

CSE 431/531: Algorithm Analysis and Design (Fall 2022)

NP-Completeness

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NP-Completeness Theory

- The topics we discussed so far are **positive results**: how to design efficient algorithms for solving a given problem.
- NP-Completeness provides **negative results**: some problems can **not** be solved efficiently.

Q: Why do we study negative results?

NP-Completeness Theory

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- NP-Completeness provides **negative results**: some problems can **not** be solved efficiently.

Q: Why do we study negative results?

- A given problem X cannot be solved in polynomial time.
- Without knowing it, you will have to keep trying to find polynomial time algorithm for solving X . All our efforts are doomed!

Efficient = Polynomial Time

- Polynomial time: $O(n^k)$ for any constant $k > 0$
- Example: $O(n)$, $O(n^2)$, $O(n^{2.5} \log n)$, $O(n^{100})$
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Reason for Efficient = Polynomial Time

- For natural problems, if there is an $O(n^k)$ -time algorithm, then k is small, say 4
- A good cut separating problems: for most natural problems, either we have a polynomial time algorithm, or the best algorithm runs in time $\Omega(2^{n^c})$ for some c
- Do not need to worry about the computational model

Outline

- 1 Some Hard Problems
- 2 P, NP and Co-NP
- 3 Polynomial Time Reductions and NP-Completeness
- 4 NP-Complete Problems
- 5 Dealing with NP-Hard Problems
- 6 Summary

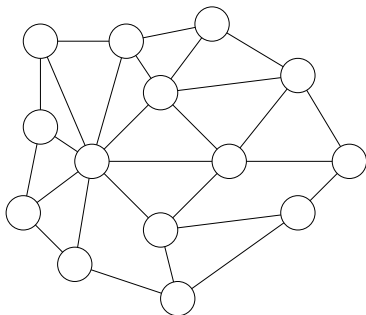
Example: Hamiltonian Cycle Problem

Def. Let G be an undirected graph. A **Hamiltonian Cycle (HC)** of G is a cycle C in G that **passes each vertex of G exactly once**.

Hamiltonian Cycle (HC) Problem

Input: graph $G = (V, E)$

Output: whether G contains a Hamiltonian cycle



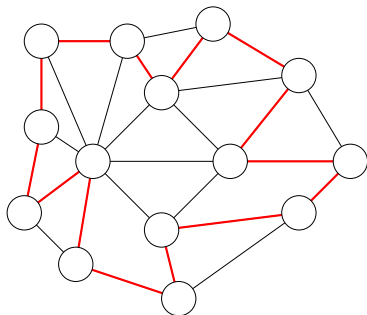
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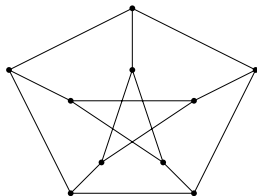
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- The graph is called the **Petersen Graph**. It has no HC.

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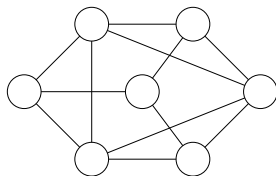
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- Running time: $O(n!m) = 2^{O(n \lg n)}$
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- HC is **NP-hard**: it is **unlikely** that it can be solved in polynomial time.

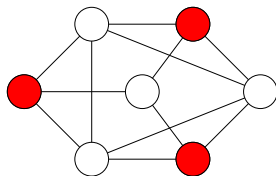
Maximum Independent Set Problem

Def. An **independent set** of $G = (V, E)$ is a subset $I \subseteq V$ such that no two vertices in I are adjacent in G .



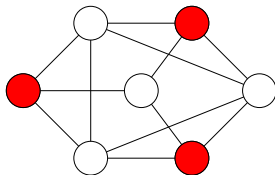
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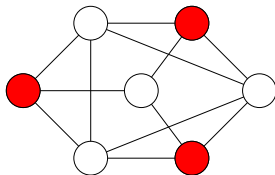
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- Maximum Independent Set is NP-hard

Formula Satisfiability

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Input: boolean formula with n variables, with \vee, \wedge, \neg operators.

Output: whether the boolean formula is satisfiable

- Example: $\neg((\neg x_1 \wedge x_2) \vee (\neg x_1 \wedge \neg x_3) \vee x_1 \vee (\neg x_2 \wedge x_3))$ is not satisfiable
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Fact For each optimization problem X , there is a decision version X' of the problem. If we have a polynomial time algorithm for the decision version X' , we can solve the original problem X in polynomial time.

Shortest Path

Input: graph $G = (V, E)$, weight w, s, t and a bound L

Output: whether there is a path from s to t of length at most L

Optimization to Decision

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Maximum Independent Set

Input: a graph G and a bound k

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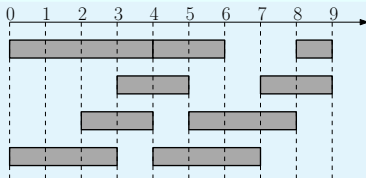
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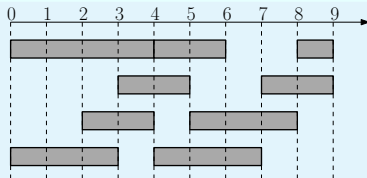
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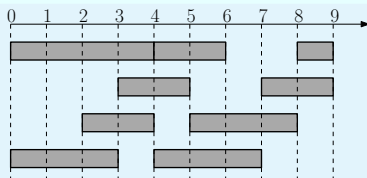


- $(0, 3, 0, 4, 2, 4, 3, 5, 4, 6, 4, 7, 5, 8, 7, 9, 8, 9)$

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Example: Interval Scheduling Problem



- $(0, 3, 0, 4, 2, 4, 3, 5, 4, 6, 4, 7, 5, 8, 7, 9, 8, 9)$
- Encode the sequence into a binary string as before

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A: No! As long as we are using a “natural” encoding. We only care whether the running time is polynomial or not

Define Problem as a Function

$$X : \{0, 1\}^* \rightarrow \{0, 1\}$$

Def. A **decision problem** X is a function mapping $\{0, 1\}^*$ to $\{0, 1\}$ such that for any $s \in \{0, 1\}^*$, $X(s)$ is the correct output for input s .

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Def. A has a **polynomial running time** if there is a polynomial function $p(\cdot)$ so that for every string s , the algorithm A terminates on s in at most $p(|s|)$ steps.

Complexity Class P

Def. The **complexity class P** is the set of decision problems X that can be solved in polynomial time.

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- The decision versions of interval scheduling, shortest path and minimum spanning tree all in P.

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Def. The message Alice sends to Bob is called a **certificate**, and the algorithm Bob runs is called a **certifier**.

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- Certificate: a set of size k
- Certifier: check if the given set is really an independent set

The Complexity Class NP

Def. B is an **efficient certifier** for a problem X if

- B is a polynomial-time algorithm that takes two input strings s and t , and outputs 0 or 1.
- there is a polynomial function p such that, $X(s) = 1$ if and only if there is string t such that $|t| \leq p(|s|)$ and $B(s, t) = 1$.

The string t such that $B(s, t) = 1$ is called a **certificate**.

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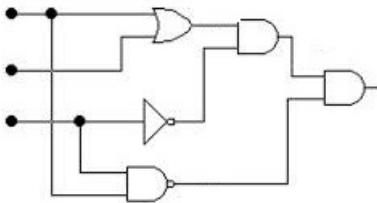
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Circuit Satisfiability (Circuit-Sat) Problem

Input: a circuit with and/or/not gates

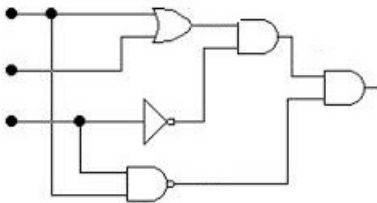
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Circuit Satisfiability (Circuit-Sat) Problem

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- Is Circuit-Sat \in NP?

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- $\overline{\text{HC}} \in \text{Co-NP}$

The Complexity Class Co-NP

Def. For a problem X , the problem \overline{X} is the problem such that $\overline{X}(s) = 1$ if and only if $X(s) = 0$.

Def. **Co-NP** is the set of decision problems X such that $\overline{X} \in \text{NP}$.

Def. A **tautology** is a boolean formula that always evaluates to 1.

Tautology Problem

Input: a boolean formula

Output: whether the formula is a tautology

- e.g. $(\neg x_1 \wedge x_2) \vee (\neg x_1 \wedge \neg x_3) \vee x_1 \vee (\neg x_2 \wedge x_3)$ is a tautology

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- Bob can certify that a formula is not a tautology
- Thus Tautology \in Co-NP

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- The certificate is an empty string
- Thus, $X \in NP$ and $P \subseteq NP$
- Similarly, $P \subseteq \text{Co-NP}$, thus $P \subseteq NP \cap \text{Co-NP}$

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- Most researchers believe $P \neq NP$
- It would be too amazing if $P = NP$: if one can **check** a solution efficiently, then one can find a **solution** efficiently

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Is $P = NP$?

- A famous, big, and fundamental open problem in computer science
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- We said it is **unlikely** that Hamiltonian Cycle can be solved in polynomial time:
 - if $P \neq NP$, then $HC \notin P$
 - $HC \notin P$, unless $P = NP$

Is $NP = Co-NP$?

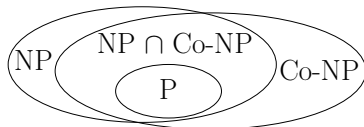
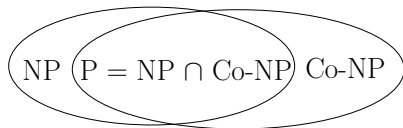
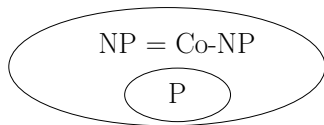
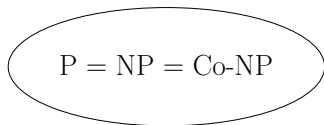
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4 Possibilities of Relationships

Notice that $X \in \text{NP} \iff \overline{X} \in \text{Co-NP}$ and $P \subseteq \text{NP} \cap \text{Co-NP}$



- People commonly believe we are in the 4th scenario

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- 1 Some Hard Problems
- 2 P, NP and Co-NP
- 3 Polynomial Time Reductions and NP-Completeness**
- 4 NP-Complete Problems
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Polynomial-Time Reductions

Def. Given a black box algorithm A that solves a problem X , if any instance of a problem Y can be solved using a polynomial number of standard computational steps, plus a polynomial number of calls to A , then we say Y is polynomial-time reducible to X , denoted as $Y \leq_P X$.

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Input: $G = (V, E)$ and $s, t \in V$

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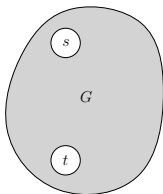
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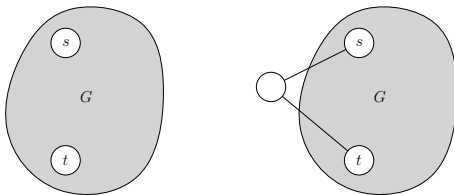
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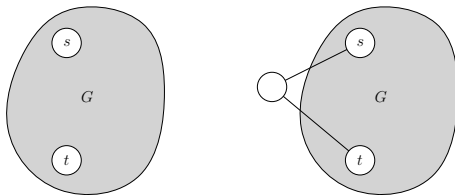
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Obs. G has a HP from s to t if and only if graph on right side has a HC.

NP-Completeness

Def. A problem X is called **NP-complete** if

- ① $X \in \text{NP}$, and
- ② $Y \leq_P X$ for every $Y \in \text{NP}$.

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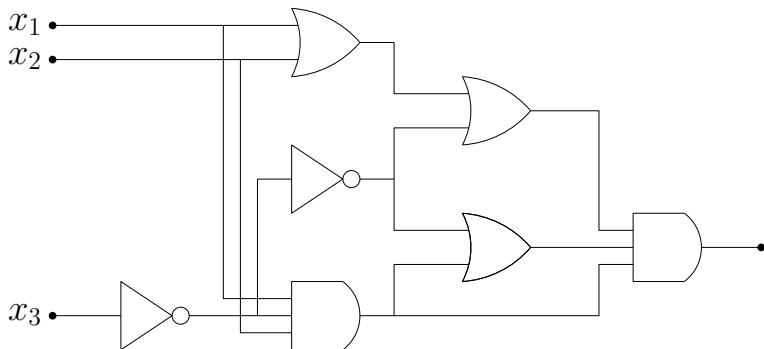
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- No! There is indeed a large family of natural NP-complete problems

The First NP-Complete Problem: Circuit-Sat

Circuit Satisfiability (Circuit-Sat)

Input: a circuit

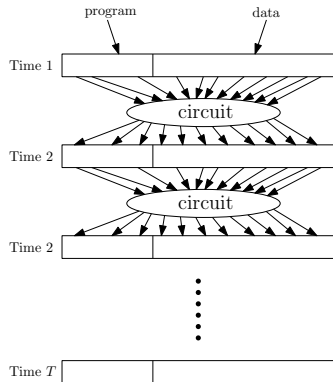
Output: whether the circuit is satisfiable



Circuit-Sat is NP-Complete

- key fact: algorithms can be converted to circuits

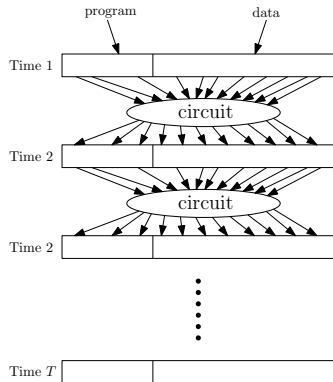
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- Then, we can show that any problem $Y \in \text{NP}$ can be reduced to Circuit-Sat.
- We prove $\text{HC} \leq_P \text{Circuit-Sat}$ as an example.

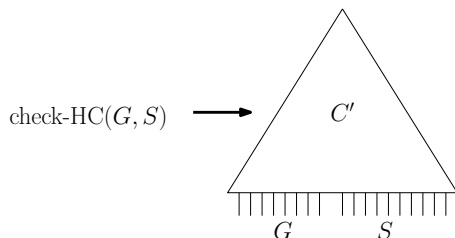
$\text{check-HC}(G, S)$

- Let $\text{check-HC}(G, S)$ be the certifier for the Hamiltonian cycle problem: $\text{check-HC}(G, S)$ returns 1 if S is a Hamiltonian cycle in G and 0 otherwise.

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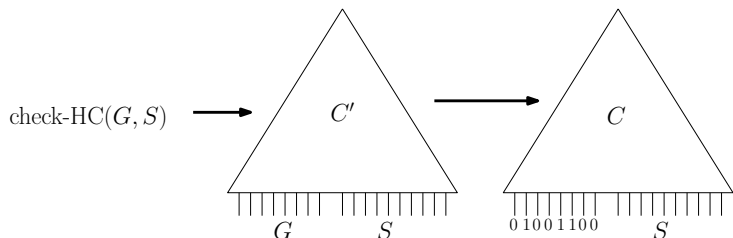
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$HC \leq_P \text{Circuit-Sat}$



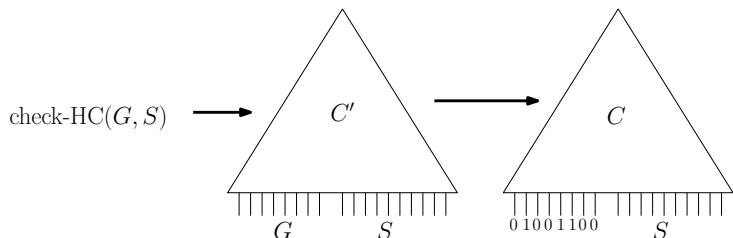
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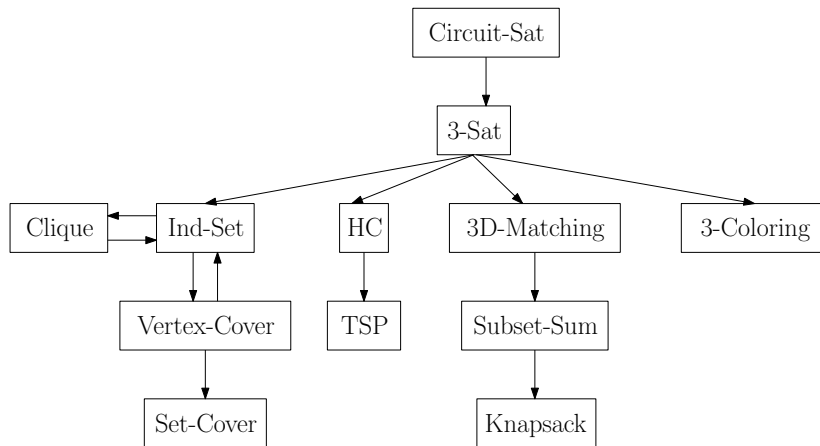
- Let $\text{check-}Y(s, t)$ be the certifier for problem Y : $\text{check-}Y(s, t)$ returns 1 if t is a valid certificate for s .
- s is a yes-instance if and only if there is a t such that $\text{check-}Y(s, t)$ returns 1
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Theorem Circuit-Sat is NP-complete.

Reductions of NP-Complete Problems



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- Clause: disjunction (“or”) of at most 3 literals: $x_3 \vee \neg x_4,$
 $x_1 \vee x_8 \vee \neg x_9, \quad \neg x_2 \vee \neg x_5 \vee x_7$

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- 3-CNF formula: conjunction (“and”) of clauses:
 $(x_1 \vee \neg x_2 \vee \neg x_3) \wedge (x_2 \vee x_3 \vee x_4) \wedge (\neg x_1 \vee \neg x_3 \vee \neg x_4)$

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Input: a 3-CNF formula

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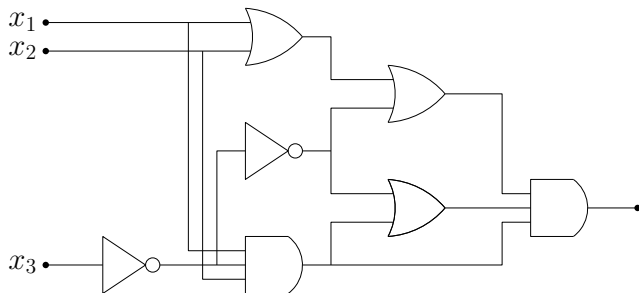
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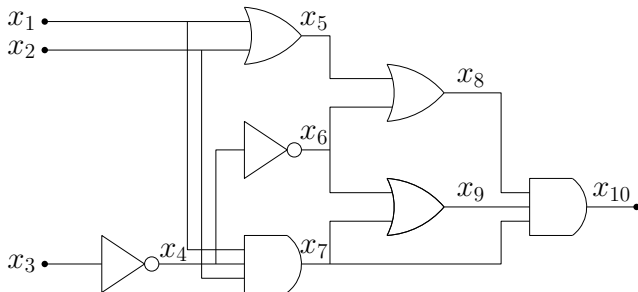
Output: whether the 3-CNF is satisfiable

- To satisfy a 3-CNF, we need to satisfy all clauses
- To satisfy a clause, we need to satisfy at least 1 literal
- Assignment $x_1 = 1, x_2 = 1, x_3 = 0, x_4 = 0$ satisfies $(x_1 \vee \neg x_2 \vee \neg x_3) \wedge (x_2 \vee x_3 \vee x_4) \wedge (\neg x_1 \vee \neg x_3 \vee \neg x_4)$

Circuit-Sat \leq_P 3-Sat

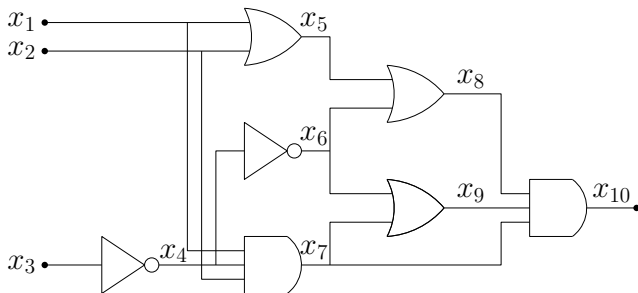


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- Associate every wire with a new variable
- The circuit is equivalent to the following formula:

$$\begin{aligned} & (x_4 = \neg x_3) \wedge (x_5 = x_1 \vee x_2) \wedge (x_6 = \neg x_4) \\ & \wedge (x_7 = x_1 \wedge x_2 \wedge x_4) \wedge (x_8 = x_5 \vee x_6) \\ & \wedge (x_9 = x_6 \vee x_7) \wedge (x_{10} = x_8 \wedge x_9 \wedge x_7) \wedge x_{10} \end{aligned}$$

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1	1	1	1

Circuit-Sat \leq_P 3-Sat

$$\begin{aligned} & (x_4 = \neg x_3) \wedge (x_5 = x_1 \vee x_2) \wedge (x_6 = \neg x_4) \\ & \wedge (x_7 = x_1 \wedge x_2 \wedge x_4) \wedge (x_8 = x_5 \vee x_6) \\ & \wedge (x_9 = x_6 \vee x_7) \wedge (x_{10} = x_8 \wedge x_9 \wedge x_7) \wedge x_{10} \end{aligned}$$

Convert each clause to a 3-CNF

$$x_5 = x_1 \vee x_2 \quad \Leftrightarrow$$

$$(x_1 \vee x_2 \vee \neg x_5) \quad \wedge$$

$$(x_1 \vee \neg x_2 \vee x_5) \quad \wedge$$

$$(\neg x_1 \vee x_2 \vee x_5) \quad \wedge$$

$$(\neg x_1 \vee \neg x_2 \vee \neg x_5)$$

x_1	x_2	x_5	$x_5 \leftrightarrow x_1 \vee x_2$
0	0	0	1
0	0	1	0
0	1	0	0
0	1	1	1
1	0	0	0
1	0	1	1
1	1	0	0
1	1	1	1

Circuit-Sat \leq_P 3-Sat

- Circuit \iff Formula \iff 3-CNF

Circuit-Sat \leq_P 3-Sat

- Circuit \iff Formula \iff 3-CNF
- The circuit is satisfiable if and only if the 3-CNF is satisfiable

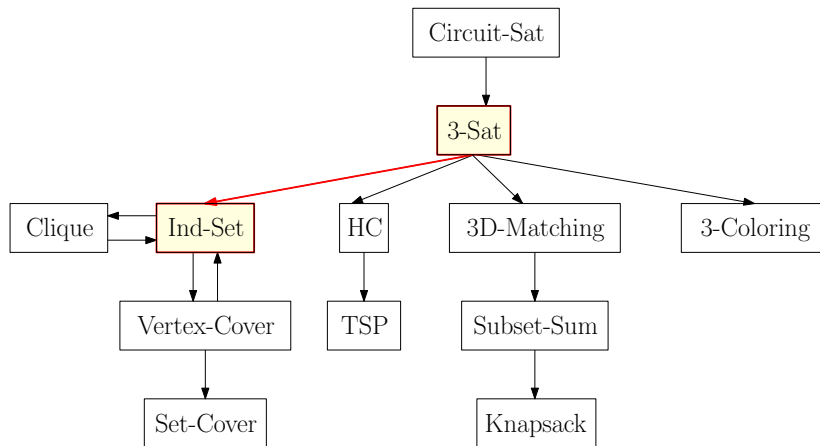
Circuit-Sat \leq_P 3-Sat

- Circuit \iff Formula \iff 3-CNF
- The circuit is satisfiable if and only if the 3-CNF is satisfiable
- The size of the 3-CNF formula is polynomial (indeed, linear) in the size of the circuit

Circuit-Sat \leq_P 3-Sat

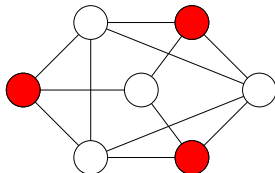
- Circuit \iff Formula \iff 3-CNF
- The circuit is satisfiable if and only if the 3-CNF is satisfiable
- The size of the 3-CNF formula is polynomial (indeed, linear) in the size of the circuit
- Thus, Circuit-Sat \leq_P 3-Sat

Reductions of NP-Complete Problems



Recall: Independent Set Problem

Def. An **independent set** of $G = (V, E)$ is a subset $I \subseteq V$ such that no two vertices in I are adjacent in G .



Independent Set (Ind-Set) Problem

Input: $G = (V, E), k$

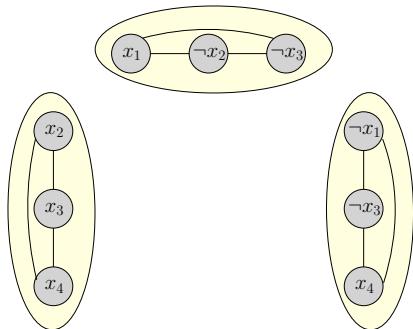
Output: whether there is an independent set of size k in G

3-Sat \leq_P Ind-Set

- $(x_1 \vee \neg x_2 \vee \neg x_3) \wedge (x_2 \vee x_3 \vee x_4) \wedge (\neg x_1 \vee \neg x_3 \vee x_4)$

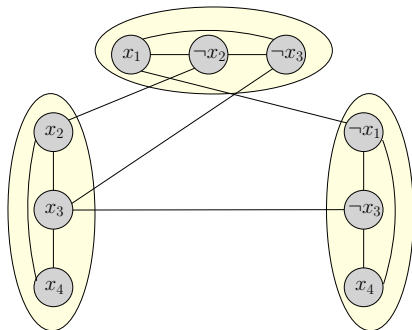
3-Sat \leq_P Ind-Set

- $(x_1 \vee \neg x_2 \vee \neg x_3) \wedge (x_2 \vee x_3 \vee x_4) \wedge (\neg x_1 \vee \neg x_3 \vee x_4)$
- A clause \Rightarrow a group of 3 vertices, one for each literal
- An edge between every pair of vertices in same group



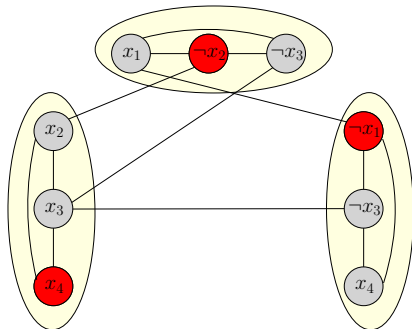
3-Sat \leq_P Ind-Set

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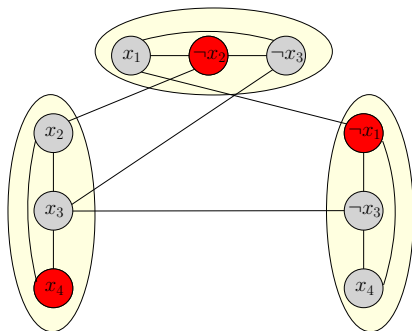
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- Problem: whether there is an IS of size $k = \# \text{clauses}$



3-Sat \leq_P Ind-Set

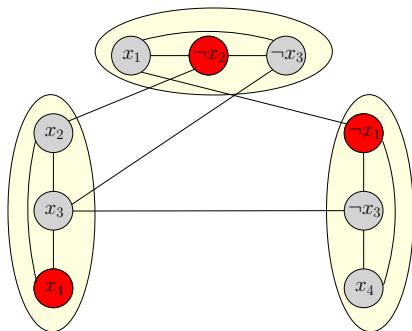
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- Problem: whether there is an IS of size $k = \# \text{clauses}$



3-Sat instance is yes-instance \Leftrightarrow Ind-Set instance is yes-instance:

3-Sat \leq_P Ind-Set

- $(x_1 \vee \neg x_2 \vee \neg x_3) \wedge (x_2 \vee x_3 \vee x_4) \wedge (\neg x_1 \vee \neg x_3 \vee x_4)$
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- An edge between every pair of vertices in same group
- An edge between every pair of contradicting literals
- Problem: whether there is an IS of size $k = \# \text{clauses}$

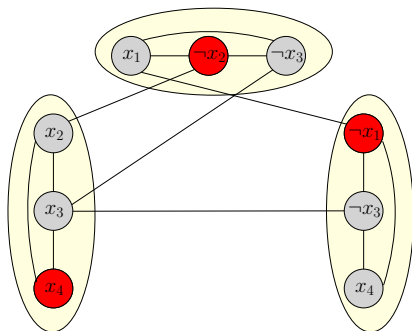


3-Sat instance is yes-instance \Leftrightarrow Ind-Set instance is yes-instance:

- satisfying assignment \Rightarrow independent set of size k
- independent set of size $k \Rightarrow$ satisfying assignment

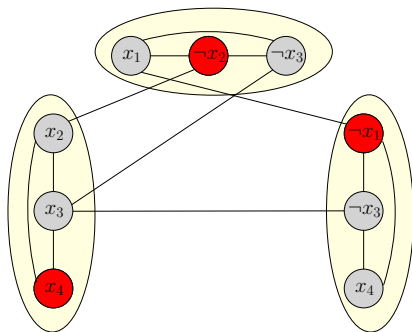
Satisfying Assignment \Rightarrow IS of Size k

- $(x_1 \vee \neg x_2 \vee \neg x_3) \wedge (x_2 \vee x_3 \vee x_4) \wedge (\neg x_1 \vee \neg x_3 \vee x_4)$



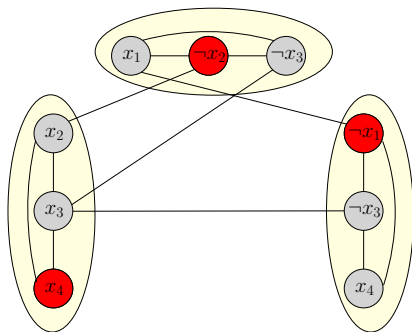
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- $(x_1 \vee \neg x_2 \vee \neg x_3) \wedge (x_2 \vee x_3 \vee x_4) \wedge (\neg x_1 \vee \neg x_3 \vee x_4)$
- For every clause, at least 1 literal is satisfied



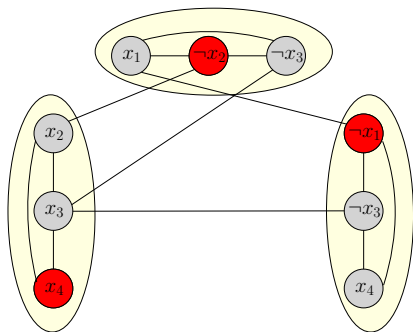
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- $(x_1 \vee \neg x_2 \vee \neg x_3) \wedge (x_2 \vee x_3 \vee x_4) \wedge (\neg x_1 \vee \neg x_3 \vee x_4)$
- For every clause, at least 1 literal is satisfied
- Pick the vertex correspondent the literal



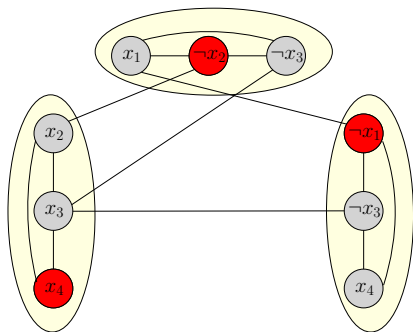
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- Pick the vertex correspondent the literal
- So, 1 literal from each group



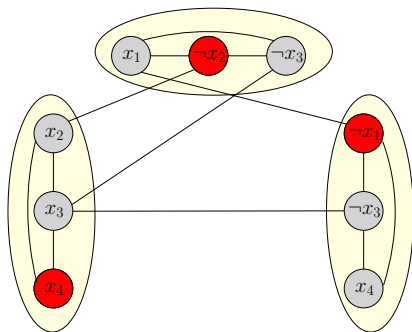
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- For every clause, at least 1 literal is satisfied
- Pick the vertex correspondent the literal
- So, 1 literal from each group
- No contradictions among the selected literals



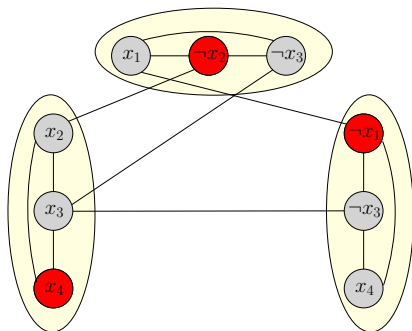
Satisfying Assignment \Rightarrow IS of Size k

- $(x_1 \vee \neg x_2 \vee \neg x_3) \wedge (x_2 \vee x_3 \vee x_4) \wedge (\neg x_1 \vee \neg x_3 \vee x_4)$
- For every clause, at least 1 literal is satisfied
- Pick the vertex correspondent the literal
- So, 1 literal from each group
- No contradictions among the selected literals
- An IS of size k



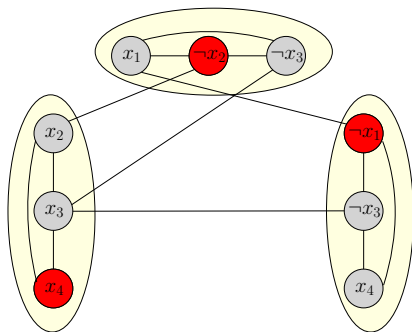
IS of Size $k \Rightarrow$ Satisfying Assignment

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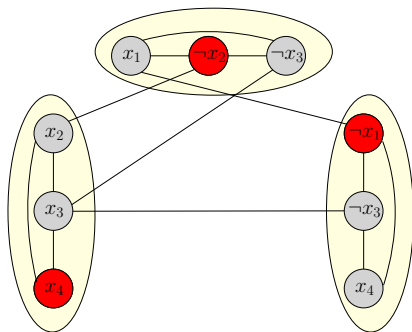
IS of Size $k \Rightarrow$ Satisfying Assignment

- $(x_1 \vee \neg x_2 \vee \neg x_3) \wedge (x_2 \vee x_3 \vee x_4) \wedge (\neg x_1 \vee \neg x_3 \vee x_4)$
- For every group, exactly one literal is selected in IS



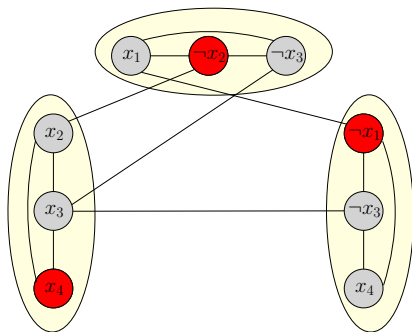
IS of Size $k \Rightarrow$ Satisfying Assignment

- $(x_1 \vee \neg x_2 \vee \neg x_3) \wedge (x_2 \vee x_3 \vee x_4) \wedge (\neg x_1 \vee \neg x_3 \vee x_4)$
- For every group, exactly one literal is selected in IS
- No contradictions among the selected literals



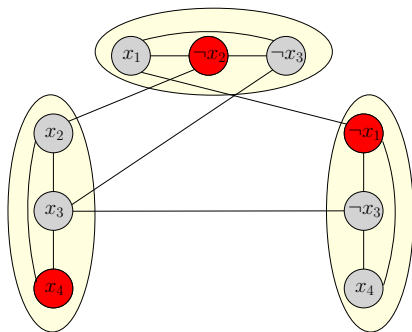
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- For every group, exactly one literal is selected in IS
- No contradictions among the selected literals
- If x_i is selected in IS, set $x_i = 1$



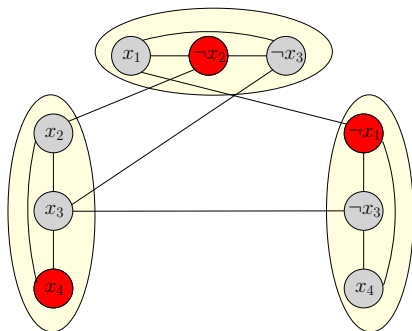
IS of Size $k \Rightarrow$ Satisfying Assignment

- $(x_1 \vee \neg x_2 \vee \neg x_3) \wedge (x_2 \vee x_3 \vee x_4) \wedge (\neg x_1 \vee \neg x_3 \vee x_4)$
- For every group, exactly one literal is selected in IS
- No contradictions among the selected literals
- If x_i is selected in IS, set $x_i = 1$
- If $\neg x_i$ is selected in IS, set $x_i = 0$

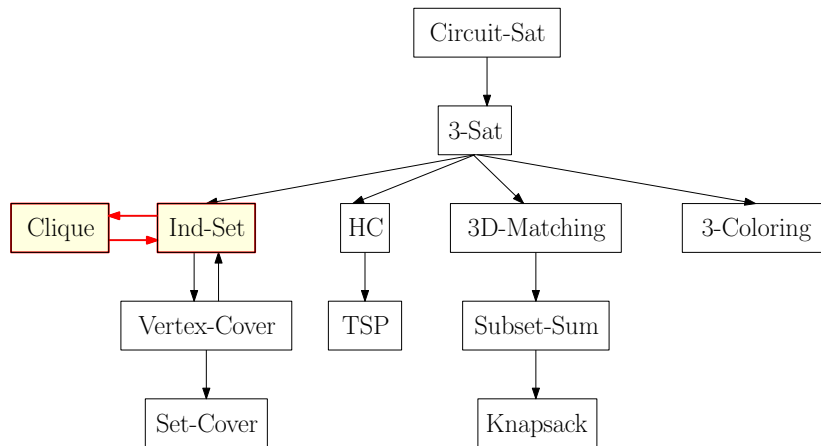


IS of Size $k \Rightarrow$ Satisfying Assignment

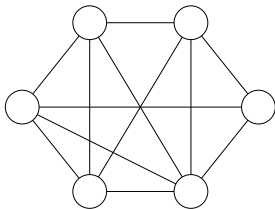
- $(x_1 \vee \neg x_2 \vee \neg x_3) \wedge (x_2 \vee x_3 \vee x_4) \wedge (\neg x_1 \vee \neg x_3 \vee x_4)$
- For every group, exactly one literal is selected in IS
- No contradictions among the selected literals
- If x_i is selected in IS, set $x_i = 1$
- If $\neg x_i$ is selected in IS, set $x_i = 0$
- Otherwise, set x_i arbitrarily



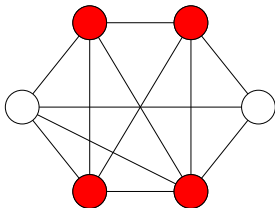
Reductions of NP-Complete Problems



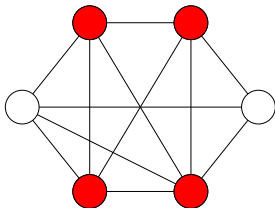
Def. A **clique** in an undirected graph $G = (V, E)$ is a subset $S \subseteq V$ such that $\forall u, v \in S$ we have $(u, v) \in E$



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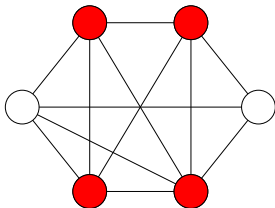


Clique Problem

Input: $G = (V, E)$ and integer $k > 0$,

Output: whether there exists a clique of size k in G

Def. A **clique** in an undirected graph $G = (V, E)$ is a subset $S \subseteq V$ such that $\forall u, v \in S$ we have $(u, v) \in E$



Clique Problem

Input: $G = (V, E)$ and integer $k > 0$,

Output: whether there exists a clique of size k in G

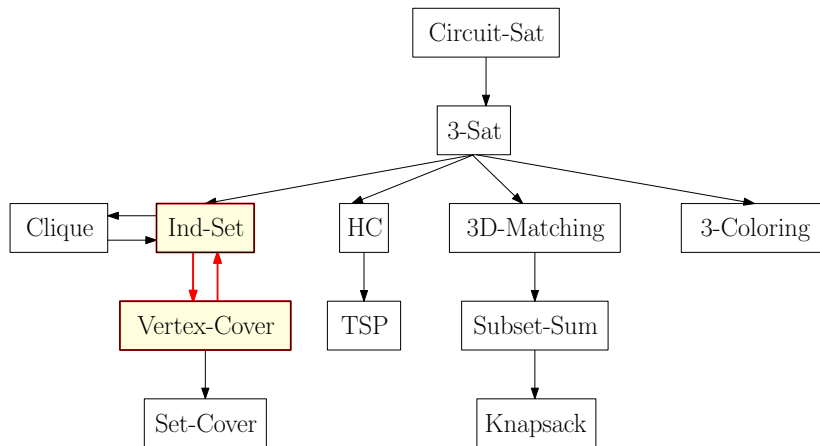
- What is the relationship between Clique and Ind-Set?

Clique $=_P$ Ind-Set

Def. Given a graph $G = (V, E)$, define $\overline{G} = (V, \overline{E})$ be the graph such that $(u, v) \in \overline{E}$ if and only if $(u, v) \notin E$.

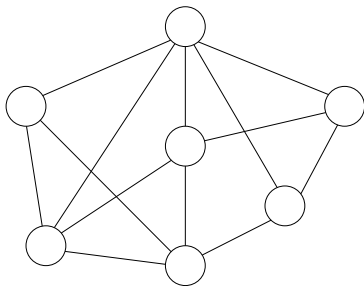
Obs. S is an independent set in G if and only if S is a clique in \overline{G} .

Reductions of NP-Complete Problems



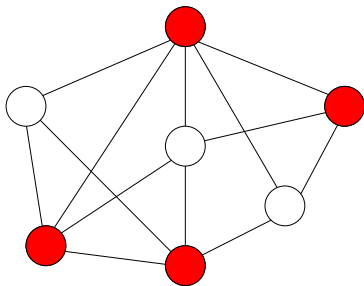
Vertex-Cover

Def. Given a graph $G = (V, E)$, a **vertex cover** of G is a subset $S \subseteq V$ such that for every $(u, v) \in E$ then $u \in S$ or $v \in S$.



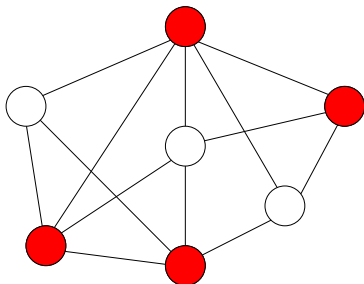
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Vertex-Cover Problem

Input: $G = (V, E)$ and integer k

Output: whether there is a vertex cover of G of size at most k

Vertex-Cover \equiv_P Ind-Set

Vertex-Cover $=_P$ Ind-Set

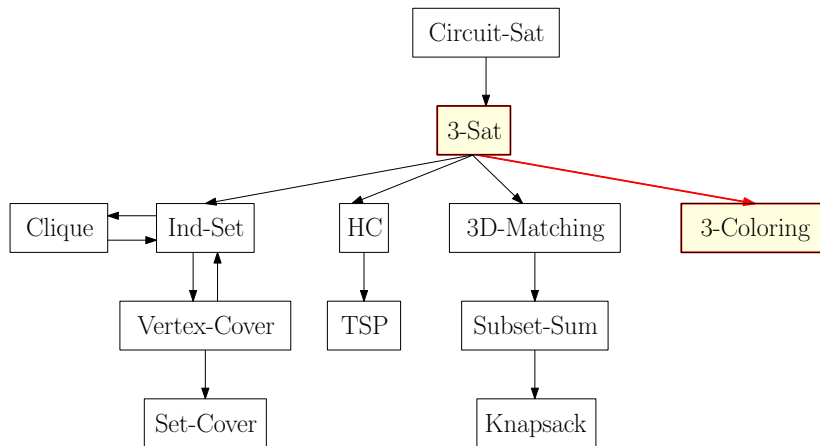
Q: What is the relationship between Vertex-Cover and Ind-Set?

Vertex-Cover $=_P$ Ind-Set

Q: What is the relationship between Vertex-Cover and Ind-Set?

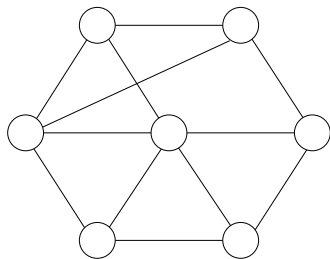
A: S is a vertex-cover of $G = (V, E)$ if and only if $V \setminus S$ is an independent set of G .

Reductions of NP-Complete Problems



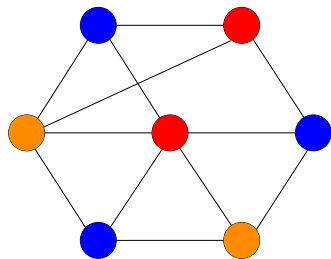
k -coloring problem

Def. A k -coloring of $G = (V, E)$ is a function $f : V \rightarrow \{1, 2, 3, \dots, k\}$ so that for every edge $(u, v) \in E$, we have $f(u) \neq f(v)$. G is k -colorable if there is a k -coloring of G .



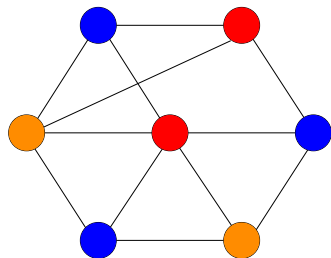
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k -coloring problem

Input: a graph $G = (V, E)$

Output: whether G is k -colorable or not

2-Coloring Problem

Obs. A graph G is 2-colorable if and only if it is bipartite.

Q: How do we check if a graph G is 2-colorable?

2-Coloring Problem

Obs. A graph G is 2-colorable if and only if it is bipartite.

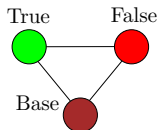
Q: How do we check if a graph G is 2-colorable?

A: We check if G is bipartite.

3-SAT \leq_P 3-Coloring

- Construct the base graph

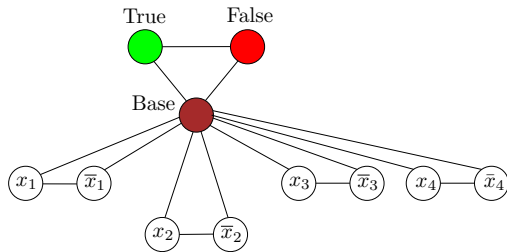
Base Graph



3-SAT \leq_P 3-Coloring

- Construct the base graph

Base Graph

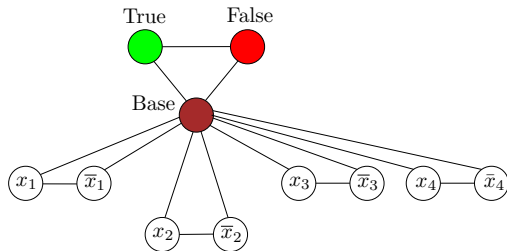


3-SAT \leq_P 3-Coloring

- Construct the base graph
- Construct a gadget from each clause: gadget is 3-colorable if and only if the clause is satisfied.

Base Graph

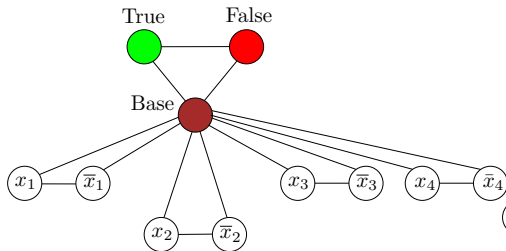
$$x_1 \vee \neg x_2 \vee x_3$$



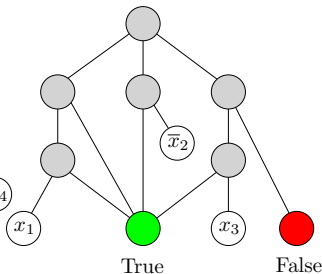
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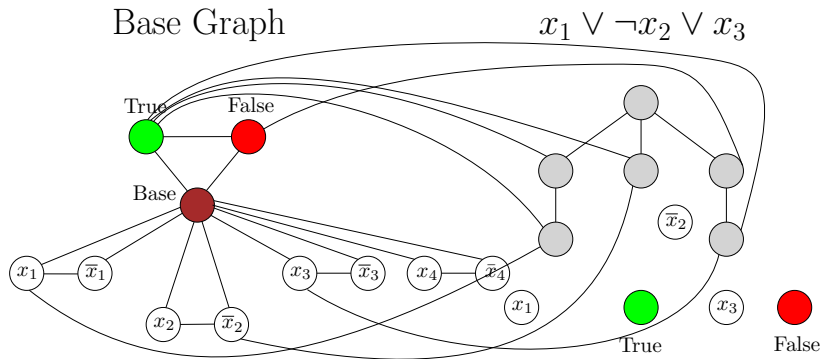


$x_1 \vee \neg x_2 \vee x_3$



3-SAT \leq_P 3-Coloring

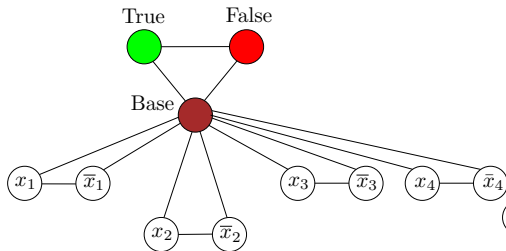
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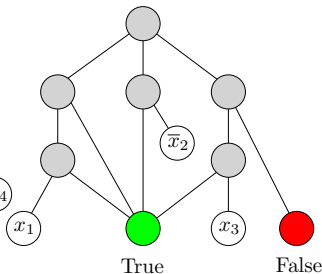
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Base Graph



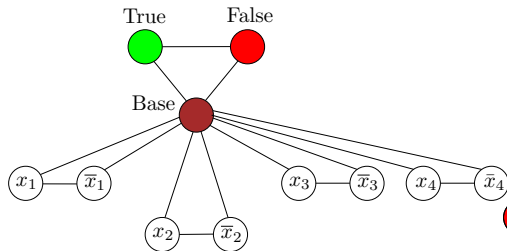
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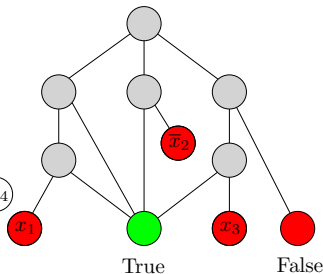
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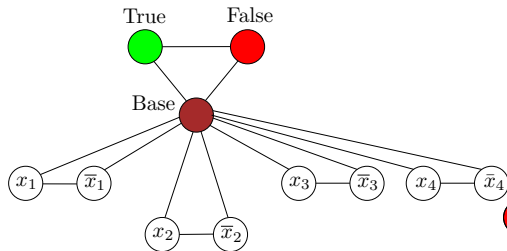
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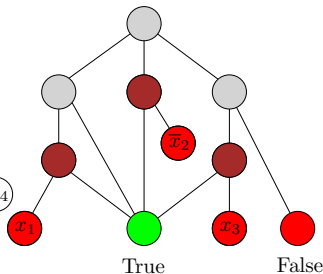
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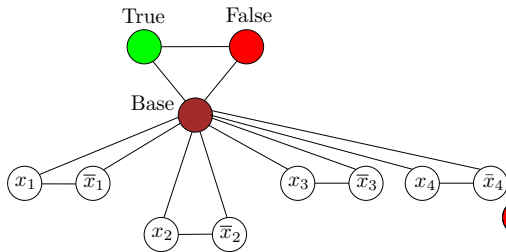
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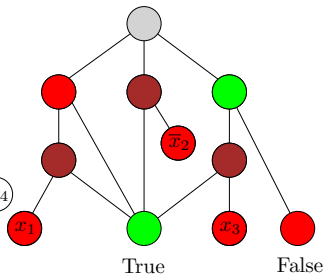
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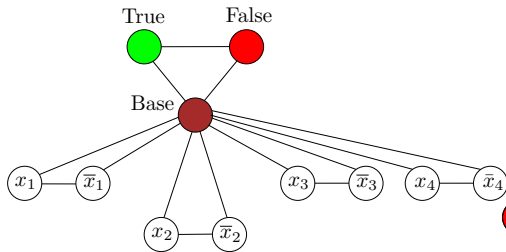
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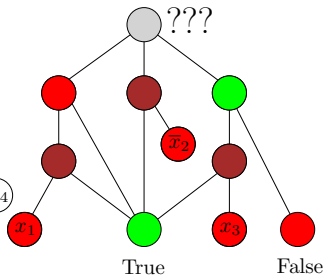
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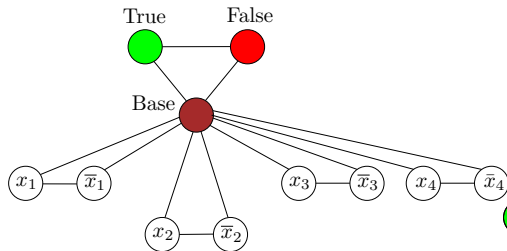
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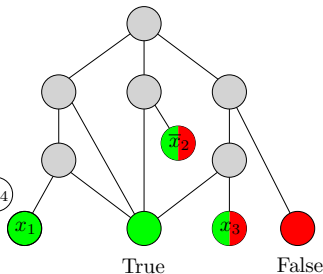
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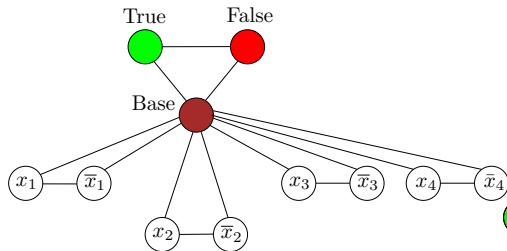
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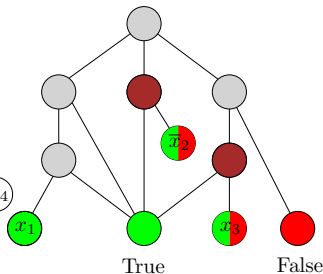
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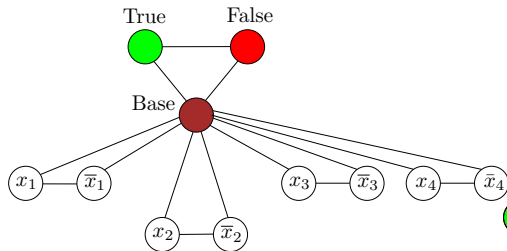
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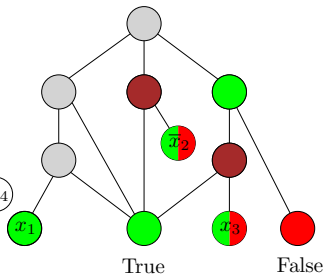
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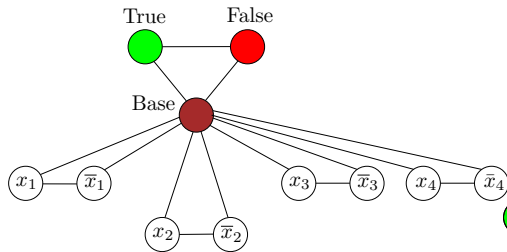
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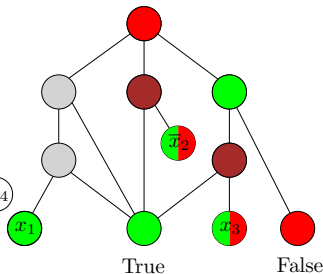
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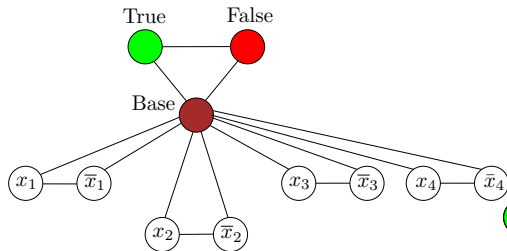
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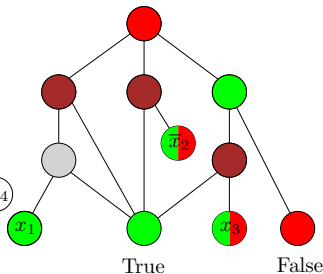
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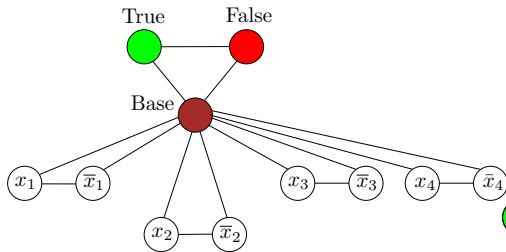
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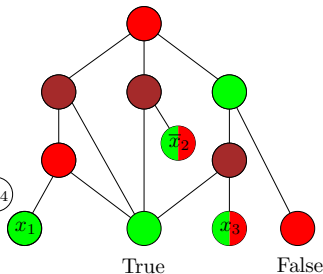
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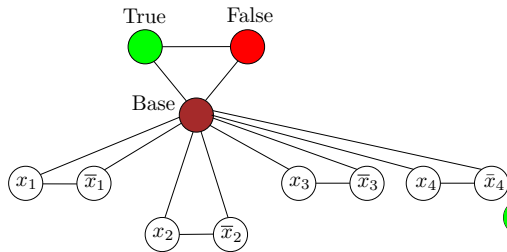
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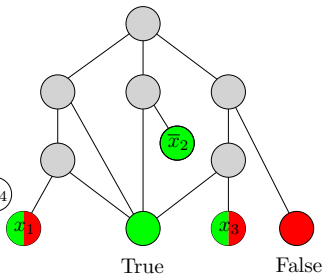
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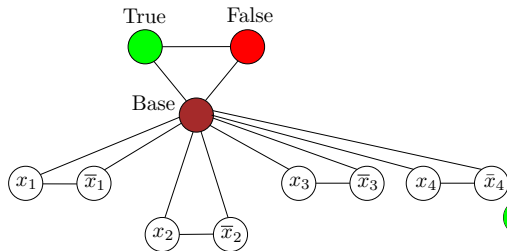
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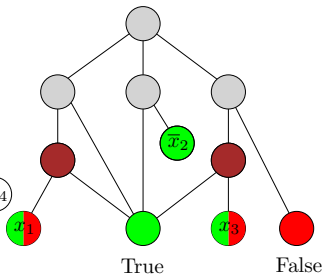
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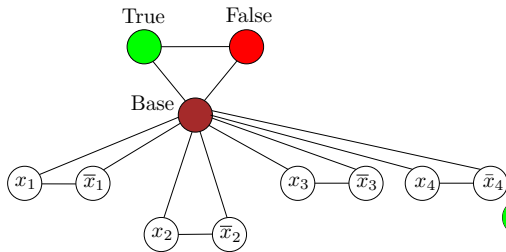
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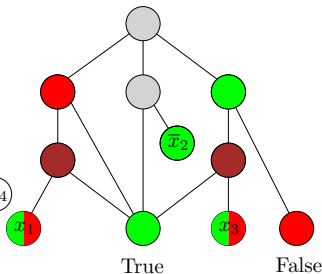
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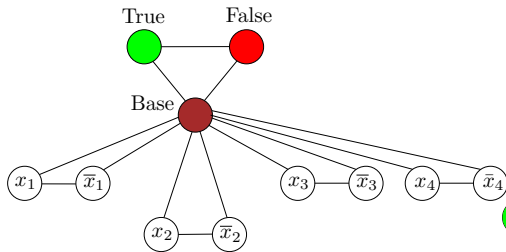
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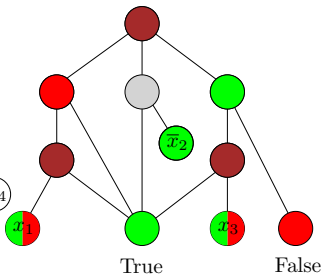
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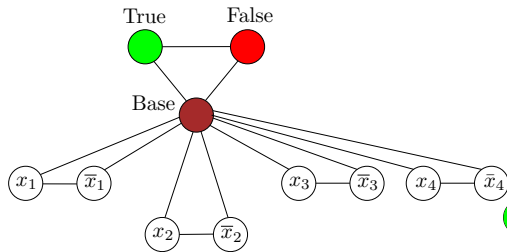
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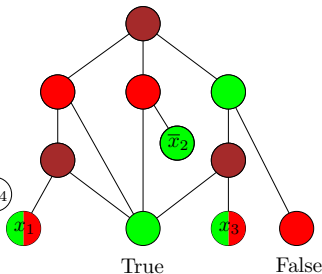
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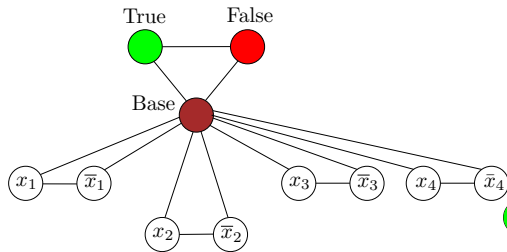
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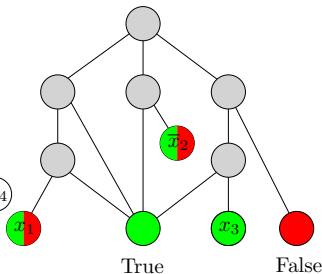
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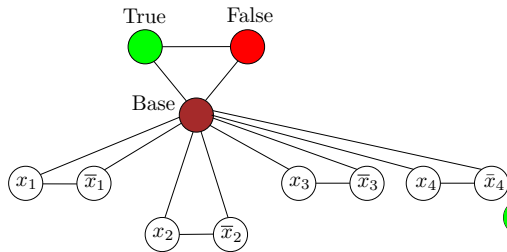
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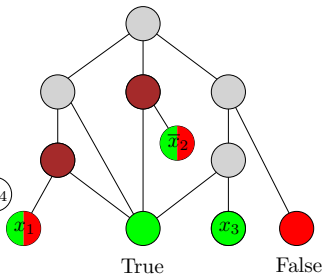
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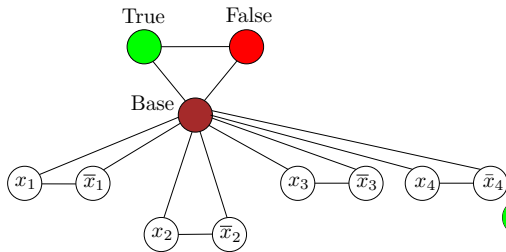
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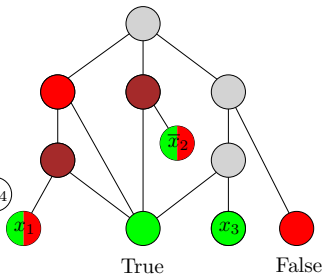
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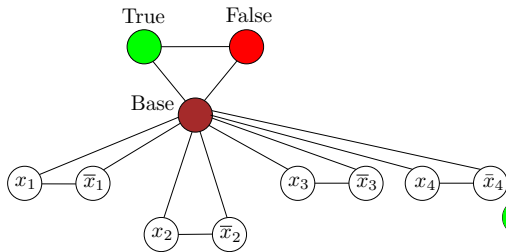
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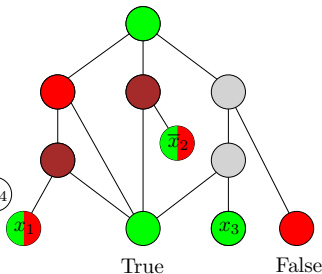
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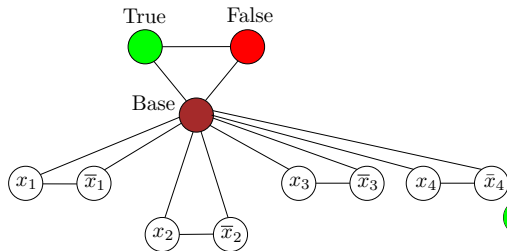
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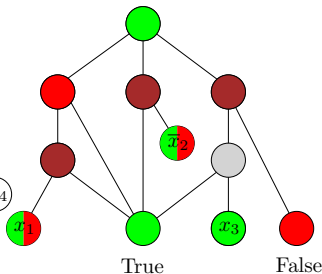
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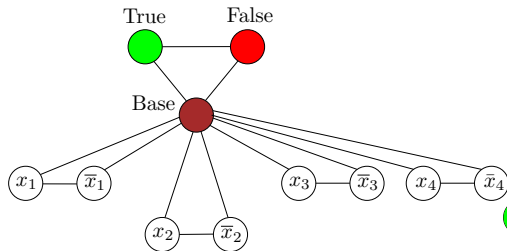
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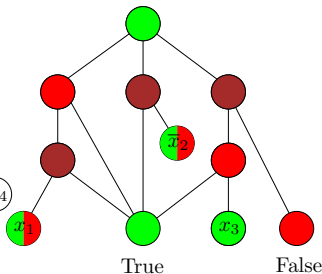
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A Strategy of Polynomial Reduction

Recall the definition of polynomial time reductions:

Def. Given a black box algorithm A that solves a problem X , if any instance of a problem Y can be solved using a polynomial number of standard computational steps, plus a polynomial number of calls to A , then we say Y is polynomial-time reducible to X , denoted as $Y \leq_P X$.

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- However, for most reductions, we call algorithm for X only once
- That is, for a given instance s_Y for Y , we only construct one instance s_X for X

A Strategy of Polynomial Reduction

- Given an instance s_Y of problem Y , show how to construct in polynomial time an instance s_X of problem X such that:
 - s_Y is a yes-instance of $Y \Rightarrow s_X$ is a yes-instance of X
 - s_X is a yes-instance of $X \Rightarrow s_Y$ is a yes-instance of Y

Outline

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- 2 P, NP and Co-NP
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- Essentially we have no techniques for proving lower bound for running time

Dealing with NP-Hard Problems

- Faster exponential time algorithms
- Solving the problem for special cases
- Fixed parameter tractability
- Approximation algorithms

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Travelling Salesman Problem:

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- In practice: TSP Solver can solve Euclidean TSP instances with more than 100,000 vertices

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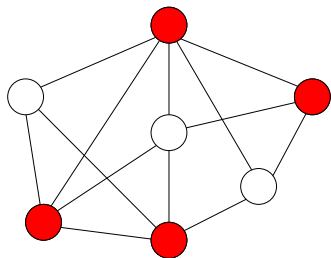
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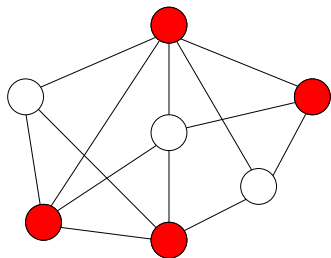
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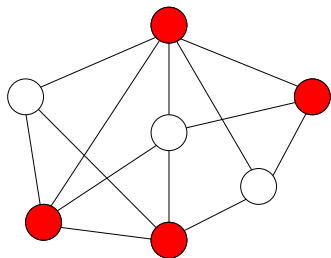
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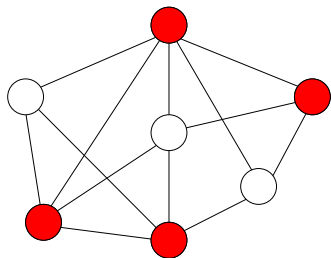
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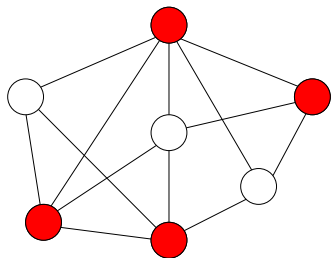
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- Vertex-Cover is fixed-parameter tractable.



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- We want to make the approximation ratio as small as possible, while maintaining the property that the algorithm runs in polynomial time
- There is an 2-approximation for the vertex cover problem: **we can efficiently find a vertex cover whose size is at most 2 times that of the optimal vertex cover**

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Summary

- We consider decision problems
- Inputs are encoded as $\{0, 1\}$ -strings

Def. The complexity class **P** is the set of decision problems X that can be solved in polynomial time.

- Alice has a supercomputer, fast enough to run an exponential time algorithm
- Bob has a slow computer, which can only run a polynomial-time algorithm

Def. (Informal) The complexity class **NP** is the set of problems for which Alice can convince Bob a yes instance is a yes instance

Summary

Def. B is an **efficient certifier** for a problem X if

- B is a polynomial-time algorithm that takes two input strings s and t
- there is a polynomial function p such that, $X(s) = 1$ if and only if there is string t such that $|t| \leq p(|s|)$ and $B(s, t) = 1$.

The string t such that $B(s, t) = 1$ is called a **certificate**.

Def. The complexity class **NP** is the set of all problems for which there exists an efficient certifier.

Summary

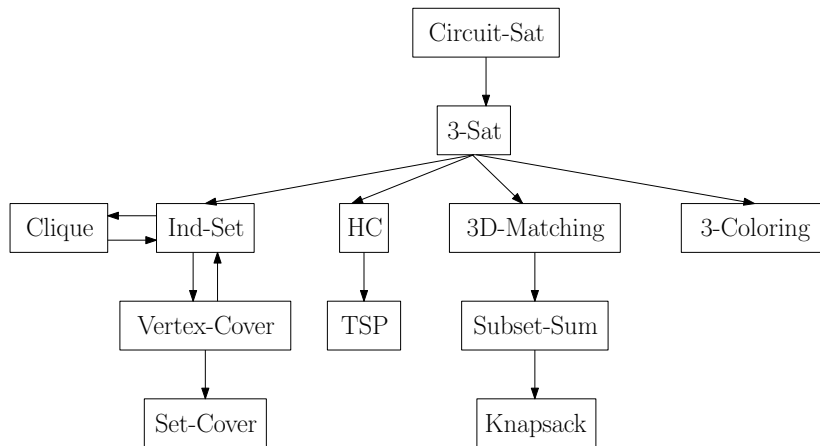
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Def. A problem X is called NP-complete if

- ① $X \in \text{NP}$, and
- ② $Y \leq_P X$ for every $Y \in \text{NP}$.

- If any NP-complete problem can be solved in polynomial time, then $P = \text{NP}$
- Unless $P = \text{NP}$, a NP-complete problem can not be solved in polynomial time

Summary



Summary

Proof of NP-Completeness for Circuit-Sat

- Fact 1: a polynomial-time algorithm can be converted to a polynomial-size circuit
- Fact 2: for a problem in NP, there is a efficient certifier.
- Given a problem $X \in \text{NP}$, let $B(s, t)$ be the certifier
- Convert $B(s, t)$ to a circuit and hard-wire s to the input gates
- s is a yes-instance if and only if the resulting circuit is satisfiable
- Proof of NP-Completeness for other problems by reductions