 ↖	2 ←	2 ←	3 ↖	3 ←
5	0 ⊥	1 ↑	2 ↑				
6	0 ⊥						

Example

	1	2	3	4	5	6
A	b	a	c	d	c	a
B	a	d	b	c	d	a

	0	1	2	3	4	5	6
0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
2	0 ⊥	1 ↖	1 ←	1 ←	1 ←	1 ←	2 ↖
3	0 ⊥	1 ↑	1 ←	1 ←	2 ↖	2 ←	2 ←
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Example

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A	b	a	c	d	c	a
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	0	1	2	3	4	5	6
0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
2	0 ⊥	1 ↖	1 ←	1 ←	1 ←	1 ←	2 ↖
3	0 ⊥	1 ↑	1 ←	1 ←	2 ↖	2 ←	2 ←
4	0 ⊥	1 ↑	2 ↖	2 ←	2 ←	3 ↖	3 ←
5	0 ⊥	1 ↑	2 ↑	2 ←	3 ↖		
6	0 ⊥						

Example

	1	2	3	4	5	6
A	b	a	c	d	c	a
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	0	1	2	3	4	5	6
0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
2	0 ⊥	1 ↖	1 ←	1 ←	1 ←	1 ←	2 ↖
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5	0 ⊥	1 ↑	2 ↑	2 ←	3 ↖	3 ←	
6	0 ⊥						

Example

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A	b	a	c	d	c	a
B	a	d	b	c	d	a

	0	1	2	3	4	5	6
0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
2	0 ⊥	1 ↖	1 ←	1 ←	1 ←	1 ←	2 ↖
3	0 ⊥	1 ↑	1 ←	1 ←	2 ↖	2 ←	2 ←
4	0 ⊥	1 ↑	2 ↖	2 ←	2 ←	3 ↖	3 ←
5	0 ⊥	1 ↑	2 ↑	2 ←	3 ↖	3 ←	3 ←
6	0 ⊥						

Example

	1	2	3	4	5	6
A	b	a	c	d	c	a
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	0	1	2	3	4	5	6
0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
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4	0 ⊥	1 ↑	2 ↖	2 ←	2 ←	3 ↖	3 ←
5	0 ⊥	1 ↑	2 ↑	2 ←	3 ↖	3 ←	3 ←
6	0 ⊥						

Example

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A	b	a	c	d	c	a
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	0	1	2	3	4	5	6
0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
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5	0 ⊥	1 ↑	2 ↑	2 ←	3 ↖	3 ←	3 ←
6	0 ⊥	1 ↖					

Example

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A	b	a	c	d	c	a
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	0	1	2	3	4	5	6
0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
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1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
2	0 ⊥	1 ↖	1 ←	1 ←	1 ←	1 ←	2 ↖
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0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
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Example

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	0	1	2	3	4	5	6
0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
2	0 ⊥	1 ↖	1 ←	1 ←	1 ←	1 ←	2 ↖
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4	0 ⊥	1 ↑	2 ↖	2 ←	2 ←	3 ↖	3 ←
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	0	1	2	3	4	5	6
0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←	0 ←	1 ↖	1 ←	1 ←	1 ←
2	0 ⊥	1 ↖	1 ←	1 ←	1 ←	1 ←	2 ↖
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Example: Find Common Subsequence

	1	2	3	4	5	6
A	b	a	c	d	c	a
B	a	d	b	c	d	a

	0	1	2	3	4	5	6
0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←	0 ←	1 ↙	1 ←	1 ←	1 ←
2	0 ⊥	1 ↙	1 ←	1 ←	1 ←	1 ←	2 ↙
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0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←	0 ←	1 ↙	1 ←	1 ←	1 ←
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0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←	0 ←	1 ↙	1 ←	1 ←	1 ←
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4	0 ⊥	1 ↑	2 ↙	2 ←	2 ←	3 ↙	3 ←
5	0 ⊥	1 ↑	2 ↑	2 ←	3 ↙	3 ←	3 ←
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0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←	0 ←	1 ↙	1 ←	1 ←	1 ←
2	0 ⊥	1 ↙	1 ←	1 ←	1 ←	1 ←	2 ↙
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0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←	0 ←	1 ↙	1 ←	1 ←	1 ←
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	0	1	2	3	4	5	6
0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←	0 ←	1 ↙	1 ←	1 ←	1 ←
2	0 ⊥	1 ↙	1 ←	1 ←	1 ←	1 ←	2 ↙
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	0	1	2	3	4	5	6
0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←	0 ←	1 ↙	1 ←	1 ←	1 ←
2	0 ⊥	1 ↙	1 ←	1 ←	1 ←	1 ←	2 ↙
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	0	1	2	3	4	5	6
0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←	0 ←	1 ↙	1 ←	1 ←	1 ←
2	0 ⊥	1 ↖	1 ←	1 ←	1 ←	1 ←	2 ↖
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	0	1	2	3	4	5	6
0	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥	0 ⊥
1	0 ⊥	0 ←	0 ←	1 ↙	1 ←	1 ←	1 ←
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1	0 ⊥	0 ←	0 ←	1 ↙	1 ←	1 ←	1 ←
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6	0 ⊥	1 ↖	2 ↑	2 ←	3 ↑	3 ←	4 ↖

Find Common Subsequence

```
1:  $i \leftarrow n, j \leftarrow m, S \leftarrow " "$ 
2: while  $i > 0$  and  $j > 0$  do
3:   if  $\pi[i, j] = "\nwarrow"$  then
4:      $S \leftarrow A[i] \bowtie S, i \leftarrow i - 1, j \leftarrow j - 1$ 
5:   else if  $\pi[i, j] = "\uparrow"$  then
6:      $i \leftarrow i - 1$ 
7:   else
8:      $j \leftarrow j - 1$ 
9: return  $S$ 
```

Variants of Problem

Edit Distance with Insertions and Deletions

Input: a string A

each time we can delete a letter from A or insert a letter to A

Output: minimum number of operations (insertions or deletions)
we need to change A to B ?

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- $A = \text{ocurrance}$, $B = \text{occurrence}$
- 3 operations: insert 'c', remove 'a' and insert 'e'

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Obs. $\#OPs = \text{length}(A) + \text{length}(B) - 2 \cdot \text{length}(\text{LCS}(A, B))$

Variants of Problem

Edit Distance with Insertions, Deletions and Replacing

Input: a string A ,

each time we can delete a letter from A , insert a letter to A or **change a letter**

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- 2 operations: insert 'c', change 'a' to 'e'

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Input: a string A ,

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Example:

- $A = \text{ocurrance}$, $B = \text{occurrence}$.
- 2 operations: insert 'c', change 'a' to 'e'
- Not related to LCS any more

Edit Distance (with Replacing)

- $opt[i, j]$, $0 \leq i \leq n, 0 \leq j \leq m$: edit distance between $A[1 .. i]$ and $B[1 .. j]$.

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$$opt[i, j] = \begin{cases} opt[i - 1, j - 1] & \text{if } A[i] = B[j] \\ & \vdots \\ & \text{if } A[i] \neq B[j] \end{cases}$$

Edit Distance (with Replacing)

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- if $i > 0, j > 0$, then

$$opt[i, j] = \begin{cases} opt[i - 1, j - 1] & \text{if } A[i] = B[j] \\ \min \begin{cases} opt[i - 1, j] + 1 \\ opt[i, j - 1] + 1 \\ opt[i - 1, j - 1] + 1 \end{cases} & \text{if } A[i] \neq B[j] \end{cases}$$

Exercise: Longest Palindrome

Def. A **palindrome** is a string which reads the same backward or forward.

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Input: a sequence A

Output: the longest subsequence C of A that is a palindrome.

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Example:

- Input: acbcedeacab

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Example:

- Input: acbc~~e~~deacab
- Output: acedeca

Outline

- 1 Weighted Interval Scheduling
- 2 Subset Sum Problem
- 3 Knapsack Problem
- 4 Longest Common Subsequence
 - Longest Common Subsequence in Linear Space
- 5 Shortest Paths in Directed Acyclic Graphs
- 6 Matrix Chain Multiplication
- 7 Optimum Binary Search Tree
- 8 Summary

Computing the Length of LCS

```
1: for  $j \leftarrow 0$  to  $m$  do
2:    $opt[0, j] \leftarrow 0$ 
3: for  $i \leftarrow 1$  to  $n$  do
4:    $opt[i, 0] \leftarrow 0$ 
5:   for  $j \leftarrow 1$  to  $m$  do
6:     if  $A[i] = B[j]$  then
7:        $opt[i, j] \leftarrow opt[i - 1, j - 1] + 1$ 
8:     else if  $opt[i, j - 1] \geq opt[i - 1, j]$  then
9:        $opt[i, j] \leftarrow opt[i, j - 1]$ 
10:    else
11:       $opt[i, j] \leftarrow opt[i - 1, j]$ 
```

Obs. The i -th row of table only depends on $(i - 1)$ -th row.

Reducing Space to $O(n + m)$

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Q: How to use this observation to reduce space?

Reducing Space to $O(n + m)$

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Q: How to use this observation to reduce space?

A: We only keep two rows: the $(i - 1)$ -th row and the i -th row.

Linear Space Algorithm to Compute Length of LCS

```
1: for  $j \leftarrow 0$  to  $m$  do
2:    $opt[0, j] \leftarrow 0$ 
3: for  $i \leftarrow 1$  to  $n$  do
4:    $opt[i \bmod 2, 0] \leftarrow 0$ 
5:   for  $j \leftarrow 1$  to  $m$  do
6:     if  $A[i] = B[j]$  then
7:        $opt[i \bmod 2, j] \leftarrow opt[i - 1 \bmod 2, j - 1] + 1$ 
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10:    else
11:       $opt[i \bmod 2, j] \leftarrow opt[i - 1 \bmod 2, j]$ 
12: return  $opt[n \bmod 2, m]$ 
```

How to Recover LCS Using Linear Space?

- Only keep the last two rows: only know how to match $A[n]$

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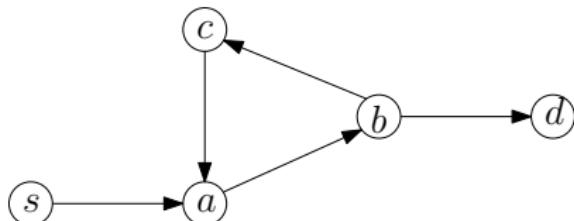
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 - Space: $O(m + n)$
 - Time: $O(nm)$

Outline

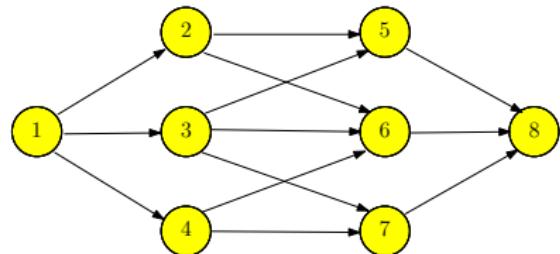
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Directed Acyclic Graphs

Def. A directed acyclic graph (DAG) is a directed graph without (directed) cycles.



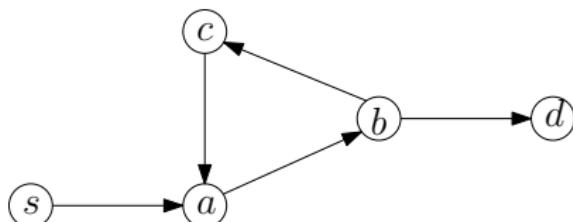
not a DAG



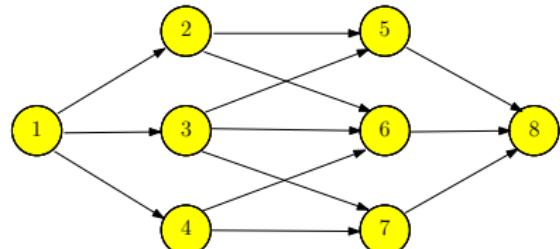
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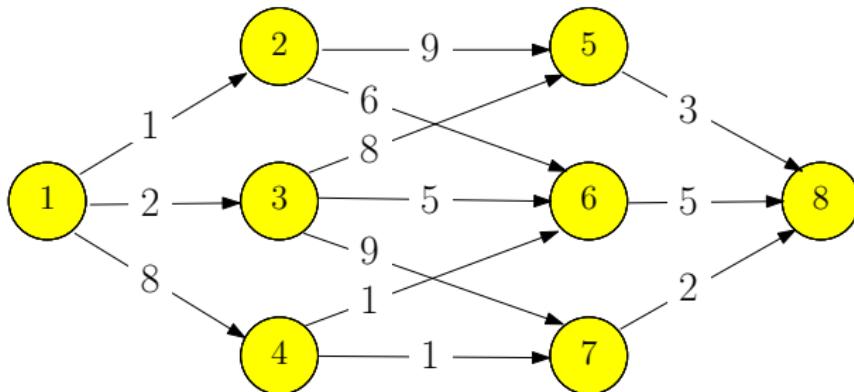
Lemma A directed graph is a DAG if and only its vertices can be topologically sorted.

Shortest Paths in DAG

Input: directed acyclic graph $G = (V, E)$ and $w : E \rightarrow \mathbb{R}$.

Assume $V = \{1, 2, 3 \cdots, n\}$ is topologically sorted: if $(i, j) \in E$, then $i < j$

Output: the shortest path from 1 to i , for every $i \in V$

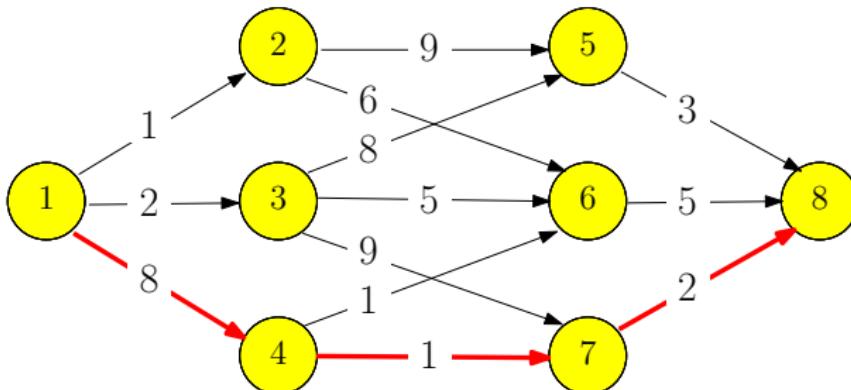


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- $f[i]$: length of the shortest path from 1 to i

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$$f[i] = \begin{cases} 0 & i = 1 \\ \min_{j:(j,i) \in E} \{f(j) + w(j, i)\} & i = 2, 3, \dots, n \end{cases}$$

Shortest Paths in DAG

- Use an adjacency list for incoming edges of each vertex i

Shortest Paths in DAG

```
1:  $f[1] \leftarrow 0$ 
2: for  $i \leftarrow 2$  to  $n$  do
3:    $f[i] \leftarrow \infty$ 
4:   for each incoming edge  $(j, i)$  of  $i$  do
5:     if  $f[j] + w(j, i) < f[i]$  then
6:        $f[i] \leftarrow f[j] + w(j, i)$ 
```

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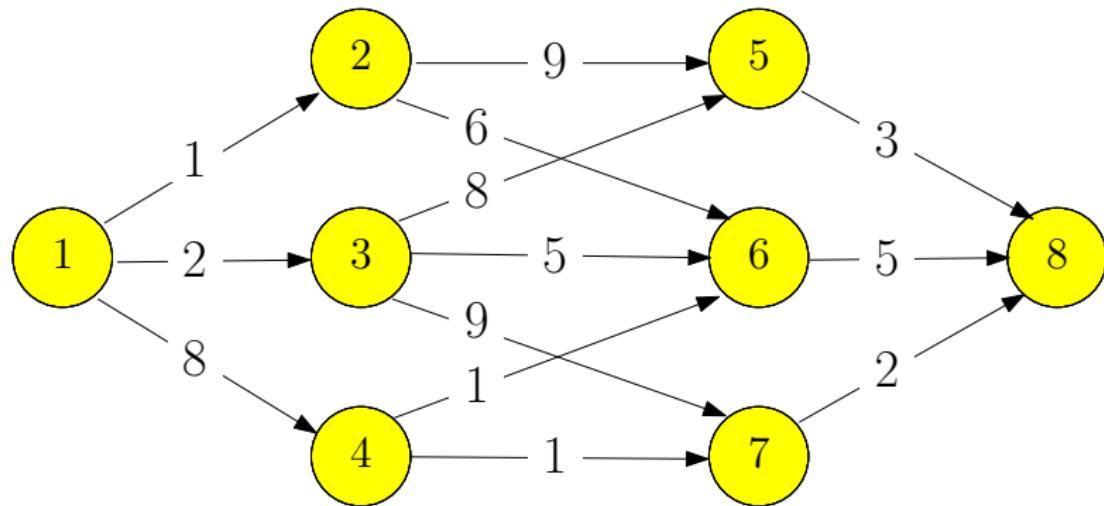
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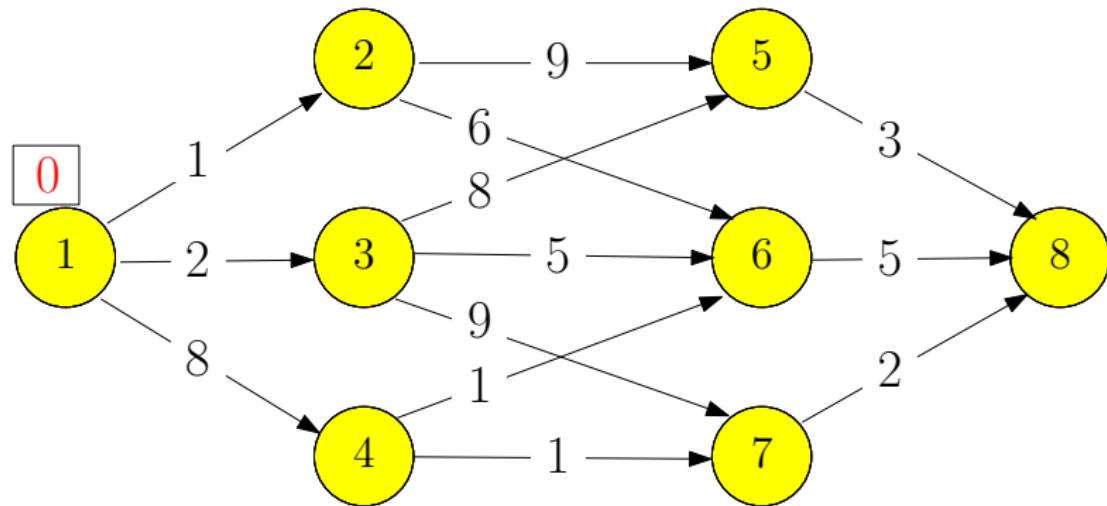
print-path(t)

```
1: if  $t = 1$  then
2:   print(1)
3:   return
4: print-path( $\pi(t)$ )
5: print(“,”,  $t$ )
```

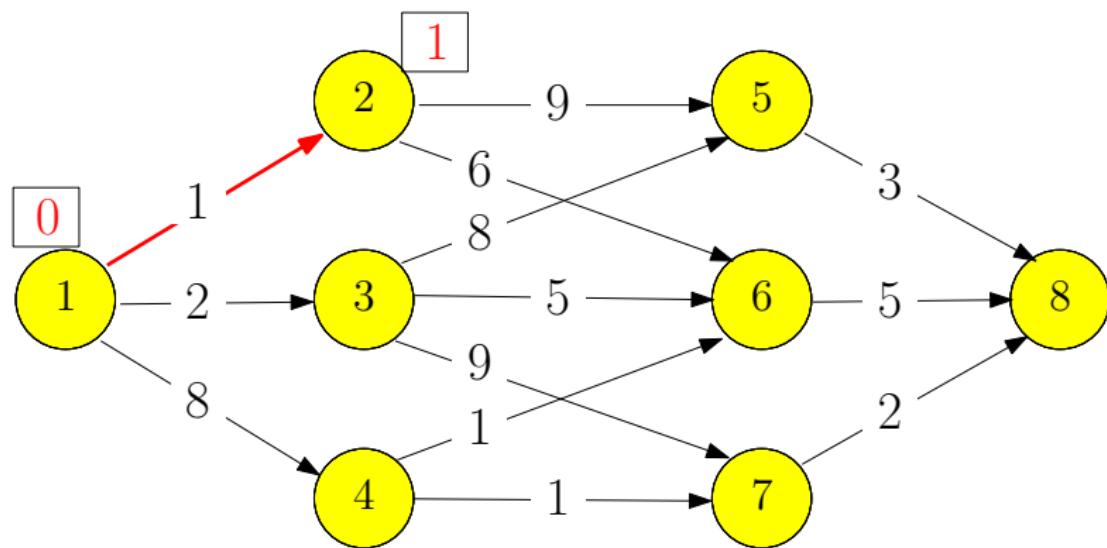
Example



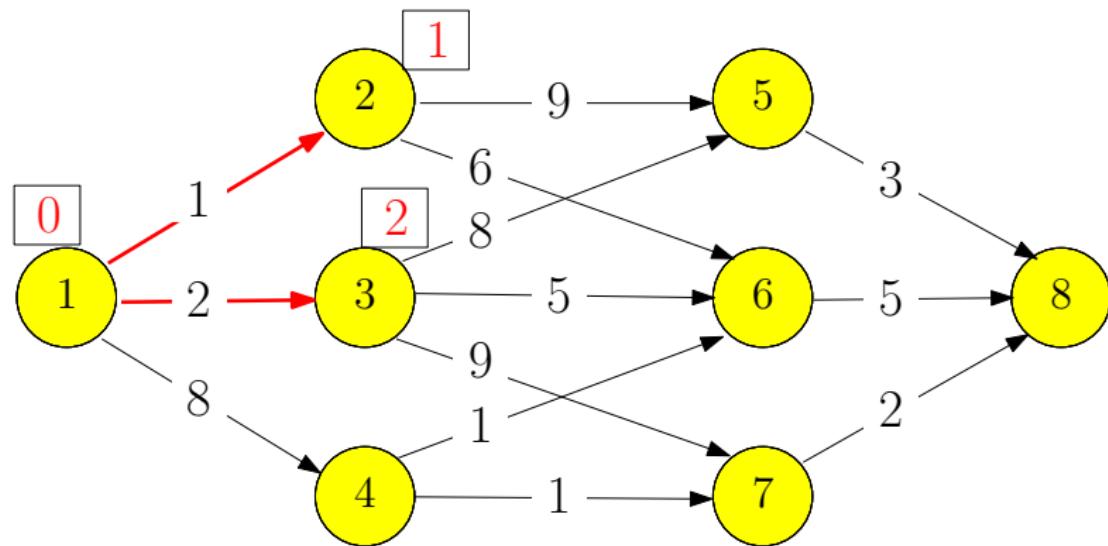
Example



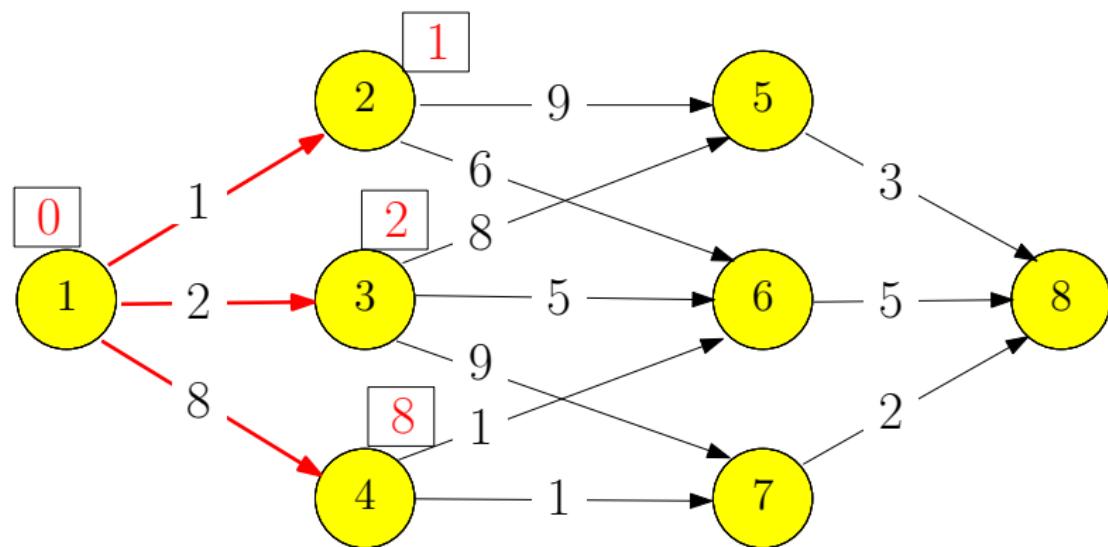
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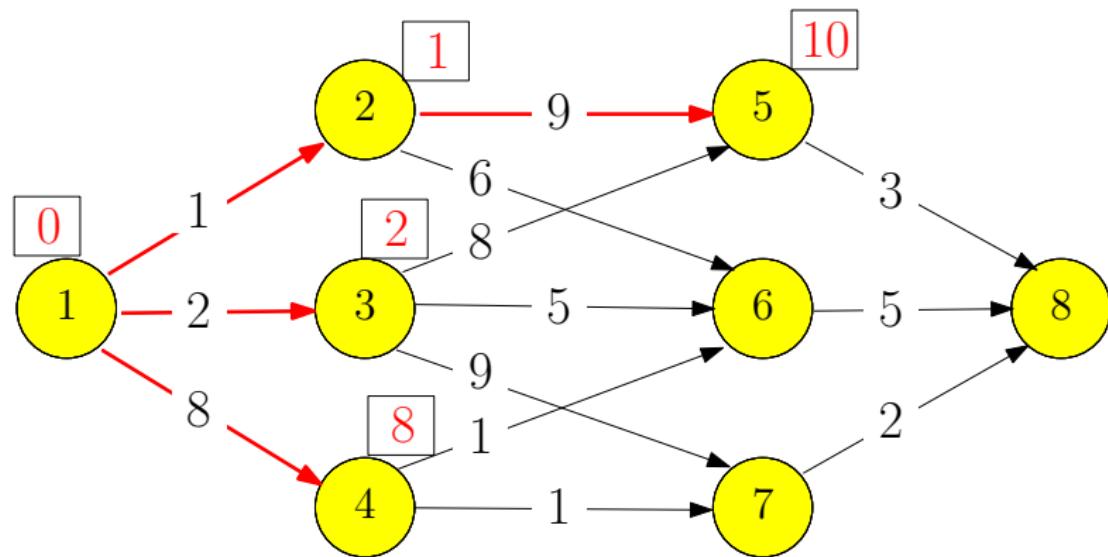
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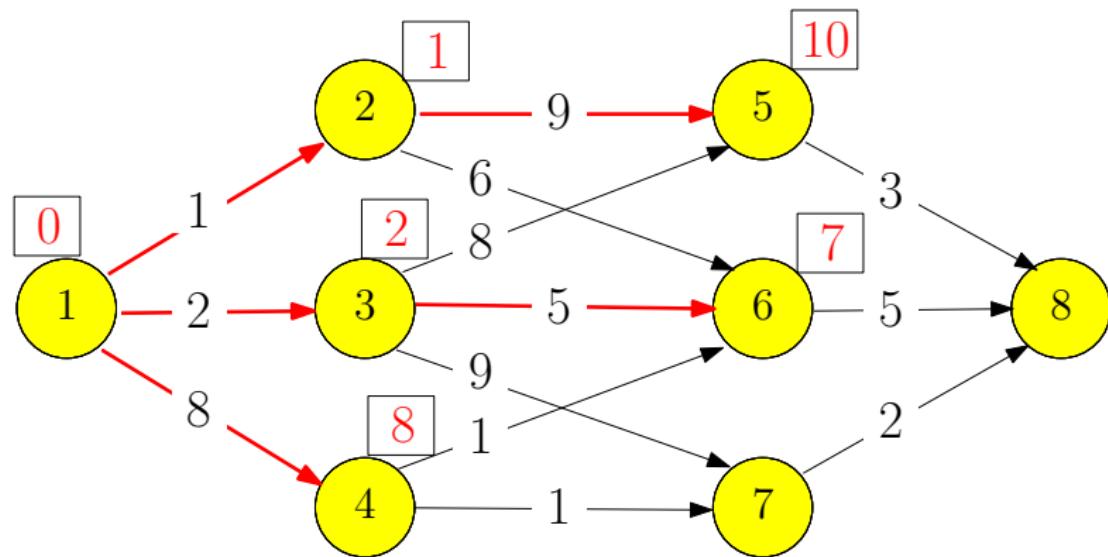
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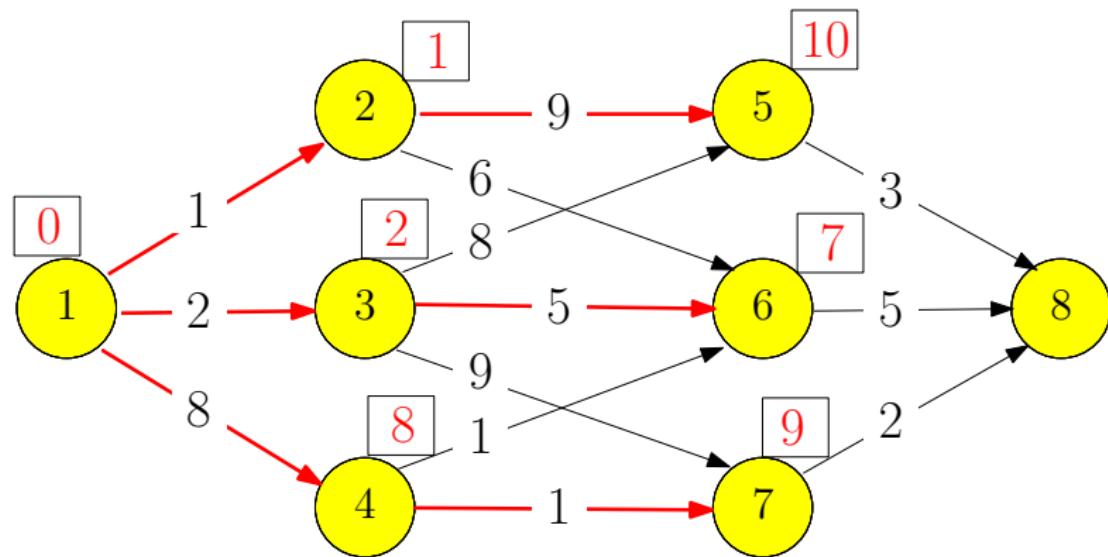
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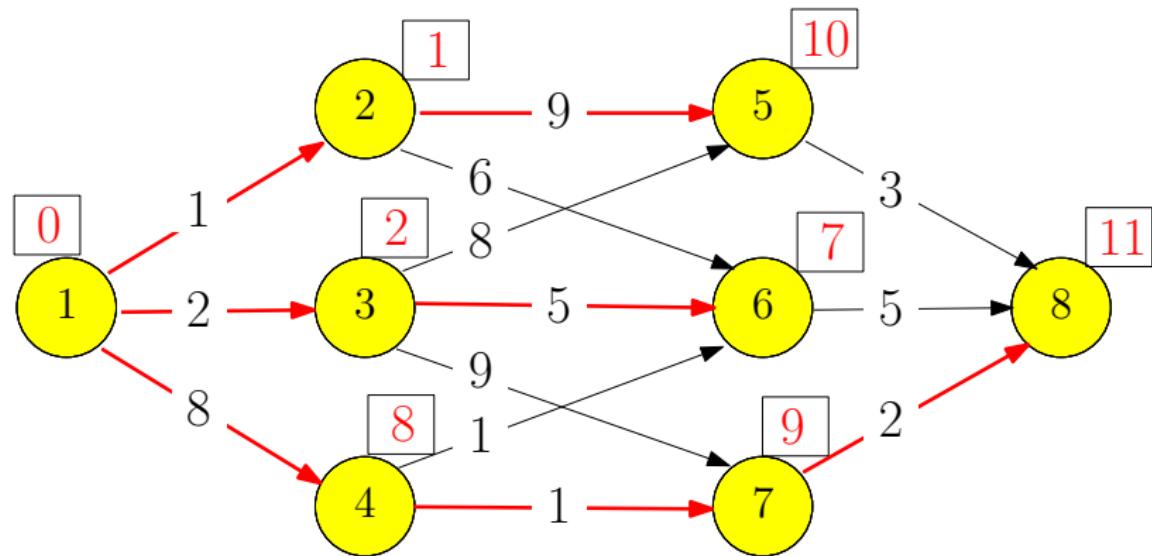
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Example



Variant: Heaviest Path in a Directed Acyclic Graph

Heaviest Path in a Directed Acyclic Graph

Input: directed acyclic graph $G = (V, E)$ and $w : E \rightarrow \mathbb{R}$.

Assume $V = \{1, 2, 3 \cdots, n\}$ is topologically sorted: if $(i, j) \in E$, then $i < j$

Output: the path with the **largest** weight (the **heaviest** path) from 1 to n .

- $f[i]$: weight of the **heaviest** path from 1 to i

$$f[i] = \begin{cases} & i = 1 \\ & i = 2, 3, \cdots, n \end{cases}$$

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Matrix Chain Multiplication

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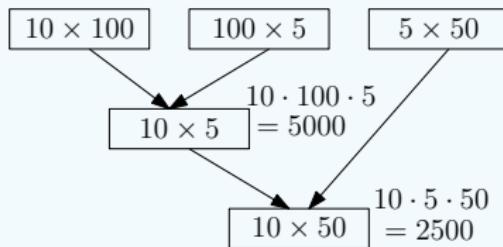
Input: n matrices A_1, A_2, \dots, A_n of sizes $r_1 \times c_1, r_2 \times c_2, \dots, r_n \times c_n$, such that $c_i = r_{i+1}$ for every $i = 1, 2, \dots, n - 1$.

Output: the order of computing $A_1 A_2 \cdots A_n$ with the minimum number of multiplications

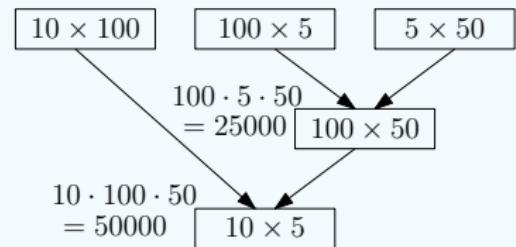
Fact Multiplying two matrices of size $r \times k$ and $k \times c$ takes $r \times k \times c$ multiplications.

Example:

- $A_1 : 10 \times 100, A_2 : 100 \times 5, A_3 : 5 \times 50$



$$\text{cost} = 5000 + 2500 = 7500$$

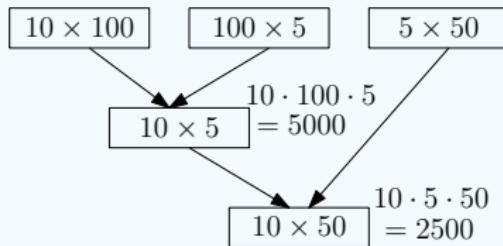


$$\text{cost} = 25000 + 50000 = 75000$$

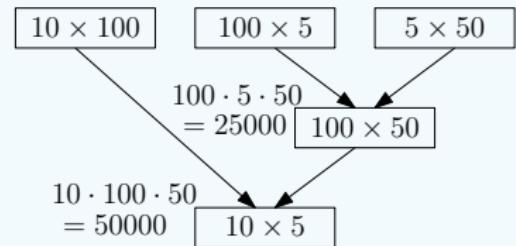
- $(A_1 A_2) A_3 : 10 \times 100 \times 5 + 10 \times 5 \times 50 = 7500$
- $A_1 (A_2 A_3) : 100 \times 5 \times 50 + 10 \times 100 \times 50 = 75000$

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- Assume the last step is $(A_1 A_2 \cdots A_i)(A_{i+1} A_{i+2} \cdots A_n)$

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- $opt[i, j]$: the minimum cost of computing $A_i A_{i+1} \cdots A_j$

$$opt[i, j] = \begin{cases} 0 & i = j \\ \min_{k: i \leq k < j} (opt[i, k] + opt[k + 1, j] + r_i c_k c_j) & i < j \end{cases}$$

Matrix Chain Multiplication: Design DP

matrix-chain-multiplication($n, r[1..n], c[1..n]$)

```
1: let  $opt[i, i] \leftarrow 0$  for every  $i = 1, 2, \dots, n$ 
2: for  $\ell \leftarrow 2$  to  $n$  do
3:   for  $i \leftarrow 1$  to  $n - \ell + 1$  do
4:      $j \leftarrow i + \ell - 1$ 
5:      $opt[i, j] \leftarrow \infty$ 
6:     for  $k \leftarrow i$  to  $j - 1$  do
7:       if  $opt[i, k] + opt[k + 1, j] + r_i c_k c_j < opt[i, j]$  then
8:          $opt[i, j] \leftarrow opt[i, k] + opt[k + 1, j] + r_i c_k c_j$ 
9: return  $opt[1, n]$ 
```

Recover the Optimum Way of Multiplication

matrix-chain-multiplication($n, r[1..n], c[1..n]$)

```
1: let  $opt[i, i] \leftarrow 0$  for every  $i = 1, 2, \dots, n$ 
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8:          $opt[i, j] \leftarrow opt[i, k] + opt[k + 1, j] + r_i c_k c_j$ 
9:          $\pi[i, j] \leftarrow k$ 
10:    return  $opt[1, n]$ 
```

Constructing Optimal Solution

Print-Optimal-Order(i, j)

```
1: if  $i = j$  then
2:     print("A"i)
3: else
4:     print("(")
5:     Print-Optimal-Order( $i, \pi[i, j]$ )
6:     Print-Optimal-Order( $\pi[i, j] + 1, j$ )
7:     print(")")
```

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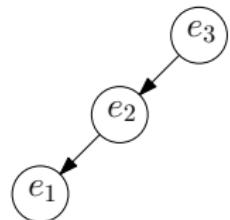
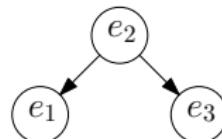
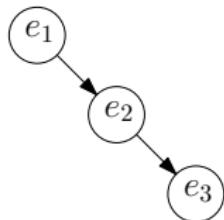
Optimum Binary Search Tree

- n elements $e_1 < e_2 < e_3 < \cdots < e_n$
- e_i has frequency f_i
- goal: build a binary search tree for $\{e_1, e_2, \dots, e_n\}$ with the minimum accessing cost:

$$\sum_{i=1}^n f_i \times (\text{depth of } e_i \text{ in the tree})$$

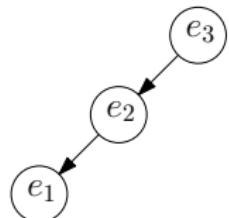
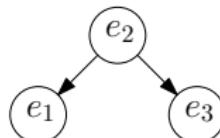
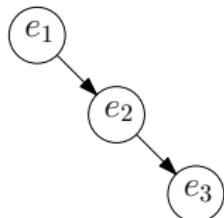
Optimum Binary Search Tree

- Example: $f_1 = 10, f_2 = 5, f_3 = 3$



Optimum Binary Search Tree

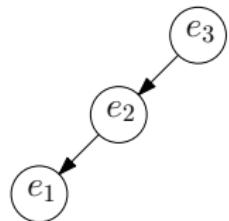
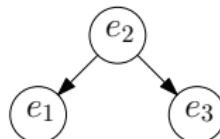
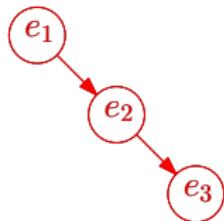
- Example: $f_1 = 10, f_2 = 5, f_3 = 3$



- $10 \times 1 + 5 \times 2 + 3 \times 3 = 29$
- $10 \times 2 + 5 \times 1 + 3 \times 2 = 31$
- $10 \times 3 + 5 \times 2 + 3 \times 1 = 43$

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- suppose we decided to let e_i be the root
- e_1, e_2, \dots, e_{i-1} are on left sub-tree
- $e_{i+1}, e_{i+2}, \dots, e_n$ are on right sub-tree
- d_j : depth of e_j in our tree
- C, C_L, C_R : cost of tree, left sub-tree and right sub-tree respectively

$$\begin{aligned}
C &= \sum_{j=1}^n f_j d_j = \sum_{j=1}^n f_j + \sum_{j=1}^n f_j(d_j - 1) \\
&= \sum_{j=1}^n f_j + \sum_{j=1}^{i-1} f_j(d_j - 1) + \sum_{j=i+1}^n f_j(d_j - 1) \\
&= \sum_{j=1}^n f_j + C_L + C_R
\end{aligned}$$

$$C = \sum_{j=1}^n f_j + C_L + C_R$$

- In order to minimize C , need to minimize C_L and C_R respectively
- $opt_{i,j}$: the optimum cost for the instance $(f_i, f_{i+1}, \dots, f_j)$
- for every $i \in \{1, 2, \dots, n, n+1\}$: $opt[i, i-1] = 0$
- for every i, j such that $1 \leq i \leq j \leq n$,

$$opt[i, j] = \sum_{k=i}^j f_k + \min_{k: i \leq k \leq j} (opt[i, k-1] + opt[k+1, j])$$

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Dynamic Programming

- Break up a problem into many **overlapping** sub-problems
- Build solutions for larger and larger sub-problems
- Use a **table** to store solutions for sub-problems for reuse

Comparison with greedy algorithms

- Greedy algorithm: each step is making a small progress towards constructing the solution
- Dynamic programming: the whole solution is constructed in the last step

Comparison with divide and conquer

- Divide and conquer: an instance is broken into many **independent** sub-instances, which are solved separately.
- Dynamic programming: the sub-instances we constructed are overlapping.

Definition of Cells for Problems We Learnt

- Weighted interval scheduling: $opt[i] =$ value of instance defined by jobs $\{1, 2, \dots, i\}$
- Subset sum, knapsack: $opt[i, W'] =$ value of instance with items $\{1, 2, \dots, i\}$ and budget W'
- Longest common subsequence: $opt[i, j] =$ value of instance defined by $A[1..i]$ and $B[1..j]$
- Shortest paths in DAG: $f[v] =$ length of shortest path from s to v
- Matrix chain multiplication, optimum binary search tree:
 $opt[i, j] =$ value of instances defined by matrices i to j