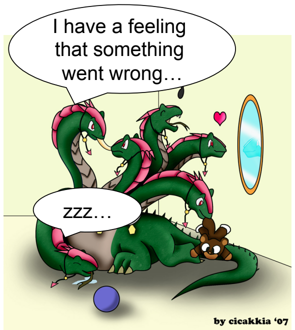
CSE 486/586 Distributed Systems
Failure Detectors

Steve Ko
Computer Sciences and Engineering
University at Buffalo

Today's Question



- You'll learn new terminologies, definitions, etc.

Two Different System Models

- Synchronous Distributed System
  - Each message is received within bounded time
  - Each step in a process takes lb < time < ub
  - (Each local clock's drift has a known bound)
  - Examples: Multiprocessor systems
- Asynchronous Distributed System
  - No bounds on message transmission delays
  - No bounds on process execution
  - (The drift of a clock is arbitrary)
  - Examples: Internet, wireless networks, datacenters, most real systems
- These are used to reason about how protocols would behave, e.g., in formal proofs.

Failure Model

- What is a failure?
- We'll consider: process omission failure
  - A process disappears.
  - Permanently: crash-stop (fail-stop) – a process halts and does not execute any further operations
  - Temporarily: crash-recovery – a process halts, but then recovers (reboots) after a while
- We will focus on *crash-stop* failures
  - They are easy to detect in synchronous systems
  - Not so easy in asynchronous systems
- The first step to handle failures?
  - Failure detection

Why, What, and How

- Why design a failure detector?
- What do we want from a failure detector?
- How do we design one?

What is a Failure Detector?

What is a Failure Detector?

Crash-stop failure

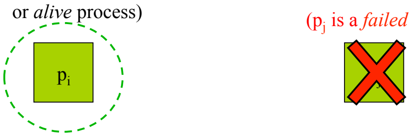
(p_j is a *failed* process)

What is a Failure Detector?

needs to know about p_j's failure

(p_i is a *non-faulty* process

or *alive* process)

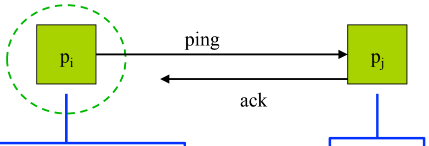


Crash-stop failure

(p_j is a *failed* process)

There are two styles of failure detectors

I. Ping-Ack Protocol



- p_i queries p_j once every T time units
- If p_j does not respond within another T time units of being sent the ping, p_i detects/declares p_j as failed

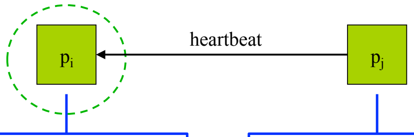
- p_j replies

If p_j fails, then within T time units, p_i will send it a ping message. p_i will time out within another T time units.

Worst case Detection time = 2T

The waiting time 'T' can be parameterized.

II. Heartbeating Protocol



- If p_i has not received a new heartbeat for the past, say 3T time units, since it received the last heartbeat, then p_i detects p_j as failed

- p_j maintains a sequence number
- p_j sends p_i a heartbeat with incremented seq. number after every T time units

If $T \gg$ round trip time of messages, then worst case detection time ~ 3T (why?)

The '3' can be changed to any positive number since it is a parameter

In a Synchronous System

- The Ping-Ack and Heartbeat failure detectors are always correct
  - Ping-Ack: set waiting time 'T' to be > round-trip time upper bound
  - Heartbeat: set waiting time '3*T' to be > round-trip time upper bound
- The following property is guaranteed:
  - If a process pj fails, then pi will detect its failure as long as pi itself is alive
  - Its next ack/heartbeat will not be received (within the timeout), and thus pi will detect pj as having failed

Failure Detector Properties

- What do you mean a failure detector is "correct"?
- Completeness = every process failure is eventually detected (no misses)
- Accuracy = every detected failure corresponds to a crashed process (no mistakes)
- What is a protocol that is 100% complete?
- What is a protocol that is 100% accurate?
- Completeness and Accuracy
  - Can both be guaranteed 100% in a synchronous distributed system (with reliable message delivery in bounded time)
  - Can never be guaranteed simultaneously in an asynchronous distributed system
  - Why?

Completeness and Accuracy in Asynchronous Systems

- Impossible because of arbitrary message delays, message losses
  - If a heartbeat/ack is dropped (or several are dropped) from pj, then pj will be mistakenly detected as failed => inaccurate detection
  - How large would the T waiting period in ping-ack or 3*T waiting period in heartbeating, need to be to obtain 100% accuracy?
  - In asynchronous systems, delay/losses on a network link are impossible to distinguish from a faulty process
- Heartbeating – satisfies completeness but not accuracy (why?)
- Ping-Ack – satisfies completeness but not accuracy (why?)

Completeness or Accuracy? (in Asynchronous System)

- Most failure detector implementations are willing to tolerate some inaccuracy, but require 100% completeness.
- Plenty of distributed apps designed assuming 100% completeness, e.g., p2p systems
  - "Err on the side of caution".
  - Processes not "stuck" waiting for other processes
- But it's ok to mistakenly detect once in a while since
  - the victim process need only rejoin as a new process
- Both Hearbeating and Ping-Ack provide
  - Probabilistic accuracy (for a process detected as failed, with some probability close to 1.0 (but not equal), it is true that it has actually crashed).

Failure Detection in a Distributed System

- That was for one process pj being detected and one process pi detecting failures
- Let's extend it to an entire distributed system
- Difference from original failure detection is
  - We want failure detection of not merely one process (*pj*), but *all* processes in system

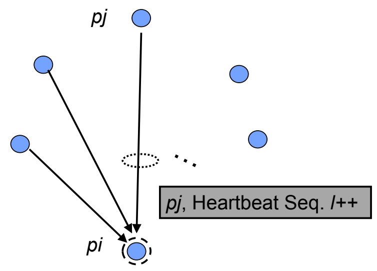
CSE 486/586 Administrivia

- PA1 deadline: This Friday @ 3pm!
  - Please go over the description once again and make sure that you're following everything.
- PA2 will be out soon.
  - Probably this Friday
- Anonymous feedback form posted on Piazza & website.
- Please use Piazza; all announcements will go there.
  - If you want an invite, let me know.
- Please come to my office during the office hours!
  - Give feedback about the class, ask questions, etc.

Failure Detection in a Distributed System

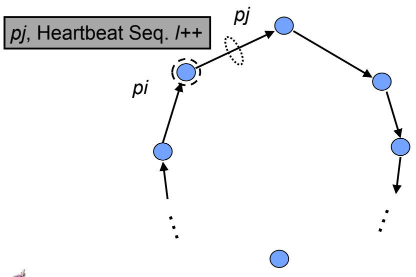
- That was for one process pj being detected and one process pi detecting failures
- Let's extend it to an entire distributed system
- Difference from original failure detection is
  - We want failure detection of not merely one process (*pj*), but *all* processes in system
- Any idea?

Centralized Heartbeat



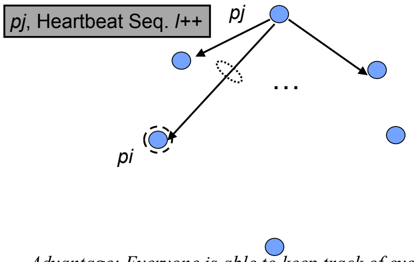
Downside?

Ring Heartbeat



Downside?

All-to-All Heartbeat



Advantage: Everyone is able to keep track of everyone

Downside?

Efficiency of Failure Detector: Metrics

- Bandwidth: the number of messages sent in the system during steady state (no failures)
  - Small is good
- Detection Time
  - Time between a process crash and its detection
  - Small is good
- Scalability: Given the bandwidth and the detection properties, can you scale to a 1000 or million nodes?
  - Large is good
- Accuracy
  - Large is good (lower inaccuracy is good)

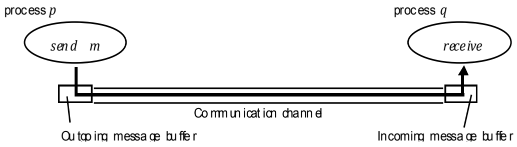
Accuracy Metrics

- False Detection Rate: Average number of failures detected per second, when there are in fact no failures
- Fraction of failure detections that are false
- Tradeoffs: If you increase the T waiting period in ping-ack or 3*T waiting period in heartbeating what happens to:
  - Detection Time?
  - False positive rate?
  - Where would you set these waiting periods?

Other Types of Failures

- Let's discuss the other types of failures
- Failure detectors exist for them too (but we won't discuss those)

Processes and Channels



Other Failure Types

- Communication omission failures
  - Send-omission: loss of messages between the sending process and the outgoing message buffer (both inclusive)
    - » What might cause this?
  - Channel omission: loss of message in the communication channel
    - » What might cause this?
  - Receive-omission: loss of messages between the incoming message buffer and the receiving process (both inclusive)
    - » What might cause this?

Other Failure Types

- Arbitrary failures
  - Arbitrary process failure: arbitrarily omits intended processing steps or takes unintended processing steps.
  - Arbitrary channel failures: messages may be corrupted, duplicated, delivered out of order, incur extremely large delays; or non-existent messages may be delivered.
- Above two are Byzantine failures, e.g., due to hackers, man-in-the-middle attacks, viruses, worms, etc.
- A variety of Byzantine fault-tolerant protocols have been designed in literature!

Omission and Arbitrary Failures

Class of failure	*Affects*	*Description*
Fail-stop	Process	Process halts and remains halted. Other processes may detect this state.
Omission	Channel	A message inserted in an outgoing message buffer never arrives at the other end's incoming message buffer.
Send-omission	Process	A process completes a*send*,but the message is not put in its outgoing message buffer.
Receive-omission	Process	A message is put in a process's incoming message buffer, but that process does not receive it.
Arbitrary (Byzantine)	Process or channel	Process/channel exhibits arbitrary behaviour: it may send/transmit arbitrary messages at arbitrary times, commit omissions; a process may stop or take an incorrect step.

Summary

- Failure detectors are required in distributed systems to keep system running in spite of process crashes
- Properties – completeness & accuracy, together unachievable in asynchronous systems but achievable in synchronous systems
  - Most apps require 100% completeness, but can tolerate inaccuracy
- 2 failure detector algorithms - heartbeating and ping
- Distributed FD through heartbeating: centralized, ring, all-to-all
- Metrics: bandwidth, detection time, scale, accuracy
- Other types of failures
- Next: the notion of time in distributed systems

Acknowledgements

- These slides contain material developed and copyrighted by Indranil Gupta at UIUC.