CSE 191, Class Note 06 Computer Sci & Eng Dept SUNY Buffalo

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Suppose *A* and *B* are nonempty sets. A function from *A* to *B* is an assignment of exactly one element of *B* to each element of *A*.

- We write $f : A \rightarrow B$.
- We write f(a) = b if b is the element of B assigned to element a of A.

Example:

 $f: Z \to Z$, where for each $x \in Z$, $f(x) = x^2$.

Domain, codomain, range

Suppose f is a function from A to B.

- We say *A* is the domain of *f*.
- We say *B* is the codomain of *f*.
- We say $\{f(x) \mid x \in A\}$ is the range of f.

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Example:

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- Domain of f : Z
- Codomain of f : Z
- Range of $f: \{x \mid x = y^2, y \in Z\}$

Image and preimage

Suppose *f* is a function from *A* to *B* and f(x) = y.

- We say y is the image of x.
- We say x is a preimage of y.

Note that the image of x is unique. But there can be more than one preimages for y.

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Example:

 $f: Z \to Z$, where for each $x \in Z$, $f(x) = x^2$.

- Image of 2: 4
- Preimage of 4: 2
- Another preimage of 4: -2

- Note that every element in the domain has an image.
- But not every element in the codomain has a preimage.
- Only those in the range have preimages.

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- Only those in the range have preimages.

$$f : \mathbb{Z} \to \mathbb{Z}$$
, where for each $x \in \mathbb{Z}$, $f(x) = x^2$.

- Each $x \in Z$ has an image $f(x) = x^2$.
- But negative integers in Z do not have preimages.
- Only perfect squares (i.e., those in the range) have preimages.

One-to-one function

- A function is one-to-one if each element in the range has a unique preimage.
- Formally, $f : A \to B$ is one-to-one if f(x) = f(y) implies x = y for all $x \in A, y \in A$. Namely:

 $\forall x \in A \ \forall y \in A \ ((f(x) = f(y)) \to (x = y))$

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Example:

 $f: Z \to Z$, where for each $x \in Z$, $f(x) = x^2$.

f is NOT one-to-one because 4 has two preimages.

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• $f: N \to Z$, where for each $x \in N$, f(x) = x + 5. • $f: Z^+ \to Z^+$, where for each $x \in Z^+$, $f(x) = x^2$. • $f: \{0, 1, 2\} \to \{0, 1, 2, 3\}$, where f(0) = 1, f(1) = 3, f(2) = 2.

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- A function is onto if each element in codomain has a preimage (i.e., codomain = range).
- Formally, $f : A \to B$ is onto if for all $y \in B$, there is $x \in A$ such that f(x) = y. Namely:

 $\forall y \in B \; \exists x \in A \; (f(x) = y)$

Example:

 $f: Z \to Z$, where for each $x \in Z$, $f(x) = x^2$.

f is NOT onto because 2 does not have any preimage.

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- $f : R \to R^+ \cup \{0\}$, where for each $x \in R$, $f(x) = x^2$. • $f : N \to Z^+$, where for each $x \in N$, f(x) = x + 1. • $f : \{0, 1, 2, 3\} \to \{0, 1, 2\}$, where
- f(0) = 1, f(1) = 1, f(2) = 2, f(3) = 0.

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Suppose f_1, f_2, \ldots, f_n are functions from *A* to *R*. The sum of f_1, f_2, \ldots, f_n is also a function from *A* to *R* defined as follows:

 $(f_1 + f_2 + \ldots + f_n)(x) = f_1(x) + f_2(x) + \ldots + f_n(x)$

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Example:

 $f, g : \mathbb{R} \to \mathbb{R}$, where for each $x \in \mathbb{R}$, f(x) = x + 5; g(x) = x - 3.

Then, f + g is defined as (f + g)(x) = (x + 5) + (x - 3) = 2x + 2.

Suppose $f_1, f_2, ..., f_n$ are functions from *A* to *R*. The product of $f_1, f_2, ..., f_n$ is also a function from *A* to *R* defined as follows:

 $(f_1 f_2 \dots f_n)(x) = f_1(x) \cdot f_2(x) \cdot \dots \cdot f_n(x)$

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Example:

 $f, g: \mathbb{R} \to \mathbb{R}$, where for each $x \in \mathbb{R}$, f(x) = x + 5; g(x) = x - 3.

Then, *fg* is defined as $(f g)(x) = (x + 5)(x - 3) = x^2 + 2x - 15$.

Bijection

A function is a bijection if it is both one-to-one and onto. (It is also called a one-to-one correspondence).

Examples:

- Consider $f : \mathbb{R} \to \mathbb{R}$, where for each $x \in \mathbb{R}$, $f(x) = 3x^2 5$. This is NOT a bijection because it is not one-to-one. For example, f(1) = f(-1).
- Consider $f : R \{1\} \rightarrow R$, where for each $x \in R$, f(x) = x/(x-1). This is NOT a bijection either, because it is not onto. For example, there is no *x* such that f(x) = 1.
- Consider $f : \mathbb{R} \to \mathbb{R}$, where for each $x \in \mathbb{R}$, $f(x) = x^3 + 2$. This is a bijection.

Suppose *f* is a bijection from *A* to *B*. The inverse function of *f* is the function from *B* to *A* that assigns element *b* of *B* to element *a* of *A* if and only if f(a) = b.

- We use f^{-1} to represent the inverse of f.
- Hence, $f^{-1}(b) = a$ if and only if f(a) = b.

Example:

Consider $f : \mathbb{R}^+ \to \mathbb{R}^+$ where for each $x \in \mathbb{R}^+$, $f(x) = x^2$.

Its inverse function is $g: \mathbb{R}^+ \to \mathbb{R}^+$, where for each $x \in \mathbb{R}^+$, $g(x) = \sqrt{x}$.

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Consider $f : \mathbb{R}^+ \to \mathbb{R}^+$ where for each $x \in \mathbb{R}^+$, f(x) = 4x + 3.

What is f^{-1} ?

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Consider $f : \{0, 1, 2\} \rightarrow \{0, 1, 2\}$, where f(0) = 1, f(1) = 2, f(2) = 0.

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Suppose g is a function from A to B, and f is a function from B to C. Then the composition of f and g is a function from A to C defined as:

 $(f \circ g)(x) = f(g(x)).$

Example:

Consider $f : \mathbb{R} \to \mathbb{R}$, where for each $x \in \mathbb{R}$, f(x) = 2x + 3, and $g : \mathbb{R} \to \mathbb{R}$, where for each $x \in \mathbb{R}$, g(x) = 3x - 2.

Then,

$$(f \circ g)(x) = f(3x - 2) = 2(3x - 2) + 3 = 6x - 1$$

 $f: \{0, 1, 2\} \rightarrow \{0, 1, 2\}$, where f(0) = 1, f(1) = 2, f(2) = 0; $g: \{0, 1, 2\} \rightarrow \{1, 2, 3\}$, where g(0) = 1, g(1) = 2, g(2) = 3.

What is $g \circ f$?

Consider $f : \mathbb{R} \to \mathbb{R}$, where f(x) = x + 1, and $g : \mathbb{R} \to \mathbb{R}$, where $g(x) = x^2$. Then:

$$f \circ g(x) = x^2 + 1$$
 $g \circ f(x) = (x+1)^2$

Clearly, $f \circ g \neq g \circ f$.

Caution:

In general, function composition is NOT commutable, which means the order of arguments in composition is important.

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We can often draw a graph for a function $f : A \to B$: For each $x \in A$, we draw a point (x, f(x)) on the 2D plane. Typically, we need A and B to be subsets of *R*.

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We can often draw a graph for a function $f : A \to B$: For each $x \in A$, we draw a point (x, f(x)) on the 2D plane. Typically, we need A and B to be subsets of *R*.

The graph of some important functions:

- Linear function f(x) = kx + b: a line
- Constant function f(x) = c: a line parallel to the X-axis
- Quadratic function $f(x) = ax^2 + bx + c$: parabola





3 Cardinality of Infinite Sets

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A sequence is a function whose domain is a set of integers.

- The domain is typically Z^+ (or, sometimes, N).
- The image of n is a_n .
- Each image a_n is called a term.
- For convenience, we often write it as a_1, a_2, \ldots or $\{a_n\}$.

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- For convenience, we often write it as a_1, a_2, \ldots or $\{a_n\}$.

Example:

1, 4, 9, 16, 25, ... is a sequence, where the *n*th term is $a_n = n^2$.

- 2, 9, 28, 65, ..., where the *n*th term is $a_n = n^3 + 1$.
- $0, -2, -6, -12, \ldots$, where the *n*th term is $a_n = -n(n-1)$.
- $0, 1/2, 2/3, 3/4, \ldots$, where the *n*th term is $a_n = 1 1/n$.
- -1, 1, -1, 1, ..., where the *n*th term is $a_n = (-1)^n$.

What is the term a_4 of the sequence $\{a_n\}$ if $a_n = -(-2^n + n)$?

Solution: $a_4 = -(-2^4 + 4) = 12$.

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Solution: $a_4 = -(-2^4 + 4) = 12$.

Example

What is the term a_4 of the sequence $\{a_n = 5x + 3\}$?

Solution: $a_4 = 5x + 3$. Note that each term is a function in *x*, and is independent from *n*.

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- An arithmetic sequence is a sequence of the form $a, a + d, a + 2d, \ldots$
- Formally, it is a sequence $\{a_n\}$, where $a_n = a + (n-1)d$.
- Here *a* is called the initial term, *d* is called the common difference.

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9,4,-1,-6,... is an arithmetic sequence, because it is of the form $a_n = 9 - 5(n-1)$. The initial term is 9, and the common difference is -5.

Let *x* and *y* be two real numbers. Consider a sequence $\{a_n\}$, where $a_n = 5xn + 3y$. Is this an arithmetic sequence?

The answer is yes, because we can rewrite it as $a_n = (5x + 3y) + 5x(n - 1)$. The initial term is 5x + 3y. The common difference is 5x.

- A geometric sequence is a sequence of the form a, ar, ar^2, \ldots
- Formally, it is a sequence $\{a_0, a_1, \ldots, a_n, \ldots\}$, where $a_n = ar^n$.
- Here *a* is called the initial term, *r* is called the common ratio.

- A geometric sequence is a sequence of the form a, ar, ar^2, \ldots
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- Here *a* is called the initial term, *r* is called the common ratio.

9,3,1,1/3,... is an geometric sequence, because it is of the form $a_n = 9(1/3)^n$. The initial term is 9, and the common ratio is 1/3.

Let $x \neq 1$ be a real number. Consider a sequence $\{a_n\}$, where $a_n = x^{2n+5}$. Is this a geometric sequence?

- The answer is yes, because we can rewrite it as $a_n = x^5 x^{2n} = x^5 (x^2)^n$.
- The initial term is x⁵.
- The common ratio is x^2 .

Given a sequence $\{a_n\}$, we can sum up its *m*th through *n*th terms. We write this sum as

 $\sum_{i=m} a_i$

Note it is just a simplified way to write $a_m + a_{m+1} + \ldots + a_n$. There is no difference in meaning. Here *i* is called the index of the summation, *m* is called the lower limit of the index, and *n* is called the upper limit of the index.

Useful rules for \sum • $\sum_{i=m}^{n} (a_i + b_i) = \sum_{i=m}^{n} a_i + \sum_{i=m}^{n} b_i.$ • $\sum_{i=m}^{n} c \cdot a_i = c \cdot \sum_{i=m}^{n} a_i$, where *c* is a constant.

We often need to find the sum of the first *n* terms of a sequence. For example, consider an arithmetic sequence $\{a_n\}$, where $a_n = a + (n-1)d$. We have:

$$\sum_{i=1}^{n} a_{i} = \sum_{i=1}^{n} (a + d(i - 1))$$

=
$$\sum_{i=1}^{n} a + d \sum_{i=1}^{n} (i - 1)$$

=
$$a \cdot n + d[\sum_{i=1}^{n} i - \sum_{i=1}^{n} 1] = a \cdot n + d \sum_{i=1}^{n} i - d \cdot n$$

So the main problem is to find $\sum_{i=1}^{n} i$.

What is $\sum_{i=1}^{n} i$?

- Let us denote it by $S = \sum_{i=1}^{n} i = 1 + 2 + \ldots + n$.
- We can change order of terms: S = n + ... + 2 + 1.
- Adding up the above two equations, we get: $2S = (n+1) + (n+1) + \ldots + (n+1) = n(n+1)$
- We get S = n(n+1)/2.

Sum of arithmetic sequence

Now we come back to the sum of the first *n* terms of arithmetic sequence:

Theorem:

$$\sum_{i=1}^{n} a_i = na + n(n-1)d/2$$

$$\sum_{i=1}^{n} a_i = na + d(\sum_{i=1}^{n} i - n) \\ = na + d(n(n+1)/2 - n) \\ = na + n(n-1)d/2$$

- This is an important formula for the sum of arithmetic sequence. You should memorize it.
- It is useful if you know the first term, the number of terms and the common difference, (but not the last term).

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Another important formula for the sum of arithmetic sequence:

Theorem: $\sum_{i=1}^{n} a_i = \frac{(a_1 + a_n) \cdot n}{2}$

- We will prove this formula in class.
- It is useful, when you know the first and the last term, the number of terms, (but not the common difference).

Example of sum of arithmetic sequence

Consider the arithmetic sequence 9, 4, -1, -6, ... The sum of the first *n* terms is:

$$\sum_{i=1}^{n} a_i = 9n - 5n(n-1)/2$$

Thus the sum of the first 10 terms is:

$$9 \times 10 - 5 \times 10 \times 9/2 = 90 - 225 = -135$$

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Example of sum of arithmetic sequence

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Note the 10th term is $9 - 5 \times 9 = -36$. By using the second formula, the sum of the first 10 terms is: $[9 + (-36)] \cdot 10/2 = -135$.

Now consider a geometric sequence $\{a_0, a_1, \ldots, a_n, \ldots\}$,

$$\sum_{i=0}^{n} a_i = a \sum_{i=0}^{n} r^i$$

So the main problem is to find $\sum_{i=0}^{n} r^{i}$.

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What is $\sum_{i=0}^{n} r^{i}$?

- Let us denote it by $S = \sum_{i=0}^{n} r^{i}$.
- Recall its definition: $S = 1 + r + \ldots + r^n$
- We multiply both sides by r: $rS = r + r^2 \dots + r^{n+1}$
- Taking the difference of two equations, we get:

$$(r-1)S = r^{n+1} - 1$$

Assuming $r \neq 1$, we get:

$$S = (r^{n+1} - 1)/(r - 1)$$

Now we come back to the sum of first *n* terms of geometric sequence:

$$\sum_{i=0}^{n} a_i = a \sum_{i=0}^{n} r^i = a \frac{r^{n+1} - 1}{r - 1}$$

- The above is the important formula for the sum of geometric sequence. You should memorize it.
- Keep in mind that this formula assumes $r \neq 1$.
- Question: what is the formula for r = 1?

Example sum of geometric sequence

Consider the geometric sequence 9, 3, 1, 1/3 The sum of first n + 1 terms $a_0 + \cdots + a_n$ is:

$$\sum_{i=0}^{n} a_i = 9 \times \frac{(1/3)^{n+1} - 1}{1/3 - 1}$$
$$= \frac{27}{2} \times (1 - \frac{1}{3^{n+1}})$$

Thus the sum of the first 5 terms (i.e n = 4) is:

$$\frac{27}{2} \times (1 - \frac{1}{3^5}) = \frac{121}{9}$$

More example of sequence sum

Find the sum of: $\sum_{j=0}^{4} (3^j + 5 \cdot 2^j)$. Solution:

$$\sum_{j=0}^{4} (3^{j} + 5 \cdot 2^{j})$$

$$= \sum_{j=0}^{4} 3^{j} + \sum_{j=0}^{4} 5 \cdot 2^{j}$$

$$= \sum_{j=0}^{4} 3^{j} + 5 \cdot \sum_{j=0}^{4} 2^{j}$$

$$= \frac{3^{5} - 1}{3 - 1} + 5 \cdot \frac{2^{5} - 1}{2 - 1}$$

$$= 364 + 5 \cdot 63 = 679$$

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Cardinality of Infinite Sets

Example 1

Consider two sets $A = \{a, b, c\}$ and $B = \{3, 9, 25\}$. Which set contains more elements?

Since each of *A* and *B* has 3 elements, they have equal size (cardinality).

Example 2

Consider two sets $A = \{a, b, c\}$ and $C = \{dog, cat, buffalo, wolf\}$. Which set contains more elements?

Since *A* has 3 elements and *C* has 4 elements, *C* has larger cardinality.

Example 3

Consider two sets $Z^+ = \{1, 2, 3, ...\}$ and $Z = \{..., -3, -2, -1, 0, 1, 2, 3, ...\}$. Which set contains more elements?

Since both Z^+ and Z have infinitely many elements, we cannot really tell. Obviously, Z has larger cardinality than Z^+ . Right? You will be surprised

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Definition

- The two sets *A* and *B* have the same cardinality if and only if there is a one-to-one and onto function (namely bijection) from *A* to *B*. In this case, we write |A| = |B|.
- If there is a one-to-one (not necessarily onto) function from *A* to *B*, the cardinality of *A* is less than or the same as the cardinality of *B* and we write $|A| \le |B|$.
- If $|A| \le |B|$ and *A* and *B* have different cardinality, we say that the cardinality of *A* is less than the cardinality of *B* and we write |A| < |B|. This happens when
 - There exists an one-to-one function from *A* to *B*, and
 - There exists no one-to-one and onto function from A to B.

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Definition

A set that is either finite, or has the same cardinality as the set of positive integers is called countable. When an infinite set *S* is countable, we write $|S| = \aleph_0$ (aleph null).

Definition

A set that is either finite, or has the same cardinality as the set of positive integers is called countable. When an infinite set *S* is countable, we write $|S| = \aleph_0$ (aleph null).

Intuitively, $|S| = \aleph_0$ if we can write: $S = \{a_1, a_2, a_3, \dots, a_i, \dots\}$ such that:

- There's a rule that tells us which is a_i;
- Following this rule, we will reach every element in *S*.

 $|Z| = \aleph_0$. That is, the cardinality of Z^+ and Z are the same.

The following function f is a one-to-one correspondence (namely one-to-one and onto function) from Z^+ to Z:

$$f(n) = \begin{cases} -n/2 & \text{if } n \text{ is even} \\ (n-1)/2 & \text{if } n \text{ is odd} \end{cases}$$

The function *f* is illustrated below:

Z^+	1	2	3	4	5	6	7	8	
	↑	↑	1	↑	↑	↑	↑	1	1
7	ň	_ 1	Ť	Š	ò	à	à		*
	0			-2	~	-0	0		

We need to show the function f is one-to-one and onto. This can be done by case-by-case proof.

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Countable sets

Let $Q^+ = \{ a/b \mid a \text{ and } b \neq 0 \text{ are positive integers } \}$. Namely Q^+ is the set of positive rational numbers.

Example

 $|Q^+| = \aleph_0$. That is, the cardinality of Q^+ and Z^+ are the same.



Figure: The 1-to-1 correspondence from Z^+ to Q^+ .

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Lemma

If $A \subseteq B$, then $|A| \leq |B|$.

Proof: Let $f : A \to B$ be the identity function from *A* to *B*. Namely $\forall x \in A, f(x) = x$. Then *f* is a 1-to-1 function, (not necessarily onto.) Thus by definition, $|A| \leq |B|$.

Schröder-Bernstein Theorem If $|A| \leq |B|$ and $|B| \leq |A|$, then |A| = |B|.

This Theorem really says: If there is a 1-to-1 function $f : A \to B$ AND there is a 1-to-1 function $h : B \to A$, then there is a bijection from A to B. Its proof is NOT easy!

Some Basic Lemmas about Cardinality

Lemma

If both *A* and *B* are countable, then $A \cup B$ is also countable.

Lemma

Let $A_1, A_2, A_3 \cdots$ be a countable sequence, and each A_i is a countable set, then $A = \bigcup_{i=1}^{\infty} A_i$ is also countable.

Lemma

If *A* is countable, then for any integer $k \ge 1$, $A^k = A \times \cdots \times A$ (*k* times) is also countable.

We will prove these lemmas in class.

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Some Basic Lemmas about Cardinality

Lemma

If both *A* and *B* are countable, then $A \cup B$ is also countable.

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Let $A_1, A_2, A_3 \cdots$ be a countable sequence, and each A_i is a countable set, then $A = \bigcup_{i=1}^{\infty} A_i$ is also countable.

Lemma

If *A* is countable, then for any integer $k \ge 1$, $A^k = A \times \cdots \times A$ (*k* times) is also countable.

We will prove these lemmas in class.

Definition

```
A set A is uncountable if |A| > |Z^+|.
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So an uncountable set *A* contains really more elements than the set of positive integers.

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Uncountable sets

Given the basic lemmas stated before, is there any set that is uncountable?

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Uncountable sets

Given the basic lemmas stated before, is there any set that is uncountable?

Theorem

```
Let A be any set. Let \mathcal{P}(A) be the power set of A. Then |A| < |\mathcal{P}(A)|.
```

This Theorem says: there is no bijection from *A* to $\mathcal{P}(A)$. It is enough to show: for ANY function $f : A \to \mathcal{P}(A)$, *f* CANNOT be onto. We will prove this in class.

Example

Consider $A = \{a, b\}$. Then $\mathcal{P}(A) = \{\emptyset, \{a\}, \{b\}, \{a, b\}\}$.

Note that $|A| = 2 < 4 = |\mathcal{P}(A)|$.

Example

Consider Z^+ . Then $\aleph_0 = |Z^+| < |\mathcal{P}(Z^+)|$.

The cardinality of $\mathcal{P}(Z^+)$ is denoted by \aleph_1 .

- Similarly, we have $\aleph_1 = |\mathcal{P}(Z^+)| < |\mathcal{P}(\mathcal{P}(Z^+))| = \aleph_2$.
- We have an infinite sequence of increasing cardinalities:

 $\aleph_0 < \aleph_1 < \aleph_2 < \ldots \aleph_i < \ldots$

here \aleph_i is the cardinality of the *i*th power set of Z^+ .

You might feel these examples are too abstract. We have a simpler one.

Theorem

Let $\left(0,1\right)$ be the set of real numbers between 0 and 1. Then $\left(0,1\right)$ is uncountable.

Theorem

 $|(0,1)| = \aleph_1 = |\mathcal{P}(Z^+)|$

Theorem

Let *R* be the set of real numbers. Then |(0, 1)| = |R|.

The cardinality of *R*, which is also the cardinality of (0, 1), is denoted by *C*. The proofs of these theorems will be given in class.

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Now we have:

$$\aleph_0 < \aleph_1 = \mathcal{C} < \aleph_2 < \ldots \aleph_i < \ldots$$

• Is there a cardinality strictly between \aleph_0 and \aleph_1 ?

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Hilbert's First Problem, also known as Continuum Hypothesis: There is no cardinality \mathcal{X} such that $\aleph_0 < \mathcal{X} < \aleph_1$.

- In 1900, David Hilbert (one of the greatest mathematician of his time) presented 23 unsolved problems to the mathematicians of the 20th century.
- All these problems are extremely hard.
- Some of Hilbert's problems have been solved. Some are not.
- Hilbert's first problem remains unsolved.
- Actually, there are indications that this is one proposition that can neither be proved to be true, nor to be false within our logic inference system for set theory.