CSE 431/531: Algorithm Analysis and Design (Spring 2022) Graph Algorithms

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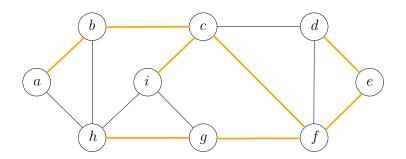
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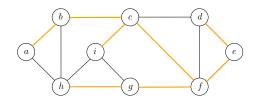
Outline

- Minimum Spanning Tree
 - Kruskal's Algorithm
 - Reverse-Kruskal's Algorithm
 - Prim's Algorithm
- Single Source Shortest Paths
 - Dijkstra's Algorithm
- 3 Shortest Paths in Graphs with Negative Weights
- 4 All-Pair Shortest Paths and Floyd-Warshall

Spanning Tree

Def. Given a connected graph G=(V,E), a spanning tree T=(V,F) of G is a sub-graph of G that is a tree including all vertices V.





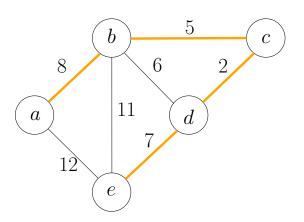
Lemma Let T = (V, F) be a subgraph of G = (V, E). The following statements are equivalent:

- \bullet T is a spanning tree of G;
- T is acyclic and connected;
- T is connected and has n-1 edges;
- T is acyclic and has n-1 edges;
- T is minimally connected: removal of any edge disconnects it;
- ullet T is maximally acyclic: addition of any edge creates a cycle;
- ullet T has a unique simple path between every pair of nodes.

Minimum Spanning Tree (MST) Problem

Input: Graph G=(V,E) and edge weights $w:E\to\mathbb{R}$

Output: the spanning tree T of G with the minimum total weight



Recall: Steps of Designing A Greedy Algorithm

- Design a "reasonable" strategy
- Prove that the reasonable strategy is "safe" (key, usually done by "exchanging argument")
- Show that the remaining task after applying the strategy is to solve a (many) smaller instance(s) of the same problem (usually trivial)

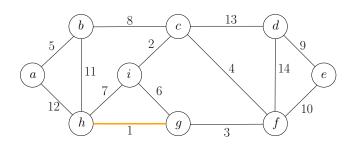
Def. A choice is "safe" if there is an optimum solution that is "consistent" with the choice

Two Classic Greedy Algorithms for MST

- Kruskal's Algorithm
- Prim's Algorithm

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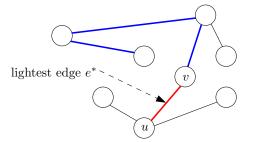
Q: Which edge can be safely included in the MST?

A: The edge with the smallest weight (lightest edge).

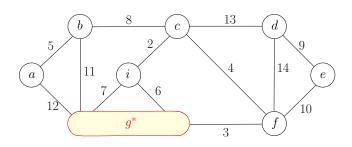
Lemma It is safe to include the lightest edge: there is a minimum spanning tree, that contains the lightest edge.

Proof.

- ullet Take a minimum spanning tree T
- ullet Assume the lightest edge e^* is not in T
- ullet There is a unique path in T connecting u and v
- ullet Remove any edge e in the path to obtain tree T^\prime
- $w(e^*) \le w(e) \implies w(T') \le w(T)$: T' is also a MST

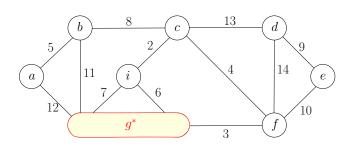


Is the Residual Problem Still a MST Problem?



- \bullet Residual problem: find the minimum spanning tree that contains edge (g,h)
- Contract the edge (g, h)
- Residual problem: find the minimum spanning tree in the contracted graph

Contraction of an Edge (u, v)



- ullet Remove u and v from the graph, and add a new vertex u^*
- ullet Remove all edges (u,v) from E
- ullet For every edge $(u,w)\in E, w\neq v$, change it to (u^*,w)
- ullet For every edge $(v,w)\in E, w\neq u$, change it to (u^*,w)
- ullet May create parallel edges! E.g. : two edges (i,g^*)

Greedy Algorithm

Repeat the following step until G contains only one vertex:

- lacktriangle Choose the lightest edge e^* , add e^* to the spanning tree
- $\hbox{ {\it Contract}} \ e^* \ \hbox{and update} \ G \ \hbox{be the contracted graph}$

Q: What edges are removed due to contractions?

 $\mbox{\bf A:} \;\; \mbox{Edge}\;(u,v)$ is removed if and only if there is a path connecting u and v formed by edges we selected

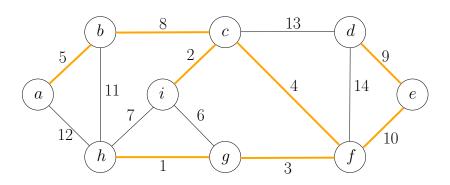
Greedy Algorithm

$\mathsf{MST} ext{-}\mathsf{Greedy}(G,w)$

```
1: F \leftarrow \emptyset
```

- 2: sort edges in E in non-decreasing order of weights w
- 3: **for** each edge (u, v) in the order **do**
- 4: **if** u and v are not connected by a path of edges in F **then**
- 5: $F \leftarrow F \cup \{(u, v)\}$
- 6: **return** (V, F)

Kruskal's Algorithm: Example



Sets: $\{a, b, c, i, f, g, h, d, e\}$

Kruskal's Algorithm: Efficient Implementation of Greedy Algorithm

MST-Kruskal(G, w)

```
1: F \leftarrow \emptyset
 2: S \leftarrow \{\{v\} : v \in V\}
 3: sort the edges of E in non-decreasing order of weights w
 4: for each edge (u, v) \in E in the order do
          S_u \leftarrow the set in S containing u
 5:
       S_v \leftarrow the set in S containing v
 6:
 7:
    if S_u \neq S_v then
               F \leftarrow F \cup \{(u,v)\}
 8:
               \mathcal{S} \leftarrow \mathcal{S} \setminus \{S_u\} \setminus \{S_v\} \cup \{S_u \cup S_v\}
 9:
10: return (V, F)
```

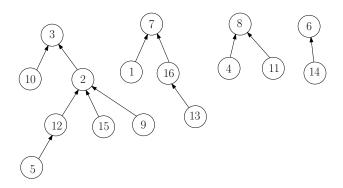
Running Time of Kruskal's Algorithm

```
MST-Kruskal(G, w)
 1: F \leftarrow \emptyset
 2: S \leftarrow \{\{v\} : v \in V\}
 3: sort the edges of E in non-decreasing order of weights w
 4: for each edge (u, v) \in E in the order do
          S_u \leftarrow the set in S containing u
 5:
      S_v \leftarrow the set in S containing v
 6:
    if S_u \neq S_v then
 7:
              F \leftarrow F \cup \{(u,v)\}
 8:
              \mathcal{S} \leftarrow \mathcal{S} \setminus \{S_n\} \setminus \{S_n\} \cup \{S_n \cup S_n\}
 9:
10: return (V, F)
```

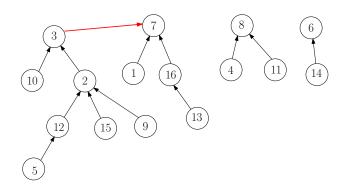
Use union-find data structure to support **2**, **6**, **6**, **7**, **9**.

- ullet V: ground set
- ullet We need to maintain a partition of V and support following operations:
 - ullet Check if u and v are in the same set of the partition
 - Merge two sets in partition

- $V = \{1, 2, 3, \cdots, 16\}$
- $\bullet \ \, \mathsf{Partition:} \ \{2,3,5,9,10,12,15\}, \{1,7,13,16\}, \{4,8,11\}, \{6,14\}$



• par[i]: parent of i, $(par[i] = \bot \text{ if } i \text{ is a root})$.



- ullet Q: how can we check if u and v are in the same set?
- A: Check if root(u) = root(v).
- root(u): the root of the tree containing u
- Merge the trees with root r and r': $par[r] \leftarrow r'$.

root(v)

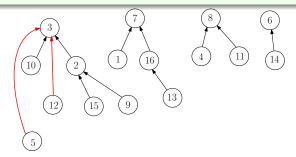
- 1: if $par[v] = \bot$ then
- v: return v
- 3: **else**
- 4: **return** root(par[v])

root(v)

- 1: if $par[v] = \bot$ then
- 2: return v
- 3: **else**
- 4: $par[v] \leftarrow root(par[v])$
- 5: **return** par[v]
- Problem: the tree might too deep; running time might be large
- Improvement: all vertices in the path directly point to the root, saving time in the future.

root(v)

- 1: if $par[v] = \bot$ then
- 2: return v
- 3: **else**
- 4: $par[v] \leftarrow root(par[v])$
- 5: **return** par[v]



MST-Kruskal(G, w)

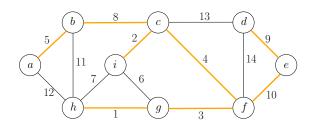
```
1: F \leftarrow \emptyset
 2: S \leftarrow \{\{v\} : v \in V\}
 3: sort the edges of E in non-decreasing order of weights w
 4: for each edge (u, v) \in E in the order do
 5:
          S_u \leftarrow the set in S containing u
       S_v \leftarrow the set in S containing v
 6:
    if S_u \neq S_v then
 7:
               F \leftarrow F \cup \{(u,v)\}
 8:
               \mathcal{S} \leftarrow \mathcal{S} \setminus \{S_n\} \setminus \{S_n\} \cup \{S_n \cup S_n\}
 9:
10: return (V, F)
```

MST-Kruskal(G, w)

- 1: $F \leftarrow \emptyset$ 2: **for** every $v \in V$ **do**: $par[v] \leftarrow \bot$ 3: sort the edges of E in non-decreasing order of weights w4: **for** each edge $(u, v) \in E$ in the order **do** $u' \leftarrow \mathsf{root}(u)$ 5: $v' \leftarrow \mathsf{root}(v)$ 6: 7: if $u' \neq v'$ then $F \leftarrow F \cup \{(u,v)\}$ 8: $par[u'] \leftarrow v'$ 9: 10: return (V, F)
- 2,5,6,7,9 takes time $O(m\alpha(n))$
- $\alpha(n)$ is very slow-growing: $\alpha(n) \le 4$ for $n \le 10^{80}$.
- Running time = time for $3 = O(m \lg n)$.

Assumption Assume all edge weights are different.

Lemma An edge $e \in E$ is **not** in the MST, if and only if there is cycle C in G in which e is the heaviest edge.



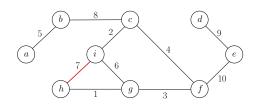
- (i,g) is not in the MST because of cycle (i,c,f,g)
- \bullet (e, f) is in the MST because no such cycle exists

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Two Methods to Build a MST

- \bullet Start from $F \leftarrow \emptyset$, and add edges to F one by one until we obtain a spanning tree
- ② Start from $F \leftarrow E$, and remove edges from F one by one until we obtain a spanning tree



Q: Which edge can be safely excluded from the MST?

A: The heaviest non-bridge edge.

Def. A bridge is an edge whose removal disconnects the graph.

Lemma It is safe to exclude the heaviest non-bridge edge: there is a MST that does not contain the heaviest non-bridge edge.

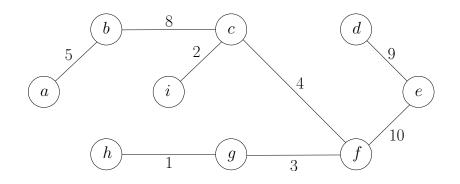
Reverse Kruskal's Algorithm

$\mathsf{MST} ext{-}\mathsf{Greedy}(G,w)$

```
1: F \leftarrow E
```

- 2: sort E in non-increasing order of weights
- 3: **for** every e in this order **do**
- 4: **if** $(V, F \setminus \{e\})$ is connected **then**
- 5: $F \leftarrow F \setminus \{e\}$
- 6: return (V, F)

Reverse Kruskal's Algorithm: Example

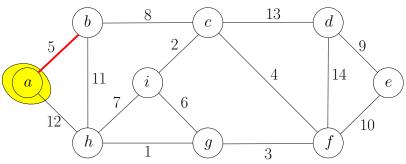


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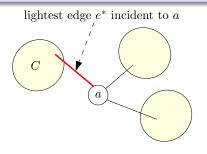
Design Greedy Strategy for MST

• Recall the greedy strategy for Kruskal's algorithm: choose the edge with the smallest weight.



• Greedy strategy for Prim's algorithm: choose the lightest edge incident to a.

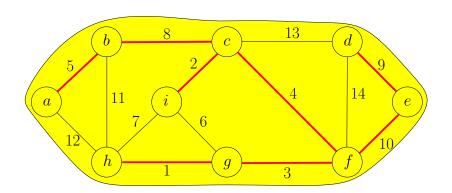
Lemma It is safe to include the lightest edge incident to a.



Proof.

- \bullet Let T be a MST
- ullet Consider all components obtained by removing a from T
- \bullet Let e^* be the lightest edge incident to a and e^* connects a to component C
- ullet Let e be the edge in T connecting a to C
- $T' = T \setminus \{e\} \cup \{e^*\}$ is a spanning tree with w(T') < w(T)

Prim's Algorithm: Example



Greedy Algorithm

```
\mathsf{MST}	ext{-}\mathsf{Greedy1}(G,w)
```

```
1: S \leftarrow \{s\}, where s is arbitrary vertex in V

2: F \leftarrow \emptyset

3: while S \neq V do

4: (u,v) \leftarrow lightest edge between S and V \setminus S, where u \in S and v \in V \setminus S

5: S \leftarrow S \cup \{v\}
```

6: $F \leftarrow F \cup \{(u, v)\}$

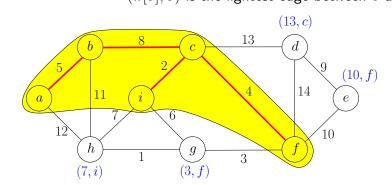
7: **return** (V, F)

• Running time of naive implementation: O(nm)

Prim's Algorithm: Efficient Implementation of Greedy Algorithm

For every $v \in V \setminus S$ maintain

- $d[v] = \min_{u \in S:(u,v) \in E} w(u,v)$:
- the weight of the lightest edge between v and S
- $\pi[v] = \arg\min_{u \in S: (u,v) \in E} w(u,v)$: $(\pi[v], v)$ is the lightest edge between v and S



Prim's Algorithm: Efficient Implementation of Greedy Algorithm

For every $v \in V \setminus S$ maintain

- $d[v] = \min_{u \in S:(u,v) \in E} w(u,v)$:
 - the weight of the lightest edge between \boldsymbol{v} and \boldsymbol{S}
- $\pi[v] = \arg\min_{u \in S:(u,v) \in E} w(u,v)$: $(\pi[v],v) \text{ is the lightest edge between } v \text{ and } S$

In every iteration

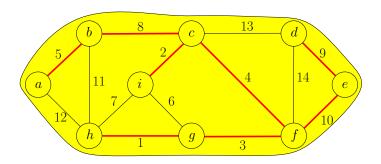
- ullet Pick $u \in V \setminus S$ with the smallest d[u] value
- Add $(\pi[u], u)$ to F
- ullet Add u to S, update d and π values.

Prim's Algorithm

10: **return** $\{(u, \pi[u])|u \in V \setminus \{s\}\}$

```
\mathsf{MST}\text{-}\mathsf{Prim}(G,w)
 1: s \leftarrow arbitrary vertex in G
 2: S \leftarrow \emptyset, d(s) \leftarrow 0 and d[v] \leftarrow \infty for every v \in V \setminus \{s\}
 3: while S \neq V do
          u \leftarrow \text{vertex in } V \setminus S \text{ with the minimum } d[u]
 4:
     S \leftarrow S \cup \{u\}
 5:
       for each v \in V \setminus S such that (u, v) \in E do
 6:
                if w(u,v) < d[v] then
 7:
                     d[v] \leftarrow w(u,v)
 8:
                     \pi[v] \leftarrow u
 9:
```

Example



Prim's Algorithm

For every $v \in V \setminus S$ maintain

- $d[v] = \min_{u \in S:(u,v) \in E} w(u,v)$:
 - the weight of the lightest edge between \boldsymbol{v} and \boldsymbol{S}
- $\pi[v] = \arg\min_{u \in S: (u,v) \in E} w(u,v)$: $(\pi[v],v) \text{ is the lightest edge between } v \text{ and } S$

In every iteration

ullet Pick $u \in V \setminus S$ with the smallest d[u] value

extract_min

- Add $(\pi[u], u)$ to F
- ullet Add u to S, update d and π values.

decrease_key

Use a priority queue to support the operations

Def. A priority queue is an abstract data structure that maintains a set U of elements, each with an associated key value, and supports the following operations:

- insert (v, key_value) : insert an element v, whose associated key value is key_value .
- ullet decrease_key (v, new_key_value) : decrease the key value of an element v in queue to new_key_value
- extract_min(): return and remove the element in queue with the smallest key value
- · · ·

Prim's Algorithm

```
\mathsf{MST}\text{-}\mathsf{Prim}(G,w)
 1: s \leftarrow \text{arbitrary vertex in } G
 2: S \leftarrow \emptyset, d(s) \leftarrow 0 and d[v] \leftarrow \infty for every v \in V \setminus \{s\}
 3:
 4: while S \neq V do
         u \leftarrow \text{vertex in } V \setminus S \text{ with the minimum } d[u]
 5:
     S \leftarrow S \cup \{u\}
 6:
     for each v \in V \setminus S such that (u, v) \in E do
 7:
                if w(u,v) < d[v] then
 8:
                     d[v] \leftarrow w(u,v)
 9:
                     \pi[v] \leftarrow u
10:
11: return \{(u, \pi[u]) | u \in V \setminus \{s\}\}
```

Prim's Algorithm Using Priority Queue

```
\mathsf{MST}\text{-}\mathsf{Prim}(G,w)
 1: s \leftarrow arbitrary vertex in G
 2: S \leftarrow \emptyset, d(s) \leftarrow 0 and d[v] \leftarrow \infty for every v \in V \setminus \{s\}
 3: Q \leftarrow \text{empty queue, for each } v \in V: Q.\text{insert}(v, d[v])
 4: while S \neq V do
        u \leftarrow Q.\mathsf{extract\_min}()
 5:
     S \leftarrow S \cup \{u\}
 6:
       for each v \in V \setminus S such that (u, v) \in E do
 7:
                if w(u,v) < d[v] then
  8:
                     d[v] \leftarrow w(u, v), Q.\mathsf{decrease\_key}(v, d[v])
 9:
                     \pi[v] \leftarrow u
10:
11: return \{(u, \pi[u]) | u \in V \setminus \{s\}\}
```

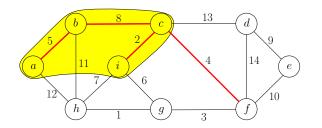
Running Time of Prim's Algorithm Using Priority Queue

 $O(n) \times$ (time for extract_min) + $O(m) \times$ (time for decrease_key)

concrete DS	extract_min	decrease_key	overall time
heap	$O(\log n)$	$O(\log n)$	$O(m \log n)$
Fibonacci heap	$O(\log n)$	O(1)	$O(n\log n + m)$

Assumption Assume all edge weights are different.

Lemma (u,v) is in MST, if and only if there exists a $\operatorname{cut}\ (U,V\setminus U)$, such that (u,v) is the lightest edge between U and $V\setminus U$.



- (c, f) is in MST because of cut $(\{a, b, c, i\}, V \setminus \{a, b, c, i\})$
- (i,g) is not in MST because no such cut exists

"Evidence" for $e \in \mathsf{MST}$ or $e \notin \mathsf{MST}$

Assumption Assume all edge weights are different.

- $e \in \mathsf{MST} \leftrightarrow \mathsf{there}$ is a cut in which e is the lightest edge
- ullet $e \notin \mathsf{MST} \leftrightarrow \mathsf{there}$ is a cycle in which e is the heaviest edge

Exactly one of the following is true:

- ullet There is a cut in which e is the lightest edge
- ullet There is a cycle in which e is the heaviest edge

Thus, the minimum spanning tree is unique with assumption.

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algorithm	graph	weights	SS?	running time
Simple DP	DAG	\mathbb{R}	SS	O(n+m)
Dijkstra	U/D	$\mathbb{R}_{\geq 0}$	SS	$O(n\log n + m)$
Bellman-Ford	U/D	\mathbb{R}	SS	O(nm)
Floyd-Warshall	U/D	\mathbb{R}	AP	$O(n^3)$

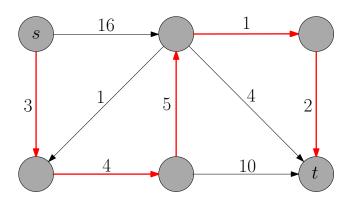
- $\bullet \ \mathsf{DAG} = \mathsf{directed} \ \mathsf{acyclic} \ \mathsf{graph} \quad \mathsf{U} = \mathsf{undirected} \quad \mathsf{D} = \mathsf{directed}$
- ullet SS = single source AP = all pairs

s-t Shortest Paths

Input: (directed or undirected) graph G = (V, E), $s, t \in V$

 $w: E \to \mathbb{R}_{\geq 0}$

Output: shortest path from s to t



Single Source Shortest Paths

Input: directed graph G = (V, E), $s \in V$

 $w: E \to \mathbb{R}_{\geq 0}$

Output: shortest paths from s to all other vertices $v \in V$

Reason for Considering Single Source Shortest Paths Problem

- ullet We do not know how to solve s-t shortest path problem more efficiently than solving single source shortest path problem
- Shortest paths in directed graphs is more general than in undirected graphs: we can replace every undirected edge with two anti-parallel edges of the same weight

Single Source Shortest Paths

Input: directed graph G = (V, E), $s \in V$

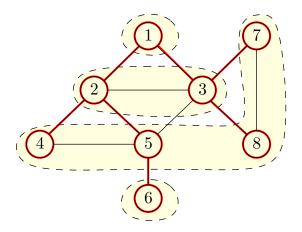
 $w: E \to \mathbb{R}_{>0}$

Output: $\pi[v], v \in V \setminus s$: the parent of v in shortest path tree

 $d[v], v \in V \setminus s$: the length of shortest path from s to v

 $\mathbf{Q}\text{:}\ \ \text{How to compute shortest paths from }s$ when all edges have weight 1?

A: Breadth first search (BFS) from source s



Assumption Weights w(u, v) are integers (w.l.o.g).

 \bullet An edge of weight w(u,v) is equivalent to a pah of w(u,v) unit-weight edges



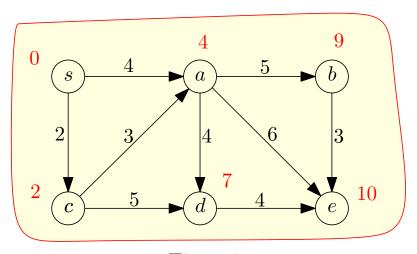
Shortest Path Algorithm by Running BFS

- 1: replace (u,v) of length w(u,v) with a path of w(u,v) unit-weight edges, for every $(u,v) \in E$
- 2: run BFS virtually
- 3: $\pi[v] \leftarrow \text{vertex from which } v \text{ is visited}$
- 4: $d[v] \leftarrow \text{index of the level containing } v$
- Problem: w(u, v) may be too large!

Shortest Path Algorithm by Running BFS Virtually

- 1: $S \leftarrow \{s\}, d(s) \leftarrow 0$
- 2: while |S| < n do
- 3: find a $v \notin S$ that minimizes $\min_{u \in S: (u,v) \in E} \{d[u] + w(u,v)\}$
- 4: $S \leftarrow S \cup \{v\}$
- 5: $d[v] \leftarrow \min_{u \in S:(u,v) \in E} \{d[u] + w(u,v)\}$

Virtual BFS: Example



Time 10

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Dijkstra's Algorithm

$\mathsf{Dijkstra}(G, w, s)$

```
1: S \leftarrow \emptyset, d(s) \leftarrow 0 and d[v] \leftarrow \infty for every v \in V \setminus \{s\}

2: while S \neq V do

3: u \leftarrow vertex in V \setminus S with the minimum d[u]

4: add u to S

5: for each v \in V \setminus S such that (u,v) \in E do

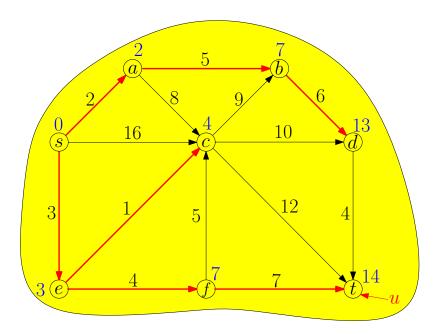
6: if d[u] + w(u,v) < d[v] then

7: d[v] \leftarrow d[u] + w(u,v)

8: \pi[v] \leftarrow u

9: return (d,\pi)
```

• Running time = $O(n^2)$



Improved Running Time using Priority Queue

```
Dijkstra(G, w, s)
 1:
 2: S \leftarrow \emptyset, d(s) \leftarrow 0 and d[v] \leftarrow \infty for every v \in V \setminus \{s\}
 3: Q \leftarrow \text{empty queue, for each } v \in V: Q.\text{insert}(v, d[v])
 4: while S \neq V do
        u \leftarrow Q.\mathsf{extract\_min}()
 5:
       S \leftarrow S \cup \{u\}
 6:
       for each v \in V \setminus S such that (u, v) \in E do
 7:
               if d[u] + w(u, v) < d[v] then
 8:
                    d[v] \leftarrow d[u] + w(u, v), Q.\mathsf{decrease\_key}(v, d[v])
 9:
                    \pi[v] \leftarrow u
10:
11: return (\pi, d)
```

Recall: Prim's Algorithm for MST

```
\mathsf{MST}\text{-}\mathsf{Prim}(G,w)
 1: s \leftarrow arbitrary vertex in G
 2: S \leftarrow \emptyset, d(s) \leftarrow 0 and d[v] \leftarrow \infty for every v \in V \setminus \{s\}
 3: Q \leftarrow \text{empty queue, for each } v \in V: Q.\text{insert}(v, d[v])
 4: while S \neq V do
        u \leftarrow Q.\mathsf{extract\_min}()
 5:
     S \leftarrow S \cup \{u\}
 6:
     for each v \in V \setminus S such that (u, v) \in E do
 7:
                if w(u,v) < d[v] then
 8:
                     d[v] \leftarrow w(u, v), Q.\mathsf{decrease\_key}(v, d[v])
 9:
                     \pi[v] \leftarrow u
10:
11: return \{(u, \pi[u]) | u \in V \setminus \{s\}\}
```

Improved Running Time

Running time:

 $O(n) \times ({\sf time\ for\ extract_min}) + O(m) \times ({\sf time\ for\ decrease_key})$

Priority-Queue	extract_min	decrease_key	Time
Неар	$O(\log n)$	$O(\log n)$	$O(m \log n)$
Fibonacci Heap	$O(\log n)$	O(1)	$O(n\log n + m)$

Outline

- Minimum Spanning Tree
 - Kruskal's Algorithm
 - Reverse-Kruskal's Algorithm
 - Prim's Algorithm
- Single Source Shortest Paths
 - Dijkstra's Algorithm
- 3 Shortest Paths in Graphs with Negative Weights
- 4 All-Pair Shortest Paths and Floyd-Warshall

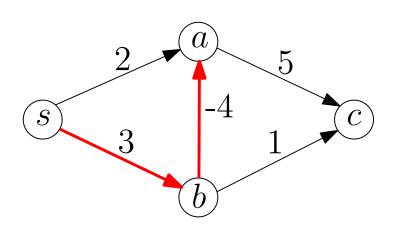
Single Source Shortest Paths, Weights May be Negative

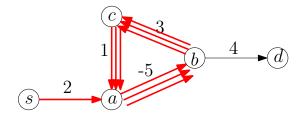
Input: directed graph G=(V,E), $s\in V$ assume all vertices are reachable from s $w:E\to\mathbb{R}$

Output: shortest paths from s to all other vertices $v \in V$

- In transition graphs, negative weights make sense
- If we sell a item: 'having the item' → 'not having the item', weight is negative (we gain money)
- Dijkstra's algorithm does not work any more!

Dijkstra's Algorithm Fails if We Have Negative Weights





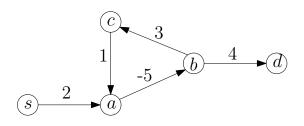
Q: What is the length of the shortest path from s to d?

A: $-\infty$

Def. A negative cycle is a cycle in which the total weight of edges is negative.

Dealing with Negative Cycles

- assume the input graph does not contain negative cycles, or
- allow algorithm to report "negative cycle exists"



Q: What is the length of the shortest simple path from s to d?

A: 1

 Unfortunately, computing the shortest simple path between two vertices is an NP-hard problem.

algorithm	graph	weights	SS?	running time
Simple DP	DAG	\mathbb{R}	SS	O(n+m)
Dijkstra	U/D	$\mathbb{R}_{\geq 0}$	SS	$O(n\log n + m)$
Bellman-Ford	U/D	\mathbb{R}	SS	O(nm)
Floyd-Warshall	U/D	\mathbb{R}	AP	$O(n^3)$

- ullet DAG = directed acyclic graph U = undirected D = directed
- $\bullet \ \mathsf{SS} = \mathsf{single} \ \mathsf{source} \quad \ \mathsf{AP} = \mathsf{all} \ \mathsf{pairs}$

Defining Cells of Table

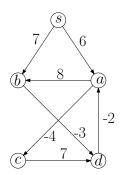
Single Source Shortest Paths, Weights May be Negative

Input: directed graph G = (V, E), $s \in V$ assume all vertices are reachable from s

 $w: E \to \mathbb{R}$

Output: shortest paths from s to all other vertices $v \in V$

- ullet first try: f[v]: length of shortest path from s to v
- ullet issue: do not know in which order we compute f[v]'s
- $f^{\ell}[v]$, $\ell \in \{0, 1, 2, 3 \cdots, n-1\}$, $v \in V$: length of shortest path from s to v that uses at most ℓ edges



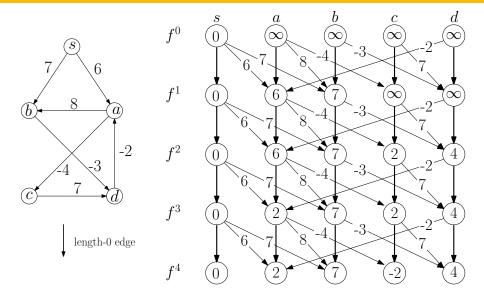
- $f^{\ell}[v]$, $\ell \in \{0, 1, 2, 3 \cdots, n-1\}$, $v \in V$: length of shortest path from s to v that uses at most ℓ edges
- $f^{2}[a] = 6$ $f^{3}[a] = 2$

$$f^{\ell}[v] = \begin{cases} 0 & \ell = 0, v = s \\ \infty & \ell = 0, v \neq s \end{cases}$$

$$\min \begin{cases} f^{\ell-1}[v] & \ell > 0 \end{cases}$$

$$\min_{u:(u,v)\in E} \left(f^{\ell-1}[u] + w(u,v) \right) \qquad \ell > 0$$

Dynamic Programming: Example



dynamic-programming (G, w, s)

```
1: f^0[s] \leftarrow 0 and f^0[v] \leftarrow \infty for any v \in V \setminus \{s\}

2: for \ell \leftarrow 1 to n-1 do

3: \operatorname{copy} f^{\ell-1} \to f^{\ell}

4: for each (u,v) \in E do

5: if f^{\ell-1}[u] + w(u,v) < f^{\ell}[v] then

6: f^{\ell}[v] \leftarrow f^{\ell-1}[u] + w(u,v)

7: return (f^{n-1}[v])_{v \in V}
```

Obs. Assuming there are no negative cycles, then a shortest path contains at most n-1 edges

Proof.

If there is a path containing at least n edges, then it contains a cycle. Removing the cycle gives a path with the same or smaller length. \square

Bellman-Ford Algorithm

Bellman-Ford(G, w, s)

- 1: $f[s] \leftarrow 0$ and $f[v] \leftarrow \infty$ for any $v \in V \setminus \{s\}$
- 2: **for** $\ell \leftarrow 1$ to n-1 **do**
- 3: **for** each $(u, v) \in E$ **do**
- 4: **if** f[u] + w(u, v) < f[v] **then** 5: $f[v] \leftarrow f[u] + w(u, v)$
- 6: **return** *f*
- Issue: when we compute f[u] + w(u, v), f[u] may be changed since the end of last iteration
- This is OK: it can only "accelerate" the process!
- After iteration ℓ , f[v] is at most the length of the shortest path from s to v that uses at most ℓ edges
- ullet f[v] is always the length of some path from s to v

Bellman-Ford Algorithm

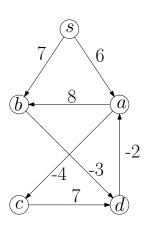
• After iteration ℓ :

length of shortest s-v path

$$\leq f[v]$$

 \leq length of shortest $s ext{-}v$ path using at most ℓ edges

- Assuming there are no negative cycles:
 - length of shortest s-v path
 - = length of shortest s-v path using at most n-1 edges
- ullet So, assuming there are no negative cycles, after iteration n-1:
 - f[v] = length of shortest s-v path



order in which we consider edges:
 (s, a), (s, b), (a, b), (a, c), (b, d),
 (c, d), (d, a)

vertices	s	a	b	c	d
\overline{f}	0	∞62	∞ 7	∞ 2-2	∞ 4

- end of iteration 1: 0, 2, 7, 2, 4
- end of iteration 2: 0, 2, 7, -2, 4
- end of iteration 3: 0, 2, 7, -2, 4
- Algorithm terminates in 3 iterations, instead of 4.

Bellman-Ford Algorithm

$\mathsf{Bellman}\text{-}\mathsf{Ford}(G,w,s)$

```
1: f[s] \leftarrow 0 and f[v] \leftarrow \infty for any v \in V \setminus \{s\}

2: for \ell \leftarrow 1 to n do

3: updated \leftarrow false

4: for each (u,v) \in E do

5: if f[u] + w(u,v) < f[v] then

6: f[v] \leftarrow f[u] + w(u,v), \pi[v] \leftarrow u

7: updated \leftarrow true

8: if not updated, then return f

9: output "negative cycle exists"
```

- $\pi[v]$: the parent of v in the shortest path tree
- Running time = O(nm)

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All-Pair Shortest Paths

All Pair Shortest Paths

Input: directed graph G = (V, E),

 $w: E \to \mathbb{R}$ (can be negative)

Output: shortest path from u to v for every $u, v \in V$

- 1: for every starting point $s \in V$ do
- 2: run Bellman-Ford(G, w, s)
- Running time = $O(n^2m)$

Summary of Shortest Path Algorithms we learned

algorithm	graph	weights	SS?	running time
Simple DP	DAG	\mathbb{R}	SS	O(n+m)
Dijkstra	U/D	$\mathbb{R}_{\geq 0}$	SS	$O(n\log n + m)$
Bellman-Ford	U/D	\mathbb{R}	SS	O(nm)
Floyd-Warshall	U/D	\mathbb{R}	AP	$O(n^3)$

- ullet DAG = directed acyclic graph U = undirected D = directed
- $\bullet \ \mathsf{SS} = \mathsf{single} \ \mathsf{source} \quad \ \mathsf{AP} = \mathsf{all} \ \mathsf{pairs}$

Design a Dynamic Programming Algorithm

- It is convenient to assume $V = \{1, 2, 3, \cdots, n\}$
- ullet For simplicity, extend the w values to non-edges:

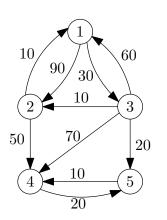
$$w(i,j) = \begin{cases} 0 & i = j \\ \text{weight of edge } (i,j) & i \neq j, (i,j) \in E \\ \infty & i \neq j, (i,j) \notin E \end{cases}$$

For now assume there are no negative cycles

Cells for Floyd-Warshall Algorithm

- ullet First try: f[i,j] is length of shortest path from i to j
- Issue: do not know in which order we compute f[i, j]'s
- $f^k[i,j]$: length of shortest path from i to j that only uses vertices $\{1,2,3,\cdots,k\}$ as intermediate vertices

Example for Definition of $f^k[i,j]$'s



$$f^{0}[1,4] = \infty$$

$$f^{1}[1,4] = \infty$$

$$f^{2}[1,4] = 140 \qquad (1 \to 2 \to 4)$$

$$f^{3}[1,4] = 90 \qquad (1 \to 3 \to 2 \to 4)$$

$$f^{4}[1,4] = 90 \qquad (1 \to 3 \to 2 \to 4)$$

$$f^{5}[1,4] = 60 \qquad (1 \to 3 \to 5 \to 4)$$

$$w(i,j) = \begin{cases} 0 & i = j \\ \text{weight of edge } (i,j) & i \neq j, (i,j) \in E \\ \infty & i \neq j, (i,j) \notin E \end{cases}$$

• $f^k[i,j]$: length of shortest path from i to j that only uses vertices $\{1,2,3,\cdots,k\}$ as intermediate vertices

$$f^{k}[i,j] = \begin{cases} w(i,j) & k = 0\\ \min \begin{cases} f^{k-1}[i,j] & k = 1, 2, \dots, n \end{cases} \end{cases}$$

Floyd-Warshall(G, w)

```
1: f^0 \leftarrow w

2: for k \leftarrow 1 to n do

3: \operatorname{copy} f^{k-1} \to f^k

4: for i \leftarrow 1 to n do

5: for j \leftarrow 1 to n do

6: if f^{k-1}[i,k] + f^{k-1}[k,j] < f^k[i,j] then

7: f^k[i,j] \leftarrow f^{k-1}[i,k] + f^{k-1}[k,j]
```

$\mathsf{Floyd} ext{-}\mathsf{Warshall}(G,w)$

```
1: f^{\text{old}} \leftarrow w

2: for k \leftarrow 1 to n do

3: \operatorname{copy} f^{\text{old}} \to f^{\text{new}}

4: for i \leftarrow 1 to n do

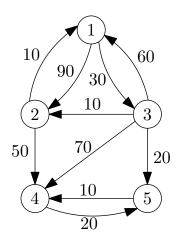
5: for j \leftarrow 1 to n do

6: if f^{\text{old}}[i,k] + f^{\text{old}}[k,j] < f^{\text{new}}[i,j] then

7: f^{\text{new}}[i,j] \leftarrow f^{\text{old}}[i,k] + f^{\text{old}}[k,j]
```

Lemma Assume there are no negative cycles in G. After iteration k, for $i,j \in V$, f[i,j] is exactly the length of shortest path from i to j that only uses vertices in $\{1,2,3,\cdots,k\}$ as intermediate vertices.

• Running time = $O(n^3)$.



	1	2	3	4	5
1	0	9040	30	∞ 140	∞
2	10	0	∞ 40	50	∞
3	6020	10	0	70 <mark>60</mark>	20
4	∞	∞	∞	0	20
5	∞	∞	∞	10	0

•
$$i = 1$$
, $i = 2$, $i = 3$, $k = 1$, $k = 2$, $k = 3$, $j = 1$, $j = 2j = 3j = 4$

Recovering Shortest Paths

Floyd-Warshall(G, w)

```
1: f \leftarrow w, \pi[i,j] \leftarrow \bot for every i,j \in V

2: for k \leftarrow 1 to n do

3: for i \leftarrow 1 to n do

4: for j \leftarrow 1 to n do

5: if f[i,k] + f[k,j] < f[i,j] then

6: f[i,j] \leftarrow f[i,k] + f[k,j], \pi[i,j] \leftarrow k
```

$\mathsf{print} ext{-}\mathsf{path}(i,j)$

```
1: if \pi[i,j] = \bot then then
2: if i \neq j then print(i,",")
```

3: **else**

4: print-path $(i, \pi[i, j])$, print-path $(\pi[i, j], j)$

Detecting Negative Cycles

```
Floyd-Warshall(G, w)
 1: f \leftarrow w, \pi[i,j] \leftarrow \bot for every i,j \in V
 2: for k \leftarrow 1 to n do
         for i \leftarrow 1 to n do
 3:
              for j \leftarrow 1 to n do
 4:
                  if f[i, k] + f[k, j] < f[i, j] then
 5:
                       f[i,j] \leftarrow f[i,k] + f[k,j], \pi[i,j] \leftarrow k
 6:
 7: for k \leftarrow 1 to n do
         for i \leftarrow 1 to n do
 8:
 9:
              for i \leftarrow 1 to n do
                  if f[i, k] + f[k, j] < f[i, j] then
10:
                       report "negative cycle exists" and exit
11:
```

Summary of Shortest Path Algorithms

algorithm	graph	weights	SS?	running time
Simple DP	DAG	\mathbb{R}	SS	O(n+m)
Dijkstra	U/D	$\mathbb{R}_{\geq 0}$	SS	$O(n\log n + m)$
Bellman-Ford	U/D	\mathbb{R}	SS	O(nm)
Floyd-Warshall	U/D	\mathbb{R}	AP	$O(n^3)$

- ullet DAG = directed acyclic graph U = undirected D = directed
- SS = single source AP = all pairs