

Introduction to Elementary Number Theory and Cryptography

CSE 191, Class Note 07
Computer Sci & Eng Dept
SUNY Buffalo

Outline

- 1 Introduction to Elementary Number Theory
- 2 Integer division
- 3 Congruence
- 4 Prime numbers
- 5 Greatest common divisor
- 6 Euclidean GCD algorithm
- 7 Factoring and Primality Testing Problems
- 8 Encryption

Introduction to Elementary Number Theory

- Number Theory is one of the oldest branch of mathematics.
- It studies the properties of integers, especially **prime numbers**.
- There are several simple looking, yet very challenging problems in number theory.
- There are a number of applications in Computer Science. The most important and well known is the **RSA Public Key Cryptosystem**, which is the basis of virtually all current computer security systems.
- We will study some of the basic topics in number theory, so that we can describe and understand RSA Public Key Cryptosystem.

Some example problems in Number Theory

Fermat's Last Theorem:

For any integer $n \geq 3$, there is no integer solution x, y, z for the equation

$$x^n + y^n = z^n$$

This problem had been open for more than 350 years. It was proved by Andrew Wildes in 1995.

Goldbach's Conjecture:

Every even integer $n \geq 2$ is the sum of two prime numbers.

Example: $4=2+2$; $6=3+3$; $8=3+5$; ..., $20=3+17$; $22 = 3+19= 11+11$;

- It has been verified that this conjecture is true for n up to $1.6 \cdot 10^{18}$.
- British publisher Tony Faber offered a \$1,000,000 prize if a proof was submitted before April 2002. The prize was not claimed.
- It remains unsolved today.

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Integer division

- Let a be an integer and d be a positive integer. Then there are **unique integers q and r ($0 \leq r < d$)**, such that $a = dq + r$.
 - This is the **the division of a by d** .
 - **q is the quotient** of this division. We write $q = a \text{ div } d$.
 - **r is the remainder** of this division. We write $r = a \text{ mod } d$.
 - If $r = 0$, then we say **d divides a** , and write $d|a$.

Example:

We divide 13 by 3, and get that $13 = 3 \times 4 + 1$.

- So, **$13 \text{ div } 3 = 4$ and $13 \text{ mod } 3 = 1$** .
- Since the remainder is not 0, we say that 3 does not divide 13.

Example for integer division

- Divide 27 by 5. What is the quotient and what is the remainder?
- Does 14 divide 98?
- Divide 1000 by 333.
- Does 1111 divide 2345?

Basic properties of division

Theorem:

Let a, b, c be integers. Then:

- 1 If $a|b$ and $a|c$, then $a|(b + c)$.
- 2 If $a|b$, then $a|(bc)$ for all integers c .
- 3 If $a|b$ and $b|c$, then $a|c$.

Proof: (1) Let $d = b \operatorname{div} a$ and $d' = c \operatorname{div} a$. Then $b + c = da + d'a = (d + d')a$. So $a|(b + c)$.

(2) Let $d = b \operatorname{div} a$. Then $bc = adc$. So $a|bc$.

(3) Let $d = b \operatorname{div} a$ and $d' = c \operatorname{div} b$. Then $c = d'b = d'da$. So $a|c$.

Example for properties of division

Example

Suppose a, b, c are integers such that $a|b$ and $a|c$. Then, for all integers m, n , $a|(mb + nc)$.

Proof: Let $d = b \operatorname{div} a$ and $d' = c \operatorname{div} a$. Then:

$$mb + nc = mda + nd'a = (md + nd')a$$

So, $a|mb + nc$.

Note: you should memorize this result.

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Congruence

Definition

Let a and b be integers, and m be a positive integer. Then we say a is congruent to b modulo m if $a \bmod m = b \bmod m$. We write $a \equiv b \pmod{m}$.

Example:

$$13 \bmod 4 = 1 = 21 \bmod 4$$

So, we have $13 \equiv 21 \pmod{4}$.

Equivalent definition for congruence

Theorem:

Let a, b be integers and m be a positive integer. Then $a \equiv b \pmod{m}$ if and only if $m \mid (a - b)$.

Proof: Let $a = mq + r$ and $b = mq' + r'$. With out loss of generality, assume $r \geq r'$. So $a - b = m(q - q') + (r - r')$.

$$\begin{aligned} a \equiv b \pmod{m} &\Leftrightarrow r = r' \text{ (the remainders of } a, b \text{ divided by } m \text{ are the same)} \\ &\Leftrightarrow (a - b) = m(q - q') \\ &\Leftrightarrow m \mid (a - b) \end{aligned}$$

Example for congruence

- Is 101 congruent to 91 mod 9?
- $101 \equiv 91 \pmod{???}$
- $100 \equiv 99 \pmod{???}$

Addition of congruence

Theorem:

Let m be a positive integer. If $a \equiv b \pmod{m}$ and $c \equiv d \pmod{m}$, then $a + c \equiv b + d \pmod{m}$.

Proof:

$$a \equiv b \pmod{m} \Leftrightarrow m \mid (a - b) \Leftrightarrow (a - b) = k_1 \cdot m \text{ for some integer } k_1.$$

$$c \equiv d \pmod{m} \Leftrightarrow m \mid (c - d) \Leftrightarrow (c - d) = k_2 \cdot m \text{ for some integer } k_2.$$

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$$\text{Hence: } (a + c) - (b + d) = (a - b) + (c - d) = m \cdot k_1 + m \cdot k_2 = m \cdot (k_1 + k_2).$$

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$$\text{Hence: } (a + c) - (b + d) = (a - b) + (c - d) = m \cdot k_1 + m \cdot k_2 = m \cdot (k_1 + k_2).$$

This means $m \mid [(a + c) - (b + d)]$. By the equivalent definition of congruence, $(a + c) \equiv (b + d) \pmod{m}$.

Example for addition of congruence

Example

$$10001 + 20000005 + 3004 \equiv ? \pmod{10}$$

Solution:

$$10001 \equiv 1 \pmod{10}$$

$$20000005 \equiv 5 \pmod{10}$$

$$3004 \equiv 4 \pmod{10}$$

So $10001 + 20000005 + 3004 \equiv 1 + 5 + 4 \pmod{10} \equiv 10 \pmod{10} \equiv 0 \pmod{10}$.

Multiplication of congruence

Theorem:

Let m be a positive integer. If $a \equiv b \pmod{m}$ and $c \equiv d \pmod{m}$, then $ac \equiv bd \pmod{m}$.

Proof:

$$a \equiv b \pmod{m} \Leftrightarrow m \mid (a - b) \Leftrightarrow (a - b) = k_1 \cdot m \text{ for some integer } k_1.$$

$$c \equiv d \pmod{m} \Leftrightarrow m \mid (c - d) \Leftrightarrow (c - d) = k_2 \cdot m \text{ for some integer } k_2.$$

Multiplication of congruence

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Proof:

$$a \equiv b \pmod{m} \Leftrightarrow m \mid (a - b) \Leftrightarrow (a - b) = k_1 \cdot m \text{ for some integer } k_1.$$

$$c \equiv d \pmod{m} \Leftrightarrow m \mid (c - d) \Leftrightarrow (c - d) = k_2 \cdot m \text{ for some integer } k_2.$$

Hence:

$$ac - bd = ac - ad + ad - bd = a(c - d) + d(a - b) = am \cdot k_2 + dm \cdot k_1 = m \cdot (ak_2 + dk_1).$$

Multiplication of congruence

Theorem:

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Proof:

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Hence:

$$ac - bd = ac - ad + ad - bd = a(c - d) + d(a - b) = am \cdot k_2 + dm \cdot k_1 = m \cdot (ak_2 + dk_1).$$

This means $m \mid (ac - bd)$. By the equivalent definition of congruence, $ac \equiv bd \pmod{m}$.

Example: $10001 \times 20000005 \equiv ? \pmod{13}$

Solution: $10001 \equiv 4 \pmod{13}$ and $20000005 \equiv 12 \pmod{13}$

So, $10001 \times 20000005 \equiv 4 \times 12 \pmod{13} \equiv 48 \pmod{13} \equiv 9$

Addition and residue

Theorem:

Let m be a positive integer and a, b be integers. Then,

$$(a + b) \bmod m = ((a \bmod m) + (b \bmod m)) \bmod m.$$

Proof: Clearly, we have $a \equiv a \bmod m \pmod{m}$, and $b \equiv b \bmod m \pmod{m}$. So, we get that $a + b \equiv (a \bmod m) + (b \bmod m) \pmod{m}$, which is equivalent to that $(a + b) \bmod m = ((a \bmod m) + (b \bmod m)) \bmod m$.

Theorem:

Let m be a positive integer and a, b be integers. Then,

$$ab \bmod m = ((a \bmod m)(b \bmod m)) \bmod m.$$

The proof is analogous to the previous theorem and so we skip it here.

Example for calculating residue

Example: What is $2008^{2008} \bmod 3$?

$$\begin{aligned} 2008^{2008} &= \underbrace{(2008 \times \dots \times 2008)}_{\text{2008 times}} \bmod 3 \\ &= \underbrace{((2008 \bmod 3) \times \dots \times (2008 \bmod 3))}_{\text{2008 times}} \bmod 3 \\ &= (2008 \bmod 3)^{\text{2008 times}} \bmod 3 \\ &= 1^{2008} \bmod 3 = 1 \end{aligned}$$

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Prime numbers

- A positive integer p (> 1) is called **prime** if **the only positive integers that divide p are 1 and p itself.**
- A positive integer (> 1) that is **not** a prime is called **composite**.

Example:

Prime: 2, 3, 5, 7, 11, 13, 17, 19

Composite: 4, 6, 8, 9, 10, 12, 14, 15, 16, 18

Fundamental theorem of arithmetic

Theorem:

Every positive integer $n > 1$ can be written uniquely as a prime or as the product of two or more primes where the prime factors are written in order of non-decreasing size:

$$n = p_1^{r_1} \times p_2^{r_2} \times \cdots \times p_k^{r_k}$$

which is called the (prime) factorization of n .

Example:

We can write $100 = 2 \times 2 \times 5 \times 5 = 2^2 \times 5^2$.

We can write $241 = 241$.

Example:

$625 = ?$

$891 = ?$

Prime factor test

Theorem:

If n is a composite, then n has a prime factor less than or equal to \sqrt{n} .

Proof: We prove by contradiction. Suppose that n is a composite and does not have any prime factor $\leq \sqrt{n}$.

By the fundamental theorem of arithmetic, we know that $n = p_1 \cdot p_2 \cdots p_k$, where the prime factors $p_1 > \sqrt{n}, p_2 > \sqrt{n}, \dots, p_k > \sqrt{n}$.

Furthermore, since n is a composite, $k \geq 2$. So we have

$$n = p_1 \cdot p_2 \cdots p_k > p_1 \cdot p_2 > \sqrt{n} \cdot \sqrt{n} = n$$

Contradiction.

Example: Show 71 is a prime.

Note that $\sqrt{71} = 8.4\dots$. The only primes $\leq 8.4\dots$ are 2, 3, 5, 7. So we divide 71 by 2, 3, 5, 7. None of them is a factor of 71. Then we can conclude 71 is a prime.

Number of primes

Theorem: There are infinitely many primes.

Proof: We prove by contradiction. Suppose that there are only a finite number of primes: p_1, p_2, \dots, p_n . Now consider

$$p = p_1 \cdot p_2 \cdots p_n + 1$$

On one hand, p must be a composite since it is greater than any of the above n primes. So by the fundamental theorem of arithmetic, it can be written as the product of two or more primes.

On the other hand, it is easy to verify that any prime p_i ($1 \leq i \leq n$) cannot divide p since the remainder of the division is 1. Contradiction.

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Greatest common divisor

Definition

Let a and b be integers, not both 0. The largest integer d such that $d|a$ and $d|b$ is called the **greatest common divisor of a and b** . We write:

$$d = \gcd(a, b)$$

Example:

$\gcd(30, 6) = 6$ since $6|30$.

Calculating $\gcd(a, b)$

How to calculate $\gcd(a, b)$?

- 1 Express a and b as products of powers of increasing primes.
- 2 Select the prime divisors a and b have in common.
- 3 For each of the common prime divisor, pick the smaller exponent.
- 4 Calculate the product of the powers of these common prime divisors, where the exponents are what we just selected.

Example: how can we calculate $\gcd(168, 196)$?

- $168 = 2^3 \times 3 \times 7$; and $196 = 2^2 \times 7^2$.
- 2 and 7 are the common prime divisors of 168 and 196.
- For prime divisor 2, we have exponents 3 (for 168) and 2 (for 196). Hence, we select 2; for prime divisor 7, we have exponents 1 (for 168) and 2 (for 196). Hence, we select 1.
- Calculate $2^2 \times 7^1 = 28$. So $\gcd(168, 196) = 28$.

Definition

The integers a and b are **coprime (relatively prime)** to each other if $\gcd(a, b) = 1$.

Use the method just learned to calculate $\gcd(a, b)$, you know whether a and b are coprime to each other.

Example:

- 15 and 25 are not coprime to each other since $\gcd(15, 25) = 5$.
- 15 and 24 are not coprime to each other since $\gcd(15, 24) = 3$.
- 15 and 28 are coprime to each other since $\gcd(15, 28) = 1$.

Coprime: extended definition

Definition

Consider n integers a_1, a_2, \dots, a_n . They are called **pairwise coprime** if $\gcd(a_i, a_j) = 1$ for any $i \neq j$.

Example:

- 15, 17, 25 are not pairwise coprime since $\gcd(15, 25) = 5$.
- 15, 17, 28 are pairwise coprime since $\gcd(15, 17) = \gcd(15, 28) = \gcd(17, 28) = 1$.

Least common multiple

Definition

The **least common multiple** of positive integers a and b is the **smallest positive integer** that can be divided by both a and b . We denote it by $lcm(a, b)$.

Example:

$lcm(30, 6) = 30$ since $6|30$.

Calculating $\text{lcm}(a, b)$

How can we calculate $\text{lcm}(a, b)$?

- 1 Express a and b as products of powers of increasing primes. (Analogous to calculating gcd).
- 2 Select the prime divisors a and b have in common. (Analogous to calculating gcd)
- 3 For each of the common prime divisor, pick the larger exponent.
- 4 Calculate the product of the powers of these common prime divisors, where the exponents are what we just selected, and **also all primes that only one of them has**. (Different from calculating gcd)

Calculating $\text{lcm}(a, b)$

Example: how can we calculate $\text{lcm}(168, 196)$?

- $168 = 2^3 \times 3 \times 7$ and $196 = 2^2 \times 7^2$.
- 2 and 7 are the common prime divisors of 168 and 196.
- For prime divisor 2, we (Different from calculating gcd) have exponents 3 (for 168) and 2 (for 196). Hence, we select 3; For prime divisor 7, we have exponents 1 (for 168) and 2 (for 196). Hence, we select 2.
- Calculate $\text{lcm}(168, 196) = 2^3 \times 7^2 \times 3 = 1176$.

GCD vs. LCM

Theorem:

Suppose a and b are positive integers. Then $ab = \gcd(a, b) \cdot \text{lcm}(a, b)$.

Example:

- $\gcd(168, 196) = 28$ and $\text{lcm}(168, 196) = 1176$.
- $168 \times 196 = 32928 = 28 \times 1176$.

This tells us that if we can calculate the gcd, then we can easily get the lcm, and vice versa.

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Euclidean GCD algorithm

- The gcd (and lcm) algorithms described above rely on finding the factorization of n .
- Although it looks very simple, it is very time consuming even for super computers to find prime factors of large integers.
- This fact (that it is difficult to find prime factors) is the basis of the cryptography (to be discussed later).
- Euclidean algorithm is a much faster algorithm for finding $gcd(a, b)$. It does not rely on prime factorization.

Euclidean GCD algorithm

Euclidean-GCD (a, b : positive integers)

- 1 $x := a$
- 2 $y := b$
- 3 **while** $y \neq 0$
 - $r := x \bmod y$
 - $x := y$
 - $y := r$
- 4 **return** x ($\gcd(a, b)$ is x)

Example of Euclidean GCD algorithm

Example:

$$287 = 91 \cdot 3 + 14$$

$$91 = 14 \cdot 6 + 7$$

$$14 = 7 \cdot 2$$

So $\gcd(287, 91) = 7$

Example of Euclidean GCD algorithm

Example:

$$\begin{aligned} 287 &= 91 \cdot 3 + 14 \\ 91 &= 14 \cdot 6 + 7 \\ 14 &= 7 \cdot 2 \end{aligned}$$

So $\gcd(287, 91) = 7$

Why Euclidean-GCD algorithm work?

Lemma

Let a, b be positive integers and $r = a \bmod b$. Then $\gcd(a, b) = \gcd(b, r)$.

Proof: We have $a = b \cdot q + r$. Thus $r = a - b \cdot q$.

Let d be a common divisor of a and b . Namely $d|a$ and $d|b$. This implies that $d|(a - b \cdot q)$. Namely $d|r$.

Similarly we can show if $d|b$ and $d|r$, then $d|(b \cdot q + r)$. Namely $d|a$.

Hence $\{a, b\}$ and $\{b, r\}$ have exactly the same set of divisors. So $\gcd(a, b) = \gcd(b, r)$.

Euclidean GCD algorithm

In the Euclidean-GCD algorithm, let $r_0 = a$ and $r_1 = b$. We have

$$\begin{array}{lll} r_0 & = & r_1 q_1 + r_2 & 0 \leq r_2 < r_1 \\ r_1 & = & r_2 q_2 + r_3 & 0 \leq r_3 < r_2 \\ \vdots & & & \\ r_{n-2} & = & r_{n-1} q_{n-1} + r_n & 0 \leq r_n < r_{n-1} \\ r_{n-1} & = & r_n \cdot q_n & \end{array}$$

So

$$\gcd(a, b) = \gcd(r_0, r_1) = \gcd(r_1, r_2) = \dots = \gcd(r_{n-2}, r_{n-1}) = \gcd(r_{n-1}, r_n) = r_n.$$

Bézout's Theorem

Bézout's Theorem

Let a and b be positive integers. Then there exist integers s and t such that $\gcd(a, b) = sa + tb$.

By reversing Euclidean GCD algorithm, we can find s and t .

Example: $a = 287, b = 91$. We have:

$$\begin{aligned}287 &= 91 \cdot 3 + 14 \\91 &= 14 \cdot 6 + 7 \\14 &= 7 \cdot 2\end{aligned}$$

So $\gcd(287, 91) = 7$. Now going backward:

$$\begin{aligned}7 &= 91 - 14 \cdot 6 \\&= 91 - (287 - 91 \cdot 3) \cdot 6 \\&= -6 \cdot 287 + 19 \cdot 91\end{aligned}$$

Hence $s = -6$ and $t = 19$.

This process for finding s and t is called [Extended Euclidean Algorithm](#).

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Factoring and Primality Testing Problems

P1: Factoring Problem

Input: an integer X .

Output: Find its prime factorization.

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If $X = 117$, the output: $X = 3 \cdot 3 \cdot 13$.

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If $X = 117$, the output: $X = 3 \cdot 3 \cdot 13$.

P2: Primality Testing

Input: an integer X .

Output: "yes" if X is a prime number; "no" if not.

Factoring and Primality Testing Problems

P1: Factoring Problem

Input: an integer X .

Output: Find its prime factorization.

If $X = 117$, the output: $X = 3 \cdot 3 \cdot 13$.

P2: Primality Testing

Input: an integer X .

Output: "yes" if X is a prime number; "no" if not.

- If $X = 117$, output "no".

Factoring and Primality Testing Problems

P1: Factoring Problem

Input: an integer X .

Output: Find its prime factorization.

If $X = 117$, the output: $X = 3 \cdot 3 \cdot 13$.

P2: Primality Testing

Input: an integer X .

Output: "yes" if X is a prime number; "no" if not.

- If $X = 117$, output "no".
- If $X = 456731$, output = ?

Factoring and Primality Testing Problems

- $P1$ and $P2$ are related.
- If we can solve $P1$, we can solve $P2$ immediately.

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- P1 is **harder** than P2.
- How to solve P1?

Find-Factor(X)

```
1: if  $X$  is even then  
2:   return "2 is a factor of  $X$ " and stop  
3: end if  
4: for  $i = 3$  to  $\sqrt{X}$  by  $+2$  do  
5:   test if  $X \bmod i = 0$ , if yes, return " $i$  is a factor of  $X$ " and stop  
6: end for  
7: return " $X$  is a prime"
```

- To solve P1, we call **Find-Factor(X)** to find the smallest prime factor i of X . Then call **Find-Factor(X/i)** ...

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- The runtime of **Find-Factor**: Suppose the input size is n (Namely X is represented by n bits).

- To solve P1, we call **Find-Factor(X)** to find the smallest prime factor i of X . Then call **Find-Factor(X/i)** ...
- The runtime of **Find-Factor**: Suppose the input size is n (Namely X is represented by n bits).
- Since X is n bits long, the value of X is $\geq 2^{n-1}$.
- In the worst case, we need to perform $\frac{1}{2}\sqrt{2^{n-1}} = \frac{1}{2}(1.414)^{n-1}$ divisions. So **this is an exponential time algorithm**.
- Minor improvements can be (and had been) made. But **basically**, we have to perform **most** of these tests. **No poly-time algorithm for Factoring is known**.
- It is strongly believed, (**but not proven**), **no poly-time algorithm for solving the Factoring problem exists**.

How long does it take?

- Suppose we want to factor a number X with 220 digits.
- Since X is 220 digits long, the value of X is $\geq 10^{219}$.
- So we need to perform $\frac{1}{2}\sqrt{X} > 10^{108}$ divisions.

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- This translates into: 10^{99} CPU sec, about $3 \cdot 10^{91}$ years.

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- This translates into: 10^{99} CPU sec, about $3 \cdot 10^{91}$ years.
- For comparison: the age of the universe: about $1.5 \cdot 10^{10}$ years.
- The number of atoms in the known universe: $\leq 10^{80}$.

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- Say we use a super computer with speed of 10^9 divisions/sec.
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- An exponential time algorithm **cannot** be used to solve problems of realistic input size, no matter how powerful the computers are!

Outline

- 1 Introduction to Elementary Number Theory
- 2 Integer division
- 3 Congruence
- 4 Prime numbers
- 5 Greatest common divisor
- 6 Euclidean GCD algorithm
- 7 Factoring and Primality Testing Problems
- 8 Encryption**

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 - Even if Evil sees C , he doesn't know $S_A()$, so cannot recover M .

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 - For another customer (Dave), Bob and Dave must use a different key.
- There are many different ways for 1-1 Encryption. It is not hard.
 - However, Bob is dealing with many customers, and Alice is dealing with many banks, on-line accounts ...
 - It would be a nightmare if we have to arrange a different key for each (Alice, Bob) pair.

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- The pair (n, e) is the **public key**. Bob makes it public.
- (n, d) is the **secret key**. Only Bob knows it.

Example

$p = 7, q = 29$. Then $n = 7 \cdot 29 = 203$, and $(p-1) \cdot (q-1) = 168$.
Pick $e = 11$ and $d = 107$, then $11 \cdot 107 = 1177 \equiv 1 \pmod{168}$.
Thus $(203, 11)$ is the public key. $(203, 107)$ is the secret key.

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- Send $C (= 4)$ to Bob.
- Bob: Receiving $C (= 4)$. Recover the original message $M = S_A(C) \stackrel{\text{def}}{=} C^d \pmod{n}$. (In our example $4^{107} \pmod{203} = 100$).

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- Because of the the choice of e, d , the number theory ensures the result M is the same as the original message M . (Namely $(M^e)^d = M \pmod{n}$ for all M .)

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- If Evil can factor $n = p \cdot q$, he can calculate d . Then he knows every thing that Bob knows.

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- But Evil knows n (since this is public).
- If Evil can factor $n = p \cdot q$, he can calculate d . Then he knows every thing that Bob knows.
- But he must factor a 256 digit number n . This will need much much much longer time than the previous problem of factoring a number of 220-digits!

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- For long time, it is not known if the problem P2 (Primality Testing) can be solved in poly-time.
- In 2001, Agrawal, Kayal and Saxena found a poly-time algorithm for solving P2.
- Had they found a poly-time algorithm for solving P1 (Factoring), RSA system (and the entire computer security industry) would have collapsed overnight!

Number Theoretic Foundation of RSA Encryption

Fact

Consider the set R of real numbers. For any $a \in R$, the equation

$$a + x = 0$$

has a unique solution $-a \in R$, which is called the **additive inverse** of a .

Fact

Consider the set R of real numbers. For any $a \in R, a \neq 0$, the equation

$$a \cdot x = 1$$

has a unique solution $a^{-1} \in R$, which is called the **multiplicative inverse** of a .

Fact:

If we replace R by Q , the set of rational numbers, the above facts are still true. Namely, every $a \in Q$ has an additive inverse $-a \in Q$ and every $a \in Q, a \neq 0$ has a multiplicative inverse $a^{-1} \in Q$.

Number Theoretic Foundation of RSA Encryption

Caution:

Consider Z , the set of integers. Every $a \in Z$ still has an additive inverse $-a \in Z$. However for $a \in Q, a \neq 0$, a **has NO multiplicative inverse in Z** : $a^{-1} \notin Z$ (unless $a = 1$ or $a = -1$).

Definition

Let n be a positive integer. $Z_n = \{0, 1, 2, \dots, n-1\}$. For elements in $a, b \in Z_n$, define:

$$\begin{aligned} a + b &= (a + b) \mod n \\ a \cdot b &= (a \cdot b) \mod n \end{aligned}$$

Number Theoretic Foundation of RSA Encryption

- For any $a \in Z_n$, there is an **additive inverse in Z_n** .
Example: $n = 14$, and $a = 5$. Then $-4 = 10 \bmod 14$.
Thus **$-4 = 10$ in Z_{14}** .
- On the other hand, $a \in Z_n, a \neq 0$ **may not** have a multiplicative inverse:
- For example:

$$4 \cdot x = 1 \bmod 14$$

has no solution in Z_{14} .

Number Theoretic Foundation of RSA Encryption

Definition $Z_n^* = \{i \mid 1 \leq i \leq n - 1 \text{ and } \gcd(i, n) = 1\}$

Example: $Z_{14}^* = \{1, 3, 5, 9, 11, 13\}$

Fact:

For any $a, b \in Z_n^*$, $a \cdot b \in Z_n^*$.

Example: $n = 14$:

- $3 \cdot 5 = 1 \bmod 14$, and $1 \in Z_{14}^*$.
- $3 \cdot 11 = 5 \bmod 14$, and $5 \in Z_{14}^*$.
- $5 \cdot 11 = 13 \bmod 14$, and $13 \in Z_{14}^*$.

Number Theoretic Foundation of RSA Encryption

Fact:

Every $a \in Z_n^*$, $a \neq 0$ has a unique multiplicative inverse a^{-1} in Z_n^* . In other words, the equation

$$a \cdot x = 1 \bmod n$$

has an unique solution in Z_n^* .

Example: $n = 14$

- Since $3 \cdot 5 = 1 \bmod 14$, so $3^{-1} = 5$ in Z_{14}^* .
- Since $9 \cdot 11 = 1 \bmod 14$, so $9^{-1} = 11$ in Z_{14}^* .

Euler Totient Function

Definition: Euler Totient Function.

We define $\phi(n) = |Z_n^*|$.

Namely $\phi(n)$ is the number of integers in $\{1, \dots, n-1\}$ that are coprime to n .

Fact:

- If p is a prime, then $\phi(p) = p - 1$.
- If $n = a \cdot b$ and $\gcd(a, b) = 1$, then $\phi(n) = \phi(a) \cdot \phi(b)$.

Example:

- $Z_7^* = \{1, 2, 3, 4, 5, 6\}$. So $\phi(7) = 7 - 1 = 6$.
- $\phi(14) = \phi(7) \cdot \phi(2) = (7 - 1) \cdot (2 - 1) = 6$.

(Fermat-)Euler Theorem

(Fermat-)Euler Theorem:

For any n and all $a \in \mathbb{Z}_n^*$,

$$a^{\phi(n)} \equiv 1 \pmod{n}$$

Example: $n = 14$, $\phi(14) = 6$, and $a = 3$

$$3^2 \equiv 9 \pmod{14};$$

$$3^3 \equiv 27 \equiv 13 \pmod{14};$$

$$3^4 \equiv 13 \cdot 3 \equiv 39 \equiv 11 \pmod{14};$$

$$3^5 \equiv 11 \cdot 3 \equiv 33 \equiv 5 \pmod{14};$$

$$3^6 \equiv 5 \cdot 3 \equiv 15 \equiv 1 \pmod{14};$$

Number Theoretic Foundation of RSA Encryption

- Bob picks two prime numbers p, q and calculate $n = p \cdot q$.
- Since p and q are primes, $\gcd(p, q) = 1$.
- So we have $\phi(n) = \phi(p) \cdot \phi(q) = (p - 1) \cdot (q - 1)$.
- Bob pick any integer e so that $\gcd(e, \phi(n)) = 1$.
- This means that there exists integers d and y such that

$$d \cdot e + y \cdot \phi(n) = 1$$

d and y can be calculated by using the extended Euclidean GCD algorithm.

- Thus

$$d \cdot e \equiv 1 \pmod{\phi(n)}$$

- Bob publishes (n, e) as the public key. And keep (n, d) as the secret key.

Number Theoretic Foundation of RSA Encryption

Recall that RSA Encryption works like this:

- Alice wants to send a message, represented by a number M , to Bob.
- She encrypts M by calculating $C \equiv M^e \bmod n$, and send C to Bob.
- Bob receives C , and decrypt C by calculating $C^d \bmod n$.

For the RSA encryption to work, all we need to do is to show:

Theorem

For any $M \in Z_n$, we have $(M^e)^d \equiv M \bmod n$.

If $M \in Z_n^*$ we have:

$$\begin{aligned}(M^e)^d &= M^{ed} \bmod n = M^{(ed-1)+1} \bmod n \\&= ((M^{ed-1} \bmod n) \cdot (M \bmod n)) \bmod n \\&= ((M^{\phi(n)} \bmod n) \cdot (M \bmod n)) \bmod n \\&= (1 \cdot (M \bmod n)) \bmod n \\&= (M \bmod n) \bmod n \\&= M\end{aligned}$$

If $M \in Z_n - Z_n^*$, we can still show $M^{ed} = M \bmod n$. (The proof is more involved.)